

# The Federal Democratic Republic of Ethiopia Office of the Prime Minister National ID Program

ETHIOPIA DIGITAL ID FOR INCLUSION AND SERVICES (P179040)



National ID  
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Draft

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP) October 2023

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Federal Democratic Republic of Ethiopia (the Recipient) will implement the “Ethiopia Digital ID for Inclusion and Services (P179040)” (the Project) with the involvement of the Prime Minister's Office (PMO), as set out in the Financing Agreement. The International Development Association (the Association) has agreed to provide financing for the Project, as set out in the referred agreement.
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is a part of the Financing Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring, and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
4. As agreed by the Association and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient through the Ministry of Finance and the Association agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association and the Recipient State Minister of the Ministry of Finance. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&amp;S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanisms.</p>	<p>Submit quarterly reports to the Association throughout the Project's implementation commencing after the Effective Date. Submit each report to the Association no later than 30 days after the end of each reporting period.</p>	<p>Project Management Unit (PMU) of NIDP</p>
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the Association's request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the Association no later than 48 hours after learning of the incident or accident.</p> <p>Provide subsequent reports to the Association within a timeframe acceptable to the Association. A detailed report of the incident would be provided within fifteen (15) days. Minor incidents shall be included in the quarterly report.</p>	<p>PMU of NIDP</p>
C	<p><b>CONTRACTORS' MONTHLY REPORTS</b></p> <p>Require contractors and supervising firms to provide monthly monitoring reports on ESHS performance in accordance with the metrics specified in the respective bidding documents and contracts, and submit such reports to the Association.</p>	<p>Submit the monthly reports to the Association, upon request, as annexes to the reports to be submitted under action A above.</p>	<p>Contractors</p>
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>Establish and maintain a Project Management Unit (PMU) including environmental and social risk management specialist; Assign environmental and social focal persons in each of the regional states</p>	<p>Hire PMU E&amp;S Specialist before project effectiveness; E&amp;S focal person shall be assigned prior to disbursement for Project activities.</p>	<p>PMU of NIDP</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
		The organizational structure, including the focal point, should be maintained throughout Project implementation.	
1.2	<p><b>ENVIRONMENTAL AND SOCIAL INSTRUMENTS</b></p> <p>Adopt and implement an Environmental and Social Management Framework (ESMF) including the Security Risk Assessment and Management Plan (SRAMP) and Sexual Exploitation and Abuse and Sexual Harassment (SEA/SH) Action Plan, E-Waste management Plan (E-WMP and ESMP) for the Project, consistent with the relevant ESSs.</p>	Adopt the ESMF with SRAMP and SEA/SH Action Plan, and E-WMP prior to disbursement for Component 2 and 3, and thereafter implement the ESMF throughout Project implementation.	PMU of NIDP
1.3	<p><b>MANAGEMENT OF CONTRACTORS</b></p> <p>Incorporate the relevant aspects of the ESCP, including, inter alia, the relevant Labor Management Procedures, and code of conduct, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms as applicable. Thereafter, ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p>	As part of the preparation of procurement documents and respective contracts. Supervise contractors throughout Project implementation.	PMU of NIDP
1.4	<p><b>TECHNICAL ASSISTANCE</b></p> <p>Ensure that the consultancies, studies, capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the Association, that are consistent with the ESSs. Thereafter, ensure that the outputs of such activities comply with the terms of reference.</p>	Throughout Project implementation.	PMU of NIDP
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<p><b>LABOR MANAGEMENT PROCEDURES</b></p> <p>Adopt and implement the Labor Management Procedures (LMP) for the Project, including, inter alia, provisions on working conditions, management of workers' relationships, occupational health, and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.</p>	Adopt the LMP prior to disbursement and implement it throughout Project implementation.	PMU of NIDP
2.2	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b></p> <p>Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.</p>	Establish grievance mechanism prior to engaging Project workers and thereafter maintain and operate it throughout Project implementation.	PMU of NIDP

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
2.3	<b>PROJECT WORKERS TRAINING:</b> Implement training of Project workers designed to enhance awareness of risks and to mitigate impacts on the local communities, as defined in ESMF, LMP and GBV action plan.	Prior to the start of registration work, with regular refresher training.	PMU of NIDP
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
3.1	<b>E-WASTE MANAGEMENT PLAN</b> Adopt and implement an e-waste management plan consistent with ESS3. More specifically, among others, ensure that:  obsolete registration kits and other electronic devices are recycled and disposed of in accordance with ESS. Awareness is created among the project staff and community members about e-waste management.	As per 1.2 above	PMU of NIDP
3.2	<b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b> Incorporate and implement resource efficiency and pollution prevention and management measures in the ESMF.	Throughout Project implementation.	PMU of NIDP
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	Assess and manage specific risks related to the spreading of communicable diseases, including skin diseases, to the community or the Project workers arising from Project activities such as registration processes where there is direct physical contact during biometrics capture, risk of indirect contact through usage of devices as part of the ESMF.  Include mitigation measures such as the usage of gloves when coming in contact with community members from a segment that is susceptible to be bearing communicable diseases, regular usage of sanitizers to clean equipment and devices after every use, and Project workers wearing masks while registering, in the ESMFs to be prepared in accordance with the ESMF.  Ensure implementation of mitigation measures provided under the GBV Risk Assessment and Action Plan.	LMP encompassing Occupational Health Safety measures will be prepared and adopted before disbursement.	PMU of NIDP with Registration Team, on-ground officers and the training team.
4.2	<b>SECURITY MANAGEMENT</b> Assess and implement measures to manage the security risks of the Project, including the risks of engaging security personnel to safeguard project workers, sites, assets, and activities, as set out in the Security Risk Assessment and Management Plan (SRAMP) that shall be developed for the Project. Update the document during the Project implementation.	As part of the ESMF, SRAMP shall be adopted prior to disbursement and thereafter implemented throughout Project implementation.	PMU of NIDP in collaboration with entities onboarded to cover the geographical areas that would require security personnel.
<b>ESS 5: LAND ACQUISITION RESTRICTION OF LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
	This standard is not currently relevant		
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	This standard is not currently relevant		
<b>ESS 7: SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
7.1	<p><b>INCLUSIVE AND MEANINGFUL CONSULTATIONS</b></p> <p>Adopt and implement the Stakeholder Engagement Plan (SEP) which includes measures to promote inclusive and meaningful consultations with historically underserved people. The provisions under ESS7 are considered relevant to vulnerable groups of people.</p> <p>NIDP shall develop a set of mitigation measures to address issues highlighted in the Social Risks Assessment. These mitigation measures shall be included in the Project Operations Manual and shall guide the implementation of daily project activities. The mitigation measures shall be developed to specifically address the issues that the most vulnerable and underserved communities may face in connection with the project.</p>	SA shall be prepared, disclosed, and adopted prior to disbursement.	PMU of NIDP
<b>ESS 8: CULTURAL HERITAGE</b>			
	This standard is not currently relevant		
<b>ESS 9: FINANCIAL INTERMEDIARIES</b>			
	This standard is not currently relevant		
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<p><b>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</b></p> <p>Adopt and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner, that is free of manipulation, interference, coercion, discrimination, and intimidation. Project information on ESMF, ESCP, SA and SEP and other documents disclosed to stakeholders shall be translated and made available in the local languages, and presented in a timely, understandable, accessible and appropriate manner and format.</p>	The SEP has been prepared and disclosed prior to appraisal and shall be implemented throughout the Project implementation.	PMU and Stakeholder engagement team of NIDP
10.2	<p><b>PROJECT GRIEVANCE MECHANISM</b></p> <p>Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p>	Establish the grievance mechanism prior to implementation Component 2 and 3 of Project activities and thereafter maintain and operate the mechanism throughout Project implementation.	PMU Stakeholder engagement team, Communications team of NIDP

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.		
CAPACITY SUPPORT			
CS2	Organize thematic, fit-for-purpose training and/or coaching led by the E&S focal points, and specialists, part of the NIDP PMU, on E&S management, including the required ESS's for relevant project activities targeting relevant project staff, including NIDP members, stakeholders collaborating with NIDP (both public and private sector), and participating national and regional government officials.  Conduct stakeholders' consultation, including awareness creation programs, as per the SEP to capacitate local communities on the project's implementation.	Prior to the implementation of activities warranting E&S management.	PMU of NIDP
CS2	Conduct training on Occupational Health and Safety for project staff, including on communicable diseases and ergonomic effects in project implementation areas, as per the LMP.	Throughout the Project implementation.	PMU of NIDP