

BURKINA FASO

Unité-Progress-Justice



Ministry of Transport, Urban Mobility and Road Safety

BF- Secondary Cities urban Mobility and Development Project (P177918)

Draft for Negotiation

ENVIRONMENTAL and SOCIAL COMMITMENT PLAN (ESCP)

August 2023

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Government of Burkina Faso (the Recipient) will implement the **Burkina Faso Secondary Cities urban Mobility and Development Project** (the Project), with the involvement of the Ministry of Transport, Urban Mobility and Road Safety, as set out in the Financing Agreement. The International Development Association (the Association)¹ has agreed to provide financing for the Project, as set out in the referred agreement(s).
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is a part of the Financing Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement(s).
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
4. As agreed by the Association and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient through the Project Management Unit (PMU) and the Association agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association and the Recipient's Minister of Transport Urban Mobility and Road Safety (MTMUSR). The Recipient] shall promptly disclose the updated ESCP.

¹ In case the project is financed by multiple financing sources (e.g. both an IBRD loan or IDA credit/grant and a TF grant), reference should be made to IBRD/IDA in all of these capacities, with a term like "World Bank" or the "Bank" used to refer to all of them collectively.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism(s), management of SEA/SH aspects, social inclusion especially of vulnerable groups, implementation of safety measures.</p>	<p>Submit quarterly reports to the Association throughout Project implementation no later than 15 days after the end of each reporting period.</p> <p>These reports will be compiled annually and sent to the association by January 15th of the following year at the latest, throughout the life of the project.</p>	PCU
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the Association’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the Association no later than 48 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the Association within 5 days.</p> <p>This notification system will be in force throughout the implementation of the Project.</p>	PCU
C	<p>CONTRACTORS’ MONTHLY REPORTS</p> <p>Require contractors and supervising firms to provide monthly monitoring reports on ESHS performance in accordance with the metrics specified in the respective bidding documents and contracts (including implementation of the Environmental and Social Management Plan (ESMP) and submit such reports to the Association.</p>	<p>Monthly ESHS performance monitoring and control reports (including the ESMP-C) will be sent to the PCU by the 10th of the month following the month in which work began (date of the Service Order); and to the Association, on request, as annexes to the quarterly reports under action A above.</p>	PCU Contractors and supervision firms

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
D	<p>NOTIFICATIONS RELATING TO DAAB COMPLIANCE REVIEW OF CONTRACTOR COMPLIANCE WITH SEA/SH PREVENTION AND RESPONSE OBLIGATIONS</p> <p>Notify the Association of any referral submitted to the Dispute Avoidance and Adjudication Board (DAAB) to initiate a process of compliance review in relation to a contractor’s obligations to prevent and respond to sexual exploitation and abuse (SEA), and/or sexual harassment (SH) specified in the respective works contract with such contractor; and, in the event of any such referral, notify the Association of:</p> <ul style="list-style-type: none"> (i) the DAAB’s decision on such referral; (ii) (ii) the contractor’s Notice of Dissatisfaction, if any, with such DAAB decision; (iii) (iii) any notification received on the commencement of an emergency arbitration proceeding or full arbitration proceeding in relation to the DAAB’s decision; and (iv) (iv) the resulting emergency arbitration order and/or full arbitration order, if any. 	<p>No later than 7 days after the issuance or receipt, as applicable, of the relevant document (i.e., referral to the DAAB, issuance of DAAB decision, Notice of Dissatisfaction, notice of commencement of emergency/full arbitration, emergency/full arbitration order, as applicable).</p>	<p>PCU Complaints management committees Contractors and supervising firms prime contractors</p>
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Establish and maintain a Project Coordination Unit (PCU) with qualified staff and resources to support management of ESHS risks and impacts of the Project including an environmental specialist, a social development specialist and a security specialist. A GBV/EAH/HS specialist will be mobilized on a part-time basis for the duration of the project.</p> <p>Ensure that the 3 communes benefiting from the project have an environmental and social development assistant in their team, for close monitoring of the implementation of environmental and social management measures, including SEA/SH/ GBV aspects.</p>	<p>Establish and maintain a PCU before project effectiveness as set out in the Financing agreement, and thereafter maintain these positions throughout Project implementation.</p> <p>The environmental and social development specialists, and the security expert will be recruited and established before the project's effectiveness and will maintain these positions throughout the project's implementation.</p>	<p>PCU MTMUSR Ministry of Finance</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
	<p>The GBV/SEA/SH specialist will be recruited no later than 6 months after project effectiveness, on a part-time basis, at a rate of 10 intervention days/month, and will maintain this position throughout project implementation.</p> <p>The environmental and social development assistants in the three communes will be recruited no later than 6 months after project effectiveness and will work full-time for the duration of the project.</p>	
<p>1.2 ENVIRONMENTAL AND SOCIAL INSTRUMENTS</p> <p>1. Prepare, adopt, disclose, and implement an Environmental and Social Management Framework (ESMF) for the Project, consistent with the relevant ESSs.</p> <p>2 Prepare, adopt, disclose, and implement an Environmental and Social Impact Assessment (ESIA), and corresponding Environmental and Social Management Plan (ESMP) for infrastructure/works for which ESIA/ESMP are required for the Project, consistent with the relevant ESSs.</p> <p>3. Ensure that the three (3) cities already selected for project implementation comply with and implement site-specific Environmental and Social Management Plans (ESMPs), as indicated in the ESMF.</p> <p>4. Prepare, adopt, disclose, and implement the three Environmental and Social Impact Assessments (ESIA) and Resettlement Action Plans (RAP) corresponding to the stormwater drainage channels works in the cities of Bobo, Kaya and Ouahigouya, in accordance with the ESMF and the relevant ESSs.</p>	<p>1. Adopt the ESMF prior to project appraisal, and thereafter implement the ESMF throughout Project implementation.</p> <p>2. Adopt the ESIA and ESMP, and thereafter implement the ESIA and ESMP throughout Project implementation.</p> <p>3. Adopt the ESMP before launching the bidding process for the respective Project activity that requires the adoption of such ESMP. Once adopted, implement the respective ESMP throughout Project implementation.</p> <p>4 Adopt the ESIA and RAPs before project approval and implement the ESIA and RAPs throughout Project implementation</p>	<p>PCU 3 cities contractors</p>
<p>1.3 MANAGEMENT OF CONTRACTORS</p>	<p>As part of the preparation of procurement documents and respective contracts.</p>	<p>PCU</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<p>Incorporate the relevant aspects of the ESCP, including, inter alia, the relevant E&S instruments, the Labor Management Procedures, and code of conduct, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p> <p>Mobilize experienced environmental and social safeguards specialists, HSE specialists or respondents as part of their full-time team.</p> <p>Prepare and implement in a satisfactory manner site specific ESMPs, in accordance with the ESIA's ESMP.</p> <p>Ensure that all contracts oblige contractors, subcontractors, supervisors and other service providers to comply with the above management tools and instruments.</p>	<p>Supervise contractors throughout Project implementation.</p>	
<p>1.4 TECHNICAL ASSISTANCE</p> <p>Recruit consultants to carry out feasibility/technical studies and develop operational risk management tools.</p> <p>Ensure that the consultancies, studies (including feasibility studies), capacity building, training, and any other technical assistance activities under the Project, including, inter alia ESIA's, RAPs, GRM manual, SEA/SH action plan, recruitment of service providers are carried out in accordance with terms of reference acceptable to the Association, that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p>	<p>During project preparation and throughout Project implementation.</p>	<p>PCU</p>
<p>1.5 CONTINGENT EMERGENCY [EARLY] RESPONSE FINANCING</p> <p>a) Ensure that the CERC Manual as specified the legal agreement includes a description of the ESHS assessment and management arrangements including, CERC-ESMF for the implementation of CERC component, in accordance with the ESSs.</p> <p>b) Adopt any environmental and social (E&S) instruments which may be required for activities under CERC component of the Project, in accordance with the CERC Manual and, CERC-ESMF and the ESSs, and thereafter implement the measures and actions required under said E&S instruments, within the timeframes specified in said E&S instruments.</p>	<p>a) The adoption of the CERC manual and, if applicable, other instruments, as relevant in form and substance acceptable to the Association is a withdrawal condition under Section I of Schedule 2 of the Financing Agreement for the Project.</p>	<p>Beneficiary MTMUSR PCU</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
		b) Adopt any required E&S instrument and include it as part of the respective bidding process, if applicable, and in any case, before the carrying out of the relevant Project activities for which the E&S instrument is required. Implement the E&S instruments in accordance with their terms, throughout Project implementation.	
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	LABOR MANAGEMENT PROCEDURES Adopt and implement the Labor Management Procedures (LMP) for the Project, including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including relating to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.	Adopt Labor Management Procedures (LMP) prior to Project appraisal and apply these procedures throughout Project implementation.	PCU Contractors and service providers prime contractors
2.2	GRIEVANCE MECHANISM FOR PROJECT WORKERS Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2 and applicable national regulation.	Establish grievance mechanism prior engaging Project workers and thereafter maintain and operate it throughout Project implementation.	PCU Contractors and service providers prime contractors
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	WASTE MANAGEMENT PLAN Adopt and implement a Waste Management Plan (WMP), to manage hazardous and non-hazardous wastes, consistent with ESS3.	Adopt the WMP prior to work starts, and thereafter implement the WMP throughout Project implementation.	PCU Contractors and service providers prime contractors
3.2	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT Ensure that contractors and service providers awarded works contracts include measures for rational use of resources and pollution prevention and management in their worksite ESMPs.	Same timeframe as for the adoption and implementation of the site specific ESMPs	PCU Project manager Contractors and service providers
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	TRAFFIC AND ROAD SAFETY Incorporate measures to manage traffic and road safety risks as required in the ESMP to be prepared under action 1.2 above.	Same timeframe as for the adoption and implementation of the ESMP.	PCU Contractors and service providers
4.2	COMMUNITY HEALTH AND SAFETY	Throughout project implementation	PCU

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	Assess and manage specific risks and impacts to the community arising from Project activities, including STI-HIV/AIDS, COVID 19, SEA/SH, risks linked to the volatility of the security situation, emergency situations that could arise due to the influx of labor and behavior of Project workers, the presence of IDPs to be considered as a vulnerable group in the Project's areas of influence, etc.], and include mitigation measures in the ESMPs to be prepared in accordance with the ESMF		Contractors and service providers prime contractors
4.3	SEA AND SH RISKS Adopt and implement a SEA/SH Action Plan [specify if part of another instrument, e.g. as part of the ESMP, to assess and manage the risks of SEA and SH.	Action Plan is included in the ESMF that will be adopted before project appraisal, and thereafter implement the SEA/SH Action Plan throughout Project implementation.	PCU Contractors and service providers prime contractors
4.4	SECURITY MANAGEMENT Conduct a security risk assessment (SRA) and implement measures to manage Project security risks, including risks related to the use of security guards to protect Project workers, sites, assets and activities. Adopt a Security Management Plan (SMP) following a security risk assessment (this plan will be revised regularly as the security situation evolves) based on the principles of proportionality and GIIP, and by applicable law, in relation to hiring, rules of conduct, training, equipping, and monitoring of such personnel.	SRA and SMP are prepared and validated prior to project negotiation.	PCU
4.5	INVOLVEMENT OF THE MILITARY Ensure the following measures are carried out before deploying the Recipient's defense and security forces in the implementation of Project activities for the provision of security to Project workers, sites and/or assets, consistent with the ESSs: a. Assess and implement measures to manage the security risks of engaging the defense and security forces as set out in the Security Management Plan, guided by the principles of proportionality and GIIP, and by applicable law, in relation to screening, hiring, rules of conduct, training, equipping, and monitoring of such defense and security forces. b. Adopt and implement standards, protocols, and codes of conduct for the selection and assignment of defense and security forces to the Project and screen such defense and security forces to verify that they have not engaged in past unlawful or abusive behavior, including sexual exploitation and abuse (SEA), sexual harassment (SH) or excessive use of force. c. Enter into a memorandum of understanding (MoU), with the Ministry of Defense and Veterans Affairs , setting out the arrangements for the engagement of the defense	Carry out a, b), c), and d) before deploying defense and security forces under the Project and implement throughout Project implementation. e) and f) as set out under actions 10.1 and 10.2 respectively. Notify the Association after receiving the concern or grievance in the timeframe specified in action B above. [g) within the timeframes requested by the Association.	MTMUSR PCU Ministry of Defense and Veterans Affairs

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>and security forces in the Project, including the relevant actions and measures set out in this ESCP;</p> <p>d. Provide adequate instruction and training to the defense and security forces, prior to deployment and on a regular basis, on the use of force and appropriate conduct (including in relation to civilian-military engagement, SEA and SH, and other relevant areas) as set out in the ESMP, Security Management Plan, MoU;</p> <p>e. Ensure that the stakeholder engagement activities under the Stakeholder Engagement Plan (SEP) include communication on the involvement of defense and security forces in the Project;</p> <p>f. Ensure that any concerns or grievances regarding the conduct of defense and security forces are received, monitored, and documented (taking into account the need to protect confidentiality) by the Project’s grievance mechanism (see action 10.2 below), which shall facilitate its resolution, in accordance with ESS4 and ESS10. Notify the Association after receiving the concern or grievance, as set out under action B above; and</p> <p>g. Where the Association so request in writing, after consultation with the Recipient: (i) promptly appoint a third- party monitor consultant, with terms of reference, qualifications and experience acceptable to the Association, to visit and monitor the Project area where defense and security forces are deployed, collect relevant data and communicate with Project stakeholders and beneficiaries; (ii) require the third-party monitor consultant to prepare and submit monitoring reports, which shall be promptly made available to and discussed with the Association; and (iii) promptly take any actions, as may be requested by the Association upon its review of the third-party monitor consultant reports.</p>		
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	<p>RESETTLEMENT POLICY FRAMEWORK</p> <p>Adopt and implement a Resettlement Policy Framework (RPF) for the Project, consistent with ESS5.</p>	Adopt the RPF prior to Project appraisal, and thereafter implement the RPF throughout Project implementation.	PCU Consultants
5.2	<p>RESETTLEMENT PLANS</p>		PCU Consultants

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	Adopt and implement a resettlement action plan (RAP) for each activity under the Project for which the RPF requires such RAP, as set out in the RPF, and consistent with ESS5.	Adopt before starting work on sub-projects requiring RAPs and implement the respective RAP, including ensuring that before taking possession of the land and related assets, full compensation has been provided and displaced people have been resettled and moving allowances have been provided.	Local communities and technical partners
5.3	GRIEVANCE MECHANISM Develop and implement the grievance mechanism (GM) to address resettlement related complaints as described in the RPF, RAPs and SEP.	During the preparation of instruments and implementation of the resettlement process	PCU
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	BIODIVERSITY RISKS AND IMPACTS Adopt and implement a Biodiversity Management Plan (BMP) as part of the ESMF, ESMP, in accordance with the guidelines of the ESIA prepared for the Project, and consistent with ESS6.	Adopt the BMP in the ESMF, ESIA and ESMP, and thereafter implement the BMP throughout Project implementation.]	PCU
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES (Non applicable).			
ESS 8: CULTURAL HERITAGE (Applicable).			
8.1	CULTURAL HERITAGE RISKS AND IMPACTS Adopt and implement a Cultural Heritage Management Plan (CHMP) as part of the ESMF, ESMP, in accordance with the guidelines of the ESIA prepared for the Project, and consistent with ESS8.	Adopt the CHMP in the ESMF, ESIA and ESMP, and thereafter implement the BMP throughout Project implementation.]	PCU
8.2	CHANCE FINDS Describe and implement the chance finds procedures, as part of the ESMF, ESMP of the Project.	Describe the chance find procedures in the ESMF, ESMP. Implement the procedures throughout Project implementation].	PCU
ESS 9: FINANCIAL INTERMEDIARIES (Non applicable)			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION Prepare, Adopt, disclose and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and	Prepare, adopt and disclose the SEP prior to Project appraisal and thereafter implement the SEP throughout Project implementation.]	PCU

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.		
10.2	<p>PROJECT GRIEVANCE MECHANISM</p> <p>Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	Establish the grievance mechanism no later than six (6) months after project effectiveness, and thereafter maintain and operate the mechanism throughout Project implementation.]	PCU
CAPACITY SUPPORT			
CS1	<p>A capacity-building program:</p> <p>a) For PMU staff</p> <ul style="list-style-type: none"> - Prepare and implement a staff capacity-building program, based on a training needs assessment. It will be mandatory for each member of the Project team to take the online training course on the ESF. - Training on the World Bank's ESF and environmental and social safeguard instruments. - Training on the inclusion of environmental clauses in works contracts. - Training in first aid; - Training in security management and emergency preparedness and response. <p>b) For complaints management committees</p> <ul style="list-style-type: none"> - Training in the management of environmental and social issues - Training on GM including SEA/SH case management; - Training on the management of waste electrical and electronic equipment; <p>c) For suppliers and contractors</p> <ul style="list-style-type: none"> - Training in the management of environmental and social issues - Training on ESHS instruments - Training in the development, implementation and monitoring of worksite ESMPs - Training in security management and emergency preparedness and response. 	<p>a) As soon as staff are mobilized and throughout the duration of the project</p> <p>b) As soon as the complaints management committee is set up</p> <p>c) As soon as suppliers, contractors and prime contractors are mobilized</p>	<p>PCU</p> <p>Contractors and service providers</p> <p>prime contractors</p> <p>Consultant</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
CS2	Ensure that suppliers, contractors and prime contractors establish a training plan for Project workers on occupational health and safety, including emergency prevention and emergency preparedness and response.	Before work begins and throughout the duration of the project	PCU Contractors and service providers prime contractors Consultant