

**Republic of Honduras/ Ministry of Health  
(SESAL)  
Restoring Essential Services for Health and  
Advancing Preparedness in Emergencies  
(P176532)  
and  
Additional Financing  
(P180475)**

**Draft for Appraisal  
ENVIRONMENTAL AND SOCIAL  
COMMITMENT PLAN (ESCP)**

**May 14, 2023**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Honduras (the Recipient) will implement the Restoring Essential Services for Health and Advancing Preparedness Project, including activities to be supported under the Additional Financing (the Project), with the involvement of the Ministry of Health (SESAL), as set out in the Financing Agreement, Grant Agreement, and the Additional Financing Agreement. The International Development Association (the Association), in its own capacity and also acting as administrator of the Global Financing Facility, has agreed to provide financing for the Project, as set out in the referred agreements.
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is part of the Financing Agreement, the Grant Agreement, and the Additional Financing Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreements.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
4. As agreed by the Association and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient through the Ministry of Health and the Association agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association and the Recipient's Minister of Health. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&amp;S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism(s).</p>	<p>Submit on a semi-annual basis during the execution of the Project no later than sixty (60) days after the end of each reporting period following the Effective Date of the Financing Agreement, the Grant Agreement and the Additional Financing Agreement.</p>	<p>Ministry of Health (SESAL)</p>
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers (e.g. contamination of water supply sources due to improper handling of biohazardous waste; or transmission of infectious diseases among workers due to lack of preventive measures, etc.). Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the Association’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the Association no later than forty-eight (48) hours after learning of the incident or accident.</p> <p>Provide subsequent report to the Association within a timeframe acceptable to the Association</p>	<p>Ministry of Health (SESAL)</p>
C	<p><b>CONTRACTORS’ MONTHLY REPORTS</b></p> <p>Require contractors and supervisors through the respective contracts for their execution and supervision of civil works to provide monthly monitoring reports to SESAL’s Project Implementing Unit on ESHS performance. SESAL shall integrate the relevant information of such reports into the reports submitted to the Association in accordance with the provisions of subsection A of this Plan.</p>	<p>Requirement reflected in the bidding documents and respective contractors. Ongoing monthly reports submitted to SESAL during the execution of the works. SESAL shall include relevant information in reports under A above and shall submit the reports to the Association upon request.</p>	<p>Ministry of Health (SESAL)</p>
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<p>1.1 <b>ORGANIZATIONAL STRUCTURE</b></p> <p>Establish and maintain the PIU with qualified staff and resources to support the management of environmental, social, and health and safety (ESHS) risks and impacts of the Project. The PIU shall include at least one environmental specialist and one social specialist dedicated to the Project. The need for additional environmental and social specialists in the PIU, as well as additional specific environmental, social and occupational health and safety consultant services, shall be assessed once the scope and locations of Project activities have been more precisely defined.</p>	<p>The PIU shall have the dedicated core specialists (one environmental and one social) in place no later than thirty (30) days after the Effective Date of the Financing Agreement, the Grant Agreement and the Additional Financing Agreement. Prior to this, the existing environmental and social specialists of the PIU shall provide support to the Project.</p> <p>Other consultants shall be identified when Project activities and locations are defined and shall be hired based on the Project schedule.</p> <p>This organizational structure, including specialists, shall be maintained throughout the execution of the Project.</p>	<p>Ministry of Health (SESAL)</p>
<p>1.2 <b>ENVIRONMENTAL AND SOCIAL INSTRUMENTS</b></p> <p>1) Update, consult with stakeholders, finalize and disclose the Environmental and Social Management Framework (ESMF) developed during Project preparation, consistent with the relevant ESSs. Thereafter, adopt and implement the ESMF.</p> <p>Specific works and activities described in the exclusion list set forth in the ESMF will not be eligible for funding under the Project.</p> <p>2) As defined in the ESMF, for Project activities and specific works that require the preparation of specific Environmental and Social Impact Assessments (ESIAs) and/or Environmental and Social Management Plans (ESMPs), develop and implement these instruments in accordance with the ESMF and relevant ESSs.</p>	<p>1) The final version of the ESMF shall be submitted to the Association no later than sixty (60) days after Effective Date of the Financing Agreement and the Grant Agreement and the Additional Financing Agreement. Once accepted by the Association, it shall be adopted and implemented throughout Project implementation.</p>	<p>Ministry of Health (SESAL)</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
		2) Prior to launching the respective bidding processes or carrying out of the relevant activities or specific works, as relevant, and thereafter implement the ESMP throughout the implementation of the relevant activities or specific works.	
1.3	<p><b>MANAGEMENT OF CONTRACTORS</b></p> <p>Incorporate the relevant aspects of this ESCP, including relevant environmental and social documents or plans, and labor management procedures (LMPs), into the ESHS specifications of procurement documents with contractors. Subsequently, ensure that contractors comply with the ESHS specifications of their respective contracts.</p>	<p>As part of the preparation of procurement documents and their respective contracts.</p> <p>Supervise contractors during Project execution.</p>	Ministry of Health (SESAL)
1.4	<p><b>TECHNICAL ASSISTANCE</b></p> <p>Ensure that consultancies, studies (including feasibility studies, if applicable, and development of ESIA, ESMPs, and other environmental and social instruments, if applicable), capacity building, training and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the Association, and in a manner consistent with the ESSs. Thereafter, ensure that the results of such activities comply with the agreed terms of reference.</p>	Throughout Project implementation.	Ministry of Health (SESAL)
1.5	<p><b>CONTINGENT EMERGENCY RESPONSE FINANCING</b></p> <p>a) Ensure that the Contingent Emergency Response Component Manual (CERC Manual) includes a description of the ESHS assessment and management arrangements for the implementation of the Contingent Emergency Response Component, in accordance with the ESSs.</p> <p>b) Adopt any environmental and social (E&amp;S) instruments which may be required for activities under the Contingent Emergency Response Component of the Project, in accordance with the ESMF, the CERC Manual and the ESSs, and thereafter implement the measures and actions required under said E&amp;S instruments, within the timeframes specified in said E&amp;S instruments.</p>	a) The adoption of CERC Manual in form and substance acceptable to the Association/Bank is a withdrawal condition under Section III. B.1.(b).(ii) of Schedule 2 of the Financing Agreement for the Project.	Ministry of Health (SESAL)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
		b) Adopt any required E&S instrument and include it as part of the respective bidding process, if applicable, and in any case, before the carrying out of the relevant Project activities for which the E&S instrument is required. Implement the E&S instruments in accordance with their terms, throughout Project implementation.	
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<p><b>LABOR MANAGEMENT PROCEDURES</b></p> <p>Develop, consult, adopt and implement Labor Management Procedures (LMPs) for the Project, including, but not limited to, provisions on working conditions, labor relations management, occupational health and safety (including personal protective equipment and emergency preparedness and response), code of conduct (including those related to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors and supervisory firms.</p>	<p>Develop, consult and adopt the LMP no later than sixty (60) days after Effective Date of the Financing Agreement and the Grant Agreement, and the Additional Financing Agreement.</p> <p>Implement the LMPs throughout the implementation of the Project.</p>	Ministry of Health (SESAL)
2.2	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b></p> <p>Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.</p>	<p>Establish a complaints and grievance mechanism for Project workers no later than sixty (60) days after the Effective Date of the Financing Agreement and the Grant Agreement, and the Additional Financing Agreement.</p> <p>Subsequently, maintain and operate it during the implementation of the Project.</p>	Ministry of Health (SESAL)
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
3.1	<p><b>WASTE MANAGEMENT PLAN</b></p> <p>Adopt and implement a Waste Management Plan (WMP), to manage hazardous and non-hazardous waste associated with the Project, including infectious biomedical waste, construction waste, etc., consistent with ESS3.</p>	<p>Prepared as part of the ESMPs to be developed for each specific works or Project activity. The ESMPs should be prepared and consulted prior to bidding processes for works (when applicable), and/or initiation of activities, for which they are required.</p>	Ministry of Health (SESAL)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
		Implement where applicable, during Project execution.	
3.2	<b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b> Incorporate resource efficiency and pollution prevention and management measures in the ESMPs to be prepared under action 1.2 above.	The same schedule as for the development and implementation of the ESMPs.	Ministry of Health (SESAL)
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	<b>TRAFFIC AND ROAD SAFETY</b> Incorporate measures to manage traffic and road safety risks as required in the ESMPs to be prepared under action 1.2 above.	Same timeframe as for the adoption and implementation of the ESMPs.	Ministry of Health (SESAL)
4.2	<b>COMMUNITY HEALTH AND SAFETY</b> Assess and manage specific risks and impacts to the community arising from Project activities, including, but not limited to, risks arising from increased generation of healthcare waste; as well as risks related to discrimination and difficulties in accessing services and vaccination programs by marginalized and vulnerable social groups; and risks focused on patients receiving healthcare, including gender-based violence or exploitation and abuse, and include mitigation measures in the ESMPs to be prepared in accordance with the ESMF.	Same timeframe as for the adoption and implementation of the ESMPs.	Ministry of Health (SESAL)
4.3	<b>SEA AND SH RISKS</b> Assess and implement measures to manage SEA and SH risks, as set out in the ESMF, and, if applicable, adopt and implement a SEA/SH Action Plan as part of the ESMPs, to assess and manage the risks of SEA and SH.	Same timeframe as for the adoption and implementation of the ESMF. Adopt the SEA/SH Action Plan as part of the ESMPs and prior to involving Project workers for the respective specific works or Project activity, and thereafter implement the SEA/SH Action Plan throughout Project implementation. The SEAH/SH Action Plan shall follow the same timeframe as the preparation and execution of the ESMP.	Ministry of Health (SESAL)
4.4	<b>SECURITY MANAGEMENT</b> Assess and implement measures to manage the security risks of the Project, including the risks of engaging security personnel to safeguard Project workers, sites, assets, and activities, as set out in the ESMP, guided by the principles of proportionality and GIIP, and by applicable law, in relation to hiring, rules of conduct, training, equipping, and monitoring of such personnel.	Prior to engaging security personnel and thereafter implemented throughout Project implementation.	Ministry of Health (SESAL)

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<p>4.5 <b>INVOLVEMENT OF THE MILITARY</b></p> <p>Ensure the following measures are carried out, in case the Recipient’s Honduran Armed Forces are planned to be deployed in the implementation of Project activities for the provision of security to Project workers, sites and/or assets, consistent with the ESSs:</p> <ul style="list-style-type: none"> <li>a. Assess and implement measures to manage the security risks of engaging the Honduran Armed Forces, as set out in the ESMF, and the ESMPs (if applicable), guided by the principles of proportionality and GIIP, and by applicable law, in relation to screening, hiring, rules of conduct, training, equipping, and monitoring of such Honduran Armed Forces;</li> <li>b. Adopt and implement standards, protocols, and codes of conduct for the selection and assignment of the Honduran Armed Forces to the Project, and screen such Honduran Armed Forces to verify that they have not engaged in past unlawful or abusive behavior, including SEA, SH or excessive use of force;</li> <li>c. Enter into a memorandum of understanding (MoU), with the Honduran Armed Forces, setting out the arrangements for the engagement of the Honduran Armed Forces in the Project, including the relevant actions and measures set out in this ESCP, the ESMF, and ESMPs (if applicable);</li> <li>d. Provide adequate instruction and training to the Honduran Armed Forces, prior to deployment and on a regular basis, on the use of force and appropriate conduct (including in relation to civilian-military engagement, SEA and SH, and other relevant areas), as set out in the ESMF, and the ESMPs (if applicable);</li> <li>e. Ensure that the stakeholder engagement activities under the Stakeholder Engagement Plan (SEP) include communication on the involvement of the Honduran Armed Forces in the Project;</li> <li>f. Ensure that any concerns or grievances regarding the conduct of the Honduran Armed Forces are received, monitored, and documented (taking into account the need to protect confidentiality) by the Project’s grievance mechanism (see action 10.2 below), which shall facilitate its resolution, in accordance with ESS4 and ESS10. Notify the Association after receiving the concern or grievance, as set out under action B above; and</li> </ul>	<p>Carry out a, b), c) and d) before deploying the Armed Forces under the Project and implement during Project implementation.</p> <p>e) and f) as set forth in actions 10.1 and 10.2 respectively. Notify the Association after receiving the concern or complaint within the timeframe specified in action B above.</p> <p>g) within the timeframes requested by the Association.</p>	<p>Ministry of Health (SESAL)</p>



MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>g. Where the Association so request in writing, after consultation with the Recipient: (i) promptly appoint a third- party monitor consultant, with terms of reference, qualifications and experience acceptable to the Association, to visit and monitor the Project area where the Honduran Armed Forces are deployed, collect relevant data and communicate with Project stakeholders and beneficiaries; (ii) require the third-party monitor consultant to prepare and submit monitoring reports, which shall be promptly made available to and discussed with the Association; and (iii) promptly take any actions, as may be requested by the Association upon its review of the third-party monitor consultant reports.</p>		
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
ESS5 is not relevant at this stage. The project is not expected to require land acquisition or resettlement and no potential risks and/or impacts relevant to ESS5 have been identified			
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
ESS6 is not relevant at this stage. The activities to be financed by this project do not involve the direct use of ecosystem services and will be carried out in already modified urban or rural environments where they are not considered to have any impact on biodiversity or natural resources. The proper management of waste that may be generated and that could represent some degree of adverse impact on the natural environment is addressed in ESS1 and ESS3.			
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
7.1	<p><b>INDIGENOUS PEOPLES PLANNING FRAMEWORK</b></p> <p>Develop, consult, adopt, publish, and implement an Indigenous and Afro-Honduran Peoples Planning Framework (IAHPPF) for the Project, consistent with ESS7.</p>	Develop, consult, adopt, and publish the IAHPF no later than sixty (60) days after the Effective Date of the Financing Agreement and the Grant Agreement, and the Additional Financing Agreement, and thereafter implement the IAHPF throughout Project implementation.	Ministry of Health (SESAL)
7.2	<p><b>INDIGENOUS PEOPLES PLAN</b></p> <p>Adopt and implement an Indigenous and Afro-Honduran Peoples Plan (IAHPP) as set out in the IAHPF, and consistent with ESS7.</p>	Adopt the IAHP prior to the carrying out of any activity that requires the preparation of such IAHP. Once adopted, implement the respective IAHP throughout Project implementation.	Ministry of Health (SESAL)
7.3	<p><b>GRIEVANCE MECHANISM</b></p> <p>The grievance mechanism to address complaints submitted by indigenous and afro-Honduran peoples should be described in the IAHPF, IAHPs, and SEP. The mechanism should include distinctive features as to how ESS7 related grievances shall be handled.</p>	The same timeline for the development and implementation of the IAHPF and then include it with, with respective changes, if necessary, in the IAHPs, when these are required.	Ministry of Health (SESAL)
<b>ESS 8: CULTURAL HERITAGE</b>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
ESS8 is not relevant at this stage. Project activities will not have an adverse impact on tangible or intangible cultural heritage.			
<b>ESS 9: FINANCIAL INTERMEDIARIES</b>			
ESS9 is not relevant			
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<p><b>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</b></p> <p>Update, consult, adopt, publish, and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination, and intimidation.</p>	<p>A draft SEP was already prepared and disclosed.</p> <p>Update and consult the SEP no later than sixty (60) days after the Effective Date of the Financing Agreement and the Grant Agreement, and the Additional Financing Agreement. Once accepted by the Association/, adopt, publish, and implement the updated version of the SEP throughout Project implementation.</p>	Ministry of Health (SESAL)
10.2	<p><b>PROJECT GRIEVANCE MECHANISM</b></p> <p>Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	<p>Establish the grievance mechanism no later than sixty (60) days after the Effective Date of the Financing Agreement and the Grant Agreement, and the Additional Financing Agreement, and thereafter maintain and operate the mechanism throughout Project implementation.</p>	Ministry of Health (SESAL)
<b>CAPACITY SUPPORT</b>			
CS1	<p>Additional training, capacity building and support shall be provided for SESAL's technical teams so that SESAL has a clear understanding and is able to develop and implement the various environmental and social risk management plans and instruments. In particular, a strong capacity to conduct ongoing stakeholder engagement will be key to managing perceptions, as well as overseeing the implementation of measures to manage labor, community health and safety, indigenous and afro-descendant peoples, and the inclusion of vulnerable groups.</p>	<p>Beginning no later than ninety (90) days after the Effective Date of the Financing Agreement and the Grant Agreement, and the Additional Financing Agreement, and thereafter during Project implementation as required.,</p>	Ministry of Health (SESAL)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
CS2	An analysis of existing capacity and needs for strengthening biohazard waste management systems will be conducted as part of the finalization of the ESMF, and training actions to be identified in the ESMF will be implemented.	Beginning no later than ninety (90) days after the Effective Date of the Financing Agreement and the Grant Agreement, and the Additional Financing Agreement, and thereafter during Project implementation, as required.,	Ministry of Health (SESAL)