

Procurement Plan

I. General

1. Project Information

Country : Kenya

Recipient : Republic of Kenya

Project Name : National Agriculture and Rural Inclusive Growth

Loan Credit No. : IDA 59000

2. Date of General Procurement Notice : 9th February 2018

3. Period Covered by this procurement plan : 18 months

II. Goods, Works, and Non-Consulting Services

1. Thresholds for the Method of Procurement:

Method of Procurement	Thresholds for Method (US\$ equivalent)
Open International (Goods)	Equal to or more than 3 million
Open National (Goods) - Open Tender as per PPDA 2015	< \$3 million
RFQ (Goods)	Less than 20,000 or as stipulated in Kenya Procurement Regulations 2017
Open International (Works)	Equal to or more than 15 million
Open National (Works) - Open Tender as per PPDA 2015	Less than 15 million
RFQ (works)	Less than 20,000 or as stipulated in Kenya Procurement Regulations 2017

Method of Procurement	Thresholds for Method (US\$ equivalent)
Open International (Non-consulting Services)	Equal to or More than 3 million
Open National (Non-consulting Services) - Open Tender as per PPDA 2015	Less than 3 million
Direct Contracting	No threshold; meet requirements of Bank's Procurement Regulations

Prior Review: The details of the Procurement review / oversight are defined in the Annex II of the Regulations for borrowers. The following would be subject to Prior review of the Bank regardless of the Method of selection (Project Procurement Risk Rating is **"High"**):

- (a) **Goods, information technology and Non-consulting Services:** First procurement under the project irrespective of value and all procurements estimated to cost more than USD 1.5 million
- (b) **Works (including turnkey, supply & installation of plant and equipment and PPP);** First procurement under the project irrespective of value and all procurements estimated to cost more than USD 5 million and

The prior review thresholds will be reviewed during the implementation of the project and modified, based on the risk assessment.

2. For contracts subject to prior review, the Borrower shall seek the Bank's no objection before agreeing to:

- a. an extension of the stipulated time for execution of a contract that has an impact on the planned completion of the project;
- b. any material modification of the scope of the Works, Goods, Non-consulting services or Consulting Services, or other significant changes to the terms and conditions of the contract;
- c. any variation order or contract amendment (except in cases of extreme urgency), including due to extension of time, that singly or combined with all previous variation orders or amendments, increases the original contract amount by more than 15% (fifteen percent); or
- d. the proposed termination of the contract.

The Borrower / IA shall provide a copy of all amendments to the contract to the Bank for its records.

3. Prequalification

Not Applicable

Domestic Preference. The provision of domestic preference shall be applied in the procurement of goods following international market approach in accordance with Annex VI of the Regulations. Domestic preference shall not apply in the procurement of works.

4. Reference to Standard Procurement Documents

Bank's Standard RFB Goods and RFP Consulting Services dated July 2016 will be used as a base and incorporating suitable modifications and the same would be agreed with the Bank subject international competition.

5. Any Other Special Procurement Arrangements

A. Procurement approach under CDD Approach by Communities:

Applicable if stipulated in the PAD.

B. When approaching the national market, as agreed in the Procurement Plan, the Open Tender procedure of Public Procurement and Asset Disposal Act 2015 and Regulations 2017 may be used. The specific Requirements for national open competitive procurement include the following:

- a. open advertising of the procurement opportunity at the national level;
- b. the procurement is open to eligible firms from any country;
- c. the request for bids/request for proposals document shall require that Bidders/Proposers submitting Bids/Proposals present a signed acceptance at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance with, the Bank's Anti-Corruption Guidelines, including without limitation the Bank's right to sanction and the Bank's inspection and audit rights;
- d. contracts with an appropriate allocation of responsibilities, risks, and liabilities;
- e. publication of contract award information;
- f. rights for the Bank to review procurement documentation and activities;
- g. an effective complaints mechanism; and
- h. maintenance of records of the Procurement Process.

Other national procurement arrangements (other than national open competitive procurement), that may be applied by the Borrower (such as limited/restricted competitive bidding, request for quotations/shopping, direct contracting), shall be consistent with the Bank's Core Procurement Principles and ensure that the Bank's Anti-Corruption Guidelines and Sanctions Framework and contractual remedies set out in its Legal Agreement apply.

In all cases, the national procurement procedures to be used shall give due attention to quality aspects.

6. The bid evaluation will be carried out according to the agreed timeline in the Procurement Activity Schedule.

III. Selection of Consultants

1. Methods and Prior Review Threshold

Selection methods of procurement of Consultants' Services (Firms):

The Approved Selection Methods as reflected in the Section VII of the procurement regulations

Method of Procurement	Thresholds for Methods
Quality and Cost Based Selection (QCBS)	As per requirements of para 7.3 of the Regulations
Quality Based Selection (QBS)	As per requirements of para 7.8 to 7.10 of the Regulations
Selection based on a Fixed Budget (FBS)	As per requirements of para 7.4 and 7.5 of the Regulations
Selection Based on Least Cost Basis (LCS)	As per requirements of para 7.6 and 7.7 of the Regulations
Selection based on Consultant's Qualification (CQ)	As per requirements of para 7.11 and 7.12 of the Regulations
Direct	Must meet the requirements of Para 7.13 to 7.15 of the Regulations, and with prior agreement in PP with the Bank.

The short list may consist of all National consultants in case the estimated cost is less than USD 300,000

Procurement methods for Selection of Individual Consultants (IC):

The Approved Selection Methods as reflected in the Section VII of the procurement regulations

Method of Procurement	Thresholds for Methods
Open Competitive Selection	As per requirements of para 7.36 and 7.37 of the Regulations
Limited Competitive Selection	As per requirements of para 7.38 of the Regulations
Direct	Must meet the requirements of Para 7.39 of the Regulations, and with prior agreement in PP with the Bank

***Shall meet the requirements as stated in Regulations**

Prior Review Thresholds: The details of the Procurement review / oversight are defined in the Annex II of the Regulations for borrowers. The following would be subject to Prior review of the Bank regardless of the Method of selection:

(a). **Consulting Firm:** First procurement under the project irrespective of value and all procurements estimated to cost more than USD 0.5 million.

(b). **Individual Consultant:** all procurements estimated to cost more than USD 0.2 million

Terms of Reference (ToR) for all consultant contracts shall be furnished to the Bank for its prior review and No Objection.

PROCUREMENT PLAN Kenya : National Agricultural and Rural Inclusive Growth Project

General Information
 Country: Kenya Bank's Approval Date of the Original Procurement Plan: 2020-02-14
 Revised Plan Date(s): (comma delineated, leave blank if non 2022-01-18
 Project ID: P153349 GPN Date: 2018-02-09
 Project Name: National Agricultural and Rural inclusive Growth Project
 Loan / Credit No: IDA / 59000
 Executing Agency(ies) Kitui County

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	
KE-KITUI COUNTY-137138-GO-RFQ / Excavation of water pan at kwa isiku mibini ward	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope				20,000.00	0.00	Under Implementation							2020-03-07	2020-04-29							2020-04-09	2020-05-09	
KE-KITUI COUNTY-119937-CW-RFQ / Renovation of office	IDA / 59000	Project Coordination and Management	Post	Request for Quotations	Limited	Single Stage - One Envelope				23,809.52	16,788.15	Completed								2020-02-21	2020-01-21							2020-04-17	2020-10-14
KE-KITUI COUNTY-234673-CW-RFQ / Kaliwa Water Pan Construction of pit latrine and a bathroom.	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope			Request for Quotations (Non Bank-SPD)	5,607.47	0.00	Under Implementation								2021-06-04								2021-06-28	2021-07-28
KE-KITUI COUNTY-234675-CW-RFQ / Kaliwa Water Pan perimeter fencing.	IDA / 59000	Supporting Community-Driven Development	Post	Request for Quotations	Limited	Single Stage - One Envelope			Request for Quotations (Non Bank-SPD)	14,018.70	0.00	Pending Implementation								2021-06-04								2021-07-30	2022-01-26
KE-KITUI COUNTY-234477-CW-RFQ / SILT TRAP AND SPILLWAY STONE PITCHING WORKS	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope			Request for Quotations (Non Bank-SPD)	5,073.00	0.00	Pending Implementation								2021-07-05								2021-08-05	2021-09-04
KE-KITUI COUNTY-234425-CW-RFQ / CONSTRUCTION OF PIT LATRINE AND BATHROOM AT KAVINGO WATER PAN	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope			Request for Quotations (Non Bank-SPD)	5,757.00	0.00	Under Implementation								2021-07-05								2021-07-27	2021-08-26
KE-KITUI COUNTY-234676-CW-RFQ / Kaliwa water pan silt trap and spillway stone pitching works.	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope			Request for Quotations (Non Bank-SPD)	6,916.00	0.00	Pending Implementation								2021-07-05								2021-07-29	2021-08-28
KE-KITUI COUNTY-234475-CW-RFQ / DAM PERIMETER FENCING	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope			Request for Quotations (Non Bank-SPD)	10,732.00	0.00	Pending Implementation								2021-07-05								2021-08-07	2021-09-06

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual			
KE-KITUI COUNTY-136848-GO-RFQ / procurement of office furniture	IDA / 59000	Project Coordination and Management	Post	Request for Quotations	Limited	Single Stage - One Envelope		17,142.85	6,143.23	Completed								2020-03-07	2019-12-28							2020-04-09	2020-02-21	2020-05-09	2020-06-11
KE-KITUI COUNTY-137136-GO-RFQ / Procurement of soil testing kits	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope		59,000.00	14,556.36	Completed									2020-03-04	2020-04-09					2020-04-29	2020-04-28	2020-05-29	2020-06-30	
KE-KITUI COUNTY-137139-GO-RFQ / procurement of honey harvesting equipment	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope		4,288.50	0.00	Under Implementation									2020-03-04	2019-09-19					2020-04-06		2020-05-06		
KE-KITUI COUNTY-137470-GO-RFQ / Procurement of 5 lap tops	IDA / 59000	Project Coordination and Management	Post	Request for Quotations	Limited	Single Stage - One Envelope		9,523.80	7,785.42	Completed									2020-04-11	2020-04-28					2020-05-01	2020-05-22	2020-05-31	2020-09-22	
KE-KITUI COUNTY-170203-GO-RFQ / procurement of 20 motorcycle for extension services in the 20 NARIGP Wards	IDA / 59000	Project Coordination and Management	Post	Request for Quotations	Limited	Single Stage - One Envelope		77,669.90	55,572.05	Signed									2020-05-29	2020-09-07					2020-07-10	2020-09-16	2020-10-08		
KE-KITUI COUNTY-168041-NE-RFP / IT Equipment for Audio - Visual teleconferencing and internet connections	IDA / 59000	Project Coordination and Management	Post	Request for Quotations	Limited	Single Stage - One Envelope		73,000.00	0.00	Cancelled									2020-05-29	2021-01-19					2020-06-24		2020-07-24		
KE-KITUI COUNTY-173665-GO-RFQ / storage and safety cabinets for 20 wards (CDDCs)	IDA / 59000	Project Coordination and Management	Post	Request for Quotations	Limited	Single Stage - One Envelope		18,640.77	5,500.23	Completed									2020-05-29	2020-07-27					2020-06-24	2020-08-10	2020-07-24	2020-09-10	
KE-KITUI COUNTY-211653-GO-RFQ / Procurement of mobile phones for CPCU for communication	IDA / 59000	Project Coordination and Management	Post	Request for Quotations	Limited	Single Stage - One Envelope		5,057.47	3,647.97	Completed									2021-01-05	2021-06-05					2021-02-07	2021-06-23	2021-03-09	2021-08-03	
KE-KITUI COUNTY-237257-GO-RFQ / supply, installation and testing of solar pump with a pump house and construction of finestack trough communal drawing water Point, draw Off System with pipe at Kaliwa Water pan	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope		34,334.20	0.00	Under Implementation									2021-07-05						2021-08-05		2021-09-04		

