

PROCUREMENT PLAN

Project information: India; SECOND DAM REHABILITATION AND IMPROVEMENT PROJECT (P170873)

Project Implementation agency: Central Water Commission, Govt. of India and various States/Agencies

Date of the Procurement Plan: March 9, 2020

Period covered by this Procurement Plan: 18 months [as updated regularly on STEP]

Preamble

In accordance with paragraph 5.9 of the “World Bank Procurement Regulations for IPF Borrowers” (July 2016) (“Procurement Regulations”) the Bank’s Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

The Bank’s Standard Procurement Documents: shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

National Procurement Arrangements: National competition for the procurement of goods, works and non-consulting services according to the established thresholds will be conducted in accordance with paragraphs 5.3 – 5.6 of Section V of the Regulations and the following provisions:

- i. Only the model bidding documents for National Competitive Procurement (NCP) agreed with the GOI Task Force (and as amended for time to time), shall be used for bidding.
- ii. Invitations to bid shall be advertised on a widely used website or electronic portal with free open access at least 30 days prior to the deadline for the submission of bids, unless otherwise agreed in the approved procurement plan.
- iii. No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders,

- state-owned enterprises, small-scale enterprises or enterprises from any given State.
- iv. Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder.
 - v. Government e-Marketplace (GeM)* set-up by Ministry of Commerce, Government of India will be acceptable for procurement under Request for Quotations (RFQ) method.
 - vi. At the Borrower's request, the Bank may agree to the Borrower's use, in whole or in part, of its electronic procurement system, provided that the Bank is satisfied with the adequacy of such system.
 - vii. Procurement will be open to eligible firms from any country. This eligibility shall be as defined under Section III of the Procurement Regulations. Accordingly, no bidder or potential bidder shall be declared ineligible for contracts financed by the Bank for reasons other than those provided in Section III of the Procurement Regulations.
 - viii. The request for bids/request for proposals document shall require that Bidders/Proposers submitting Bids/Proposals include a signed acceptance in the bid, to be incorporated in any resulting contracts, confirming application of, and compliance with, the Bank's Anti-Corruption Guidelines, including without limitation the Bank's right to sanction and the Bank's inspection and audit rights.
 - ix. The Borrower shall use an effective complaints mechanism for handling procurement related complaints in a timely manner.
 - x. Procurement Documents will include provisions, as agreed with the Bank, intended to adequately mitigate against environmental, social (including sexual exploitation and abuse and gender-based violence), health and safety risks and impacts.

***Use of GeM** will be allowed in lieu of RFQ/Shopping as per following details:

- i. up to INR 50,000 in catalog mode (viz. any available item could be selected by IA without further competition), provided selected Item/Supplier meeting the requisite quality, specification and delivery period.
- ii. up to INR 3 Million from the Supplier having lowest price amongst at least three Suppliers meeting the requisite quality, specification and delivery period. The tools for online bidding and online reverse auction available on GeM may be used by the Purchaser.
- iii. up to INR equivalent of US\$ 100,000 from the Supplier having lowest price and meeting the requisite quality, specification and delivery period after mandatorily obtaining bids from at least three Suppliers, using online bidding or reverse auction tool provided on GeM.

When other national procurement arrangements other than national open competitive procurement arrangements are applied by the Borrower, such arrangements shall be subject to paragraph 5.5 of the Procurement Regulations.

Leased Assets Not Applicable

Procurement of Second Hand Goods: Not Applicable

Domestic preference as specified under paragraph 5.51 of the Procurement Regulations.

Goods: is applicable for those contracts identified in the Procurement Plan tables;

Works: is not applicable

Other Relevant Procurement Information.

All contracts not covered under prior review by the Bank will be subject to post review during implementation support missions and/or special post review missions, including missions by consultants hired by the Bank. To avoid doubts, the Bank may conduct, at any time, Independent Procurement Reviews of all the contracts financed under the loan.

Even for Post Review cases, the inputs of Bank on Technical Specifications / TORs will be obtained by project.

PROCUREMENT India : Second Dam Rehabilitation and Improvement Project

General Information

Country: India **Bank's Approval Date of the Original Procurement Plan:** 2020-10-19
Revised Plan Date(s): (comma delineated, leave blank) 2022-08-03
Project ID: P170873 **GPN Date:** 2020-04-30
Project Name: Second Dam Rehabilitation and Improvement Project
Loan / Credit No.: IBRD / 91810, /
Executing Agency: Meghalaya SPMU

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	
IN-MEGHALAYA SPMU-30517 7-CW-RFB / Rehabilitation and Improvement of Hydro-Mechanical Equipment for Umiam Stage-I, Umiam Umtru Stage-II, and Umiam Umtru Stage-III, and Umiam Umtru Stage-IV Dams in (Ri-Bhoi District and Myntdu Lesika Stage-I Dam (West Jaintia Hills District) of Meghalaya under DRIP	IBRD / 91810	Component 1: Rehabilitation and Management Planning for Dams and Associated Appurtenances	Post	Request for Bids	Open - National	Single Stage - Two Envelope			National Procurement Document - 2 Envelope process (Non Bank-SPD)	3,763,066.00	0.00	Pending Implementation					2022-08-31		2022-09-16					2022-10-28		2023-01-10		2023-02-14	2023-08-13
IN-MEGHALAYA SPMU-30519 9-CW-RFB / Rehabilitation and Improvement of Umiam Stage-I, Umiam Umtru Stage-II, Umiam-Umtru Stage-IV Dams in Ri-Bhoi District, and Myntdu Lesika Stage-I Dam in West Jaintia Hills	IBRD / 91810	Component 1: Rehabilitation and Management Planning for Dams and Associated Appurtenances	Post	Request for Bids	Open - National	Single Stage - Two Envelope			National Procurement Document - 2 Envelope process (Non Bank-SPD)	5,018,077.00	0.00	Pending Implementation					2022-08-31		2022-09-16					2022-10-28		2023-01-10		2023-02-14	2023-08-13
IN-MEGHALAYA SPMU-30520 1-CW-RFB / Rehabilitation, Improvement of Dikes and Basic Facilities at Umiam Stage-I Dam in Ri-Bhoi District of Meghalaya under DRIP-II (Package-II)	IBRD / 91810	Component 1: Rehabilitation and Management Planning for Dams and Associated Appurtenances	Post	Request for Bids	Open - National	Single Stage - Two Envelope			National Procurement Document - 2 Envelope process (Non Bank-SPD)	2,343,412.00	0.00	Pending Implementation					2022-08-31		2022-09-16					2022-10-28		2023-01-10		2023-02-14	2023-08-13
IN-MEGHALAYA SPMU-30520 3-CW-RFB / Rehabilitation and Improvement of Basic Facilities of Umiam Stage I in Ri-Bhoi District of Meghalaya under DRIP-II (Package-III)	IBRD / 91810	Component 1: Rehabilitation and Management Planning for Dams and Associated Appurtenances	Post	Request for Bids	Open - National	Single Stage - Two Envelope			National Procurement Document - 2 Envelope process (Non Bank-SPD)	2,125,374.00	0.00	Pending Implementation					2022-08-31		2022-09-16					2022-10-28		2023-01-10		2023-02-14	2023-08-13
IN-MEGHALAYA SPMU-30521 1-CW-RFB / Rehabilitation and Improvement of Basic Facilities of Umiam Umtru Stage III in Ri-Bhoi District of Meghalaya under DRIP-II (Package-IV)	IBRD / 91810	Component 1: Rehabilitation and Management Planning for Dams and Associated Appurtenances	Post	Request for Bids	Open - National	Single Stage - Two Envelope			National Procurement Document - 2 Envelope process (Non Bank-SPD)	972,031.00	0.00	Pending Implementation					2022-08-31		2022-09-16					2022-10-28		2023-01-10		2023-02-14	2023-08-13
IN-MEGHALAYA SPMU-30521 4-CW-RFB / Rehabilitation and Improvement of Basic Facilities of Umiam Umtru Stage I V in Ri-Bhoi District of Meghalaya under DRIP-II (Package-V)	IBRD / 91810	Component 1: Rehabilitation and Management Planning for Dams and Associated Appurtenances	Post	Request for Bids	Open - National	Single Stage - Two Envelope			National Procurement Document - 2 Envelope process (Non Bank-SPD)	1,390,059.00	0.00	Pending Implementation					2022-08-31		2022-09-16					2022-10-28		2023-01-10		2023-02-14	2023-08-13
IN-MEGHALAYA SPMU-30521 7-CW-RFB / Rehabilitation and Improvement of Basic Facilities of Myntdu Lesika Stage-I Dam in West Jaintia Hills District of Meghalaya under DRIP-II (Package-VI)	IBRD / 91810	Component 1: Rehabilitation and Management Planning for Dams and Associated Appurtenances	Post	Request for Bids	Open - National	Single Stage - Two Envelope			National Procurement Document - 2 Envelope process (Non Bank-SPD)	2,676,095.00	0.00	Pending Implementation					2022-08-31		2022-09-05					2022-10-17		2022-12-30		2023-02-03	2023-08-02
IN-MEGHALAYA SPMU-20016 7-CW-RFB / Rehabilitation, Improvement and Basic Facility of Umiam Stage-I in Ri-Bhoi District of Meghalaya under DRIP-II	/	Component 1: Rehabilitation and Management Planning for Dams and Associated Appurtenances	Post	Request for Bids	Open - National	Single Stage - Two Envelope				6,623,609.99	0.00	Canceled					2020-10-27		2020-11-01					2020-12-13		2021-02-25		2021-04-01	2021-09-28
IN-MEGHALAYA SPMU-19242 0-CW-RFB / Rehabilitation, Improvement, and Basic Facility of Myntdu Lesika Stage I Dam in West Jaintia Hills District of Meghalaya under DRIP-II	/	Component 1: Rehabilitation and Management Planning for Dams and Associated Appurtenances	Post	Request for Bids	Open - National	Single Stage - Two Envelope				5,988,571.43	0.00	Canceled					2020-09-15		2020-09-20					2020-11-01		2021-01-14		2021-02-18	2021-08-17
IN-MEGHALAYA SPMU-19425 5-CW-RFB / Rehabilitation, Improvement and Basic Facility of Umiam Stage-II Dam in Ri-Bhoi District of Meghalaya under DRIP-II	/	Component 3: Risk-informed Asset Management and Innovative Financing for Sustainable Operation and Maintenance of Dams	Post	Request for Bids	Open - National	Single Stage - Two Envelope				3,357,142.86	0.00	Canceled					2020-10-18		2020-10-23					2020-12-04		2021-02-16		2021-03-23	2021-09-19

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		
IN-MEGHALAYA SPMU-30524 5-GO-RFB / Supplying office furniture with all accessories for SPMU	IBRD / 91810	Component 4: Project Management	Post	Request for Bids	Open - National	Single Stage - Two Envelope		96,546.00	0.00	Pending Implementation					2022-08-31		2022-09-05						2022-10-17		2022-12-30		2023-02-03	2023-08-02
IN-MEGHALAYA SPMU-30536 1-GO-RFB / Supplying of office equipment (Photocopier/Printer/Plotter/ coffee machine/camera/ laptop, desktop including installation of high-end software with all accessories for SPMU	IBRD / 91810	Component 4: Project Management	Post	Request for Bids	Open - National	Single Stage - Two Envelope		149,578.00	0.00	Pending Implementation					2022-08-31		2022-09-16						2022-10-28		2023-01-10		2023-02-14	2023-08-13
IN-MEGHALAYA SPMU-30537 5-GO-RFB / Supply of Motor Vehicle for IA - 5 nos	IBRD / 91810	Component 4: Project Management	Post	Request for Bids	Open - National	Single Stage - One Envelope		95,186.00	0.00	Pending Implementation					2022-08-31		2022-09-16						2022-10-28		2022-11-27		2023-01-01	2023-06-30

NON CONSULTING SERVICES

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

CONSULTING FIRMS																											
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

INDIVIDUAL CONSULTANTS																		
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identify/Selected Consultant	Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual		Planned	Actual	Planned	Actual	Planned	Actual
IN-MEGHALAYA SPMU-30537 9-CS-INDV / Consultancy services / Experts	IBRD / 91810	Component 4: Project Management	Post	Individual Consultant Selection	Open - National		135,980.00	0.00	Pending Implementation	2022-08-31		2022-10-19		2022-11-09		2022-12-14		2023-06-12