

**International Center for Tropical Agriculture
(CIAT)**

**Accelerating Impacts of CGIAR Climate Research
for Africa (AICCRA) – Additional Financing
(P181150)**

Draft

**ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)**

November 2023

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The International Center for Tropical Research (CIAT) (the Recipient) is implementing the Accelerating Impacts of CGIAR Climate Research for Africa (AICCRA) Project (the Project), with the involvement of the International Crops Research Institute for the Semi-Arid Tropics (ICRISAT), International Livestock Research Institute (ILRI), both being main counterparts with CIAT, several CGIAR research institutes and other regional and national partners, as set out in the Financing Agreement. The International Development Association (the Association) has agreed to provide additional financing (P181150) for the Project, as set out in the referred agreements. This ESCP supersedes previous versions of the ESCP for the Project and shall apply both to the original and the additional financing for Project referred to above.
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is a part of the Financing Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement(s).
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring, and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
4. As agreed by the Association and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient through the Project Management Unit (PMU) and the Association agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association and the Project Director of CIAT. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism(s).</p>	<p>Submit six-monthly reports to the Association throughout Project implementation, commencing after the Effective Date. Submit each report to the Association no later than 15 days after the end of each reporting period.</p>	<p><i>CIAT, with input from all sub-grant recipients through the established project reporting structures.</i></p>
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury or results in significant adverse effect on farmers, such as widespread crop failure at demonstration farms. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the Association’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the Association no later than 48 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the Association within a timeframe acceptable to the Association.</p>	<p>CIAT</p>
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Maintain a Project Management Unit (PMU) with qualified staff and resources to support management of ESHS risks and impacts of the Project, including the E&S Focal Persons designated at the project focal countries.</p>	<p>Maintain a PMU as set out in the Financing Agreement.</p>	<p>CIAT</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<p>1.2 ENVIRONMENTAL AND SOCIAL INSTRUMENTS</p> <p>1. Update and implement an Environmental and Social Risk Management Guide (ESRM Guide) of the Parent Project to the activities of the Additional Financing, consistent with ESS1 that is acceptable to the Association.</p> <p>2. Screen any proposed Project activity in accordance with the ESRM Guide prepared for the Project, and, thereafter, draft, adopt, and implement an Environmental and Social Management Plans (ESMPs) and other procedures proportionate to the risks of the proposed activity and as required by the ESRM Guide, in line with the EHSGs, and other relevant Good International Industry Practice (GIIP), in a manner acceptable to the Association. Ensure that no activities described in the exclusion list and set out in the ESRM Guide shall be eligible to receive financing under the Project.</p> <p>3. Ensure that Grant and subgrant recipients adopt and implement the ESMPs and other relevant procedures</p>	<p>1. The ESRM Guide has been updated and disclosed on 09 November 2023¹ and will be implemented throughout Project implementation.</p> <p>2. Prior to signature with the Grant and subgrant recipients concerned, and/or start of a new project activity and throughout Project implementation.</p> <p>3. ESMPs and other procedures will be prepared, consulted upon and disclosed prior to the commencement of Project activities. The ESMPs will be implemented throughout the life of the Project.</p>	<p>1. CIAT</p> <p>2. CIAT, and sub-grant recipients concerned, and with guidance and oversight of CIAT.</p> <p>3. CIAT, and sub-grant recipients concerned, and with guidance and oversight from CIAT.</p>
<p>1.3 MANAGEMENT OF CONTRACTORS</p> <p>Not relevant to the Project. There are no contractors under the project.</p>	<p>N/A</p>	
<p>1.4 TECHNICAL ASSISTANCE</p> <p>Ensure that the consultancies, studies (including feasibility studies, if applicable), capacity building, training, and any other technical assistance activities under the Project carried out in accordance with terms of reference acceptable to the Association, that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p>	<p>Throughout Project implementation.</p>	<p>CIAT, and sub-grant recipients, service providers and consultants concerned, and with guidance and oversight from CIAT.</p>
<p>EXCLUSIONS:</p> <p>1. The following types of activities are ineligible for financing under the Project. The ESRM Guide will reflect these prohibitions:</p>	<p>1. Prior to the selection of pilot demonstrations</p>	<p>CIAT.</p>

¹ <https://aicra.cgiar.org/disclosure-notice-environmental-and-social-instruments-aicra-additional-financing-p181150>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<p>a. Production or activities involving forced labor².</p> <p>b. Production or activities involving child labor³.</p> <p>c. Cross-border trade in pesticide, waste and waste products, unless compliant to the Basel Convention and the underlying regulations⁴.</p> <p>d. Research that may lead to environmentally damaging activities, such as inappropriate use of chemical fertilizers.</p> <p>e. Production or trade in any product or activity deemed illegal under host country laws or regulations or international conventions and agreements, or subject to international bans, such as pharmaceuticals, pesticides/herbicides, ozone depleting substances, Polychlorinated Biphenyls (PCBs), wildlife or products regulated under the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES).</p> <p>f. Biotechnology application in genetically modified (GM) crops that may involve genetic transformations of the national original crops and/or might generate irreversible environmental impacts.</p> <p>g. Activities that could introduce invasive alien species and may impact critical habitats and/or legally protected areas.</p> <p>h. Activities that may result in discrimination against vulnerable groups, including on the basis of gender and disability.</p> <p>i. Activities involving land acquisition leading to economic or physical displacement.</p> <p>j. Activities that affect existing land tenure arrangements or cultural heritage.</p>		

² Forced labor means all work or service not voluntarily performed that is extracted from an individual under threat of force or penalty.

³ Employees may only be hired if they are at least 15 years old, in accordance with the ILO Minimum Age Convention (C138, Art. 2). Children under the age of 18 will not be employed in hazardous work. Children will not be employed in any manner that is economically exploitive, or is likely to be hazardous to, or to interfere with, the child's education, or to be harmful to the child's health, or physical, mental, spiritual, moral, or social development.

⁴ The Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal, usually known as the Basel Convention, is an international treaty that was designed to reduce the movements of hazardous waste between nations. Under the convention, hazardous waste, as defined under the convention, generally will not be traded cross-border without the consent of the State of import. Under Basel Convention, "hazardous wastes" are defined as (a) Wastes that belong to any category contained in Annex I, unless they do not possess any of the characteristics contained in Annex III; and (b) Wastes that are not covered under paragraph (a) but are defined as, or are considered to be, hazardous wastes by the domestic legislation of the Party of export, import or transit.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>k. Activities carried out by institutions with a record of unresolved occupational, health, and safety incidents or accidents⁵.</p> <p>l. Activities carried out by institutions with a record of unresolved Sexual Exploitation and Abuse/Sexual Harassment incidents⁶.</p> <p>m. All the other activities excluded under the ESRM Guide of the Project.</p> <p>n. Any activity in areas that pose security risk to project workers or requires the use of security personnel.</p> <p>2. Screen partners and subprojects before determining whether they are eligible to receive support from the Project.</p>	<p>2. Prior to the selection of pilot demonstrations</p>	<p>CIAT</p>
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>Update and implement the Labor Management Procedures (LMP) of the Parent Project for the activities of the Additional Financing Project, including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including relating to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.</p> <p>Incorporate Occupational Health and Safety procedures in the ESMP to be prepared under action 1.2 action above.</p>	<p>The LMP has been updated and disclosed on 09 November 2023⁷ and will be implemented throughout project implementation.</p>	<p>CIAT and sub-grant recipients concerned, and with guidance and oversight from CIAT.</p>
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Maintain the grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.</p>	<p>The grievance mechanism for Project workers has been established and will be maintained throughout Project implementation.</p>	<p>CIAT, and all sub-grant recipients, and with guidance and oversight from CIAT.</p>

⁵ Whether such incidents or accidents have been resolved and an institution is therefore eligible for a sub-grant will be evaluated and decided jointly in writing by CIAT and the Association.

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MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	WASTE MANAGEMENT PLAN Incorporate waste management procedures in the ESMP to be prepared under action 1.2 above.	Same timeframe as for the adoption and implementation of the ESMP under action 1.2.	CIAT, and sub-grant recipients concerned, and with guidance and oversight from CIAT.
3.2	PEST MANAGEMENT Incorporate pest management plans in the ESMP to be prepared under action 1.2 above.	Same timeframe as for the adoption and implementation of the ESMP under action 1.2.	CIAT, and sub-grant recipients concerned, and with guidance and oversight from CIAT.
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	COMMUNITY HEALTH AND SAFETY Incorporate and implement measures to manage community health and safety risks as part of the ESMP to be prepared under action 1.2, sub action 2 above and the PMP prepared under action 3.2 above.	Same timeframe as the adoption and implementation of the ESMP under action 1.2 above.	CIAT, and sub-grant recipients concerned, and with guidance and oversight from CIAT.
4.2	SEA AND SH RISKS Incorporate and implement measures to manage SEA/SH risks as part of the ESMP to be prepared under action 1.2, sub-action 2above	Same timeframe as the adoption and implementation of the ESMP under action 1.2 above.	CIAT, and sub-grant recipients concerned, and with guidance and oversight from CIAT.
4.3	SECURITY MANAGEMENT The project will screen out any activities that would require the recruitment of private security personnel or present a security danger to project workers. This has been included in the exclusion list (see action 1.5, point n.).	During the E&S screening process under action 1.2.	CIAT, and all sub-grant recipients concerned, and with guidance and oversight from CIAT.
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	BIODIVERSITY RISKS AND IMPACTS a. As per ESRM, conduct a preliminary screening and assess each activity proposed for financing in terms of its risks and impacts on biodiversity and ensure all activities with such impacts and/or located within important and/or critical natural habitats will be excluded from the Project financing (as per Exclusion list under action 1.4). b. Ensure (i) that handling, transport and use of new variety of seeds do not have adverse effects on biological diversity and/or pose risks to human health as per FAO and	<i>Prior to the selection of pilot demonstrations</i>	CIAT, and sub-grant recipients concerned, and with guidance and oversight from CIAT.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	Cartagena Protocol requirements on handling hybrid seeds; and (II) no Genetically Modified Organisms (GMOs) seeds are to be allowed within the Project activities.		
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
7.1	INDIGENOUS PEOPLES STAKEHOLDER ENGAGEMENT Ensure consultations and engagements activities of the ESMPs to be prepared as part of action 1.2 above in project areas with indigenous people are culturally appropriate.	Same calendar as in action 1.2 above.	CIAT, and sub-grant recipients concerned, and with guidance and oversight from CIAT.
7.2	GRIEVANCE MECHANISM The grievance mechanism in areas with indigenous communities will be culturally appropriate. The distinctive feature as to how ESS7 related grievances will be handled, will be specified in the relevant ESMPs.	Same calendar as in action 1.2 above.	CIAT, and sub-grant recipients concerned, and with guidance and oversight from CIAT.
ESS 8: CULTURAL HERITAGE			
8.3	CHANCE FINDS Describe and implement the chance finds procedures, as part of the ESMP of the Project.	Same timeframe as the adoption and implementation of the ESMP under action 1.2 above. Implement the procedures throughout Project implementation].	CIAT, and sub-grant recipients concerned, and with guidance and oversight from CIAT.
ESS 9: FINANCIAL INTERMEDIARIES [This standard is only relevant for Projects involving Financial Intermediaries (FIs).]			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION Update and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.	The update SEP was disclosed on 09 November 2023 ⁸ and will be implemented throughout the Project.	CIAT
10.2	PROJECT GRIEVANCE MECHANISM	The grievance mechanism is in place and will be maintained throughout Project implementation.	CIAT, and all sub-grant recipients, and with guidance and oversight from CIAT.

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MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>Maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>		
CAPACITY SUPPORT			
CS1	<p>Provide refresher training for the Project Environmental and Social Safeguard Specialist at the in-county Project Implementation Unit on</p> <ul style="list-style-type: none"> • ESCP requirements for the project Additional financing. • Site-specific E&S screening of project locations, summarizing, and considering mitigation measures for potential E&S risks identified. <p>Implementation and monitoring of material actions in LMP and ESMPs. Receipt and management of grievances. Emergency preparedness and response</p>	<i>Within three months after the Additional Financing Effective Date</i>	CIAT
CS2	<p>Provide refresher sensitization for project partners and staff on ESCP requirements for the project Additional Financing, and labor management procedures,</p>	<i>Within one month after the Additional Financing Effective Date</i>	CIAT