

Expenditure Category	Contract Value Threshold(US\$ thousands)	Procurement Method	Prior Review Threshold (US\$ thousands)
<b>Goods and Non-Consulting Services</b>	>=10,000	ICB	ALL
	<10,000	NCB	>=USD4,000
	<500	Shopping	No prior review.
	--	Framework Agreement	First contract and all contracts valued >=USD4,000
	--	Direct Contracting	>=USD4,000
<b>Consultants Services</b>	>=300	QCBS/QBS	>=USD2,000
	<300	CQS	No prior review.
		Single-Source Selection (firm)	>=USD1,000
		Individual Consultant and SSS Individual	>=400

**PROCUREMENT PLAN** China : Building a Modern Fiscal System Technical Assistance

**General Information**

**Country:** China **Bank's Approval Date of the Original Procurement Plan:** 2018-05-18  
**Revised Plan Date(s):** (comma delineated, leave blank if none) 2020-07-13  
**Project ID:** P154694 **GFN Date:**  
**Project Name:** Building a Modern Fiscal System Technical Assistance  
**Loan / Credit No.:** IBRD / 86160  
**Executing Agency(ies):** Fiscal TA2

**WORKS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		

**GOODS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion			
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		

**NON CONSULTING SERVICES**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
CN-FISCAL TA2-72328-NC-RFQ / Department of Personnel and Education, Ministry of Finance is to hire a company to print the five reports delivered by the consulting service company	IBRD / 86160	Key elements of China's public finance system	Post	Request for Quotations	Open - National	Single Stage - One Envelope		10,000.00	6,959.78	Signed							2018-08-01									2018-08-03	2018-08-02	2018-08-12
SE2018024 / The service is to procure a company to print the research output of the real estate tax base evaluation system construction	IBRD / 86160	Key elements of China's public finance system	Post	Request for Quotations	Open - National	Single Stage - One Envelope		3,000.00	3,000.00	Signed							2019-02-18									2019-02-22	2019-01-28	2019-02-22
GI2X-2019-02 / The service is to procure a company to translate the research results of the study on the operational mechanism of cadre education and training oriented to the needs of fiscal and taxation system reform.	IBRD / 86160	Key elements of China's public finance system	Post	Request for Quotations	Open - National	Single Stage - One Envelope		5,000.00	4,900.00	Signed							2019-02-18									2019-02-22	2019-02-15	2019-03-15
GSS-2019-01 / The service is to procure a company to print fourteen research reports delivered by the two consulting firms under the subproject of Department of Customs.	IBRD / 86160	Fiscal Policy and Sustainable Development	Post	Request for Quotations	Open - National	Single Stage - One Envelope		35,000.00	31,515.73	Signed							2019-04-09									2019-04-27	2019-07-10	2019-06-08

GIJX-2019-03 / The service is to procure a publishing house to publish and spirit the research results of the subproject of Cadre Education Center, MOF.	IBRD / 86160	Key elements of China's public finance system	Post	Request for Quotations	Open - National	Single Stage - One Envelope		23,480.00	18,806.00	Signed																2019-05-10	2019-05-17	2019-06-13		
KJS-2019-01 / The service is to procure a printing company to print the International Public Sector Accounting Proclamation Manual (2018) for Accounting Department, MOF.	IBRD / 86160	Key elements of China's public finance system	Post	Request for Quotations	Open - National	Single Stage - One Envelope		6,075.00	2,169.52	Signed																		2019-08-24	2019-08-27	2019-10-05
XXZX-2019-01 / The Information Center,MOF is to procure a printing company to print the research results of its subproject.	IBRD / 86160	Key elements of China's public finance system	Post	Request for Quotations	Open - National	Single Stage - One Envelope		5,000.00	4,923.80	Signed																		2019-10-10	2019-10-14	2019-10-20
ZCS-2020-01 / The Department of Assets Management, MOF is to hire a publishing firm to publish the research reports delivered by the consulting firm under its subproject.	IBRD / 86160	Key elements of China's public finance system	Post	Request for Quotations	Open - National	Single Stage - One Envelope		8,000.00	0.00	Cancelled																		2020-05-31	2020-06-12	

**CONSULTING FIRMS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion		
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned
CN-FISCAL TA2-0280-CS-CIS / To carry out research on the international development models and trends of CPA industry, evaluate the current conditions of China, and propose the suitable development/supervision models for CPA industry in China ultimately.	IBRD / 86160	Key elements of China's public finance system	Post	Consultant Qualification Selection	Open - National		23,000.00	23,000.00	Signed	2018-06-12	2018-06-13	2018-07-10	2018-07-19	2018-08-10	2018-08-05							2018-09-30	2018-10-22	2018-10-20	2018-10-08	2019-10-01		
FISCAL TA2-IEFI-02 / The consulting service is to hire a consulting firm to carry out research on the development and integration of the Asia-Pacific economic cooperation mechanism and China's countermeasures In the next five years.	IBRD / 86160	Fiscal Policy and Sustainable Development	Post	Consultant Qualification Selection	Open - National		47,000.00	47,000.00	Signed	2018-08-21	2018-09-03	2018-09-17	2018-11-09	2018-11-13	2018-11-12							2018-11-23	2019-01-23	2018-12-28	2018-12-12	2020-02-28		
FISCAL TA2-IEFI-03 / The consulting service is to hire a consulting firm to carry out research on the cooperation of new multilateral development agencies and existing multilateral development agencies.	IBRD / 86160	Fiscal Policy and Sustainable Development	Post	Consultant Qualification Selection	Open - National		47,000.00	47,000.00	Signed	2018-08-21	2018-09-03	2018-09-17	2018-11-09	2018-11-13	2018-11-13							2018-11-23	2018-12-03	2018-12-28	2018-12-10	2020-02-28		

FISCAL TA2-IEFI-04 / The consulting service is to hire a consulting firm to carry out research on Strategic cooperation between China and OECD in the next five years.	IBRD / 86160	Fiscal Policy and Sustainable Development	Post	Consultant Qualification Selection	Open - National		47,000.00	47,000.00	Signed	2018-08-21	2018-09-03	2018-09-17	2018-11-09	2018-11-13	2018-11-13					2018-11-23	2018-12-10	2018-12-28	2018-12-10	2020-02-28
FISCAL TA2-IEFI-05 / The consulting service is to hire a consulting firm to carry out research on development of the international financial and economic think tank system.	IBRD / 86160	Fiscal Policy and Sustainable Development	Post	Consultant Qualification Selection	Open - National		47,700.00	47,700.00	Signed	2018-08-21	2018-09-03	2018-09-17		2018-11-13	2018-11-13					2018-11-23	2019-01-23	2018-12-28	2018-12-28	2020-02-28
ZYSGJC-2018-01 / The consulting service is to hire a consulting firm to carry out research on the development trends and prospects of the International investment and trade rules	IBRD / 86160	Fiscal Policy and Sustainable Development	Post	Consultant Qualification Selection	Open - National		60,000.00	60,000.00	Signed	2018-12-11	2018-12-14	2019-01-15		2019-02-04	2019-02-29					2019-03-10	2019-05-22	2019-03-25	2019-05-14	2020-03-25
KZZW-01 / The consulting service is to hire a consulting firm to carry out research on the study and formulation on the accounting standards for carbon emission trading of China in the context of green development	IBRD / 86160	Fiscal Policy and Sustainable Development	Post	Consultant Qualification Selection	Open - National		210,000.00	210,000.00	Signed	2019-02-15	2019-02-15	2019-03-14		2019-04-05	2019-04-02					2019-05-15	2019-05-20	2019-06-19	2019-05-20	2020-06-18
ZYSGJC-2019-01 / The consulting service is to procure a consulting firm to carry out research on the evolution trend of global economic governance structure and China's strategic choice	IBRD / 86160	Fiscal Policy and Sustainable Development	Post	Consultant Qualification Selection	Open - National		60,000.00	60,000.00	Signed	2019-03-04	2019-03-01	2019-03-24		2019-04-13	2019-04-19					2019-05-17	2019-05-22	2019-05-31	2019-05-14	2020-05-31
ZYSGJC-2019-02 / The consulting service is to procure a consulting firm to carry out research on China's position and promotion strategy in global value chain	IBRD / 86160	Fiscal Policy and Sustainable Development	Post	Consultant Qualification Selection	Open - National		45,000.00	45,000.00	Signed	2019-03-04	2019-03-01	2019-03-24		2019-04-13	2019-05-22					2019-05-17	2019-07-29	2019-05-31	2019-07-29	2020-05-31
SZS-2019-01 / To procure a consulting firm to carry out research on the implementation evaluation and system improvement of environmental protection tax	IBRD / 86160	Key elements of China's public finance system	Post	Consultant Qualification Selection	Open - National		290,000.00	290,000.00	Signed	2019-03-11	2019-03-12	2019-03-25		2019-04-12	2019-04-04					2019-05-22	2019-05-14	2019-06-07	2019-05-27	2020-05-20
SZS-2019-02 / To procure a consulting firm to carry out research on design and implementation effect comparison of environmental protection tax system in representative countries or regions	IBRD / 86160	Key elements of China's public finance system	Post	Consultant Qualification Selection	Open - National		60,000.00	60,000.00	Signed	2019-03-11	2019-03-12	2019-03-25		2019-04-12	2019-04-04					2019-05-22	2019-05-14	2019-06-07	2019-05-27	2020-05-20
ZHS-2019-01 / The consulting service is to hire a consulting firm to carry out studies on economic growth drivers, space and strategic issues of China	IBRD / 86160	Fiscal Policy and Sustainable Development	Post	Consultant Qualification Selection	Open - National		250,000.00	250,000.00	Signed	2019-04-11	2019-04-10	2019-04-30		2019-05-08	2019-05-20					2019-06-08	2019-12-34	2019-06-21	2019-11-29	2020-11-09

GKS-2019-01 / The service is to procure a consulting firm to carry out research on Operation Mechanism of Modern Treasury Revenue and Expenditure	IBRD / 86160	Key elements of China's public finance system	Post	Consultant Qualification Selection	Open - National	217,000.00	217,000.00	Signed	2019-08-26	2019-08-28	2019-09-06	2019-10-10	2020-01-07							2019-11-16	2020-04-01	2019-11-30	2020-04-09	2021-03-29
SBS-2020-01 / The consulting service is to procure a consulting firm to carry out research on Improving Enrolling Mechanism of Basic Health Insurance	IBRD / 86160	Fiscal Policy and Sustainable Development	Post	Consultant Qualification Selection	Open - National	259,600.00	259,600.00	Signed	2020-03-17	2020-05-12	2020-03-30	2020-05-13	2020-05-25							2020-06-12	2020-06-17	2020-07-17	2020-06-17	2021-06-09
SBS-2020-02 / The consulting service is to hire a consulting firm to carry out M&E work for the sub-project.	IBRD / 86160	Fiscal Policy and Sustainable Development	Post	Consultant Qualification Selection	Open - National	20,000.00	20,000.00	Signed	2020-04-20	2020-05-12	2020-05-03	2020-05-11	2020-05-25							2020-06-10	2020-06-17	2020-06-19	2020-06-16	2021-06-09
GKS-A17-2020 / The consulting service is to hire a consulting firm to carry out research on the implementation plan of fiscal target balance management system.	IBRD / 86160	Key elements of China's public finance system	Post	Consultant Qualification Selection	Open - National	213,000.00	213,000.00	Signed	2020-04-20	2020-05-12	2020-05-04	2020-05-14	2020-06-03							2020-06-13	2020-06-17	2020-06-20	2020-06-17	2021-06-10
ZHS-B10-2020-01 / The consulting service is to carry out research on the fiscal policy of ecological civilization construction.	IBRD / 86160	Fiscal Policy and Sustainable Development	Post	Consultant Qualification Selection	Open - National	170,000.00	0.00	Under Implementation	2020-04-20	2020-05-12	2020-05-04	2020-05-16								2020-06-15		2020-06-26		2021-07-09
ZHS-B10-2020-02 / The consulting service is to carry out research on fiscal policies for integrated protection, restoration and management of mountains, rivers, forests, fields, lakes and grasses.	IBRD / 86160	Fiscal Policy and Sustainable Development	Post	Consultant Qualification Selection	Open - National	70,000.00	0.00	Under Implementation	2020-04-20	2020-05-12	2020-05-04	2020-05-16								2020-06-15		2020-06-26		2021-07-09
ZHS-B10-2020-03 / The consulting service is to carry out research on fiscal policy of nature conservation system with national parks as the main body.	IBRD / 86160	Fiscal Policy and Sustainable Development	Post	Consultant Qualification Selection	Open - National	70,000.00	0.00	Under Implementation	2020-04-24	2020-05-12	2020-05-08	2020-05-20								2020-06-19		2020-06-30		2021-07-09
GKS-A18-2020 / The consulting service is to hire a consulting firm to carry out research on the auditing and publication framework of government financial reports.	IBRD / 86160	Key elements of China's public finance system	Post	Consultant Qualification Selection	Open - National	237,000.00	0.00	Under Implementation	2020-04-24	2020-05-12	2020-05-08	2020-05-18	2020-06-28							2020-06-17		2020-06-24		2021-07-08
TFS-A19-2020 / The consulting service is to carry out research on construction of the legal system of state-owned assets in China.	IBRD / 86160	Key elements of China's public finance system	Post	Consultant Qualification Selection	Open - National	223,000.00	0.00	Under Implementation	2020-04-24	2020-05-12	2020-05-08	2020-05-18	2020-06-05							2020-06-17		2020-06-24		2021-07-08
ZYSZHC-A20-2020 / The consulting service is to carry out research on construction and application of fiscal development index system.	IBRD / 86160	Key elements of China's public finance system	Post	Consultant Qualification Selection	Open - National	280,000.00	280,000.00	Signed	2020-04-24	2020-05-12	2020-05-08	2020-05-18	2020-06-05							2020-06-17	2020-07-09	2020-06-24	2020-07-09	2021-05-08

KJS-2020-01 / The consulting service is to procure a consulting firm to carry out research on improving internal governance mechanism of CPA firms.	IBRD / 86160	Fiscal Policy and Sustainable Development	Post	Consultant Qualification Selection	Open - National		239,000.00	0.00	Pending Implementation	2020-05-25	2020-06-15	2020-06-24								2020-07-24	2020-08-02	2021-07-08
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INDIVIDUAL CONSULTANTS																					
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion			
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		
PMO Procurement Specialist / PMO Procurement Specialist	IBRD / 86160	Key elements of China's public finance system	Prior	Individual Consultant Selection	Open		0.00	46,658.10	Canceled						2016-09-26						
FISCAL TAG-IEFI-01 / The consulting service is to hire an individual consultant to carry out project management work for International Economics and Finance Institute.	IBRD / 86160	Fiscal Policy and Sustainable Development	Post	Individual Consultant Selection	Open		9,200.00	9,200.00	Signed	2018-08-21	2018-09-03	2018-10-24	2018-10-15	2018-10-31	2018-11-15	2018-12-03	2018-12-20	2020-04-19			
ZYSLIC-2019-03 / The consulting service is to procure an individual consultant to carry out research on transformation of scientific and technological achievements: experience of major economies and China's strategy	IBRD / 86160	Fiscal Policy and Sustainable Development	Post	Individual Consultant Selection	Open		40,000.00	40,000.00	Signed	2019-03-04	2019-03-01	2019-04-13	2019-04-19	2019-04-25	2019-05-22	2019-05-12	2019-05-14	2020-05-12			