

NATIONAL SLUM UPGRADING PROGRAM (NSUP)

LOAN No. IBRD Ln.8636; AIIB LN 004-
IDN

Procurement Plan
Version No.: 3
Date: March 15, 2018

I. General Information

1. Project information:

- a. Country: Indonesia
- b. Recipient: Republic of Indonesia
- c. Project Name: **National Slum Upgrading Program (NSUP)**
- d. Project Implementing Agency (PIA):
 - (i) Directorate General of Human Settlements, Ministry Public Works and Housing (MPWH)

2. Bank's No Objection Date of the Procurement Plan:

- a. Original : May 30, 2016
- b. Version 2 : July, 17, 2016
- c. Version 3 : March, 15, 2018
- d. Version ... : ...

3. Date of General Procurement Notice: ...

4. Period covered by this procurement plan: Effectiveness - Loan Closing (*estimated Dec 2020*)

II. Types of Contract, Bank's Review Requirements and Applied Methods

A. Works, Goods and Non-Consulting Services

- 1. **Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

Ref. No.	Procurement Method	Procurement Method	Bank's Review Requirement
1.	International Competitive Bidding (Works)	≥ \$25 million	Each contract shall be subject to Bank's prior review.

2.	National Competitive Bidding (Works)	\$200k – \$25 million	Each contract ≥ \$15 million equivalent shall be subject to Bank's prior review. All other contracts subject to post
3.	Shopping for Works	< \$200k	No prior review (only post review).
4.	International Competitive Bidding (Goods)	≥ \$2 million	Each contract ≥ \$4 million equivalent shall be subject to Bank's prior review. All other contracts subject to post
5.	National Competitive Bidding (Goods)	\$100k – \$2 million	Each contract ≥ \$4 million equivalent shall be subject to Bank's prior review. All other contracts subject to post
6.	Shopping for Goods	<100k	No prior review (only post review).
7.	International Competitive Bidding (Non-Consultant Service)	≥ \$10 million	Each contract shall be subject to Bank's prior review.
8.	National Competitive Bidding (Non-Consultant Service)	\$100k – \$10 million	Each contract ≥ \$4 million equivalent shall be subject to Bank's prior review. All other contracts subject to post
9.	Shopping for Non-Consulting Service	<\$100,000	No prior review (only post review).
10.	Direct Contracting	N/A	Justification shall be provided when submit procurement

1. **Pre-qualification:** Not applicable.
2. **Proposed Procedures for CDD Components (as per paragraph 3.17 of the Guidelines:** As specified in the Project Operations Manual (POM)
3. **Reference to (if any) Project Operational/Procurement Manual:** Project Operations Manual of NSUP
4. **Any Other Special Procurement Arrangements:** -NA-
5. **Summary of the Procurement Packages planned during the first 18 months after project effectiveness** (*including those that are subject to retroactive financing and advanced procurement*)

1	2	3	4	5	6	7
Ref. No.	Description	Estimated Cost (US\$ million)	Packages	Domestic Preference (yes/no)	Review by Bank (Prior / Post)	Comments
1.	Summary of the NCB (Works)	0.44	2	No	Post	
2.	Summary of the NCB (Non-consulting Services)	34.67	7	No	Prior	
3.	Summary of the NCB (Non-consulting Services)	20.22	10	No	Post	

B. Selection of Consultants

- 1. Prior Review Threshold:** Selection decisions subject to prior review by Bank as stated in Appendix 1 to the Guidelines for Selection and Employment of Consultants:

Ref. No.	Selection Method	Selection Method Threshold	Bank's Prior Review Requirement
1.	Competitive Method (Firms) QCBS, QBS, LCS, FBS	≥\$300,000	Each contract ≥ \$2,000,000 equivalent shall be subject to Bank's prior review. All other contracts subject to post review.
2.	Competitive Methods (Firms) QCS	<\$300,000	Each contract ≥ \$2,000,000 equivalent shall be subject to Bank's prior review. All other contracts subject to post review.
3.	Individual Consultant	Competitive Selection by comparing at least three CVs	None, except for procurement specialist and specific position as indicated in this
4.	Single Source (Firms and Individual)	N/A	Justification shall be provided when submit procurement plan.

- 2. Short list comprising entirely of national consultants:** Short list of consultants for services (firms), estimated to cost less than \$ 400,000 equivalent per contract, may comprise entirely of national consultants

in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

3. Any Other Special Selection Arrangements: N/A

4. Summary of Consultancy Assignments with Selection Methods and Time Schedule during the first 18 months after project effectiveness (including those that are subject to retroactive financing and advanced procurement)

1	2	3	4	5	6	7
Ref. No.	Description of Assignments	Type of Assignments	Estimated Cost (US\$ million)	Packages/Positions	Review by Bank (Prior / Post)	Comments
1.	Summary of number of contracts that will be let under QCBS	(Time-Based /Lump-Sum)	4.653	4	Post	TMC 4-6, Evaluation Consultant
		(Time-Based)	4.414	1	Prior	NMC-Region 2
2.	Summary of number of individual consultant contracts that will be let under competitive selection	(Time-Based /Lump-Sum)	0.286	11	Post	Under Bappenas

PROCUREMENT PLAN Indonesia : Indonesia National Slum Upgrading Project

General Information
 Country: Indonesia Bank's Approval Date of the Original Procurement Plan: 2018-09-30
 Project ID: P154782 Revised Plan Date(s): (comma delineated, leave blank if non 2021-01-04
 Project Name: Indonesia National Slum Upgrading Project GPN Date:
 Loan / Credit No: IBRD / 86360
 Executing Agency(ies) Ministry of Public Works Office of South Kalimantan Province

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		Planned
ID-PUPR POKJA KS-76375-CW-RFB / Improved Quality of Slum Residential Area Scale (PDU) Banjarbaru City	IBRD / 86360	Urban Infrastructure and Services Investment Support	Post	Request for Bids	Open - National	Single Stage - One Envelope				113,793.00	92,239.61	Signed					2018-07-05	2018-07-05	2018-07-10					2018-08-16	2018-08-16	2018-08-30	2018-08-30	2018-09-07	2018-09-07	2019-01-05
ID-PUPR POKJA KS-76376-CW-RFB / Improved Quality of Slum Residential Area Scale (TPST) Banjarmasin City	IBRD / 86360	Urban Infrastructure and Services Investment Support	Post	Request for Bids	Open - National	Single Stage - One Envelope				103,448.00	88,969.26	Signed					2018-07-05	2018-07-05	2018-07-10					2018-08-16	2018-08-16	2018-08-30	2018-08-30	2018-09-07	2018-09-07	2019-01-05
PB.02.01-CB23.4.2-34 / Quality Improvement of Slum Settlements in Banjarmasin City Rk 3 (Kelayan Barat) / NSUP	IBRD / 86360	Urban Infrastructure and Services Investment Support	Post	Request for Bids	Open - National	Single Stage - One Envelope				3,430,600.00	2,779,099.24	Signed					2019-12-03	2019-11-02	2019-12-08					2020-01-19	2020-01-17	2020-02-18	2020-04-20	2020-04-20	2020-04-20	2021-06-14
PB.02.01-KB32/POKJA C13.01/VI/2020/09 / Quality Improvement of Slum Settlements in Kotabaru Regency Coastal Areas	IBRD / 86360	Urban Infrastructure and Services Investment Support	Post	Request for Bids	Open - National	Single Stage - One Envelope				3,913,900.00	2,944,835.29	Signed					2020-06-29	2020-07-22	2020-07-04					2020-08-15	2020-07-22	2020-09-14	2020-09-18	2020-10-19	2020-09-28	2021-04-17
PB.02.01-KB32/AIRSANTRI/XII/2020 / Improvement of the Quality of Water Areas (NSUP) Banjar Regency	IBRD / 86360	Urban Infrastructure and Services Investment Support	Post	Request for Bids	Open - National	Single Stage - One Envelope				2,714,918.00	0.00	Pending Implementation					2020-12-29			2021-01-03				2021-02-14		2021-03-16		2021-04-20		2022-01-15

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	

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											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion		
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion		
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	