



IN Swachh Bharat Mission Support Operation (P153251)

SOUTH ASIA | India | Water Global Practice |
IBRD/IDA | Program-for-Results | FY 2016 | Seq No: 2 | ARCHIVED on 05-Feb-2017 | ISR24559 |

Implementing Agencies:

Program Development Objectives

Program Development Objective (from Program-for-Results Appraisal Document)

This Operation provides support to the Swachh Bharat Mission – Gramin (SBM-G) national program, the new rural sanitation flagship program launched by the Prime Minister in September, 2014. The Program Development Objective of the Swachh Bharat Mission Support Operation (SBMSO) is to: to reduce open defecation in rural areas, and strengthen MDWS capacity to manage the national SBM-G program.

Overall Ratings

Name	Previous Rating	Current Rating
Progress towards achievement of PDO	● Satisfactory	● Moderately Satisfactory
Overall Implementation Progress (IP)	● Satisfactory	● Moderately Unsatisfactory
Overall Risk Rating	--	● Substantial

Implementation Status and Key Decisions

The Ministry of Drinking Water Supply and Sanitation (MDWS) is providing support in accelerating SBM-G implementation by States and districts to improve access of toilets, reduce open defecation and in achieving open defecation free (ODF) villages. It is commendable that two additional states- Himachal Pradesh and Kerala have recently been declared as ODF states, thus having a total of three state-wide ODF status in the country along with Sikkim. In addition it is worth noting that 60 districts (9 percent), 52,562 Gram Panchayats (GPs) (21 percent) and about 117,771 inhabited villages (19 percent) have self-declared ODF by Nov16. Household toilet construction has increased immensely from 5.8 million in FY14-15 to 12.7 million in FY15-16 and 9.2 million in this fiscal year so far.

Data on Financial Performance

Disbursements (by loan)

Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	Disbursed
P153251	IBRD-85590	Effective	USD	1,500.00	1,500.00	0.00	3.75	1,496.25	0%

Key Dates (by loan)

Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
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P153251 IBRD-85590 Effective 15-Dec-2015 30-Mar-2016 27-Apr-2016 31-Jan-2021 --

Risks

Systematic Operations Risk-rating Tool

Risk Category	Rating at Approval	Previous Rating	Current Rating
Political and Governance	--	--	● Substantial
Macroeconomic	--	--	● Moderate
Sector Strategies and Policies	--	--	● Moderate
Technical Design of Project or Program	--	--	● Substantial
Institutional Capacity for Implementation and Sustainability	--	--	● Substantial
Fiduciary	--	--	● Substantial
Environment and Social	--	--	● Moderate
Stakeholders	--	--	● Substantial
Other	--	--	--
Overall	--	--	● Substantial

Disbursement Linked Indicators (DLI)

► DLI#1: Reduction in the prevalence of open defecation (Number (Thousand))

	Baseline	Actual (Previous)	Actual (Current)
Value	--	--	--
Date	--	--	--
Comments	The first IVA will establish the baseline.		

► DLI#2: Sustaining ODF status in villages (Number)

	Baseline	Actual (Previous)	Actual (Current)
Value	--	--	--
Date	--	--	--
Comments	The first IVA will establish the baseline value. The IVA for 2016 is not yet conducted. .		



► DLI#3: Increase in rural population with SLWM (Number (Thousand))

	Baseline	Actual (Previous)	Actual (Current)
Value	--	--	--
Date	--	--	--

Comments

The first IVA will establish the baseline value. The IVA for 2016 is not yet conducted. .

► DLI#4: Operationalization of Performance Incentive Grant Scheme by MDWS (Yes/No)

	Baseline	Actual (Previous)	Actual (Current)
Value	--	--	--
Date	--	--	--

Comments

To operationalize the DLI4, MDWS has to complete the following actions:

- 1) Issuance of SBM-G Performance Incentive Grant Scheme Guidelines by MDWS
- 2) NARSS protocol to measure DLI established, and communicated to states.
- 3) NARSS conducted and results disclosed

So far, MDWS has announced the Performance incentive grant scheme. The verification protocol is drafted but not finalized yet.

On procuring the IVA the NARSS will be conducted.

Results

Results Area

Intermediate Results Area

Result Area 1: Increased access to safe and functional sanitation facilities

Result Area 2: Sustaining community - wide ODF status

Result Area 3: Increased population with solid and liquid waste management (SLWM)

Result Area 4: Strengthened capacity of MDWS in program management, advocacy, monitoring and evaluation (M&E)

Project Development Objective Indicators



► PDO Indicator 1: Reduction in the prevalence of open defecation (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	95,000,000.00
Date	31-Dec-2015	--	15-Nov-2016	31-Dec-2020

Comments
NARSS for 2016 to be conducted.

► PDO Indicator 2: National annual sanitation survey conducted and results published (Yes/No, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	N	--	N	Y
Date	31-Dec-2015	--	15-Nov-2016	31-Dec-2020

Comments
Procurement in progress

Overall Comments

The first IVA will establish the baseline.

Intermediate Results Indicators

► Intermediate Results Indicator 1: Increase in the rural population having access to safe and functional sanitation facilities (beneficiaries) (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	30.45	--	56.30	60.00
Date	31-Dec-2015	--	15-Nov-2016	31-Dec-2020

Comments
The data reports construction of household toilets.



► Intermediate Results Indicator 2: Percent of female beneficiaries (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	41.60	--	--	42.00
Date	31-Dec-2015	--	--	31-Dec-2020

Comments
NARSS to be conducted

► Intermediate Result Indicator 3: Increase in the percentage of poor and vulnerable (PAV) rural population having access to safe and functional sanitation (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	27.90	--	50.36	70.00
Date	31-Dec-2015	--	15-Nov-2016	31-Dec-2020

Comments
Data source : IMIS.

► Intermediate Results Indicator 4: Sustaining ODF status in villages (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	48,000.00
Date	31-Dec-2015	--	15-Nov-2016	31-Dec-2020

Comments

NARSS to establish Baseline
IMIS reports 117,771 villages have declared ODF. Of them around 40% is verified.



► Intermediate Result Indicator 5: Rural population with SLWM (Number (Thousand), Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	116,000.00
Date	31-Dec-2015	--	15-Nov-2016	31-Dec-2020

Comments

No data currently in IMIS on population with SLWM

► Intermediate Result Indicator 6: Number of villages with SLWM (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	--	0.00	84,000.00
Date	31-Dec-2015	--	15-Nov-2016	31-Dec-2020

► Intermediate Result Indicator 7: Program management unit strengthened and functional (Yes/No, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	N	--	N	Y
Date	31-Dec-2015	--	15-Nov-2016	31-Dec-2020

Comments

Y (in Progress)

► Intermediate Result Indicator 8: Monitoring and Evaluation Unit strengthened (Yes/No, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
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Value	N	--	N	Y
Date	31-Dec-2015	--	15-Nov-2016	31-Dec-2020
Comments	Y (in Progress)			

► Intermediate Result Indicator 9: IMIS improved and functional (Yes/No, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	Y	--	Y	Y
Date	31-Dec-2015	--	15-Nov-2016	31-Dec-2020

Comments

IMIS in place and functional. Upgrading the IMIS to capture data on some of the results areas is yet to start.

► Intermediate Result Indicator 10: BCC campaign implemented at national level (Yes/No, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	Y	--	Y	Y
Date	31-Dec-2015	--	15-Nov-2016	31-Dec-2020

Comments

N (Planning in progress)

► Intermediate Result Indicator 11: Report on grievances received and addressed (Yes/No, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	Y	--	Y	Y
Date	31-Dec-2015	--	15-Nov-2016	31-Dec-2020

**Comments**

MDWS receives complaints and forward them to the respective states and implementing agencies.

► Intermediate Result Indicator 12: Report on annual program performance (Yes/No, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	N	--	N	Y
Date	31-Dec-2015	--	15-Nov-2016	31-Dec-2020

Comments

Not yet due

Overall Comments

The status of intermediate indicators are on progress and values are not fully reported in the IMIS. The annual progress report will be available in end March' 17 and after the first IVA.