

## Sample Procurement Plan

*(Text in italic font is meant for instruction to staff and should be deleted in the final version of the PP)*

*(This is only a sample with the minimum content that is required to be included in the PAD. The detailed procurement plan is still mandatory for disclosure on the Bank's website in accordance with the guidelines. The initial procurement plan will cover the first 18 months of the project and then updated annually or earlier as necessary).*

### I. General

1. **Bank's approval Date of the procurement Plan** [*Original: December 2007*]; *Revision 15 of Updated Procurement Plan, June 2010*]
2. **Date of General Procurement Notice:** Dec 24, 2006
3. **Period covered by this procurement plan:** *The procurement period of project covered from year June 2010 to December 2012*

### II. Goods and Works and non-consulting services.

1. **Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement: *[Thresholds for applicable procurement methods (not limited to the list below) will be determined by the Procurement Specialist /Procurement Accredited Staff based on the assessment of the implementing agency's capacity.]*

	Procurement Method	Prior Review Threshold US\$	Comments
1.	ICB and LIB (Goods)	<i>Above US\$ 500,000</i>	<i>All</i>
2.	NCB (Goods)	<i>Above US\$ 100,000</i>	<i>First contract</i>
3.	ICB (Works)	<i>Above US\$ 15 million</i>	<i>All</i>
4.	NCB (Works)	<i>Above US\$ 5 million</i>	<i>All</i>
5.	(Non-Consultant Services)	<i>Below US\$ 100,000</i>	<i>First contract</i>
	<i>[Add other methods if necessary]</i>		

2. **Prequalification.** Bidders for *Not applicable* shall be prequalified in accordance with the provisions of paragraphs 2.9 and 2.10 of the Guidelines.
3. **Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines:** *[Refer to the relevant CDD project implementation document approved by the Bank or delete if not applicable]*
4. **Reference to (if any) Project Operational/Procurement Manual:** *Project Implementation Manual for World Bank Loan Project XYZ 04/01/2010 issued by <mention name of PIU>*

July 9, 2010

5. **Any Other Special Procurement Arrangements:** [including advance procurement and retroactive financing, if applicable] *5 ICB works packages will be financed under retroactive financing*
6. **Summary of the Procurement Packages planned during the first 18 months after project effectiveness** ( including those that are subject to retroactive financing and advanced procurement)

[List the Packages which require Bank's prior review first and then the other packages]

1	2	3	4	5	6	7
Ref. No.	Description	Estimated Cost US\$ million	Packages	Domestic Preference (yes/no)	Review by Bank (Prior / Post)	Comments
	Summary of the ICB (Works)	82	5	No	Prior	
	Summary of the ICB (Goods)	43.77	15	No	Prior	
	Summary of the NCB (Works)	64.53	18	No	Post	1 <sup>st</sup> contract for Prior Review
	Summary of the NCB (Goods)	1.86	4	No	Post	1 <sup>st</sup> contract for Prior Review
	Summary of the ICB (Non-Consultant Services)	0.45	1	No	Prior	

### **III. Selection of Consultants**

1. **Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

	Selection Method	Prior Review Threshold	Comments
1.	Competitive Methods (Firms)	Above US\$ 100,000	
2.	Single Source (Firms)	All	
3.	Individual	Above US\$ 50,000	

2. **Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than \$ 300,000 equivalent per contract, may comprise

entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

3. **Any Other Special Selection Arrangements:** *[including advance procurement and retroactive financing, if applicable or delete if not applicable]*

4. **Consultancy Assignments with Selection Methods and Time Schedule**

1	2	3	4	5	6
Ref. No.	Description of Assignment	Estimated Cost US\$ million	Packages	Review by Bank (Prior / Post)	Comments
	Summary of number of contracts that will be let under QCBS	4.17	7	Prior	
	Summary of number of contracts that will be let under other methods	0.83	1	Prior	CQS

**PROCUREMENT PLAN Malawi : Malawi - Financial Sector Technical Assistance Project**

**General Information**  
**Country:** Malawi **Bank / Approval Date of the Original Procurement Plan:** 2017-06-01  
**Revised Plan Date(s):** (comma delineated, leave blank if none) 2018-01-31  
**Project ID:** P122616 **GPN Date:**  
**Project Name:** Malawi - Financial Sector Technical Assistance Project  
**Loan / Credit No.:** IDA / 48970  
**Executing Agency(ies):** Reserve Bank of Malawi

Activity Reference No./ Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

Activity Reference No./ Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
MW-RBM-12507-GO-RFQ / Post and supply of Financial Literacy Low Vision books	IDA / 48970	Component 3: Financial Consumer Protection and Financial Literacy	Post	Request for Quotations	Limited	Single Stage - One Envelope		10,000.00	2,134.80	Under Implementation								2017-05-10								2017-07-05	2017-08-08	
MW-RBM-12799-GO-RFQ / Post and supply of Financial Literacy Braille books	IDA / 48970	Component 3: Financial Consumer Protection and Financial Literacy	Post	Request for Quotations	Limited	Single Stage - One Envelope		20,000.00	0.00	Under Implementation								2017-04-12								2017-04-12	2017-07-31	
MW-RBM-13792-GO-RFB / Procurement of hardware for a centralized database for the registration of employees and employers	IDA / 48970	Component 1: Financial Sector Regulation and Supervision	Post	Request for Quotations	Open - National	Single Stage - One Envelope		200,000.00	0.00	Under Implementation								2017-05-23	2017-10-23							2017-07-18	2018-01-14	
MW-RBM-13794-GO-RFQ / Printing of Policies and Strategies	IDA / 48970	Component 4: Ministry of Finance's Financial Sector Policy and Governance Capacity and Long-term Finance	Post	Request for Quotations	Open - National	Single Stage - One Envelope		9,000.00	0.00	Under Implementation								2017-05-06								2017-07-01	2017-12-28	
MW-RBM-34800-GO-DR / Equipment and installation of redundant Globe link to ATS	IDA / 48970	Component 4: Ministry of Finance's Financial Sector Policy and Governance Capacity and Long-term Finance	Prior	Direct Selection	Direct			7,000.00	0.00	Pending Implementation				2017-11-27				2017-12-02								2018-01-08	2018-09-01	
MW-RBM-34803-GO-DR / Equipment and installation of redundant MTL link to ATS	IDA / 48970	Component 4: Ministry of Finance's Financial Sector Policy and Governance Capacity and Long-term Finance	Prior	Direct Selection	Direct			7,000.00	0.00	Pending Implementation				2017-11-27				2017-12-02								2018-01-06	2018-06-01	
MW-RBM-34806-GO-RFQ / Supply of KVM switch for servers and firewall	IDA / 48970	Component 4: Ministry of Finance's Financial Sector Policy and Governance Capacity and Long-term Finance	Post	Request for Quotations	Limited	Single Stage - One Envelope		33,000.00	0.00	Under Implementation								2017-12-02	2018-01-11							2018-01-27	2018-02-28	
MW-RBM-34812-GO-RFQ / Supply and delivery of laptops and desktops	IDA / 48970	Component 4: Ministry of Finance's Financial Sector Policy and Governance Capacity and Long-term Finance	Post	Request for Quotations	Open - National	Single Stage - One Envelope		19,000.00	0.00	Under Implementation								2017-12-02	2018-01-13							2018-01-27	2018-03-02	
MW-RBM-34814-GO-DR / Termination of fiber cable at Reserve Bank of Malawi Data Centre (firewall and router)	IDA / 48970	Component 4: Ministry of Finance's Financial Sector Policy and Governance Capacity and Long-term Finance	Prior	Direct Selection	Direct			45,000.00	0.00	Pending Implementation				2017-11-27				2017-12-02								2018-01-08	2018-05-31	
MW-RBM-34816-GO-RFQ / LAN and link to Office of the Director of Public Procurement for the management of supplier database	IDA / 48970	Component 4: Ministry of Finance's Financial Sector Policy and Governance Capacity and Long-term Finance	Post	Request for Quotations	Limited	Single Stage - One Envelope		9,700.00	0.00	Pending Implementation								2017-12-02								2018-01-27	2018-05-30	
MW-RBM-34820-GO-RFQ / Supply and delivery of office furniture and equipment for Hub Company	IDA / 48970	Component 2: Financial Infrastructure	Post	Request for Quotations	Limited	Single Stage - One Envelope		20,000.00	0.00	Pending Implementation								2017-11-18								2017-12-08	2018-01-05	
MW-RBM-34823-GO-RFQ / Banners, photographs and Brochures for launch of Hub company	IDA / 48970	Component 2: Financial Infrastructure	Post	Request for Quotations	Limited	Single Stage - One Envelope		5,000.00	0.00	Pending Implementation								2017-11-04								2017-11-14	2017-11-24	
MW-RBM-35166-GO-RFQ / Supply and delivery of Starter pack equipment for MFI Hub Pilot Institutions	IDA / 48970	Component 2: Financial Infrastructure	Post	Request for Quotations	Open - National	Single Stage - One Envelope		200,000.00	0.00	Under Implementation								2017-11-25	2018-01-22							2017-12-19	2018-01-31	
MW-RBM-12505-GO-RFB / Starter Pack Computer equipment for MFIs and FCs	IDA / 48970	Component 2: Financial Infrastructure	Post	Request for Bids	Open - International	Single Stage - One Envelope		520,000.00	0.00	Cancelled				2017-05-15				2017-05-20			2017-07-01	2017-07-31			2017-09-04	2018-03-03		
MW-RBM-37237-GO-RFQ / Supply of Equipment to Malawi Stock Exchange Data Centre	IDA / 48970	Component 2: Financial Infrastructure	Post	Request for Quotations	Limited	Single Stage - One Envelope		48,000.00	0.00	Pending Implementation								2017-11-29								2017-12-28	2018-02-08	
MW-RBM-39061-GO-RFQ / Printing of Financial Sector Development Strategy	IDA / 48970	Component 4: Ministry of Finance's Financial Sector Policy and Governance Capacity and Long-term Finance	Post	Request for Quotations	Limited	Single Stage - One Envelope		3,000.00	0.00	Pending Implementation								2017-12-12								2017-12-26	2018-01-22	
MW-RBM-39263-GO-RFQ / Procurement of banners for launch of Financial Sector Development Strategy	IDA / 48970	Component 4: Ministry of Finance's Financial Sector Policy and Governance Capacity and Long-term Finance	Post	Request for Quotations	Limited	Single Stage - One Envelope		2,000.00	0.00	Pending Implementation								2017-12-12								2017-12-23	2018-01-06	

**NON CONSULTING SERVICES**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
MW-RBM-11427-NC-RFB / Provision of software as a service (SaaS) for Transaction Processing Hub for the MFJ and FC industry in Malawi Software	IDA / 48970	Component 2: Financial Infrastructure	Prior	Request for Bids	Open - International	Single Stage - One Envelope	Y	993,750.00	0.00	Under Implementation	2017-04-03		2017-04-03		2017-04-03		2017-04-03		2017-04-03		2017-04-03		2017-04-03	2017-06-10	2017-05-05		2017-08-31	
MW-RBM-12496-NC-RFB / Provision of Hardware as a service (HaaS) for Transaction Processing Hub for the MFJ and FC industry in Malawi	IDA / 48970	Component 2: Financial Infrastructure	Prior	Request for Bids	Limited	Single Stage - One Envelope		307,000.00	0.00	Under Implementation					2017-04-10		2017-04-10		2017-04-10		2017-04-10		2017-04-10	2017-07-28	2017-05-12		2017-08-31	
MW-RBM-12798-NC-DR / Supply of a central securities depository (CSD) for the equities market	IDA / 48970	Component 2: Financial Infrastructure	Prior	Direct Selection	Direct			399,000.00	0.00	Under Implementation					2017-04-12	2017-08-29	2017-04-12								2017-05-28		2017-08-31	
MW-RBM-13795-NC-DR / Establishment of IFMS - ATS Interface	IDA / 48970	Component 2: Financial Infrastructure	Prior	Direct Selection	Direct			60,000.00	0.00	Under Implementation					2017-05-15	2017-08-22	2017-05-20								2017-06-24		2017-12-21	
MW-RBM-13796-NC-DR / Establishment of Flexcube - TBICO -IFMS Interface	IDA / 48970	Component 2: Financial Infrastructure	Prior	Direct Selection	Direct			20,000.00	0.00	Under Review					2017-05-15	2017-08-22	2017-05-20								2017-06-24		2017-12-21	
MW-RBM-13797-NC-DR / Establishment of HRMS - ATS Interface	IDA / 48970	Component 2: Financial Infrastructure	Prior	Direct Selection	Direct			16,776.00	0.00	Under Implementation					2017-05-15	2017-08-22	2017-05-20								2017-06-24		2017-12-21	
MW-RBM-12797-NC-RFB / Supply of MSE Trading system and equipment	IDA / 48970	Component 2: Financial Infrastructure	Post	Request for Bids	Open - International	Single Stage - One Envelope		723,708.00	0.00	Pending Implementation					2018-01-02		2018-01-02						2018-01-02	2018-01-02		2018-01-02	2018-06-22	
MW-RBM-45521-NC-DR / Interface of Flexcube and IFMS for the implementation of EFT in Government	IDA / 48970	Component 2: Financial Infrastructure	Prior	Direct Selection	Direct			143,474.00	0.00	Pending Implementation					2018-01-30		2018-02-04								2018-02-16		2018-04-17	
MW-RBM-45536-NC-DR / Interface of ATS and IFMS - automate payments in ATS for the implementation of EFT in Government	IDA / 48970	Component 2: Financial Infrastructure	Prior	Direct Selection	Direct			69,000.00	0.00	Pending Implementation					2018-01-30		2018-02-04								2018-02-16		2018-04-17	
MW-RBM-45586-NC-DR / Interface of ATS and IFMS - Inclusion of extra Bank Identification Codes (BIC 1) under Reserve Bank of Malawi	IDA / 48970	Component 2: Financial Infrastructure	Prior	Direct Selection	Direct			14,834.83	0.00	Pending Implementation					2018-01-30		2018-02-04								2018-02-16		2018-04-17	

**CONSULTING FIRMS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
MW-RBM-12796-CS-OCBS / Consultancy services for a follow up household financial literacy survey	IDA / 48970	Component 3: Financial Consumer Protection and Financial Literacy	Prior	Quality And Cost-Based Selection	Open - International		550,000.00	0.00	Under Implementation	2017-04-12		2017-04-12		2017-04-12				2017-04-12		2017-04-13	2017-06-12	2017-05-18	2017-08-22	2017-06-22		2018-12-31	
MW-RBM-13196-CS-CQS / Designing of a centralized database for the registration of employees and employers	IDA / 48970	Component 1: Financial Sector Regulation and Supervision	Post	Consultant Qualification Selection	Open - International		100,000.00	0.00	Cancelled	2017-04-19	2017-06-01	2017-05-10		2017-06-23								2017-07-23		2017-06-27		2018-02-23	

**INDIVIDUAL CONSULTANTS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
MW-RBM-12795-CS-INDV / Consultancy services for the facilitation of the production of Implementation Completion Report	IDA / 48970	Component 5: Implementation Support	Post	Individual Consultant Selection	Open		10,000.00	0.00	Under Implementation	2017-04-12		2017-05-05		2017-05-12		2017-06-16		2017-08-31	
MW-RBM-12498-CS-INDV / Consultancy to assist with the development of a legislation and implementation arrangements for the establishment of an independent Alternative Dispute resolution (ADR)	IDA / 48970	Component 3: Financial Consumer Protection and Financial Literacy	Post	Individual Consultant Selection	Limited		80,000.00	59,850.44	Completed	2017-04-10	2017-03-13	2017-04-21	2017-04-21	2017-05-12	2017-05-22	2017-06-16	2017-10-24	2017-08-31	2017-09-29
MW-RBM-12503-CS-INDV / Develop and draft a separate financial consumer protection law	IDA / 48970	Component 3: Financial Consumer Protection and Financial Literacy	Post	Individual Consultant Selection	Limited		50,000.00	47,273.33	Completed	2017-04-24	2017-02-10	2017-04-24	2017-03-02	2017-04-24	2017-04-06	2017-05-01	2017-04-13	2017-08-18	2017-09-08
MW-RBM-17486-CS-CDS / Design of Centralized National Pension Database System	IDA / 48970	Component 1: Financial Sector Regulation and Supervision	Prior	Direct Selection	Direct		100,000.00	0.00	Under Implementation	2017-06-16	2017-08-10	2017-06-26				2017-07-31		2017-08-31	
MW-RBM-34819-CS-CDS / 2017 and June 2018 External audits	IDA / 48970	Component 5: Implementation Support	Prior	Direct Selection	Direct		35,000.00	0.00	Pending Implementation	2017-11-20		2017-11-30					2018-02-03		2018-06-29