

## SECOND CAPACITY BUILDING IN PUBLIC FINANCIAL MANAGEMENT PROJECT

### *Procurement*

1. Procurement for the proposed CB PFM II will be carried out in accordance with both “Guidelines: Procurement of Goods, Works and Non-consulting Services under IBRD Loans and IDA Credits and Grants by World Bank Borrowers,” dated January 2011 (revised July 2014) and “Guidelines: Selection and Employment of Consultants under IBRD Loans and IDA Credits and Grants by World Bank Borrowers,” dated January 2011 (revised July 2014). The World Bank “Guidelines on Preventing and Combating Fraud and Corruption in Projects Financed by IBRD Loans and IDA Credit and Grants” dated October 15, 2006 and revised in January 2011 and as of July 1, 2016, will also apply.
2. The main activities to be procured under the Project will include procurement of goods, consulting services, , training and operating costs.
3. *Procurement of Goods* will include various PFM related software and hardware for e-GP. Goods contracts equal and above US\$ 1,000,000 equivalent will be procured under ICB procedures using the Bank’s SBD for procurement of goods. The NCB method will be applicable for procurement of goods contracts with estimated budget of less than US\$ 1,000,000. The ECA Sample NCB bidding documents shall be used taking into account the NCB conditions set forth in the Financing Agreements. Goods contracts with an estimated budget less than US\$ 100,000 equivalent may be procured using Shopping procedures on the basis of at least three written price quotations obtained from qualified suppliers.
4. *Consulting services* will include various technical assistance for the Ministry of Finance as well as PFM institutions, technical assistance in E-GP development and capacity building. The methods for selection of consultants will include Quality and Cost Based Selections (QCBS), Quality Based Selections (QBS), Fixed Budget Selection (FBS), Least Cost Selection (LCS), Selection based on Consultants Qualifications (up to US\$ 300,000), Single Source Selection in compliance with Paragraph 3.8 of the Bank’s Consultant Guidelines, and Individual Consultants (IC). Contracts estimated to cost above US\$ 300,000 equivalent will be advertised through United Nations Development Business (UNDB), the Bank’s website, and local media. Short-lists of consultants for services estimated to cost less than US\$ 300,000 equivalent per contract may be composed entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines. The Bank’s Standard Request for Proposal Document would be used as a basis for all procurement of consultancy services above USD 300,000.
5. *Training.* The training program will focus on delivery to staff of MoF, line ministries, core training courses on public financial management issues with preference for distance learning training, including on-line training. Training will be financed by the CBPFM II based on an annual budget approved by the Bank.
6. *Operating Costs.* The grant will finance incremental operating costs. Operating costs will cover incremental expenses incurred on account of Project implementation, management, monitoring and evaluation, consisting of: vehicle operation and maintenance (if found necessary and appropriate); communication and insurance costs; banking charges; rental expenses; support for information systems; office maintenance and repairs;

expenditures for materials and supplies, utilities; document duplication/printing; interpretation and translation costs; consumables; travel cost and per diem for staff for travel linked to the implementation of the Project; salaries of contractual staff for the Project and the associated Social Charges (but excluding consultants' services and salaries of officials of the Recipient's civil service). Contractual Staff for PIST (Head of the team, Finance Manager (FM Specialist), Procurement Specialist; and if necessary and well justified Disbursement Specialist, Monitoring and Evaluation Specialist and Office Manager/Translator), will be selected on the basis of their relevant experience, qualifications, and capability to carry out the assignment. The selection shall be carried out through the comparison of the relevant overall capacity of at least three qualified candidates among those who have expressed interest in the assignment. Operating costs would be financed by the CBPFM II as per annual budget approved by the Bank and incurred using the implementing agency's administrative procedures. Operating cost will not include salaries of civil servants.

7. *Advance Procurement.* In view of short implementation period of the project, all new procurement activities will be advanced as soon as possible in line with the agreed procurement plan.

8. *Procurement Supervision and Ex-post Review.* Routine procurement reviews and supervision will be provided by the procurement specialist based in the World Bank country office. In addition, two supervision missions are expected to take place per year during which ex-post reviews will be conducted for contracts that are not subject to Bank prior review on a sample basis (20 percent in terms of the number of contracts). One ex-post review report will be prepared per fiscal year, including findings of physical inspections for not less than 10 percent of contracts awarded during the review period.

9. *Procurement Thresholds and Methods of Procurement.* It has been agreed that if a particular invitation for bid comprises several packages, lots or slices and invited in the same invitation for bid, then the aggregate value of the whole package determines the applicable threshold amount for procurement and also for the review by the Bank. The National Competitive Bidding (NCB) conditions will be part of Financing Agreement.

10. The prior review thresholds will be periodically reviewed and revised as needed during the CBPFM II implementation period based on implementation of risk mitigation measures, reports from procurement post-review, and improved capacity of the implementing agency.

**Table 3. Procurement Thresholds**

<b>Expenditure Category</b>	<b>Contract Value (USD)</b>	<b>Procurement Method</b>	<b>Bank Prior Review</b>
Goods	>= 1, 000 000	ICB	All contracts >= USD 1,500,000
	<1, 000 000	NCB	NA
	<100 000	Shopping	NA
	NA	DC	All contracts >= USD 1,500,000
Consultant Services	NA	QCBS, QBS, FBS, LCS and CQS*	All contracts >= USD 500,000 for firms; all contracts >= USD 200,000 for individuals; and all TORs
	NA	SSS	
	NA	IC	
Notes : ICB – International Competitive Bidding NCB – National Competitive Bidding DC – Direct Contracting QCBS – Quality and Cost Based Selection QBS – Quality Based Selection FBS – Fixed Budget Selection LCS – Least Cost Selection *CQS – Selection Based on Consultants' Qualification below \$300,000 depending on the nature of assignment SSS – Single (or Sole) Source Selection IC – Individual Consultant selection procedure NA – Not Applicable			

11. *Procurement plan.* For each contract to be financed by the Bank, the different procurement methods or consultant selection methods, the need for prequalification, estimated costs, prior review requirements, and time frame are agreed between the Government and the Bank project team in the Procurement Plan. A procurement plan has been developed covering the above procurement activities and agreed during negotiations. Thereafter, the plan will be updated periodically, at least once per year, and each update will be subject to the Bank's prior review. The initial procurement plan plus the subsequent updates will be published on the Bank's external web site in line with the requirements of Bank Guidelines.



Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

CONSULTING FIRMS																											
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion		
									Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned
PMF/QCBS/CS2-3 / Design and implementation of a change management program supporting reforms	TF / A3998	Building capacity of the Ministry of Finance in the public finance management	Post	Quality And Cost-Based Selection	Open - National		0.00	Canceled	2018-10-03		2018-10-24		2018-12-07					2019-01-04		2019-02-03			2019-03-10		2019-04-14		2020-04-13
PMF/CS/CQS2-5 / e-GP development (including consultancy module)	TF / A3998	Building capacity of the Ministry of Finance in the public finance management	Post	Consultant Qualification Selection	Open - National		91,280.00	Signed	2018-09-28	2018-12-04	2018-10-12	2018-12-07	2018-11-23	2019-02-11							2018-12-14	2019-06-25	2018-12-20	2019-07-01		2019-06-18	
PMF/CS/QCBS2-7 / Preparation and implementation of two sub-national PEFA assessments, one upon project start and one in the last year of project implementation and national PEFA at the end of the project	TF / A3998	Intergovernmental Fiscal Relations and Sub-National PFM	Post	Quality And Cost-Based Selection	Open - National		0.00	Canceled	2018-09-26	2019-02-08	2018-10-17	2019-02-12	2018-11-14	2019-04-11				2018-12-12	2019-05-16	2018-12-26			2019-01-23		2019-02-13		2020-02-13
PMF/CS/CQS2-6 / Operationalization of the Intergovernmental Fiscal Relations Development Concept	TF / A3998	Intergovernmental Fiscal Relations and Sub-National PFM	Post	Consultant Qualification Selection	Open - National		0.00	Pending Implementation	2018-10-01		2018-10-15		2018-11-28										2018-12-19		2019-01-16		2020-06-30
PMF/CS/CQS2-4 / Supporting certification program for internal auditors	TF / A3998	Building capacity of the Ministry of Finance in the public finance management	Post	Consultant Qualification Selection	Open - National		0.00	Pending Implementation	2019-01-07		2019-01-28		2019-03-13										2019-04-12		2019-05-17		2020-05-01
PMF/CS/QCBS1-1 / Improvements of budgeting on a program basis and planning of the budgetary investments, including improvements in sector-level expenditure planning	TF / A3998	Strengthening budget planning and execution	Prior	Quality And Cost-Based Selection	Open - International		0.00	Canceled	2018-09-20	2019-01-30	2018-10-20		2018-11-17					2018-12-15		2019-01-14			2019-02-18		2019-03-20		2020-05-20
PMF/CS/LCS/4.8 / Project Audit	TF / A3998	Project Management	Post	Least Cost Selection	Open - National		0.00	Pending Implementation	2019-07-01		2019-07-08		2019-08-21					2019-09-18		2019-10-02			2019-11-06		2019-12-11		2020-12-11
KG-MOF-CS-QCBS2-3 / Design and implementation of a change management and training programs supporting reforms	TF / A3998	Building capacity of the Ministry of Finance in the public finance management	Post	Quality And Cost-Based Selection	Open - International		0.00	Pending Implementation	2019-01-30		2019-02-20		2019-04-05					2019-05-03		2019-06-02			2019-07-07		2019-08-11		2020-08-10
KG-MOF-CS-QCBS1-1 / Improving program based budgeting and budget investment planning including improvements in sectoral expenditures planning	TF / A3998	Strengthening budget planning and execution	Prior	Quality And Cost-Based Selection	Open - International		528,957.00	Signed	2019-05-03	2019-05-07	2019-05-31	2019-06-03	2019-07-14	2019-09-10				2019-08-11	2019-10-09	2019-09-10	2019-12-03	2019-10-15	2019-12-24	2019-11-19	2019-12-25	2020-11-18	

KG-MOF-CS-CQS-3-7 / Preparation and implementation of two sub-national PEFA assessments, one upon project start and one in the last year of project implementation and national PEFA at the end of the project	TF / A3998	Intergovernmental Fiscal Relations and Sub-National PFM	Post	Consultant Qualification Selection	Open - National		248,988.88	Signed	2019-06-10	2019-06-21	2019-07-01	2019-06-25	2019-08-14	2019-08-27						2019-09-13	2019-12-12	2019-10-18	2019-12-16	2020-04-15				
KG-MOF-CS-QCBS-2-2 / Technical assistance to the Ministry of Finance in strengthening budget planning, coordination, execution and transparency	TF / A3998	Building capacity of the Ministry of Finance in the public finance management	Prior	Quality And Cost-Based Selection	Open - International		0.00	Under Implementation	2019-11-08	2019-12-12	2019-11-12	2019-12-28	2019-12-28	2020-02-05						2020-01-23	2020-06-01	2020-02-22	2020-03-28	2020-05-02	2021-05-02			
KG-MOF-CS-LCS-4-1 / Audit of the Project	TF / A3998	Project Management	Post	Least Cost Selection	Open - International		25,545.00	Signed	2019-11-25	2019-11-10	2019-11-28	2019-12-12	2019-12-16	2020-01-14						2020-01-13	2020-02-17	2020-01-18	2020-03-02	2020-01-22	2020-04-01	2020-01-27	2020-04-03	2020-12-31
KG-MOF-CS-QCBS-2-1 / Strengthening capacity of the Ministry of Finance of the Kyrgyz Republic and raising awareness	TF / A3998	Building capacity of the Ministry of Finance in the public finance management	Prior	Quality And Cost-Based Selection	Open - International		0.00	Under Implementation	2019-11-29	2019-12-27	2019-12-20	2020-01-09	2020-01-13	2020-03-12							2020-02-10	2020-05-13	2020-03-07	2020-03-21	2020-04-25	2021-04-25		
KG-MOF-CS-CQS-2-4 / Digitization (dubbing) of public procurement training courses	TF / A3998	Building capacity of the Ministry of Finance in the public finance management	Post	Consultant Qualification Selection	Open - National		0.00	Pending Implementation	2019-12-02		2019-12-23		2020-02-05										2020-03-06	2020-04-10		2020-10-07		
KG-MOF-CS-CQS-2-2 / Auditing the Government e-Procurement System in the Kyrgyz Republic	TF / A3998	Building capacity of the Ministry of Finance in the public finance management	Post	Consultant Qualification Selection	Open - International		0.00	Pending Implementation	2020-01-24		2020-02-14		2020-03-29										2020-04-28	2020-06-02		2020-11-29		
KG-MOF-CS-QCBS-1-2 / Development of Financial Management Information System Requirements	TF / A3998	Strengthening budget planning and execution	Prior	Quality And Cost-Based Selection	Open - International		0.00	Under Review	2020-06-05		2020-06-26		2020-08-09									2020-09-06	2020-10-06	2020-11-10	2020-12-15	2021-12-15		

#### INDIVIDUAL CONSULTANTS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Actual Amount (US\$)	Process Status	Teams of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion	
									Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
PMF/CS/IC1-1 / Specialist on strengthening legislative and regulatory framework	TF / A3998	Building capacity of the Ministry of Finance in the public finance management	Post	Individual Consultant Selection	Open		14,891.00	Signed	2018-09-19	2018-09-28	2018-10-24	2018-11-06	2018-10-27	2018-11-19	2018-11-02	2018-11-22	2019-05-01	
PMF/CS/IC1-2 / Specialist on improving revenue forecasting	TF / A3998	Building capacity of the Ministry of Finance in the public finance management	Post	Individual Consultant Selection	Open		0.00	Canceled	2018-10-24	2018-12-19	2018-11-26	2019-02-15	2018-12-03		2018-12-13		2019-12-15	
PMF/CS/IC1-3 / Specialist on improving expenditure forecasting	TF / A3998	Strengthening budget planning and execution	Post	Individual Consultant Selection	Open		0.00	Pending Implementation	2018-11-29		2019-01-01		2019-01-08		2019-01-22		2020-04-20	
PMF/CS/IC1-5 / Specialist on implementing training and capacity building program (full time)	TF / A3998	Strengthening budget planning and execution	Post	Individual Consultant Selection	Open		0.00	Pending Implementation	2019-03-10		2019-04-05		2019-04-12		2019-05-03		2020-05-01	
PMF/CS/IC1-6 / Specialist on implementing training and capacity building program (short-term)	TF / A3998	Strengthening budget planning and execution	Post	Individual Consultant Selection	Open		0.00	Pending Implementation	2019-03-10		2019-04-12		2019-04-19		2019-05-03		2019-10-30	

PMF/CS/IC2-1 / Specialist on supporting public debt management	TF / A3998	Building capacity of the Ministry of Finance in the public finance management	Post	Individual Consultant Selection	Open	0.00	Pending Implementation	2019-01-27	2019-02-22	2019-03-01	2019-03-15	2020-06-30				
PMF/CS/IC2-2 / Specialist on supporting implementation of the government financial management and internal control system	TF / A3998	Building capacity of the Ministry of Finance in the public finance management	Post	Individual Consultant Selection	Open	0.00	Pending Implementation	2018-09-30	2018-10-26	2018-11-02	2018-11-16	2020-11-20				
PMF/CS/DC2-3 / Specialist on strengthening public procurement regulatory framework	TF / A3998	Building capacity of the Ministry of Finance in the public finance management	Post	Direct Selection	Direct	14,000.00	Signed	2018-09-28	2018-09-28	2018-10-03	2018-10-26	2018-10-14	2018-10-09	2019-10-14		
INDV-2-5-1 / International consultant on strengthening and enhancement of MoF analytical capacity	TF / A3998	Building capacity of the Ministry of Finance in the public finance management	Post	Individual Consultant Selection	Open	0.00	Pending Implementation	2019-01-14	2019-04-02	2019-04-15	2019-04-22	2020-02-17				
INDV-2-5-2 / International consultant on strengthening and enhancement of MoF analytical capacity	TF / A3998	Building capacity of the Ministry of Finance in the public finance management	Post	Individual Consultant Selection	Open	0.00	Pending Implementation	2019-04-15	2019-07-01	2019-07-15	2019-07-22	2020-07-22				
INDV-2-5-3 / International consultant on strengthening and enhancement of MoF analytical capacity	TF / A3998	Building capacity of the Ministry of Finance in the public finance management	Post	Individual Consultant Selection	Open	0.00	Pending Implementation	2019-06-17	2019-09-02	2019-09-16	2019-09-23	2020-09-23				
PMF/CS/IC1-4 / Specialist on improving budget transparency	TF / A3998	Strengthening budget planning and execution	Post	Individual Consultant Selection	Open	0.00	Cancelled	2018-09-19	2018-09-28	2018-10-15	2018-10-22	2018-11-01	2020-02-02			
INDV-2-4 / Specialist on PIP issues	TF / A3998	Building capacity of the Ministry of Finance in the public finance management	Post	Individual Consultant Selection	Open	0.00	Pending Implementation	2018-12-03	2019-01-21	2019-02-11	2019-03-18	2019-08-18				
KG-MOF-CS-INDV1-4 / Specialist on improving budget transparency	TF / A3998	Strengthening budget planning and execution	Post	Individual Consultant Selection	Open	18,500.00	Signed	2018-11-22	2018-11-27	2018-12-18	2018-12-19	2018-12-25	2018-12-20	2019-01-04	2018-12-21	2020-01-04
KG-MOF-CS-INDV1-7 / Short-Term Local Consultant on assessment budget credits and its management	TF / A3998	Strengthening budget planning and execution	Post	Individual Consultant Selection	Open	3,553.01	Signed	2019-02-08	2019-02-08	2019-03-13	2019-02-26	2019-03-26	2019-02-26	2019-04-01	2019-03-26	2019-08-01
PMF/CS/IC1-8 / SHORT-TERM LOCAL CONSULTANT ON RECEIVABLES AND ARREARS	TF / A3998	Strengthening budget planning and execution	Post	Individual Consultant Selection	Open	2,659.04	Signed	2019-03-15	2019-03-13	2019-05-03	2019-04-12	2019-05-24	2019-04-15	2019-06-28	2019-04-15	2019-10-01
KG-MOF-CS-INDV2-1 / Local Consultant on updating the course on public procurement	TF / A3998	Building capacity of the Ministry of Finance in the public finance management	Post	Individual Consultant Selection	Open	0.00	Under Implementation	2019-12-02	2019-12-17	2020-01-04	2020-01-25	2020-02-29	2020-08-27			
PMF/CS/DC2-4 / Specialist on strengthening public procurement regulatory framework	TF / A3998	Building capacity of the Ministry of Finance in the public finance management	Post	Direct Selection	Direct	5,742.05	Signed	2019-12-30	2019-12-31	2020-01-08	2020-01-08	2020-01-09	2020-01-08	2020-06-30		

EG-MOF-CS-INDV.2.1 / IT Consultant to assist the Ministry of Finance of the Kyrgyz Republic in preparing for financial management information system project	TF / A3998	Building capacity of the Ministry of Finance in the public finance management	Post	Individual Consultant Selection	Open		0.00	Pending Implementation	2020-06-25		2020-07-28		2020-08-02		2020-08-06		2022-08-06
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