

**IN: UTTARAKHAND DISASTER RECOVERY PROJECT (UDRP)  
(P146653)**

**I. General**

1. **Bank's approval Date of the procurement Plan:** Original: October 4, 2013
2. **Date of General Procurement Notice:** November 13, 2013 (revised)
3. **Period covered by this procurement plan:** The procurement period of project covered from Year October 2013 to March 2015

**II. Goods and Works and non-consulting services.**

1. **Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

	<b>Procurement Method</b>	<b>Prior Threshold US\$</b>	<b>Review</b>	<b>Comments</b>
1.	ICB (Goods)	<i>Above US\$ 4 million</i>		<i>All</i>
2.	NCB (Goods)	<i>Above US\$ 4 million</i>		<i>All</i>
3.	ICB (Works)	<i>Above US\$ 15 million</i>		<i>All</i>
4.	NCB (Works)	<i>Above US\$ 15million</i>		<i>All</i>
5.	Services (non-consultant)	<i>Above US\$ 4 million</i>		<i>All</i>
6.	LIB	<i>Above US\$ 30,000</i>		

**Procurement Methods and Threshold**

Category	Method of Procurement	Threshold (US\$ Equivalent)
Goods and Non-consultant services	ICB	>3,000,000
	LIB	Wherever agreed by the Bank
	NCB	Up to 3,000,000 (with NCB conditions)
	Shopping	Up to 500,000
	DC	As per Para 3.7 of the Bank Guidelines
	Force Account	As per Para 3.9 of Guidelines
	Framework Agreements	As per Para 3.6 of Guidelines
Works	ICB	>40,000,000

Category	Method of Procurement	Threshold (US\$ Equivalent)
	NCB	Up to 40,000,000 (with NCB conditions)
	Shopping	Up to 1,000,000
	Force Account	As per Para 3.9 of Guidelines
	DC	As per Para 3.7 of Guidelines
	Community Participation	As per Para 3.19 of Guidelines

Prior Review by the Bank. The Bank will prior review the following contracts:

- Works: All contracts more than US\$ 15.0 million equivalent;
- Goods: All contracts more than US\$ 4.0 million equivalent;
- Non-Consulting Services: All contracts more than US\$ 4.0 million equivalent;

The above threshold value applies to single/direct contract as well. There is no separate threshold set for single source or direct contract. The PMU will ensure that Bank procurement procedures are followed for all the post review contracts. The above thresholds were revised after the Mid-Term Review (MTR) mission and will be in effect till further modification. In addition, the Bank will carry out an annual ex-post procurement review for those contracts which fall below the prior review threshold mentioned above.

All contracts below the specified prior review threshold value shall be subject to Post Procurement Review (PPR). For the avoidance of doubts, the Bank shall be entitled to conduct, at any time, Independent Procurement Reviews (IPR) of all the contracts financed under the Credit. The IA shall prepare a list of contract and submit it to the Bank for conducting PPR. The PPR will be conducted on annual basis. All contracts not covered under prior review will be subject to post review during supervision missions, and/or review by consultants to be appointed by the by Bank.

**2. Pre-qualification.** Not applicable

**3. Proposed Procedures for CDD Components (as per paragraph 3.17 of the Guidelines):** Not Applicable.

**4. Reference to Project Operational/Procurement Manual:** The Project Operations Manual was prepared by the project and agreed by the Bank. All the contracts issued under the project will follow the World Bank's Guidelines: Bank's "Guidelines: Procurement under IBRD Loans and IDA Credits" dated January 2011 and revised in July 2014 and "Guidelines: Selection and Employment of Consultants by World Bank

Borrowers” dated January 2011 and revised in July 2014. In case of any inconsistency between the Operations Manual and the Bank’s Guidelines, the latter shall prevail.

- 5. Any Other Special Procurement Arrangements:** This is a Disaster Recovery Project and the project preparation was triggered by emergency situation (OP10.00) and therefore, provisions under paragraph 20 of OP 11.00; procurement under emergency situation is applied.

Procurement of goods, works and non-consulting services shall be conducted through e-procurement portal <http://www.uktenders.gov.in> using Bank’s standard bidding documents.

International Competitive Bidding (ICB) is the preferred method for procurement of goods, works and non-consulting services. However, it is unlikely that there will be any ICB contract. All National Competitive Bidding (NCB) shall be conducted in accordance with paragraph 3.3 and 3.4 of the Guidelines. In addition, following additional provisions as agreed with GOI task force (as amended from time to time) shall apply:

- Only the model bidding documents for NCB as agreed with the GoI Task Force (and as amended from time to time), shall be used for bidding;
- Invitation to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access along with an abridged version of the said advertisement published in a widely circulated national daily inter-alia giving the website/electronic portal details from which the details of the invitation to bid can be downloaded), at least 30 days prior to the deadline for the submission of bids. For smaller packages (lesser than US\$1 million for Works and US\$ 500,000 for Goods), advertisement in state newspapers will be accepted with at least 15 days given for submission of bids;
- No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprise from any given State;
- Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder;
- For prior review contracts, extension of bid validity shall not be allowed with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank (i) for the first request for extension if it is longer than four weeks; and (ii) for all subsequent requests for extension irrespective of the period (such

concurrency will be considered by Bank only in cases of Force Majeure and circumstance beyond the control of the Purchaser/Employer);

- For prior review contracts , re-bidding shall not be carried out with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank;
- The system of rejecting bids outside a pre-determined margin or “bracket” of prices shall not be used in the project;
- Rates contract entered into by Directorate General of Supplies and Disposal (DGS & D) will not be acceptable as a substitute for NCB procedures unless agreed with the Bank on case to case basis. Such contracts will be acceptable however, for any procurement under the Shopping procedure;
- Two or three envelop system will not be used (except when using e-procurement system assessed and agreed by the Bank).

To meet the Bank's requirements for right to audit and F&C, these clauses may be included in the Purchase Orders, in case the purchasers are directly placing the purchase orders to DGS&D rate contract holders and government e-Marketplace. On the other hand, if indent is placed through DGS&D, the Purchaser has the option to sign a separate undertaking with DGS&D rate contract holder, where Bank’s right to audit and F&C clauses could be mentioned;

- 6. Summary of the Procurement Packages planned during the first 18 months after project effectiveness:** Available in separate Excel file for the past contracts and in STEP for the new contract packages.

### **III. Selection of Consultants**

- 1. Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

	<b>Selection Method</b>	<b>Prior Threshold</b>	<b>Review</b>	<b>Comment</b>
1.	Competitive Methods (Firms)	Above US\$ 2 million		All contract
2.	Single Source (Firms)	Above US\$ 2 million		All contract
3.	Individual	Above US\$ 400,000		All contracts
4.	CQS/LCS	Up to US\$ 300,000		All contracts

- 2. Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than \$ 800,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

- 3. *Any Other Special Selection Arrangements:*** the disaster recovery project activities are spread across the states. Majority of the sites are in remote areas and therefore, it is likely that there will be no contractors to participate in bidding process in remote areas. For those contracts, it is likely that project may have to use force account. Such cases, if any, shall be taken up separately on case by case basis. Further, it is likely that project may use the service of government institutions such as IIT to review the reports prepared by the consultants. Such cases, if required, will be taken up case by case basis.
- 4. *Consultancy Assignments with Selection Methods and Time Schedule:*** Available on STEP.

**PROCUREMENT PLAN** India : Uttarakhand Disaster Recovery Project

**General Information**  
 Country: India Bank's Approval Date of the Original Procurement Plan: 2020-06-23  
 Revised Plan Date(s): (comma delineated, leave blank if non 2021-09-23)  
 Project ID: P146653 GPN Date:  
 Project Name: Uttarakhand Disaster Recovery Project  
 Loan / Credit No: IBRD / 89210  
 Executing Agency(ies) PIU - CERC

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		Planned	Actual

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02/PU-HEALTH/CERC/UDRP-AF/2020 / Augmentation of Ambulance network by addition of 100 Basic Life Support (BLS) & 40 Advance Life Support (ALS)	IBRD / 89210	Contingency Emergency Response	Post	Request for Bids	Open - National	Single Stage - Two Envelope		4,881,429.00	5,015,687.63	Signed					2020-06-26	2020-06-28	2020-07-01	2020-07-09			2020-07-16	2020-07-22	2020-08-05		2020-08-28	2020-10-09	2020-11-26		
01/PU-HEALTH/CERC/UDRP-AF/2020 / CT Scan Machine for 5 Tertiary Care Hospitals of the State	IBRD / 89210	Contingency Emergency Response	Post	Request for Bids	Open - National	Single Stage - Two Envelope		2,857,143.00	3,494,541.90	Signed					2020-06-29	2020-06-28	2020-07-01	2020-07-09			2020-07-16	2020-07-22	2020-08-10	2020-08-28	2020-08-25	2020-10-19	2020-11-23		
03/PU-HEALTH/CERC/UDRP-AF/2020 / Procurement of Hospital beds for temporary COVID-19 Hospitals	IBRD / 89210	Contingency Emergency Response	Post	Request for Quotations	Open - National	Single Stage - One Envelope		571,429.00	0.00	Under Implementation							2020-07-04	2020-07-09							2020-08-29		2020-11-27		
05/PU-HEALTH/CERC/UDRP-AF/2020 / Procurement of Lab Equipment for District Level Labs of Health Department, Uttarakhand	IBRD / 89210	Contingency Emergency Response	Post	Request for Bids	Open - National	Single Stage - Two Envelope		714,286.00	0.00	Under Implementation					2020-09-11	2020-10-05	2020-09-13					2020-09-28		2020-10-20		2020-11-17		2021-02-15	
08/PU-HEALTH/CERC/UDRP-AF/2020 / Procurement of 650 Fowler Beds (Both Sides Inliner) for COVID Hospitals under CERC	IBRD / 89210	Contingency Emergency Response	Post	Request for Quotations	Open - National	Single Stage - One Envelope		175,714.00	0.00	Pending Implementation							2021-06-13								2021-07-18		2021-10-16		
06/PU-HEALTH/CERC/UDRP-AF/2020 / Supply and Installation of 2 Nos. MRI Medium 1.5 Tesla for Govt. Medical Colleges of Uttarakhand	IBRD / 89210	Contingency Emergency Response	Post	Request for Bids	Open - National	Single Stage - Two Envelope		2,428,571.00	0.00	Pending Implementation					2021-06-10		2021-06-15					2021-06-30		2021-07-20		2021-08-09		2021-12-07	
07/PU-HEALTH/CERC/UDRP-AF/2020 / Supply and Installation of Digital Radiology System (800 mA) for Govt. Medical College, Haldwani	IBRD / 89210	Contingency Emergency Response	Post	Request for Bids	Open - National	Single Stage - Two Envelope		428,571.00	0.00	Pending Implementation					2021-06-10		2021-06-15					2021-06-30		2021-07-20		2021-08-09		2021-12-07	

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											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual			
04/PU-HEALTH/CERC/UDRP-AF/2020 / Hiring of firm to provide Lab Technicians for Health Department	IBRD / 89210	Contingency Emergency Response	Post	Direct Selection	Direct			216,812.00	0.00	Pending Implementation					2020-09-04		2020-09-09									2020-09-23		2021-09-23	

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual			

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	