

Sample Procurement Plan

(Text in italic font is meant for instruction to staff and should be deleted in the final version of the PP)

(This is only a sample with the minimum content that is required to be included in the PAD. The detailed procurement plan is still mandatory for disclosure on the Bank's website in accordance with the guidelines. The initial procurement plan will cover the first 18 months of the project and then updated annually or earlier as necessary).

I. General

1. **Bank's approval Date of the procurement Plan** [*Original: April 2015*]: *Revision 8 of Updated Procurement Plan, February 2018*
2. **Date of General Procurement Notice:** Oct 11, 2013
3. **Period covered by this procurement plan:** *The procurement period of project covered from year April 2015 to February 2018*

II. Goods and Works and non-consulting services.

1. **Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement: *[Thresholds for applicable procurement methods (not limited to the list below) will be determined by the Procurement Specialist /Procurement Accredited Staff based on the assessment of the implementing agency's capacity.]*

	Procurement Method	Prior Review Threshold US\$	Comments
1.	NCB (Works)	<i>Above US\$ 5 million</i>	<i>All</i>
2.	Shopping (Works)	<i>Below US\$ 200,000</i>	<i>All</i>
3.	Shopping (Goods)	<i>Below US\$ 100,000</i>	<i>All</i>
	<i>[Add other methods if necessary]</i>		

2. **Prequalification.** Bidders for *Not applicable* shall be prequalified in accordance with the provisions of paragraphs 2.9 and 2.10 of the Guidelines.
3. **Reference to (if any) Project Operational/Procurement Manual:** *Project Implementation Manual for World Bank Loan Project XYZ 04/01/2010 issued by "Energy efficiency project"*

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4. Summary of the Procurement Packages planned during the first 18 months after project effectiveness (including those that are subject to retroactive financing and advanced procurement)

[List the Packages which require Bank's prior review first and then the other packages]

1	2	3	4	5	6	7
Ref. No.	Description	Estimated Cost US\$ million	Packages	Domestic Preference (yes/no)	Review by Bank (Prior / Post)	Comments
1.	Summary of the NCB (Works)	15.291	30	No	Post	
2.	Summary of the S (Works)	1.119	9	No	Post	
3.	Summary of the S (Goods)	0,087	5	No	Post	

III. Selection of Consultants

- 1. Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

	Selection Method	Prior Review Threshold	Comments
1.	Competitive Methods (Firms)	Below US\$ 500,000	
2.	Single Source (Firms)	Below US\$ 500,000	
3.	Individual	Below US\$ 200,000	

- 2. Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than \$_____ equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

3. Consultancy Assignments with Selection Methods and Time Schedule

1	2	3	4	5	6
Ref. No.		Estimated	Packages	Review by Bank	Comments
		d			

	Description of Assignment	Cost US\$ million		(Prior / Post)	
1	Summary of number of contracts that will be let under QCBS	0.608	1	Prior	QCBS
2	Summary of number of contracts that will be let under other methods	2.432	18	Post	CQ
3	Summary of number of contracts that will be let under other methods	0.351	4	Prior	CQ
4	Summary of number of contracts that will be let under other methods	0.736	12	Post	IC
5	Summary of number of contracts that will be let under other methods	102	1	Prior	IC

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PROCUREMENT PLAN Kazakhstan : Kazakhstan Energy Efficiency Project

General Information

Country: Kazakhstan Bank's Approval Date of the Original Procurement Plan: 2018-04-13
 Project ID: P130013 Revised Plan Date(s): (comma delineated, leave blank if non 2021-01-28
 Project Name: Kazakhstan Energy Efficiency Project GPN Date:
 Loan / Credit No: TF / 14185
 Executing Agency(ies) Energy and Energy Saving Development Institute-KazakhEnergyExpertise

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion					
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		Planned	Actual			
CW/NCB-01 / Energy Efficiency Overhaul (6 Subprojects)	TF / 14185	Development and implementation of demonstration subprojects in public and social facilities	Prior	Request for Bids	Open - National	Single Stage - One Envelope				700,000.00	383,703.57	Signed																						
KEEP/CW/NCB-15 / Energy Saving and EE modernization (4-year implementation-Akmola, Kyzylorda, Kostanay, North Kazakhstan oblasts - 4 subprojects)	TF / 14185	Development and implementation of demonstration subprojects in public and social facilities	Post	Request for Bids	Open - National	Single Stage - One Envelope				737,100.00	637,904.25	Signed						2020-02-03	2020-01-29	2020-02-05					2020-03-07	2020-03-02	2020-03-22	2020-03-10	2020-04-23	2020-03-26			2020-08-21	
KZEEP/CW/NCB-13 / Energy Saving and EE modernization (West Kazakhstan, North Kazakhstan oblasts - 2 subprojects)	TF / 14185	Development and implementation of demonstration subprojects in public and social facilities	Post	Request for Bids	Open - National	Single Stage - One Envelope				300,000.00	394,171.96	Completed						2020-04-21	2019-01-28	2020-04-23					2020-05-24	2019-03-01	2020-06-08	2019-03-18	2020-07-10	2019-04-01			2020-11-07	
KZEEP/CW/NCB-11 / Energy Saving and EE modernization (East Kazakhstan oblast - 3 subprojects)	TF / 14185	Development and implementation of demonstration subprojects in public and social facilities	Post	Request for Bids	Open - National	Single Stage - One Envelope				450,000.00	414,787.11	Completed						2020-04-21	2018-11-19	2020-04-23					2020-05-24	2018-12-20	2020-06-08	2019-01-11	2020-07-10	2019-01-16			2020-11-07	
KZEEP/CW/NCB-12 / Energy Saving and EE modernization (3 street lightings)	TF / 14185	Development and implementation of demonstration subprojects in public and social facilities	Post	Request for Bids	Open - National	Single Stage - One Envelope				1,500,000.00	431,071.16	Completed						2020-04-21	2018-12-07	2020-04-23					2020-05-24	2019-01-14	2020-06-08	2019-02-01	2020-07-10	2019-02-08			2020-11-07	
KZEEP/CW/NCB-14 / Energy Saving and EE modernization (East Kazakhstan, West Kazakhstan, Karaganda, Kyzylorda oblasts - 5 subprojects)	TF / 14185	Development and implementation of demonstration subprojects in public and social facilities	Post	Request for Bids	Open - National	Single Stage - One Envelope				630,000.00	509,993.90	Signed						2020-04-21	2019-07-16	2020-04-23					2020-05-24	2019-08-19	2020-06-08	2019-10-16	2020-07-10	2019-10-21			2020-11-07	
KEEP/CW/NCB-16 / Energy Saving and EE modernization (5-year implementation 13 subprojects)	TF / 14185	Development and implementation of demonstration subprojects in public and social facilities	Post	Request for Bids	Open - National	Single Stage - One Envelope				4,310,000.00	0.00	Pending Implementation																						2021-06-30

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion						
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual					
№34 / Other consumables (cartridges, refueling, repairs, etc.)	TF / 14185	Technical assistance	Post	Request for Bids	Open - National	Single Stage - One Envelope		3,000.00	2,699.58	Signed							2020-04-21	2018-09-28	2020-04-26					2020-06-07	2019-01-18	2020-07-07	2019-01-18	2020-08-11	2019-01-25	2020-12-29			

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion								
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual							

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion												
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual											
KZEEP/CS/QCBS - 01 / KZEEP/CS/QCBS - 01 - Energy Audit, Design Supervision, Commissioning	TF / 14185	Development and implementation of demonstration subprojects in public and social facilities	Prior	Quality And Cost-Based Selection	Open - International		650,000.00	628,179.14	Signed																										2015-10-29	2015-10-29		
KZEEP/CS/CQ-03 - Support for the Design and Development of Energy Efficiency Fund in KZ	TF / 14185	Development and implementation of demonstration subprojects in public and social facilities	Prior	Consultant Qualification Selection	Open - International		170,000.00	162,839.12	Signed																											2015-10-26	2015-10-23	
KZEEP-CS-CQ-04 / KZEEP-CS-CQ-04 - Development of SER AIS Software and Website	TF / 14185	Development and implementation of demonstration subprojects in public and social facilities	Prior	Consultant Qualification Selection	Open - International		150,000.00	148,326.00	Signed																											2016-06-22	2016-06-23	
CS-CQ-02 / Project Financial Statements Audit	TF / 14185	Development and implementation of demonstration subprojects in public and social facilities	Prior	Consultant Qualification Selection	Open - International		50,000.00	19,806.71	Signed																											2017-04-05	2017-04-06	

KEEP/CS/CQ-26 / Energy audit, Design Estimates Documentation (DED), Designer Supervision, Technical Monitoring and Evaluation and Commissioning of Energy Efficiency Investment Subprojects (5-year implementation, 13 subprojects)	TF / 14185	Technical assistance	Post	Consultant Qualification Selection	Open - National	221,000.00	193,376.84	Signed	2020-02-03	2020-01-29	2020-02-18	2020-03-19	2020-02-25							2020-03-26	2020-03-04	2020-03-29	2020-03-13	2020-12-04
KEEP/CS/CQ-25 / Technical supervision of modernization works (4-year implementation Akmol, Kyzylorda, Kostanay, North Kazakhstan oblasts - 4 subprojects)	TF / 14185	Technical assistance	Post	Consultant Qualification Selection	Open - National	24,100.00	18,872.86	Signed	2020-02-03	2020-01-29	2020-02-18	2020-03-17	2020-03-05							2020-03-24	2020-03-26	2020-04-20	2020-03-26	2020-08-21
KEEP/CS/CQ-27 / Technical supervision of modernization works (5-year implementation 13 subprojects)	TF / 14185	Technical assistance	Post	Consultant Qualification Selection	Open - National	38,000.00	0.00	Pending Implementation	2021-02-01		2021-02-15	2021-03-10								2021-03-15		2021-03-27		2021-07-31
KEEP/CS/CQ-24 / Institutional support service Ministry of Industry and Infrastructure Development of the Republic of Kazakhstan of the initiative "Energy Efficient State Institutions (akumats) in Kazakhstan"	TF / 14185	Technical assistance	Post	Consultant Qualification Selection	Open - National	83,400.00	0.00	Under Review	2020-12-01	2021-01-15	2020-12-08		2021-01-06							2021-01-08		2021-01-10		2021-03-24
KEEP/CS/CQ-28 / SER AIS test for compliance with information security	TF / 14185	Technical assistance	Post	Consultant Qualification Selection	Open - National	16,800.00	0.00	Pending Implementation	2020-12-01		2020-12-15		2021-01-05							2021-01-08		2021-01-15		2021-04-30
KEEP/CS/CQ-29 / Service for the organization and holding of the "3rd International Forum on Energy Saving"	TF / 14185	Technical assistance	Post	Consultant Qualification Selection	Open - National	94,200.00	0.00	Under Review	2020-11-16	2021-01-15	2020-11-23		2020-12-13							2020-12-14		2020-12-16		2021-01-31
KEEP/CS/CQ-30 / Institutional support service Ministry of Industry and Infrastructure Development of the Republic of Kazakhstan of the initiative "Capacity building of the national development institute in the field of energy saving and energy efficiency improvement"	TF / 14185	Technical assistance	Post	Consultant Qualification Selection	Open - National	104,200.00	0.00	Under Review	2020-12-01	2021-01-15	2020-12-08		2020-12-25							2020-12-26		2020-12-28		2021-04-30
KEEP/CS/CQ-31 / Institutional support service Ministry of Industry and Infrastructure Development of the Republic of Kazakhstan of the initiative "Analysis of the software market for automation of calculations in the field of energy saving and energy efficiency improve"	TF / 14185	Technical assistance	Post	Consultant Qualification Selection	Open - National	18,200.00	0.00	Pending Implementation	2020-12-01		2020-12-08		2020-12-25							2020-12-26		2020-12-28		2021-04-30

INDIVIDUAL CONSULTANTS																							
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion					
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual				
KZEEP/PMU-14-01 / KZEEP/PMU-14-01 - Project Office Assistant	TF / 14185	Development and implementation of demonstration subprojects in public and social facilities	Prior	Individual Consultant Selection	Open		0.00	41,663.23	Signed					2015-01-20		2014-12-24							
KZEEP/PMU-14-04 / KZEEP/PMU-14-04 - FM Specialist	TF / 14185	Development and implementation of demonstration subprojects in public and social facilities	Prior	Individual Consultant Selection	Open		28,000.00	66,753.31	Signed					2015-01-29		2015-02-02							
KZEEP/PMU-14-03 / KZEEP/PMU-14-03 - Procurement	TF / 14185	Development and implementation of demonstration subprojects in public and social facilities	Prior	Individual Consultant Selection	Open		28,000.00	66,753.31	Signed					2015-01-29		2015-02-02							
KZEEP/CS/IC - 08 / KZEEP/CS/IC - 08 - International EE Expert for Design and Development of an Energy Efficiency Fund in Kazakhstan	TF / 14185	Development and implementation of demonstration subprojects in public and social facilities	Prior	Individual Consultant Selection	Open		100,000.00	102,684.00	Signed					2015-09-08		2015-09-08							
KZEEP/PIU-IC-05 / KZEEP/PIU-IC-05 - PIU Sr. Technical EE Specialist	TF / 14185	Development and implementation of demonstration subprojects in public and social facilities	Prior	Individual Consultant Selection	Open		45,000.00	39,457.25	Signed					2015-08-31		2015-08-28							
KZEEP/CS/IC - 07 / KZEEP/CS/IC - 07 - Technical Adviser with International Experience	TF / 14185	Development and implementation of demonstration subprojects in public and social facilities	Prior	Individual Consultant Selection	Open		45,000.00	39,950.00	Signed					2015-09-07		2015-09-23							
KZEEP/CS/SS-01 / KZEEP/CS/SS-01 - State Expertise of DED	TF / 14185	Development and implementation of demonstration subprojects in public and social facilities	Prior	Direct Selection	Direct		1,000.00	617.33	Signed							2016-05-11							
KZEEP/PIU/IC-01 / Manager of Project Implementation Unit	TF / 14185	Technical assistance	Post	Individual Consultant Selection	Open		119,800.00	0.00	Pending Implementation	2019-02-19		2019-04-09		2019-04-30		2019-06-04				2019-12-01			

KEEP/PIU/IC-11 / Procurement Specialist of Project Implementation Unit	TF / 14185	Technical assistance	Post	Individual Consultant Selection	Open		24,600.00	0.00	Canceled	2020-02-03	2020-02-11	2020-03-08		2020-03-23		2020-04-02		2020-12-29	
KZEEP/CS/CQ-22 / Technical supervision of modernization works (4-year implementation East Kazakhstan, West Kazakhstan, Karaganda, Kyzylorda oblasts - 5 subprojects)	TF / 14185	Technical assistance	Post	Individual Consultant Selection	Open		14,500.00	11,482.81	Signed	2020-04-21	2019-08-05	2020-05-25	2019-10-25	2020-06-09	2019-10-29	2020-06-19	2019-10-30	2020-12-29	
KZEEP/CS/CQ-23 / Service for the organization and holding of the "2nd International Forum on Energy Saving"	TF / 14185	Technical assistance	Post	Individual Consultant Selection	Open		170,000.00	149,041.98	Completed	2020-04-21	2019-09-09	2020-06-09	2019-10-21	2020-06-30	2019-10-28	2020-08-04	2019-10-28	2020-12-29	2019-12-09
KZEEP/PIU/IC-02 / Assistant/Translator of Project Implementation Unit	TF / 14185	Technical assistance	Post	Individual Consultant Selection	Open		18,800.00	13,830.12	Signed	2020-04-21	2019-12-17	2020-05-25	2020-02-03	2020-06-09	2020-02-03	2020-06-19	2020-02-03	2020-12-29	