Procurement Plan

General

1. Project Information Country: KENYA

2. Project Name: Kenya Informal Settlements Improvement Project 2

3. Project ID: P167814

4. Project Implementation Agency: Ministry of Transport and Infrastructure

5. Date of the Procurement Plan: Jan 26,2021

6. Period Covered by this procurement plan: 18 months

I. Preamble

In accordance with paragraph 5.9 of the "World Bank Procurement Regulations for IPF Borrowers" (July 2016) ("Procurement Regulations") the Bank's Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

II. Bank's Standard Procurement Documents:

The Bank's Standard Procurement Documents shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

III. National Procurement Arrangements: In accordance with paragraph 5.3 of the Procurement Regulations, when approaching the national market (as specified in the Procurement Plan tables in STEP), the country's own procurement procedures may be used.

When the Borrower uses its own <u>national open competitive procurement</u> arrangements as set forth in *The Public Procurement and Assets Disposal Act, 2015* such arrangements shall be subject to paragraph 5.3 and 5.4 of the Procurement Regulations:

When <u>other national procurement arrangements</u> other than national open competitive procurement arrangements are applied by the Borrower, such arrangements shall be subject to paragraph 5.5 of the Procurement Regulations.

IV. Prequalification: Not Applicable

- V. Leased Assets as specified under paragraph 5.10 of the Procurement Regulations: Not Applicable
- VI. Procurement of Second-Hand Goods as specified under paragraph 5.11 of the Procurement Regulations: Not Applicable
- VII. Domestic preference as specified under paragraph 5.51 of the Procurement Regulations (Goods and Works). Not Applicable
- VIII. Hands-on Expanded Implementation Support (HEIS) as specified under paragraphs 3.10 and 3.11 of the Procurement Regulations is Applicable. Not Applicable
 - IX. Other Relevant Procurement Information Not Applicable

X. Threshold for Prior Review, Procurement Approaches and Methods - Good, Works and Non-Consulting Services

	Drier Deview (US¢	Procurement App	roaches and Meth	nods (US\$ millions)
Category	Prior Review (US\$ millions)	Open International	Open National	Request for Quotation (RfQ)
Works				
Goods, IT, and non-consulting services				

Post Review: All contracts not subject to prior review shall be subject to post review.

Summary of the procurement packages for Good, Works and Non-Consulting Services

Sr. No	Contract Description	Estimat ed Cost (US\$)	Revie w by Bank (Prior / Post)	Market Approach (Open- National/ Limited etc.)	Selection Method (RFP, RFB, RFQ, DS etc.)	Evaluatio n Options (Most Advantag eous Bid, BAFO, Rated Criteria etc.)	Procureme nt Process (Single stage- single envelope etc.)	Prequalif ication (Yes/ No)	Domesti c Preferen ce (Yes/No)	Expecte d date of bid/ proposa I opening	Expecte d date of Contrac t Signatur e
					GOO	DS					
1.											
2.											
3.											
4.											
Total (Goods	613,00 0									
					WOR	KS					
Total	works										
		1		NON-	-CONSULT	NG SERVICE	CES		1	1	

Total Service	Non-	Consulting					

XI. Thresholds for Prior Review, Selection Approaches and Methods - Consulting Services

Category	Prior Review (US\$	Sł	nort List of National Consultants
	millions)	Consulting Services	Engineering and Construction Supervision
Consultants (Firms)			
Individual Consultants			

Note: Consultancy services estimated to cost equivalent to US \$ 300,000 and above per contract shall be advertised in the United Nations Development Business (UNDB) online in addition to advertising in national newspaper(s) of wide circulation and/or regional newspaper.

XII. Summary of the procurement packages for Consulting Services

Sr. No	Contract Description	Estimate d Cost (US\$)	Review by Bank (Prior/ Post)	Market Approach (Open - International/ National/ etc.)	Selection Method (QCBS, FBBS, LCBS, QBS, CQS, DS etc.)	Shortlisti ng (Yes/ No)	Expected date of bid/ proposal opening	Expected date of Contract Signature
1.								
2.								
3.								
4.								
5.								
6.								
7.								
8.								

9.					
10.					
Total Con	nsulting Services				

Appendix 1

Letter of Acceptance of the World Bank's Anti-Corruption Guidelines and Sanctions Framework

Date	2: _					
nvit	ation of Bid	s/Propos	als No			
Го:		· ·		 		
A / -	ala a a di		1 1	 	· · · · · · ·	

We, along with our sub-contractors, sub-consultants, service providers, suppliers, agents (whether declared or not) consultants and personnel, acknowledge and agree to abide by the World Bank's policy regarding Fraud and Corruption (corrupt, fraudulent, collusive, coercive, and obstructive practices), as set out and defined in the World Bank's Anti-Corruption Guidelines¹ in connection with the procurement and execution of the contract (in case of award), including any amendments thereto.

We declare and warrant that we, along our sub-contractors, sub-consultants, service providers, suppliers, agents (whether declared or not), consultants and personnel, , are not subject to, and are not controlled by any entity or individual that is subject to, a temporary suspension, early temporary suspension, or debarment imposed by a member of the World Bank Group, including, inter alia, a cross-debarment imposed by the World Bank Group as agreed with other international financial institutions (including multilateral development banks), or through the application of a World Bank Group finding of non-responsibility on the basis of Fraud and Corruption in connection with World Bank Group corporate procurement. Further, we are not ineligible under the laws or official regulations of [Insert name of Employer as per bidding document] or pursuant to a decision of the United Nations Security Council.

We confirm our understanding of the consequences of not complying with the World Bank's Anti-Corruption Guidelines, which may include the following:

- a. rejection of our Proposal/Bid for award of contract;
- b. in the case of award, termination of the contract, without prejudice to any other remedy for breach of contract; and
- c. sanctions, pursuant to the Bank's Anti-Corruption Guidelines and in accordance with its prevailing sanctions policies and procedures as set forth in the Bank's Sanctions Framework. This may include a public declaration of ineligibility, either indefinitely or for a stated period of time, (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;² (ii) to be a nominated³ sub-contractor,

¹Guidelines on Preventing and Combating Fraud and Corruption in Projects Financed by International Bank for Reconstruction and Development Loans and the International Development Agency Credits and Grants, dated October 15, 2006, and revised in January 2011 and July 2016, as they may be revised from time to time.

² For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project.

We understand that we may be declared ineligible as set out above upon:

- a. completion of World Bank Group sanctions proceedings according to its prevailing sanctions procedures;
- b. cross-debarment as agreed with other international financial institutions (including multilateral development banks);
- c. the application of a World Bank Group finding of non-responsibility on the basis of Fraud and Corruption in connection with World Bank Group corporate procurement; or
- d. temporary suspension or early temporary suspension in connection with an ongoing World Bank Group sanctions proceeding.

For avoidance of doubt, the foregoing effects of ineligibility do not extend to a sanctioned firm's or individual's execution of its ongoing Bank-financed contracts (or its ongoing subagreements under such contracts) that are not the subject of a material modification, as determined by the Bank.

We shall permit, and shall cause our sub-contractors, sub-consultants, agents (whether declared or not), personnel, consultants, service providers or suppliers, to permit the Bank to inspect⁴ all accounts, records, and other documents relating to the procurement process and/or contract execution (in the case of award), and to have them audited by auditors appointed by the Bank.

We agree to preserve all accounts, records, and other documents (whether in hard copy or electronic format) related to the procurement and execution of the contract.

Name of the Bidder/Consultant:	
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Name of the person duly authorized to sign the Bid/Proposal on behalf of the Bidder/Consultant:

³ A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

⁴ Inspections in this context are usually investigative (i.e., forensic) in nature: they involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data, and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third-party verification of information.

Title of the person signing the Letter: _____

PROCUREMENT PLAN Kenya : Second Kenya Informal Settlements Improvement Project General Information Country: Kenya Bank's Approval Date of the Original Pro

Revised Plan Date(s): (comma delineated, leave blank if non 2021-01-29
Project ID: P167814 GPN Date: 2021-01-08
Project Name: Second Kenya Informal Settlements Improvement Project
Loan / Credit No: IDA / 67590
Executing Agency(ies Ministry of Transport and Infrastructure

	Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qua	alification	Prequali Evaluatio		Draft E Docun Justifie		Specific Prod Notice / In		Bidding Doo		Proposal Su Opening	bmission / / Minutes	Bid Evaluati and Recomi	ion Report mendation ward	Signed Co	ntract	Contra Comple
ı														Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned
[

GOODS																											
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)																		
										Planned	Actual																

NON CONSULTING SE	RVICES																											
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-q Docur		Prequali Evaluatio	fication n Report	Draft E Docun Justifie		Specific Pro Notice / I		Bidding Do Issi	cuments as red	Proposal Su Opening	ubmission / / Minutes	Bid Evalua and Recom for A	tion Report imendation ward				Completion
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

CONSULTING FIRMS																											
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of F	kererence	Expression Not	ice	Short List Request fo	r Proposals	Request fo as Is	sued	Opening of Proposals	/ Minutes	Technical		Report a Negotiate	Evaluation and Draft d Contract		Contract	Contract Co	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
KE-MOTI-214040-CS-QCBS Consultancy Service for Mapping and Preparation of National Geodatabase for Slums/ Informal settlements	/ IDA / 67590	Institutional capacity development for slum upgrading	Prior	Quality And Cost- Based Selection	Open - National		1,600,000.00	0.00	Pending Implementation	2021-02-10		2021-03-03		2021-04-16				2021-05-14		2021-06-13		2021-07-18		2021-08-22		2022-08-22	
KE-MOTI-214043-CS-QCBS Consultancy Service for Capacity Assessment and Implementation Plan for Counties and KISIP for Slum Upgrading.	/ IDA / 67590	Institutional capacity development for slum upgrading	Post	Quality And Cost- Based Selection	Open – International		300,000.00	0.00	Pending Implementation	2021-02-14		2021-03-07		2021-04-20				2021-05-18		2021-06-17		2021-07-22		2021-08-26		2022-08-26	
KE-MOTI-215295-CS-QCBS Consultancy Services to undertake Physical Planning, Cadastral Survey and detailed Topographical Survey of 10 selected informal Settlements in Kiliff, Kitui, Makuenei and Taita Taveta Counties Lot V- Cluster 1	IDA / 67590	Integrated settlement upgrading	Prior	Quality And Cost- Based Selection	Open – International		1,300,000.00	0.00	Pending Implementation	2021-02-08		2021-03-01		2021-04-14				2021-05-12		2021-06-11		2021-07-16		2021-08-20		2022-08-20	
KE-MOTI-215297-CS-QCBS Consultancy Services to undertake Physical Planning, Cadastral survey and detailed Topographical Survey of 11 selected informal settlements in Tana River county. Lot V - Cluster 4	IDA / 67590	Integrated settlement upgrading	Prior	Quality And Cost- Based Selection	Open – International		1,400,000.00	0.00	Pending Implementation	2021-02-08		2021-03-01		2021-04-14				2021-05-12		2021-06-11		2021-07-16		2021-08-20		2022-08-20	
KE-MOTI-215299-CS-QCBS Consultancy Services to undertake Physical Planning, Cadastral Survey and detailed Topographical Survey of 8 selected informal Settlements in Siaya, Homabay, Bungoma, Transzoia and West Pokot Counties Lot V- Cluster 3	I IDA / 67590	Integrated settlement upgrading	Prior	Quality And Cost- Based Selection	Open – International		1,300,000.00	0.00	Pending Implementation	2021-02-08		2021-03-01		2021-04-14				2021-05-12		2021-06-11		2021-07-16		2021-08-20		2022-08-20	
KE-MOTI-215300-CS-QCBS Consultancy Services to undertake Physical Planning, Cadastral Survey and detailed Topographical Survey of 11 selected informal settlements in Kiambu, Tharaka Nithi and Machakos Counties. Lot V – Cluster 2	I IDA / 67590	Integrated settlement upgrading	Prior	Quality And Cost- Based Selection	Open – International		1,300,000.00	0.00	Pending Implementation	2021-02-08		2021-03-01		2021-04-14				2021-05-12		2021-06-11		2021-07-16		2021-08-20		2022-08-20	

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract C	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actua
KE-MOTI-214054-CS-INDV / consultancy Services for ndividual Program Assistant consultant (1No)		Program management and coordination	Post	Individual Consultant Selection	Open		180,000.00	0.00	Pending Implementation	2021-02-14		2021-04-04		2021-04-25		2021-05-30		2021-11-26	
KE-MOTI-214061-CS-INDV / consultancy Services for ndividual Environmental afeguard Consultant (1No)	IDA / 67590	Program management and coordination	Post	Individual Consultant Selection	Open		160,000.00	0.00	Pending Implementation	2021-02-17		2021-04-07		2021-04-28		2021-06-02		2021-11-29	

KE-MOTI-215303-CS-INDV Consultancy Services for a Land Surveyor Consultant (1 No)		Integrated settlement upgrading	Post	Individual Consultant Selection	Open	155,000.00	0.00	Pending Implementation	2021-02-08	2021-03-29	2021-04-19	2021-05-24	2021-11-20	
KE-MOTI-215304-CS-INDV , Consultancy Services for a Land Administration Consultant (1 No)	IDA / 67590	Integrated settlement upgrading	Post	Individual Consultant Selection	Open	155,000.00	0.00	Pending Implementation	2021-02-08	2021-03-29	2021-04-19	2021-05-24	2021-11-20	