

Public Disclosure Authorized

## Integrated Public Financial Management Reform Project

### PROCUREMENT PLAN (Textual Part)

#### Project information:

Country: Nepal

Project Name: Integrated Public Financial Management Reform Project,

Project ID: P164783

#### Project Implementation agency:

1. Public Expenditure and Financial Accountability Secretariat
2. Public Procurement Monitoring Office
3. Office of the Auditor General

**Date of the Procurement Plan:** March 26, 2018

**Period covered by this Procurement Plan:** April 2018 – October 2019

#### Preamble

In accordance with paragraph 5.9 of the “World Bank Procurement Regulations for IPF Borrowers” (July 2016) (“Procurement Regulations”) the Bank’s Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

***The Bank’s Standard Procurement Documents:*** shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

**National Open Procurement Arrangements:** In accordance with paragraph 5.3 of the Procurement Regulations, when approaching the national open market (as specified in the Procurement Plan tables in STEP), the country’s own procurement procedures may be used.

When the Borrower uses its own national open competitive procurement arrangements as set forth in the Nepal's Public Procurement Act 007 (1st Amendment) and the Regulations made thereunder, such arrangements shall be subject to paragraph 5.4 of the Bank's Procurement Regulations for IPF Borrowers, July 2016 (Revised November 2017), and the following conditions

- (a) Model bidding documents, including contract conditions agreed with the Bank (as amended from time to time), shall be used. Bidding documents shall be made available, by mail or in person, to all who are willing to pay the required fee.
- (b) Eligibility to participate in a procurement process for and to be awarded the Bank financed contracts shall be as defined under the paragraph Eligibility of Section III Governance. of the Procurement Regulations for IPF Borrowers, July 2016. Foreign bidders shall not be precluded from bidding and no preference of any kind shall be given to national bidders.
- (c) Prospective bidders shall be given at least thirty (30) days from the date of publication of the invitation to bid or the date of availability of the bidding documents, whichever is later, to prepare and submit bids. There shall not be any restriction on the modality for delivery of bids. Bids may be withdrawn or modified any time before the deadline for submission of bids. Bids shall be opened in public in one place, immediately after the deadline for submission of bids. Bids received after the deadline for bid submission shall be rejected and returned to bidders unopened.
- (d) Qualification criteria (in case pre-qualifications were not carried out) shall be stated in the bidding documents for all contracts, irrespective of the value, and if a registration process is required, a foreign firm declared as the lowest evaluated bidder shall be given a reasonable opportunity to register, without let or hindrance.
- (e) Evaluation of bids shall be made in strict adherence to the criteria disclosed in the bidding documents. Contract shall be awarded to the qualified bidder whose bid has been determined: (a) to be substantially responsive to the bidding documents, and (b) to offer the lowest-evaluated cost.
- (f) For contracts subject to the Bank's prior review, all bids shall not be rejected, the procurement process shall not be cancelled, and new bids shall not be solicited without the Bank's prior concurrence. In case of re-bidding, the time for preparation and submission of bids shall be as set forth under provision (c) above.
- (g) Bids shall be valid as per the period stated in the bidding documents. For contracts subject to prior review, the extension of bid validity shall not be allowed without the prior concurrence of the Bank: (a) for the first request

for extension if it is longer than four (4) weeks; and (b) for all subsequent requests for extension irrespective of the period.

- (h) Bid and performance securities shall be in the format included in the bidding documents. The bid security shall be valid for twenty-eight days (28) beyond the original validity period of the bid, or beyond any period of extension if requested. Performance security shall be an amount of five (5) to ten (10) percent (%) of the contract price and shall not be increased merely based on comparison of the contract price with the pre-bid cost estimate.
- (i) If a contract is terminated because of fundamental breach of contract by the contractor, the amount to be recovered from the contractor representing the employer's additional costs for completing the contract shall be provisioned as agreed with the Bank in model bidding documents.
- (j) At the Recipient's request, the Bank may agree to the Recipient's use, in whole or in part, of its electronic procurement system, provided the Bank is satisfied with the adequacy of such system.
- (k) With respect to contracts subject to the Bank's prior review, the Recipient shall obtain the Bank's no objection before agreeing to: (a) a material extension of the stipulated time for performance of a contract; (b) any substantial modification of the scope of services or other significant changes to the terms and conditions of the contract; (c) any variation order or amendment (except in cases of extreme urgency) which, singly or combined with all variation orders or amendments previously issued, increases the original contract amount by more than fifteen percent (15%); or (d) the proposed termination of the contract. A copy of all contract amendments shall be provided to the Bank.
- (l) The bidding document and contract as deemed acceptable by the Bank shall include provisions stating the Bank's policy to sanction firms or individuals, found to have engaged in fraud and corruption as defined in paragraph 3.32 of the Procurement Regulations.
- (m) In accordance with paragraph 5.4(c) of the Procurement Regulations, the request for bids/request for proposals document shall require that Bidders/Proposers submitting Bids/Proposals present a signed acceptance at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance with, the Bank's Anti-Corruption Guidelines, including without limitation the Bank's right to sanction and the Bank's inspection and audit rights.

When other national procurement arrangements other than national open competitive procurement arrangements are applied by the Borrower, such

arrangements shall be subject to paragraph 5.5 of the Procurement Regulations.

When other national procurement arrangements other than national open competitive procurement arrangements are applied by the Borrower, such arrangements shall be subject to paragraph 5.5 of the Procurement Regulations.

*Leased Assets as specified under paragraph 5.10* of the Procurement Regulations: **Not Applicable**

*Procurement of Second Hand Goods as specified under paragraph 5.11* of the Procurement Regulations: **Not Applicable**

*Domestic preference as specified under paragraph 5.51* of the Procurement Regulations (*Goods and Works*).

Goods: Not applicable for those contracts identified in the Procurement Plan tables;

Works: Not applicable, as no works procurement under this project.

**PROCUREMENT PLAN** Nepal : Integrated Public Financial Management Reform Project

**General Information**  
 Country: Nepal Bank's Approval Date of the Original Procurement Plan: 2018-04-11  
 Revised Plan Date(s): (comma delineated, leave blank if non 2021-01-25  
 Project ID: P164783 GPN Date:  
 Project Name: Integrated Public Financial Management Reform Project  
 Loan / Credit No: / TF / A7475, TF / A7474  
 Executing Agency(ies) Office of the Auditor General

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	

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NP-OAGN-57137-GO / Procurement of furniture and furnishing to setup meeting room in OAGN Baramahal	/	Component 2: Strengthening the Office of the Auditor General	Post	Direct Selection	Direct			5,000.00	0.00	Canceled					2018-05-10		2018-05-15									2018-06-19		2018-12-16
NP-OAGN-GO-RFQ-1 / Procurement of laptop computers,photocopy machines, scanners and training equipments	TF / A7475	Component 2: Strengthening the Office of the Auditor General	Post	Request for Quotations	Limited	Single Stage - One Envelope		5,000.00	0.00	Canceled							2018-06-04									2018-07-30		2019-01-26
NCE-01-OAGN / Procurement of 50 laptops and 40 printers to use National Audit Management System (NAMS) by auditors during execution of audit works	TF / A7475	Component 2: Strengthening the Office of the Auditor General	Post	Request for Bids	Open - National	Single Stage - One Envelope		92,000.00	84,942.72	Completed				2019-02-04	2019-03-24	2019-02-09	2020-11-05				2019-03-11	2019-05-02	2019-04-01	2019-06-10	2019-05-06	2019-06-26	2019-11-02	2019-07-21
NP-OAGN-206363-GO-RFB / Procurement of Stationary	TF / A7475	Component 2: Strengthening the Office of the Auditor General	Post	Request for Bids	Open - National	Single Stage - One Envelope		22,500.00	22,004.21	Signed				2020-11-29	2020-11-29	2020-12-04	2020-12-21				2021-01-15	2020-12-20	2021-02-14	2021-01-01	2021-03-21	2021-01-15	2021-09-17	
NP-OAGN-207120-GO-RFB / Procurement of 50 Laptops, for the use of Auditor's during the execution of audit work.	TF / A7475	Component 2: Strengthening the Office of the Auditor General	Post	Request for Bids	Open - National	Single Stage - One Envelope		50,084.00	0.00	Under Implementation				2020-12-03	2020-12-03	2020-12-08	2020-12-23				2021-01-19	2021-01-01	2021-02-18		2021-03-25		2021-09-21	
NP-OAGN-214736-GO-Dir / Procurement of Computer Server	TF / A7474	Component 2: Strengthening the Office of the Auditor General	Post	Direct Selection	Direct			5,000.00	0.00	Pending Implementation				2021-01-23		2021-01-28									2021-03-04		2021-08-31	

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
NP-OAGN-C5-1 / To procure consultancy for enhancing audit quality management , strengthen Performance audit function and citizen participatory audit (CPA) and enhancing the sustainable use of IT tools for audit management system in office of the Auditor General Nepal	TF / A7475	Component 2: Strengthening the Office of the Auditor General	Prior	Quality And Cost-Based Selection	Open - International		1,573,700.00	1,583,243.00	Signed	2018-12-31	2019-01-25	2019-01-21	2019-01-31	2019-03-06	2019-05-07			2019-04-20	2019-05-08	2019-05-20	2019-06-16	2019-06-24	2019-08-13	2019-07-29	2019-08-29	2021-03-31	

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
NP-OAGN-C5-INDV / Procurement of service to assess ICT requirements of Office of the Auditor General Nepal ,to prepare Tender documents and TOR of IT Consultant for Enhancing Sustainable use of IT tools for Audit Management .	/	Component 2: Strengthening the Office of the Auditor General	Post	Individual Consultant Selection	Open		5,000.00	0.00	Canceled	2018-06-15		2018-08-03		2018-08-24		2018-09-28		2019-03-27	