

Public Disclosure Authorized

Dedicated Grant Mechanism - Indonesia Strengthening Rights and Economies of Adat and Local Communities

GRANT No. TF0A4242

Procurement Plan

Version No.: 5

Date: Dec 7, 2020

I. General Information

1. Project information:

- a. Country: Indonesia
- b. Recipient: Samdhana Institute Indonesia
- c. Project Name: Strengthening Rights and Economies of Adat and Local Communities (P156473)
- d. Project Implementing Agency (PIA): The Samdhana Institute

2. Bank's approval Date of the procurement Plan:

- a. Version 1: March 1, 2017
- b. Version 2: May 14, 2018
- c. Version 3: October 3, 2018
- d. Version 4: April 24, 2019
- e. Version 5: December 7, 2020

3. Date of General Procurement Notice: N/A

4. Period covered by this procurement plan: The procurement plan covers implementation stage of the Project (from March 2017 to December 2021)

II. Types of Contract, Bank's Review Requirements and Applied Methods

A. Goods, Works and Non-Consulting Services

1. Procurement Method and Bank's Review Requirements:

Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

Ref. No.	Procurement Method	Procurement Method Threshold	Bank's Review Requirement
1.	Shopping (Goods)	< \$ 100,000	No prior review (only post review).
2.	Shopping (Non-Consulting Services and Small	< \$ 100,000	No prior review (only post review).
3.	Direct Contracting (Goods, Non-Consulting Services and Small Works)	No procurement method threshold. Subject to justification for Direct Contracting as per para. 3.6 of the Procurement Guidelines	Each contract \geq \$2,000,000 equivalent shall be subject to Bank's prior review. All other contracts subject to post review. Justification for proposed use of Direct Contracting method in any contract, regardless of value, shall be sent for the

2. **Pre-qualification:** Not applicable.
3. **Proposed Procedures for CDD Components (as per paragraph 3.17 of the Guidelines):** As set out in Samdhana's Procurement Manual acceptable for the Bank.
4. **Reference to (if any) Project Operational/Procurement Manual:** For procurement of contracts under the Shopping method, Samdhana may use its own procurement manual and standard procurement forms acceptable for the Bank.
5. **Any Other Special Procurement Arrangements:** Retroactive financing of eligible contracts under the procurement plan will be applicable only when permitted in accordance with the Grant Agreement.

B. Selection of Consultants

1. **Selection Method Thresholds and Bank's Review Requirements:** Selection decisions subject to prior review by Bank as stated in Appendix 1 to the Guidelines for Selection and Employment of Consultants:

Ref. No.	Selection Method	Selection Method Threshold	Bank's Review Requirement
1.	Quality and Cost Based Selection (QCBS) - Firms	May be applied to all estimated contract value. No particular threshold.	Each contract \geq \$1,000,000 equivalent shall be subject to Bank's prior review. All other contracts subject to post review. (Prior review from technical perspective of only the draft TOR)
2.	Selection Based on Consultants' Qualifications (CQS) - Firms	Only for estimated contract value < \$ 300,000 as per para. 3.7 of the Consultant Guidelines.	No prior review (only post review). (Prior review from technical perspective of only the draft TOR and draft cost estimate)
3.	Single Source Selection of Firms	No selection method threshold. Subject to justification for Single Source Selection of firms as per para. 3.9 and 3.10 of the Consultant Guidelines.	Each contract \geq \$1,000,000 equivalent shall be subject to Bank's prior review. All other contracts subject to post review. (Prior review from technical perspective of only the draft TOR, justification for use of Single Source Selection method and draft cost estimate shall be sent

Ref. No.	Selection Method	Selection Method Threshold	Bank's Review Requirement
4.	Competitive Selection of Individual Consultants (comparison of at least 3 CVs)	No threshold.	No prior review (only post review), unless for contract \geq \$ 300,000 (Draft TOR of all contracts, regardless of value, shall be sent for the Bank's comments before initiating the selection process. In addition, the Bank's prior review and no objection shall be also obtained for the exceptional deviations from the normal competitive selection procedure of individual consultants subject to the Bank's expost-review listed in paragraph 5.4 (a)-(c) of the Consultant Guidelines).
5.	Sole Source Selection of Individual Consultants	No Threshold. Subject to justification for use of sole source method for selection of individuals as per para. 5.4 of the Consultant Guidelines	No prior review (only post review), unless for contract \geq \$ 300,000 (Prior review from technical perspective of only the draft TOR, justification for use of Single Source Selection method and draft cost estimate, shall be sent for the Bank's prior approval as part of the

2. **Short list comprising entirely of national consultants:** Short list of consultants for services (firms), estimated to cost less than \$ 400,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.
3. **Any Other Special Selection Arrangements:** Retroactive financing of eligible contracts under the procurement plan will be applicable only when permitted in accordance with the Grant Agreement.

PROCUREMENT PLAN Indonesia : Strengthening Rights and Economies of Adat and Local Communities

General Information
 Country: Indonesia Bank's Approval Date of the Original Procurement Plan: 2018-05-17
 Project ID: P156473 Revised Plan Date(s): (comma delineated, leave blank if non 2021-10-21
 Project Name: Strengthening Rights and Economies of Adat and Local Communities GPN Date:
 Loan / Credit No: TF / A4242
 Executing Agency(ies) Samdhana Institute

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	

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											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
ID-SAMDHANA-44905-GO-RFQ / Equipment and materials for project support	TF / A4242	Project Management, Monitoring and Evaluation, and Institutional Development	Post	Request for Quotations	Open - National	Single Stage - One Envelope		25,200.00	0.00	Canceled							2018-01-15									2018-03-12		2018-09-08
ID-SAMDHANA-005-GO-RFQ / Equipment and materials for project support	TF / A4242	Project Management, Monitoring and Evaluation, and Institutional Development	Post	Request for Quotations	Limited	Single Stage - One Envelope		25,200.00	24,038.19	Signed							2018-01-11	2017-12-31							2018-01-27	2018-01-26	2018-01-27	
ID-SAMDHANA-258681-GO-RFQ / Laptops for Program Staff, budget code 3.2.10	TF / A4242	Project Management, Monitoring and Evaluation, and Institutional Development	Post	Request for Quotations	Limited	Single Stage - One Envelope		4,000.00	0.00	Pending Implementation							2021-10-20								2021-11-05		2021-12-05	

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
ID-SAMDHANA-44906-NC-DIR / Vendor for video documentation and publication materials	TF / A4242	Project Management, Monitoring and Evaluation, and Institutional Development	Post	Direct Selection	Direct			37,400.00	0.00	Pending Implementation					2018-01-31		2018-02-05									2018-03-12		2018-09-08
ID-SAMDHANA-008-NC-DIR / Vendor for video documentation and publication materials	TF / A4242	Project Management, Monitoring and Evaluation, and Institutional Development	Post	Direct Selection	Direct			37,400.00	0.00	Pending Implementation					2018-01-31		2019-08-01									2019-09-01		2020-01-31

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
ID-SAMDHANA-37518-CS-CQS / Audit Firm	TF / A4242	Project Management, Monitoring and Evaluation, and Institutional Development	Post	Consultant Qualification Selection	Open - National		25,000.00	0.00	Canceled	2018-01-02	2018-01-23	2018-03-08										2018-04-07	2018-05-12		2018-11-08		
ID-SAMDHANA-200892-CS-CQS / Audit for project DGM	TF / A4242	Project Management, Monitoring and Evaluation, and Institutional Development	Post	Consultant Qualification Selection	Open - National		25,000.00	0.00	Pending Implementation	2020-11-30	2020-12-02	2020-12-06										2020-12-08	2020-12-13		2021-06-11		

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion		
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	
ID-SAMDHANA-44354-CS-INDV / TA for Local Communities	TF / A4242	Project Management, Monitoring and Evaluation, and Institutional Development	Post	Individual Consultant Selection	Open		39,000.00	0.00	Canceled	2017-12-05	2018-02-02	2018-02-15			2018-02-19		2018-12-31			
ID-SAMDHANA-44352-CS-INDV / TA for Indigenous People	TF / A4242	Project Management, Monitoring and Evaluation, and Institutional Development	Post	Individual Consultant Selection	Open		39,000.00	0.00	Canceled	2017-12-05	2018-02-02	2018-02-15			2018-02-19		2018-12-31			
ID-SAMDHANA-37520-CS-INDV / TA for Gender	TF / A4242	Project Management, Monitoring and Evaluation, and Institutional Development	Post	Individual Consultant Selection	Open		39,000.00	0.00	Canceled	2017-12-05	2018-07-03	2018-02-02	2018-02-15		2018-02-19		2018-12-31			
ID-SAMDHANA-44369-CS-INDV / ESMF Specialist	TF / A4242	Project Management, Monitoring and Evaluation, and Institutional Development	Post	Individual Consultant Selection	Open		25,000.00	0.00	Canceled	2018-04-02	2018-04-06	2018-04-10			2018-04-12		2018-06-30			
ID-SAMDHANA-001-CS-INDV / TA for Local Communities	TF / A4242	Project Management, Monitoring and Evaluation, and Institutional Development	Post	Individual Consultant Selection	Limited		39,000.00	28,337.85	Signed	2018-01-26	2017-12-04	2018-01-29	2018-01-29	2018-01-31	2018-02-13	2018-02-13	2018-02-13	2019-12-31		

ID-SAMDHANA-002-CS-INDV / TA for Indigenous People	TF / A4242	Project Management, Monitoring and Evaluation, and Institutional Development	Post	Individual Consultant Selection	Limited		39,000.00	18,848.70	Signed	2018-01-26	2018-01-26	2018-01-29	2018-02-06	2018-01-30	2018-02-21	2018-02-13	2018-02-13	2019-12-31
ID-SAMDHANA-003-CS-INDV / TA for Gender	TF / A4242	Project Management, Monitoring and Evaluation, and Institutional Development	Post	Individual Consultant Selection	Limited		39,000.00	18,891.90	Signed	2018-01-26	2018-01-24	2018-01-29	2018-01-29	2018-01-31	2018-02-10	2018-02-13	2018-02-13	2019-12-31
ID-SAMDHANA-004-CS-INDV / ESMF Specialist	TF / A4242	Project Management, Monitoring and Evaluation, and Institutional Development	Post	Individual Consultant Selection	Limited		25,000.00	27,834.07	Signed	2018-04-02	2018-04-12	2018-04-04	2018-04-12	2018-04-05	2018-07-24	2018-05-11	2018-08-01	2019-12-31
ID-SAMDHANA-007-CS-INDV / Mid-Term Review	TF / A4242	Project Management, Monitoring and Evaluation, and Institutional Development	Post	Individual Consultant Selection	Limited		50,000.00	0.00	Under implementation	2019-03-05	2019-03-12	2019-04-15		2019-04-30		2019-05-07		2019-08-31
ID-SAMDHANA-006-CS-CDS / Consulting firm for Project Financial Audit from July 2017 to December 2018	TF / A4242	Project Management, Monitoring and Evaluation, and Institutional Development	Post	Direct Selection	Direct		25,000.00	7,605.67	Signed	2018-11-30	2018-11-30	2019-01-07	2019-01-07			2019-02-22	2019-04-01	2019-06-30
ID-SAMDHANA-207410-CS-CDS / Safeguard specialist consultant on mining	TF / A4242	Project Management, Monitoring and Evaluation, and Institutional Development	Post	Individual Consultant Selection	Direct		11,000.00	0.00	Pending implementation	2020-12-03		2020-12-13		2020-12-18		2021-01-04		2021-07-03