

UKRAINE

Ukraine District Heating Energy Efficiency Project (Loan Agreements No.8387-UA and TF016327)

1.

Ukraine has received financing from the International Bank for Reconstruction and Development (hereinafter called IBRD or “Bank”) in related Loan Agreement No.TF016327 (USD 50,00 million) toward the cost of the Project. The Project includes two components: A) Energy Efficiency Investments; B) Technical Assistance and Capacity Building and seeks to assist in reconstruction and replacement of district heating systems. The Project is implemented under general supervision of Ministry of Regional Development, Construction and Housing and Communal Services. The CPMU will be responsible for management and coordination of UDHEEP activities on behalf of Minregion. The CPMU will also provide assistance in the Investment Component and day-to-day management of the activities is the implementation of the Project is regulated by Decree No.70 of the Cabinet of Ministers of Ukraine “On the Preparation, Implementation, and Monitoring of the Project”, legal acts of the Cabinet of Ministers of Ukraine, orders of Minregion, Project Operations Manual and IBRD Guidelines.

2. Bank’s approval Date of the Procurement Plan:

Original: November 05, 2015

Revision 1: August 15, 2016

Revision 2: March 6, 2018

Revision 3: August 30, 2018

Revision 4: June 11, 2019

Revision 5: August , 2019

3. Date of General Procurement Notice: September 08, 2014

4. Period covered by this procurement plan: September 08, 2014 - October 30, 2020

5.

Expenditure Category	Contract Value	Procurement Method	
Works, D,S&I contracts	>=5 000 000	ICB	
	<5 000 000	NCB	
	<200 000	Shopping	
	NA	DC	
Goods, IT, non-consulting services	>=1 500 000	ICB	
	<1 000 000	NCB	
	<100 000	Shopping	
	NA	DC	
Consultant Services	>=300 000	QCBS, QBS,FBS,LCS	
	<300 000	QCBS, QBS,FBS,LCS and CQS	
	NA	SSS	
	NA	IC	

Notes

ICB -International Competitive Bidding
NCB- National Competitive Bidding;
QCBS - Quality-and Cost Based Selection
QBS- Quality Based Selection;
FBS - Fixed Budget Selection;
LCS - Least-Cost Selection;
CQS -Consultant's Qualification Selection
IC-Individual Consultants;
DC-Direct Contract;
SSS-Single (or Sole) Source Selection

PROCUREMENT PLAN Ukraine : The District Heating Energy Efficiency Project

General Information
Country: Ukraine **Bank's Approval Date of the Original Procurement Plan:** 2019-11-11
Revised Plan Date(s): (comma delineated, leave blank if none) 2020-10-27
Project ID: P132741 **GFN Date:**
Project Name: The District Heating Energy Efficiency Project
Loan / Credit No: IBRD / 83870, TF / 16327
Executing Agency(ies): Central Project Management Unit at Ministry for Communities and Territories Development of Ukraine

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		Planned	Actual
UDHEEP-DNP-ICB-04 / Technical Modernization of Boiler Houses in the City of Dnipropetrovsk	IBRD / 83870	Component 1: Energy Efficiency Investments	Prior	Request for Bids	Open - International	Single Stage - One Envelope				831,000.00	862,695.21	Signed					2017-04-21							2017-06-08		2017-07-13	2017-09-13	2017-08-21	2017-10-17	2019-02-12	
UDHEEP-VIN-ICB-05 / Installation of Individual Heating Stations in the City of Vinnytsia	TF / 16327	Component 1: Energy Efficiency Investments	Prior	Request for Bids	Open - International	Single Stage - One Envelope				6,700,000.00	4,780,836.54	Canceled					2015-12-23							2016-03-10		2016-04-30	2016-06-23	2016-06-14	2017-12-09		

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		Planned	Actual

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion			
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		Planned	Actual	
UDHEEP-KAM-ICB-03 / Installation of Individual Heating Stations in the City of Kamyanets-Podilskyi	TF / 16327	Component 1: Energy Efficiency Investments	Prior	Request for Bids	Open - International	Single Stage - One Envelope		1,990,000.00	1,911,503.76	Signed					2017-06-21									2017-08-04		2017-10-03	2016-01-19	2017-11-02	2016-02-03	2019-05-06

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion			
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
UDHEEP-DNP-CQ-02 / Preparation of preliminary design and bidding documentation for reconstruction and technical modernization of boiler houses and installation of individual heat substations with reconstruction of heating networks in the city of Dnipropetrovsk	IBRD / 83870	Component 1: Energy Efficiency Investments	Prior	Consultant Qualification Selection	Open - International		300,000.00	247,243.07	Canceled			2016-03-11		2016-04-11									2016-05-16	2016-08-22	2016-05-31		2016-11-28		

UDHEEP-DNP-CQ-02/R / Preparation of preliminary design and bidding documentation for reconstruction and technical modernization of boiler houses and last allation of individual heat substations with reconstruction of heating network in city Dniipropetrovsk	IBRD / 83870	Component 1: Energy Efficiency Investments	Prior	Consultant Qualification Selection	Open - International		0.00	296,691.69	Signed									2016-08-31	2016-09-02			
UDHEEP-DNP-CQ-09 / Preparation of Detailed Design and Bidding Documents for Reconstruction of Heating Networks in the City of Dniipropetrovsk	IBRD / 83870	Component 1: Energy Efficiency Investments	Prior	Consultant Qualification Selection	Open - International		300,000.00	254,982.43	Signed			2016-05-12	2016-05-27					2016-06-17	2017-03-15	2016-06-30	2017-03-22	2018-12-31
UDHEEP-CQ-C-012.1 / Conducting of annual beneficiary satisfaction surveys with FTBs and building level metering in participating cities	IBRD / 83870	Component 1: Energy Efficiency Investments	Prior	Consultant Qualification Selection	Open - International		300,000.00	297,500.00	Signed			2017-07-06	2017-07-27					2017-08-17	2017-11-15	2017-08-31	2018-03-16	2020-10-30
UDHEEP-LCS-C-011/1 / UDHEEP Audit for 2016-2020	IBRD / 83870	Component 1: Energy Efficiency Investments	Prior	Least Cost Selection	Open - International		0.00	43,382.06	Signed										2017-07-13		2017-07-13	
UDHEEP-CQ-C-011 / Project Implementation Consultant Support	IBRD / 83870	Component 1: Energy Efficiency Investments	Prior	Consultant Qualification Selection	Open - International		300,000.00	298,900.00	Signed										2015-08-11		2015-08-11	
DHHEEP-QCBS-01 / Incentive-Based Tariffs	TF / 18327	Component 1: Energy Efficiency Investments	Prior	Quality And Cost Based Selection	Open - International		0.00	1,424,055.88	Cancelled										2016-06-21			

INDIVIDUAL CONSULTANTS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion			
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		
UDHEEP-IC-13 / CPMU Procurement Specialist	IBRD / 83870	Component 1: Energy Efficiency Investments	Prior	Individual Consultant Selection	Open		0.00	58,096.00	Under Review							2018-05-10		2018-05-21			
UDHEEP-IC-10 / Contract Performance Monitoring Specialist	IBRD / 83870	Component 1: Energy Efficiency Investments	Prior	Individual Consultant Selection	Open		0.00	124,583.16	Under Review							2016-07-07		2016-07-25			
UDHEEP-IC-09 / Environmental Specialist	IBRD / 83870	Component 1: Energy Efficiency Investments	Prior	Individual Consultant Selection	Open		0.00	111,180.00	Under Review							2016-12-23		2017-05-23			
UDHEEP-IC-08 / Technical Supervision Specialist (Engineer)	IBRD / 83870	Component 1: Energy Efficiency Investments	Prior	Individual Consultant Selection	Open		0.00	157,838.26	Under Review							2015-07-25		2015-08-14			
UDHEEP-IC-04 / Procurement Specialist	IBRD / 83870	Component 1: Energy Efficiency Investments	Prior	Individual Consultant Selection	Open		0.00	130,784.00	Signed							2015-07-25		2015-08-14			
UDHEEP-IC-02 / Deputy Head of CPMU	IBRD / 83870	Component 1: Energy Efficiency Investments	Prior	Individual Consultant Selection	Open		0.00	174,900.00	Under Review							2015-08-13		2015-08-14			

UDHEEP-IC-07 / Accountant - Central Project Management Unit (CPMU)	IBRD / 83870	Component 1: Energy Efficiency Investments	Prior	Individual Consultant Selection	Open		0.00	140,280.00	Under Review						2015-12-07		2015-12-23		
UDHEEP-IC-01 / Head of CPMU	IBRD / 83870	Component 1: Energy Efficiency Investments	Prior	Individual Consultant Selection	Open		0.00	227,200.00	Under Review						2015-06-08		2015-07-23		
UDHEEP-IC-03 / Public Relations Specialist of Central Project Management Unit	IBRD / 83870	Component 1: Energy Efficiency Investments	Prior	Direct Selection	Direct		0.00	177,500.00	Under Review								2015-08-14		
UDHEEP-IC-06 / Financial Management Specialist of Central Project Management Unit	IBRD / 83870	Component 1: Energy Efficiency Investments	Prior	Direct Selection	Direct		0.00	170,400.00	Under Review								2015-08-14		
UDHEEP-IC-05 / Procurement Specialist (Consulting Services)	IBRD / 83870	Component 1: Energy Efficiency Investments	Prior	Individual Consultant Selection	Open		0.00	158,380.00	Signed						2015-07-28		2015-08-14		
UDHEEP - IC - 11 / Project assistant	IBRD / 83870	Component 2: Technical Assistance and Capacity Building	Post	Individual Consultant Selection	Open		107,743.48	0.00	Pending Implementation	2020-10-27		2020-10-30		2020-10-31		2020-11-02		2025-11-08	
UDHEEP - IC - 12 / Financial management specialist	IBRD / 83870	Component 2: Technical Assistance and Capacity Building	Post	Individual Consultant Selection	Open		107,900.00	0.00	Pending Implementation	2020-10-27		2020-10-30		2020-10-31		2020-11-02		2021-10-30	
UDHEEP - IC - 14 / Legal expert	IBRD / 83870	Component 2: Technical Assistance and Capacity Building	Post	Individual Consultant Selection	Open		22,004.00	0.00	Pending Implementation	2020-10-27		2020-10-30		2020-10-31		2020-11-02		2021-10-30	