

PEOPLE'S REPUBLIC OF CHINA

**Yangtze River Protection and Ecological
Restoration Program (P171644)
— Investment Project Financing Component**

**Appraisal Stage
Environmental and Social Commitment Plan
(ESCP)**

October 26, 2021

ESCP

1. The People's Republic of China (hereinafter the **Borrower**) shall implement certain technical assistance (TA) activities as an Investment Project Financing component (hereinafter **the IPF Component or Project**) under the Yangtze River Protection and Ecological Restoration Program with the involvement of the National Development and Reform Commission (**NDRC**), which will host the Central Program Management Office (**CPMO**), and the Changjiang (Yangtze) Water Resources Commission (**CWRC**)¹. The International Bank for Reconstruction and Development (hereinafter the **Bank**) has agreed to provide financing for the IPF Component.
2. The Borrower shall implement material measures and actions so that the IPF Component is implemented in accordance with the Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. The Borrower shall also comply with the provisions of any other Environmental and Social (E&S) documents required under the Environmental and Social Framework (ESF) and referred to in this ESCP, such as the Environmental and Social Management Framework (ESMF), Stakeholder Engagement Framework (SEF), and Grievance Redress Mechanism (GRM). and the timelines specified in those E&S documents.
4. The Borrower is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the entity, agency or unit referenced in paragraph 1. above.
5. Implementation of the material measures and actions set out in this ESCP shall be monitored and reported as required by the ESCP and the conditions of the loan agreement. The Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the IPF Component.
6. As agreed by the Bank and the Borrower, this ESCP may be revised from time to time during implementation of the IPF Component to reflect adaptive management in response to changes and unforeseen circumstances, or in response to an assessment of performance of the IPF Component conducted under the ESCP itself. In such circumstances, the Borrower, through NDRC, will agree to the changes with the Bank and will update this ESCP to reflect such changes. Any agreement on changes to this ESCP shall be documented through the exchange of letters signed between the Bank and the Borrower, through NDRC. The Borrower, through NDRC shall promptly disclose the updated ESCP.
7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Borrower shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include occupational and community health and safety risks and impacts, and downstream risks and impacts of relevant technical assistance activities under the Project.

¹ The administrative arrangement to formalize the organizational set-up for CPMO is to be confirmed before the end of Appraisal.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental and social (E&S) performance of the IPF Component, including but not limited to the implementation of the measures and actions in the ESMF, ESCP and SEF, and the functioning of GRM.</p>	Bi-annually throughout the period of IPF Component implementation	Central Program Management Office (CPMO)
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Bank of any incident or accident related to the IPF Component which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers. As per the Bank's request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.</p>	Notify the Bank within 48 hours after learning of the incident or accident; and submit subsequent report as specified by the Bank.	CPMO and TA Implementation Agenc[ies]
ESS1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE - CPMO</p> <p>Establish and maintain E&S management positions as per the ESMF with at least one qualified environmental and one social staff and adequate resources to support management of E&S risks and impacts.</p> <p>Ensure that the Expert Advisory Committee has an external environmental risk management expert and an external social risk management expert to advise the CPMO in E&S risks and impacts management during TA activities implementation.</p> <p>The TORs and qualifications of both environmental and social specialists and experts shall be consistent with ESMF and acceptable to the Bank.</p>	Appoint the staff/experts prior to commencement of the first TA activity, and maintain throughout the period of IPF Component implementation	CPMO
1.2	<p>ORGANIZATIONAL STRUCTURE – TA Implementation Agencies</p> <p>Ensure that each TA implementation agency has at least one environmental expert and one social expert to conduct E&S risks and impacts research and management as per the ESMF. The TORs and qualifications of the E&S experts shall be consistent with the ESMF and acceptable to the Bank.</p>	Prior to commencement of the first TA activity, and maintain throughout the period of IPF Component implementation	CPMO and TA Implementation Agenc[ies]
1.3	<p>ENVIRONMENTAL AND SOCIAL ASSESSMENT</p> <p>Update, disclose, adopt, and implement the ESMF that has been prepared for the IPF Component, in a manner acceptable to the Bank.</p>	Throughout IPF Component implementation	CPMO

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.4	<p>MANAGEMENT TOOLS AND INSTRUMENTS</p> <p>Implement all commitments as defined in the ESMF for the IPF Component, including but not limited to:</p> <ul style="list-style-type: none"> Ensure the Terms of Reference (TORs) for all TA activities shall include requirements for assessment of potential downstream E&S risks and impacts, stakeholder engagement, information disclosure, proposing mitigation measures, etc., consistent with ESSs and submit the TORs to the Bank for review and no-objection. Screen and confirm appropriate E&S assessment tools for relevant TA activities as per the ESMF, and implement them during the TA activities. Relevant E&S instruments include an E&S analysis chapter, cumulative impact assessment (CIA), strategic environmental and social impact assessment (SESA) as identified in the ESMF. Include E&S risks and impacts assessment in the output reports of TA activities. Such output reports to be consistent with relevant ESSs shall be submitted to the Bank for review and no-objection. 	<p>Prior to commencement of the first TA activity, and maintain throughout the period of IPF Component implementation</p> <p>Prior to final acceptance of the TA output reports</p>	<p>CPMO and TA Implementation Agenc[ies]</p>
1.5	<p>MANAGEMENT OF CONTRACTORS</p> <p>Incorporate the relevant aspects of the ESCP, and the relevant requirements in the ESMF on labor management, Occupational Health and Safety (OHS), and Grievance Redress Mechanism (GRM), consistent with China's laws and regulations and ESS2, into the procurement documents of the contractors. Thereafter ensure that the contractors comply with such E&S requirements under their respective contracts.</p>	<p>Prior to the preparation of bidding documents. Supervise contractors throughout IPF Component implementation</p>	<p>CPMO</p>
ESS2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>Ensure the labor management procedure of the CPMO and TA implementation agencies comply with national laws and regulations, and requirements of ESS2.</p>	<p>Throughout IPF Component implementation</p>	<p>CPMO and TA Implementation Agenc[ies]</p>
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Establish, maintain, and operate a GRM for Project workers in accordance with the ESMF and consistent with ESS2.</p>	<p>Operational prior to engaging Project workers, and throughout IPF Component implementation</p>	<p>CPMO and TA Implementation Agenc[ies]</p>
2.3	<p>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</p> <p>Prepare, adopt, and implement OHS measures in accordance with the ESMF and ESS2 requirements.</p>	<p>Throughout IPF Component implementation</p>	<p>CPMO and TA Implementation Agenc[ies]</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
2.4	If relevant, the TORs and outputs of the TA activities should analyze potential downstream risks and impacts regarding labor and working conditions and propose appropriate recommendations on labor risk management in accordance with applicable regulations, ESMF and ESS2.	Throughout IPF Component implementation	CPMO and TA Implementation Agenc[ies]
ESS3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT Assessment of the potential downstream risks and impacts with regards to resource efficiency and pollution prevention and management and recommendations shall be included in the TORs and output reports of the TA activities in accordance with the ESMF and consistent with ESS3.	Throughout IPF Component implementation	CPMO and TA Implementation Agenc[ies]
ESS4: COMMUNITY HEALTH AND SAFETY			
4.1	TRAFFIC AND ROAD SAFETY: Assessment of the potential downstream traffic and road safety impacts and measures and recommendations shall be included in the TORs and output reports of TA activities in accordance with the ESMF and consistent with ESS4.	Throughout IPF Component implementation	CPMO and TA Implementation Agenc[ies]
4.2	COMMUNITY HEALTH AND SAFETY: Assessment of the community health and safety risks and impacts, and mitigation recommendations shall be included in the TORs and output reports of TA activities.	Throughout IPF Component implementation	CPMO and TA Implementation Agenc[ies]
ESS5 LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	RESETTLEMENT RISKS and IMPACTS: As relevant, assessment of the potential downstream land acquisition and resettlement risks and impacts, and mitigation measures and recommendations shall be included in the TORs and output reports of the TA activities in accordance with the ESMF and consistent with ESS5.	Throughout IPF Component implementation	CPMO and TA Implementation Agenc[ies]
5.2	GRIEVANCE MECHANISM: As relevant, include the GRM in the TORs and output reports of the TA activities to address potential downstream resettlement related complaints in accordance with the ESMF and consistent with ESS5 and ESS10.	Throughout IPF Component implementation	CPMO and TA Implementation Agenc[ies]
ESS6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	BIODIVERSITY RISKS AND IMPACTS: As relevant, assessment of the potential downstream biodiversity risks and impacts and mitigation recommendations shall be included in the TORs and output reports of the TA activities in accordance with the ESMF and consistent with ESS6.	Throughout IPF Component implementation	CPMO and TA Implementation Agenc[ies]
ESS7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
7.1	As relevant, the assessment of potential downstream risks and impacts on ethnic minority areas and mitigation measures and recommendations shall be included in the TORs and output reports in accordance with the ESMF and consistent with ESS8. Culturally appropriate provisions on engagement and disclosure with ethnic minorities shall be included in the TORs.	Prior to commencement of the first TA activity	CPMO and TA Implementation Agenc[ies]
7.2	ETHNIC MINORITY ENGAGEMENT As relevant, the Stakeholder Engagement Plan (SEP) shall include culturally appropriate provisions and methods for engagement with ethnic minorities. During the TA activities, ethnic minorities shall be meaningfully consulted, and the engagement activities shall be monitored and reflected in the E&S monitoring reports.	Throughout IPF Component implementation	CPMO and TA Implementation Agenc[ies]
7.3	As relevant, prepare, adopt, disclose and implement a GRM for ethnic minorities in consideration of the special needs, priorities and circumstances of ethnic minorities, and in accordance with ESS10.	Operational before the effective date of the Loan Agreement, and throughout IPF Component implementation	CPMO and TA Implementation Agenc[ies]
ESS8: CULTURAL HERITAGE			
8.1	Assessment of potential downstream risks and impacts on cultural heritage, and mitigation measures and recommendations shall be included in the TORs and output reports of the TA activities.	Throughout IPF Component implementation	CPMO and TA Implementation Agenc[ies]
ESS9: FINANCIAL INTERMEDIARIES			
This standard is not relevant.			
ESS10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PLAN PREPARATION Update, disclose, adopt, and implement the SEF prepared for the IPF Component, in a manner acceptable to the Bank.	Throughout IPF Component implementation	CPMO
10.2	STAKEHOLDER ENGAGEMENT PLAN PREPARATION According to the SEF of the IPF Component, the TORs prepared by the CPMO for the TA activities shall include stakeholder engagement requirements consistent with ESS10 and differentiated measures to allow for the effective participation of those identified as disadvantaged or vulnerable. According to the SEF of the IPF Component, the CPMO shall ensure that the TA work plan shall cover an adequate plan to enforce stakeholder engagement consistent with ESS10 before the commencement of the TA activities and submit it to the Bank for review and no-objection.	Throughout IPF Component implementation Throughout IPF Component implementation	CPMO CPMO and TA Implementation Agenc[ies]

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
10.3	<p>INFORMATION DISCLOSURE</p> <p>Disclose information on the TA activities on the official website as required in the ESMF, including but not limited to the grievance mechanisms, SEP, potential risks and impacts, and mitigation measures (especially for vulnerable groups), outputs, etc.</p>	Throughout IPF Component implementation	CPMO and TA Implementation Agenc[ies]
10.4	<p>STAKEHOLDER ENGAGEMENT</p> <p>Conduct engagement and consultation activities as early as possible before the commencement of the TA activities to identify the stakeholders and learn their opinions and suggestions to refine the TOR of the TA activities.</p> <p>Ensure that TA Implementation Agenc[ies] conduct information disclosure, public participation and consultation activities (including vulnerable groups) as per the requirements set out in the TOR and TA work plan during the TA activities, and report the outcome of stakeholder engagement to the CPMO regularly.</p> <p>After a draft output report is prepared, conduct stakeholder engagement to collect opinions on such report from all stakeholders including vulnerable groups extensively, and revise such report based on the feedback.</p>	<p>Preparation stage of TA activities</p> <p>Research stage of TA activities</p> <p>Review stage of TA activities</p>	<p>CPMO</p> <p>CPMO and TA Implementation Agenc[ies]</p> <p>CPMO and TA Implementation Agenc[ies]</p>
10.5	<p>PROJECT GRIEVANCE MECHANISM:</p> <p>GRM arrangements developed in the SEF during the preparation of the IPF Component shall be maintained throughout the implementation of the IPF Component.</p>	Operational before the effective date of the Loan Agreement, and throughout IPF Component implementation	CPMO and TA Implementation Agenc[ies]
CAPACITY SUPPORT (TRAINING)			
CS1	<p>The CPMO shall carry out training for its staff and the TA Implementation Agenc[ies] staff according to the training plan in the ESMF, including but not limited to:</p> <ul style="list-style-type: none"> • Bank ESF; • Bank Advisory Note on TA and the ESF • Relevant requirements of the ESMF; • Requirements, strategies and methods of stakeholder engagement 	After effectiveness of the Loan Agreement, and throughout IPF Component implementation	CPMO
CS2	<p>Provide E&S management training to the TA Implementation Agenc[ies], including but not limited to:</p> <ul style="list-style-type: none"> • Personal health and safety during fieldwork; • Traffic safety; • Emergency response and preparedness. 	After effectiveness of the Loan Agreement, and throughout IPF Component implementation	CPMO and TA Implementation Agenc[ies]