



UNITED NATIONS OFFICE FOR PROJECT SERVICES

**For the benefit of the Republic of Yemen
Yemen Integrated Urban Services Emergency Project
Additional Financing (P178270)**

Environmental and Social Commitment Plan (ESCP)

29 November 2021



Environmental and Social Commitment Plan

1. The United Nations Office for Project Services (hereinafter UNOPS) shall (for the benefit of the Republic of Yemen) implement the Yemen Integrated Urban Services Emergency Project Additional Financing ((P178270) with the additional financing of US\$120 million to YIUEP II with the involvement of the following local agencies: Public Works Project (PWP), Road Maintenance Fund - Implementation Unit (RMF-IU) and Urban Water - Project Management Unit (UW-PMU). The International Development Association (hereinafter the Association) has agreed to provide financing for the Project.
2. The UNOPS shall carry out the Project in accordance with the Environmental and Social Standards (ESSs). To this end, this updated Environmental and Social Commitment Plan (ESCP) sets out material measures and actions to be carried out or caused to be carried out by UNOPS, including the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, grievance management and the environmental and social assessments and instruments to be prepared or updated, disclosed, consulted upon, adopted and implemented under the ESCP and the ESSs, all in a manner acceptable to the Association.
3. Implementation of the material measures and actions set out in this ESCP shall be monitored and reported to the Association by UNOPS as required by the updated ESCP and the provisions of the Financing Agreement.
4. As agreed by the Association and UNOPS, this ESCP may be revised from time to time during Project implementation as in the case of the parent project (YIUSEP II), to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, UNOPS shall agree to the changes with the Association and shall update the ESCP to reflect such changes. Agreement on changes to the ESCP shall be documented through the exchange of letters signed between the Association and UNOPS. UNOPS shall promptly disclose the updated ESCP.
5. Where Project changes, for unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, UNOPS shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts.

| MATERIAL MEASURES AND ACTIONS | | TIMEFRAME | RESPONSIBLE ENTITY/AUTHORITY |
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| MONITORING AND REPORTING | | | |
| A | REGULAR REPORTING. UNOPS shall prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to, stakeholder engagement activities and grievance log. | Every 6 months as part of the technical progress report starting from the Effectiveness Date and throughout Project implementation. | UNOPS |
| B | INCIDENTS AND ACCIDENTS. UNOPS shall: <ul style="list-style-type: none"> • Promptly notify the Association of any incident or accident related to the Project, which has, or is likely to have, a significant adverse effect on the environment, the targeted communities, the public or contracted workers and consultants including security incidents, sexual exploitation and abuse and sexual harassment (SEA/SH) among others. • Provide details regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Association's request, UNOPS shall prepare a report on the incident or accident and propose measures to prevent or mitigate the risk of its recurrence. | <p>UNOPS shall notify the Association within 24-48 hours after learning of the incident or accident and provide an initial report within 10 days indicating possible root causes and proposing possible corrective actions.</p> <p>As soon as reasonably practicable, but no later than thirty (30) days after such incident or accident, unless agreed otherwise with the Association, UNOPS shall carry out a root cause analysis of the incident/accident and provide the Association with a Summary Report that includes (i) a description of such incident or accident, (ii) the measures, if any, that UNOPS is taking or plans to take to address such incident or accident and to prevent any future similar event, and (iii) identification of any part of the information for which confidentiality is required.</p> <p>This notification system shall be in place during Project implementation.</p> | UNOPS |

| MATERIAL MEASURES AND ACTIONS | TIMEFRAME | RESPONSIBLE ENTITY/AUTHORITY |
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| ESS 1. ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS | | |
| <p>1.1</p> | <p>ORGANIZATIONAL STRUCTURE.</p> <p>a. UNOPS and its local implementing partners (PWP, UW PMU, RMF-IU) shall maintain the project management unit (PMU) with qualified staff and resources to support the management of the Environmental, Social, Health, and Safety (ESHS) risks and impacts of the project, including Environmental and Social Safeguards Officer (ESSO), the Gender Mainstreaming Officer, and the Health and Safety Officer, all with qualifications and experience acceptable to the Association. Additional personnel may be recruited to manage the additional financing to the parent project (YIUSEP II).</p> <p>b. The implementing partners will each have in place an ESSO and a Health and Safety retaining consultants one month after the Effective Date of the Project. When necessary, each implementing partner will be responsible for preparing site-specific ESMPs/instruments for sub-projects the agency implements, as well as responsible for the monitoring and reporting on the compliance with these site-specific ESMPs/instruments with support from UNOPS.</p> <p>c. The implementing partners shall also use site engineers/consultants as needed to monitor and report on ESHS performance. Additional personnel may be recruited to manage the additional financing to the parent project (YIUSEP II).</p> | <p>a. The PMU within UNOPS, including local E&S retaining consultants, shall be maintained throughout Project implementation. Additional personnel may be recruited to manage the additional financing to the parent project (YIUSEP II) not later than 30 days after the Effective Date.</p> <p>b, c. The Implementing Partners shall maintain their respective ESSO and Health and Safety retaining consultants throughout Project implementation.</p> <p>UNOPS</p> <p>PWP, UW PMU, RMF-IU</p> |

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| 1.2 | <p>ENVIRONMENTAL AND SOCIAL ASSESSMENT/MANAGEMENT PLANS AND INSTRUMENTS/ CONTRACTORS. UNOPS and its implementing partners shall:</p> <ul style="list-style-type: none"> Update, consult, disclose, and implement the updated Environmental and Social Management Framework (ESMF) disclosed for YIUSEP II, Additional Financing. The ESMF, which has been updated for the additional financing to the parent project, includes provisions on Occupational Health and Safety (OHS), labor management procedure (LMP), ESHS risks, and SEA/SH, in accordance with the ESSs. Furthermore, the updated ESMF shall incorporate measures related to the Project's Contingent Emergency Response Component (CERC), including the assurance that individuals or groups who, because of their particular circumstances, may be disadvantaged or vulnerable, have access to the development benefits resulting from the Project. Depending on the activities to be financed under the Contingent Emergency Response Component, it might be required for a preparation of a separate environmental and social risk management instrument. Conduct environmental and social impact screening and assessment for all sub-project activities and prepare activity specific environmental and social management plans (ESMPs) as per the updated ESMF, implement and monitor each activity specific ESMP. Integrate the activity specific ESMPs and the ESHS requirements into procurement documents to ensure that the ESHS requirements shall be cascaded down to contractors. | <p>The ESMF was prepared, disclosed on 20 May 2021, and was updated and shall be redisclosed prior to Board Date on 16 December 2021 and shall be implemented throughout Project implementation, and activity specific instruments shall be prepared before the carrying out of the relevant Project activities.</p> <p>Site-specific ESMPs shall be prepared in line with the updated ESMF, consulted upon, disclosed, and adopted before launching the procurement process for the relevant Project activities and implemented throughout Project implementation. The number of sub-projects planned, screened and the implemented mitigation measures shall be reported in biannual and annual Reports.</p> | <p>UNOPS PWP, UW-PMU, RMF-IU</p> <p>UNOPS PWP, UW-PMU, RMF-IU</p> |
| | <ul style="list-style-type: none"> Incorporate the relevant aspects of this ESCP, including, inter alia, any environmental and social management plans or other instruments, ESS2 requirements, and any other required ESHS measures, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter, ensure that the contractors and supervising firms comply with the ESMP of their respective activities and the Project's ESHS requirements. | <p>Before launching the procurement process for the relevant Project activities under the AF, and thereafter throughout the carrying out of such activities.</p> | <p>UNOPS PWP, UW-PMU, RMF-IU</p> |

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| 1.3 | <p>EXCLUSIONS. UNOPS shall exclude the following type of activities as ineligible for financing under the Project:</p> <ul style="list-style-type: none"> • Activities that may cause long term, permanent and/or irreversible adverse impacts (e.g., loss of major natural habitat). • New constructions or expansions that may involve permanent resettlement or land acquisition or adverse impacts on cultural heritage. • Activities that have a high probability of causing serious adverse effects to human health and/or the environment not related to treatment of COVID-19 cases. • Activities that may have significant adverse social impacts and may give rise to significant social conflict. • Activities that may adversely affect lands or rights of vulnerable minorities. • Activities that might involve significant impacts on biodiversity or living natural resources • Activities that might have a significant impact on tangible or intangible cultural heritage • Any other excluded activities offset out in the ESMF of the project. | During the assessment process conducted under action 1.2 above. | UNOPS |
| 1.4 | <p>CERC. UNOPS shall.</p> <p>(a) Prepare an annex to the ESMF when the CERC is triggered, if activities to be financed under the CERC go beyond activities covered under the approved updated ESMF.</p> <p>(b) Ensure that the CERC Operation Manual includes a description of the ESHS assessment and management arrangements including CERC-ESMF Addendum that has been included or referred to in the CERC Operation Manual for the implementation of Component 3 of the Project (CERC Part), in accordance with the ESSs.</p> | <p>Prior to implementation of CERC activities</p> <p>(b) The adoption of the CERC Operation Manual and, if applicable, other documents as relevant in form and substance acceptable to the Association is a withdrawal condition under Section IV of Schedule 2 of the Financing Agreement for the Project.</p> | UNOPS |

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| | (c) Prepare, consult, disclose, and adopt any environmental and social (E&S) management plans or instruments which may be required for activities under Component 3 of the Project, in accordance with the CERC Operation Manual and, CERC-ESMF Addendum and the ESSs, and thereafter implement the measures and actions required under said E&S management plans or instruments, within the timeframes specified in said E&S management plans or instruments. | (c) The E&S management plans or instruments shall be prepared, disclosed, consulted, and thereafter adopted before the carrying out of the relevant Project activities under Component 3. The E&S management plans or instruments shall be implemented in accordance with their terms, throughout Project implementation. | |
| 1.5 | TECHNICAL ASSISTANCE UNOPS shall ensure that the consultancies, studies, capacity building, training, and any other technical assistance provided under Component 2.2 of the Project is undertaken pursuant to terms of reference (ToRs) reviewed and approved by the Association, incorporating the relevant requirements of the ESSs. | Obtain prior review and approval by the Association of terms of reference of TA activities. | UNOPS PWP, UW-PMU, RFM-IU |
| 1.6 | THIRD PARTY MONITORING UNOPS shall: (i) appoint a third-party monitoring consultant, with terms of reference, qualifications and experience satisfactory to the Association, to visit and monitor the Project area, collect relevant data and communicate with Project stakeholders and beneficiaries; (ii) require the third-party monitoring consultant to prepare and submit monitoring reports, which shall be promptly made available to and discussed with the Association; and (iii) promptly take any actions, as may be requested by the Association upon its review of the third-party monitoring consultant reports. Since UNOPS has already recruited a Third –Party Monitoring (TPM) agent for the parent project (YIUSEP II), UNOPS will need to amend the TPM contract to account for the increased number of sub-projects in target cities as the result of the additional financing to the parent project. | Hire the Third-Party monitoring consultant by the Project AF Effective Date and maintained throughout project implementation. | UNOPS |

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| ESS 2. LABOR AND WORKING CONDITIONS | | | |
| 2.1 | LABOR MANAGEMENT PROCEDURES. UNOPS shall: <ul style="list-style-type: none"> • Adopt and implement the updated Labor Management Procedures (LMP) in coordination with the implementing partners. The Project shall carry out activities in accordance with the updated LMP and the applicable requirements of ESS2 , including through, inter alia, implementing adequate occupational health and safety (OHS) measures (including emergency preparedness and response measures), prohibiting employment of persons under 18 years of age), setting out grievance mechanism arrangements for Project workers, and incorporating labor requirements into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. • Cause its implementing partners to ensure that all Project workers sign a code of conduct (CoC) to uphold ethical standards and comply with relevant E&S obligations and national legislation, prior to carrying out activities under the Project AF, all in accordance with ESS2. | <p>The LMP was prepared and disclosed on 20 May 2021, and was updated and shall be redisclosed prior to Board Date on 16 December 2021 and in any case prior to hiring new Project workers and shall be implemented throughout Project implementation</p> <p>All New Project workers shall sign a CoC prior to carrying out activities under the Project.</p> | <p>UNOPS PWP, UW-PMU, RFM-IU</p> <p>UNOPS PWP, UW-PMU, RFM-IU</p> |
| 2.2 | GRIEVANCE MECHANISM FOR PROJECT WORKERS. UNOPS and its local implementing partners (PWP, UW-PMU and RMF-IU) shall: <ul style="list-style-type: none"> • Require all contractors to establish, maintain, and operate a grievance mechanism (GM) for its workers according to principles indicated in the ESHS requirements for contractors annexed to the updated ESMF and in the updated LMP consistent with ESS2. • Use the Project level grievance mechanism for community Workers. | <p>The GM shall be established prior to engaging with new Project workers and shall be operational throughout Project implementation</p> | <p>UNOPS PWP, UW-PMU, RMF-IU</p> |
| 2.3 | OCCUPATIONAL HEALTH AND SAFETY. UNOPS and its local implementing partners (PWP, UW-PMU and RMF-IU) shall require all contractors to implement the OHS measures indicated in the ESHS requirements for contractors annexed to the updated ESMF, consistent with ESS2. | <p>Measures to be adopted prior to the commencement of relevant activities and implemented throughout Project implementation</p> | <p>UNOPS PWP, UW-PMU, RMF-IU</p> |

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| ESS 3. RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT | | |
| 3. | UNOPS and its implementing partners shall require all contractors to implement the pollution prevention and management measures indicated in the ESHS requirements for contractors annexed to the updated ESMF consistent with ESS3. These include, inter alia, measures/plans to manage hazardous and non-hazardous wastes including waste disposal sites, to use scarce resources (water, construction material, etc.), to manage air emissions due to use of fossil fuel for energy generation and to ensure energy efficiency in accordance with the World Bank's Environment, Health and Safety Guidelines (EHSGs), other relevant Good International Industry Practice (GIIP), and relevant UNOPS guidelines. | Measures shall be adopted prior to the commencement of relevant activities and implemented throughout Project implementation |
| UNOPS PWP UW-PMU, RMF-IU | | |
| ESS 4. COMMUNITY HEALTH AND SAFETY | | |
| 4.1 | <p>COMMUNITY IMPACTS AND RISKS</p> <p>Relevant aspects of this standard shall be considered as needed under action 1.2 above, including, inter alia, measures to address:</p> <ul style="list-style-type: none"> • the nuisance impact of contractor activities on neighboring communities, including noise, dust, odors, and emissions • potential exposure of communities to communicable diseases • ensure that disadvantaged or vulnerable individuals or groups have access to the development benefits resulting from the Project AF activities • manage the risks of road traffic associated with the Project • manage the risk of the use of security personnel • manage the risks of labor influx • all in accordance with ESS4. | Measures shall be adopted prior to the commencement of relevant activities and implemented throughout Project implementation. |
| UNOPS PWP UW-PMU, RMF-IU | | |
| 4.2 | <p>GENDER BASED VIOLENCE.</p> <p>UNOPS shall adopt and implement the updated Gender-Based Violence Action Plan (GBV) in accordance with ESS4, to assess and manage GBV risks related to the Project AF activities and prevent and respond to sexual exploitation and abuse, and sexual harassment. Most particularly, UNOPS and its implementing partners shall require all contractors and their new employees to sign a Code of Conduct prohibiting and responding to GBV.</p> | The updated GBV action plan shall be adopted and applied throughout Project implementation. |
| UNOPS PWP UW-PMU, RMF-IU | | |

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| 4.3 | <p>SECURITY MANAGEMENT PLAN.</p> <p>UNOPS shall adopt and implement the updated Security Management Plan (SMP) in accordance with ESS4. The updated SMP shall include provisions for the management of security risk of project workers including contractor workers as well as project equipment. UNOPS shall ensure through its local implementing partners (PWP, UW-PMU and RMF-IU) that all contractors shall implement the SMP measures indicated in the updated ESMF requirements for contractors consistent with ESS4.</p> | The updated SMP shall be adopted and implemented throughout Project implementation | UNOPS PWP, UW-PMU, RMF-IU |
| ESS 5. LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT | | | |
| 5.1 | <p>RESETTLEMENT PLANS.</p> <p>UNOPS and its implementing partners shall:</p> <ul style="list-style-type: none"> • Adopt, consult and redisclose the updated Resettlement Framework (RF) for the Project AF and implement it. • In the event of any activities that may cause temporary economic displacement or may require unexpected land acquisition, prepare, consult upon, disclose, adopt and implement a site-specific resettlement plan in accordance with the updated Resettlement Framework, using terms of reference acceptable to the Association consistent with applicable ESS5 requirements. • As part of site-specific Resettlement Plans under the Project AF, undertake an assessment of impacts, conduct a census of affected persons and assets, and implement appropriate mitigation measures, including a Grievance Mechanism. | <p>The RF was prepared and disclosed on 20 May 2021 and was updated and shall be redisclosed prior to Board Date on 16 December 2021 and shall be implemented throughout Project implementation.</p> <p>Resettlement Plans shall be prepared, consulted upon, disclosed, adopted and implemented before the carrying out of the relevant Project AF activities that involve land acquisition, restriction on land use, and involuntary resettlement.</p> | UNOPS PWP UW-PMU RMF-IU |
| ESS 6. BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES | | | |
| | Not relevant as subprojects involving significant impacts on biodiversity or living natural resources or their habitats shall be excluded at ESMF Screening stage. | Throughout Project implementation | UNOPS PWP UW-PMU, RMF-IU |
| ESS 7. INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES | | | |
| | Not relevant as there are no Indigenous People as defined as per ESS7 in the project area | | |

| MATERIAL MEASURES AND ACTIONS | | TIMEFRAME | RESPONSIBLE ENTITY/AUTHORITY |
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| ESS 8. CULTURAL HERITAGE | | | |
| 8.1 | CHANCE FINDS. UNOPS and its local implementing partners (PWP, UW-PMU, RMF-IU) shall require all contractors to manage chance find according to principles indicated in the ESHS requirements for contractors annexed to the updated ESMF consistent with ESS8. | Throughout Project implementation | UNOPS PWP UW-PMU, RMF-IU |
| ESS 9. FINANCIAL INTERMEDIARIES | | | |
| | Not relevant | | |
| ESS10. STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE | | | |
| 10.1 | STAKEHOLDER ENGAGEMENT PLAN (SEP). UNOPS and its implementing partners shall: <ul style="list-style-type: none"> Adopt, update as necessary, and implement a standalone SEP related to the Project AF, in a manner that is consistent with ESS10. | The SEP was prepared and disclosed on 20 May 2021 and was updated and shall be redisclosed prior to Board Dated on 16 December 2021 and shall be implemented throughout Project implementation | UNOPS PWP UW-PMU, RMF-IU |
| 10.2 | GRIEVANCE MECHANISM. UNOPS and its implementing partners shall maintain, adapt and expand the grievance mechanism for the Yemen Integrated Urban Services Emergency II Project as indicated in the updated SEP, and make it publicly available to receive and facilitate resolution of concerns and grievances in relation to the Project AF, including SEA/SH allegations, consistent with ESS10. | The grievance mechanism shall be established and operational within one month after the Effectiveness Date of the AF and shall be maintained throughout Project implementation. | UNOPS PWP UW-PMU, RMF-IU |

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| CAPACITY SUPPORT (TRAINING) | | |
| <p>UNOPS shall provide training to personnel involved in the parent Project as well as the Project AF implementation, including:</p> <ul style="list-style-type: none"> • ESHS requirements • Toolbox talks on OHS related to workers in construction sites on: <ul style="list-style-type: none"> ○ On-site risk identification and mitigation ○ Use of Personal Protective Equipment (PPEs) ○ Emergency Prevention and Preparedness • Grievance mechanism for workers: • Gender based violence – sexual exploitation and abuse (SEA)/sexual harassment (SH) and grievance management • Land acquisition and resettlement management | Throughout Project implementation | UNOPS |