

IN: Ecosystem Service Improvement Project (ESIP) (P133803)

I. General

1. **Bank's approval Date of the procurement Plan:** *[Original: May 19, 2017]*
2. **Date of General Procurement Notice:** June 9, 2016
3. **Period covered by this procurement plan:** *The procurement period of project covered from year May 19,2017 to November 30, 2018*

II. Goods and Works and non-consulting services.

1. **Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

	Procurement Method	Prior Review Threshold US\$	Comments
1.	ICB and LIB (Goods)	<i>Above US\$ 2 million</i>	<i>All</i>
2.	NCB (Goods)	<i>Above US\$2 million</i>	<i>All</i>
3.	ICB (Works)	<i>Above US\$ 10 million</i>	<i>All</i>
4.	NCB (Works)	<i>Above US\$ 10 million</i>	<i>All</i>
5.	(Non-Consultant Services)	<i>Below US\$ 2 million</i>	<i>All</i>
	<i>[Add other methods if necessary]</i>		

Procurement Methods and Threshold

Category	Method of Procurement	Threshold (US\$ Equivalent)
Goods and Non-consultant services	ICB	>3,000,000
	LIB	Wherever agreed by the Bank
	NCB	Up to 3,000,000 (with NCB conditions)
	Shopping	Up to 100,000
	DC	As per Para 3.7 of the Bank Guidelines
	Force Account	As per Para 3.9 of Guidelines
	Framework Agreements	As per Para 3.6 of Guidelines

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Works	ICB	>40,000,000
	NCB	Up to 40,000,000 (with NCB conditions)
	Shopping	Up to 200,000
	Force Account	As per Para 3.9 of Guidelines
	DC	As per Para 3.7 of Guidelines
	Community Participation	As per Para 3.19 of Guidelines

Prior Review by the Bank. The Bank will prior review the following contracts:

- Works (including turnkey, Supply & Installation of plant and equipment and PPP): all contracts more than US\$ 10 million equivalent; and
- Goods (including information technology and non-consulting services): all contracts more than US\$ 2 million equivalent.

There is no separate prior review threshold for direct contract and therefore, the same review threshold will apply to direct contract for goods, works and non-consulting services. The above prior review thresholds are revised as per the instructions issued while launching of New Procurement Framework (NPF) July 2016.

All contracts below the specified prior review threshold value shall be subject to Post Procurement Review (PPR). In addition, Bank shall be entitled to conduct, at any time, Independent Procurement Review (IPR) of all the contracts financed under the Grant. In both the cases, the IAs shall prepare lists of contract and submit it to the Bank for conducting PPR/IPR. The PPR will be conducted on annual basis. All contracts not covered under prior review will be subject to post review during supervision missions, and/or review by consultants to be appointed by the by Bank.

2. Pre-qualification. Note applicable

3. Proposed Procedures for CDD Components (as per paragraph 3.19 of the Guidelines): It is envisaged that there will be some form of community participation activities in this project. If anything of that sort arises, it will be done as per para 3.19 of Procurement guidelines.

4. Reference to (if any) Project Operational/Procurement Manual: The Project has prepared project Operations Manual (OM) that contains a Chapter on Procurement procedures to be adopted for the project. The OM was reviewed and agreed by the Bank to be used as a guidance note while conducting project procurement. All the contracts issued under the project will follow the World Bank's Guidelines: Bank's "Guidelines: Procurement under IBRD Loans and IDA Credits" dated

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January 2011 and revised in July 2014 and “Guidelines: Selection and Employment of Consultants by World Bank Borrowers” dated January 2011 and revised in July 2014. In case of any inconsistencies between the Project Operation Manual and Bank’s Procurement Guidelines, the later shall prevail.

- 5. Any Other Special Procurement Arrangements:** Procurement of goods, works and non-consulting services shall be conducted through state’s e-procurement portal using Bank’s standard bidding documents. The e-portal was assessed and cleared by the Bank.

International Competitive Bidding (ICB) is the preferred method for procurement of goods, works and non-consulting services. However, it is unlikely that there will be any ICB contract. All National Competitive Bidding (NCB) shall be conducted in accordance with paragraph 3.3 and 3.4 of the Guidelines. In addition, following additional provisions as agreed with GOI task force (as amended from time to time) shall apply:

- Only the model bidding documents for NCB as agreed with the Gol Task Force (and as amended from time to time), shall be used for bidding;
- Invitation to bid shall be advertised in at least one widely circulated national daily newspaper (or on a widely used website or electronic portal with free national and international access along with an abridged version of the said advertisement published in a widely circulated national daily inter-alia giving the website/electronic portal details from which the details of the invitation to bid can be downloaded), at least 30 days prior to the deadline for the submission of bids;
- No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprise from any given State;
- Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder;
- For prior review contracts, extension of bid validity shall not be allowed with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank (i) for the first request for extension if it is longer than four weeks; and (ii) for all subsequent requests for extension irrespective of the period (such concurrence will be considered by Bank only in cases of Force Majeure and circumstance beyond the control of the Purchaser/Employer);

- For prior review contracts, re-bidding shall not be carried out with reference to Contracts subject to Bank prior review without the prior concurrence of the Bank;
- The system of rejecting bids outside a pre-determined margin or “bracket” of prices shall not be used in the project;
- Rates contract entered into by Directorate General of Supplies and Disposal (DGS & D) will not be acceptable as a substitute for NCB procedures unless agreed with the Bank on case to case basis. Such contracts will be acceptable however, for any procurement under the Shopping procedure;
- Two or three envelop system will not be used (except when using e-procurement system assessed and agreed by the Bank).

To meet the Bank's requirements for right to audit and F&C, these clauses may be included in the Purchase Orders, in case the purchasers are directly placing the purchase orders to DGS&D rate contract holders. On the other hand, if indent is placed through DGS&D, the Purchaser has the option to sign a separate undertaking with DGS&D rate contract holder, where Bank’s right to audit and F&C clauses could be mentioned;

6. Summary of the Procurement Packages planned during the first 18 months after project effectiveness: Available in STEP

III. Selection of Consultants

- 1. Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

	Selection Method	Prior Review Threshold	Comment
1.	Competitive Methods (Firms)	Above US\$ 1 million	All contracts
2.	Single Source (Firms)	Above US\$ 1 million	All contracts
3.	Individual	Above US\$ 300,000	All contracts
4.	CQS	Up to US\$ 300,000	All contracts

- 2. Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than US\$ *800,000* equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.
- 3. Any Other Special Selection Arrangements:** *None*

4. Consultancy Assignments with Selection Methods and Time Schedule: Available in STEP

PROCUREMENT PLAN India : India Ecosystems Service Improvement Project

General Information
 Country: India Bank's Approval Date of the Original Procurement Plan: 2017-10-17
 Revised Plan Date(s): (comma delineated, leave blank if non 2022-01-24)
 Project ID: P133803 GPN Date:
 Project Name: India Ecosystems Service Improvement Project
 Loan / Credit No: TF / A3990
 Executing Agency(ies) Indian Council of Forestry Research and Education

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contr. Comple
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	
IN-ICFRE-42836-CW-RFB / Maintenance works (whitewashing/painting and minor repairs, replacement of old fluorescent tube lights with new LED light fixtures and fan etc.) for renovation of the office of ESP-FIU at ICFRE.	TF / A3990	Scaling-up Sustainable Land and Ecosystem Management in Selected Landscapes	Post	Request for Bids	Limited	Single Stage - One Envelope				6,200.00	3,585.86	Completed					2018-04-09	2018-05-10	2018-04-14	2018-05-10			2018-04-22	2018-05-22	2018-04-23	2018-05-23	2018-04-28	2018-06-01	2018-05-07
IN-ICFRE-156606-CW-RFQ / Deepening of a pond at Navagaon village of Banapura Forest Range (MP) for rain water harvesting and augmentation of water resources for upscaling of SLEM best practices	TF / A3990	Scaling-up Sustainable Land and Ecosystem Management in Selected Landscapes	Post	Request for Quotations	Limited	Single Stage - One Envelope				4,500.00	3,516.89	Signed							2020-03-04	2020-03-04							2020-04-10	2020-07-02	2020-07-13
IN-ICFRE-156601-CW-RFQ / Deepening of a pond and construction of waste weirs at Kachhar village of Bhoura Forest Range (MP) for rain water harvesting and augmentation of water resources for upscaling of SLEM best practices	TF / A3990	Scaling-up Sustainable Land and Ecosystem Management in Selected Landscapes	Post	Request for Quotations	Limited	Single Stage - One Envelope				10,000.00	6,680.33	Completed							2020-03-04	2020-03-04							2020-04-10	2020-06-26	2020-07-13
IN-ICFRE-156594-CW-RFQ / Deepening of three ponds and construction of waste weirs at Barbedha village of Bhoura Forest Range (MP) for rain water harvesting and augmentation of water resources for upscaling of SLEM best practices	TF / A3990	Scaling-up Sustainable Land and Ecosystem Management in Selected Landscapes	Post	Request for Quotations	Limited	Single Stage - One Envelope				12,000.00	8,291.95	Completed							2020-03-04	2020-03-04							2020-04-10	2020-06-26	2020-07-13
IN-ICFRE-156637-CW-RFQ / Deepening of four ponds at Thiakda (Bumga), Matladand and Amaratikra villages of Marwahi Forest Range of Chhattisgarh for rain water harvesting and augmentation of water resources for upscaling of SLEM best practices	TF / A3990	Scaling-up Sustainable Land and Ecosystem Management in Selected Landscapes	Post	Request for Quotations	Limited	Single Stage - One Envelope				28,000.00	17,750.10	Signed							2020-03-04	2020-03-04							2020-04-10	2020-06-24	2020-07-13
IN-ICFRE-156607-CW-RFQ / Deepening of a pond at Pipariyakhurd village of Sakitana Forest Range (MP) for rain water harvesting and augmentation of water resources for upscaling of SLEM best practices	TF / A3990	Scaling-up Sustainable Land and Ecosystem Management in Selected Landscapes	Post	Request for Quotations	Limited	Single Stage - One Envelope				8,500.00	5,801.97	Completed							2020-03-04	2020-03-04							2020-04-10	2020-06-26	2020-07-13
IN-ICFRE-156604-CW-RFQ / Deepening of one pond and construction of waste weirs at Kuppa village of Bhoura Forest Range (MP) for rain water harvesting and augmentation of water resources for upscaling of SLEM best practices	TF / A3990	Scaling-up Sustainable Land and Ecosystem Management in Selected Landscapes	Post	Request for Quotations	Limited	Single Stage - One Envelope				13,500.00	8,992.91	Signed							2020-03-04	2020-03-04							2020-04-10	2020-06-26	2020-07-13
IN-ICFRE-156609-CW-RFQ / Deepening of a ponds at Sayadganj village of Itarsi Forest Range (MP) for rain water harvesting and augmentation of water resources for upscaling of SLEM best practices. Climate proofing fish farming pond at Chichmaso village of Itarsi Forest Range (MP)	TF / A3990	Scaling-up Sustainable Land and Ecosystem Management in Selected Landscapes	Post	Request for Quotations	Limited	Single Stage - One Envelope				20,500.00	14,672.64	Completed							2020-03-04	2020-03-04							2020-04-10	2020-07-13	2020-07-13
IN-ICFRE-156599-CW-RFQ / Deepening of a pond and construction of waste weir at Tarnatal village of Bhoura Forest Range (MP) for rain water harvesting and augmentation of water resources for upscaling of SLEM best practices	TF / A3990	Scaling-up Sustainable Land and Ecosystem Management in Selected Landscapes	Post	Request for Quotations	Limited	Single Stage - One Envelope				5,500.00	3,593.75	Completed							2020-03-04	2020-03-04							2020-04-10	2020-06-26	2020-07-13
IN-ICFRE-156618-CW-RFQ / Deepening of three ponds and construction of waste weir at Shankarpur, Rameshpur and Babhni villages of Raghunathnagar Forest Range of Chhattisgarh for rain water harvesting and augmentation of water resources for upscaling of SLEM best practices	TF / A3990	Scaling-up Sustainable Land and Ecosystem Management in Selected Landscapes	Post	Request for Quotations	Limited	Single Stage - One Envelope				27,000.00	16,711.34	Signed							2020-03-04	2020-03-04							2020-04-10	2020-06-24	2020-07-13

IN-ICFRE-209455-CS-INDV / Engagement of Procurement Consultant	TF / A3990	Project Management	Post	Individual Consultant Selection	Open		25,000.00	20,360.84	Signed	2020-12-21	2020-12-15	2021-02-08	2021-03-02	2021-03-01	2021-03-02	2021-04-05	2021-03-10	2022-07-31
IN-ICFRE-209478-CS-INDV / Engagement of Carbon Monitoring Consultant	TF / A3990	Investments for Improving Forest Quality in Selected Landscapes	Post	Individual Consultant Selection	Open		25,000.00	20,277.45	Signed	2020-12-21	2020-12-15	2021-02-08	2021-01-14	2021-03-01	2021-01-14	2021-04-05	2021-02-01	2022-07-31
IN-ICFRE-242021-CS-INDV / Engagement of Individual Land Management Consultant up to project completion period	TF / A3990	Scaling-up Sustainable Land and Ecosystem Management in Selected Landscapes	Post	Individual Consultant Selection	Open		18,000.00	14,379.78	Signed	2021-07-09	2021-07-12	2021-08-27	2021-08-03	2021-09-17	2021-08-03	2021-10-22	2021-08-05	2022-07-31
IN-ICFRE-249365-CS-INDV / Engagement of Policy-cum-Knowledge Management Consultant	TF / A3990	Scaling-up Sustainable Land and Ecosystem Management in Selected Landscapes	Post	Individual Consultant Selection	Open		12,000.00	9,593.35	Signed	2021-08-30	2021-09-01	2021-09-21	2021-10-28	2021-09-24	2021-10-28	2021-09-30	2021-11-01	2022-07-30