HOUSEHOLD SURVEYS DURING MULTIPLE CRISES

MODIFYING QUESTIONNAIRES TO ASSESS THE IMPACT OF SHOCKS

Ivette Contreras, Gbemisola Oseni, Amparo Palacios-Lopez and Raka Banerjee May 2023







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V

PART A Introduction

Beyond the COVID-19 pandemic, the world has experienced multiple global crises in the last few years. As countries adapt to a new normal, multi-topic household surveys should also be adapted to account for the impacts of shocks on household welfare. By reviewing the standard household survey questionnaires included in the guidebook, Capturing What Matters: Essential Guidelines for Designing Household Surveys, we provide technical guidance on issues to consider when reviewing, designing, or updating questionnaires for household surveys during or after a major shock – relying on lessons learned from the World Bank's Living Standards Measurement Study program.

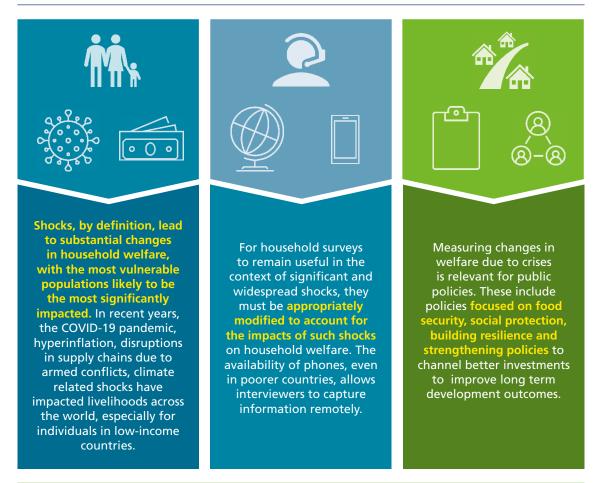


Figure 1. Modifying Household Surveys to Assess the Impact of Shocks



Welfare is a complex, multidimensional concept that is best measured through household surveys. Understanding welfare can go beyond collecting simple measurements of household income or consumption, to understanding other dimensions, such as, a household's level of education, food consumption, health,

household enterprises, agricultural production, nutrition, asset ownership, and climate change mitigation strategies, and much more. To this end, multi-topic household surveys may incorporate an extensive range of customizable modules collecting data on common topic areas, such as, demographics, education, and health, alongside a host of other specialized issues, such as, migration, climate change, disability, and conflict. Furthermore, household surveys rely on sampling strategies that facilitate data collection on a wide variety of populations, allowing for the collection of information that is representative at the national level and for multiple sub-populations of interest. Shocks, by definition, lead to substantial changes in household welfare, with the most vulnerable populations likely to be the most significantly impacted. As of this writing, the COVID-19 pandemic – one of the most significant global shocks seen in the last century – has affected the lives and livelihoods of people across the globe. No household, sector, or nation has remained untouched by the pandemic and for many households, especially those in low-income countries, the impact has been dire. The pandemic led to a cascade of shocks for households. Deaths in the family, school closures, and job losses have severely disrupted household conditions since the onset of the pandemic. Many households experienced loss of income, others were forced to adapt by adding members to the labor force to increase income or by reducing their consumption of basic goods (The World Bank Group - UNICEF, 2022). In addition, crucial social services have been disrupted or rendered more difficult to access, and genderbased violence has been on the rise (Shalini & Tushar, 2020; The World Bank, 2020; De Paz Nieves, Gaddis, & Muller, 2021).



The global community has experienced multiple global crises in recent years. In addition to the pandemic, the war in Ukraine, inflation at levels not seen since the 1970s, and natural disasters have all disrupted the global supply chain and altered the state of household welfare around the world (The World Bank Group,

2022). All these have served to exacerbate the situation in several countries that regularly experience shocks (Hoogeveen, Rossi, & Sansone, 2017). While at any given time, individual households may experience shocks such as job loss, bereavement, or a health emergency, shocks on a larger scale could have severe consequences for the welfare of a community, country and even the world (Heltberg, Oviedo, & Talukdar, 2015).

For household surveys to remain useful in the context of significant and widespread shocks, they must be appropriately modified to account for the impacts of such shocks on household welfare. Adapting household surveys to account for significant shocks requires changes and additions to how the questions are asked, the possible response options, and even the mode of data collection. For example, to minimize disruptions to data collection during the COVID-19 pandemic, many countries changed their mode of data collection from face-to-face surveys to phone surveys, allowing for a more direct and timely analysis of developments in dynamic, rapidly changing situations. In addition, different shocks can be transmitted to households through multiple channels, therefore, when designing questionnaires to capture the impacts of shocks, it is important to understand the transmission mechanisms. Furthermore, the design of specific questions about a recent shock should be worded with consideration given that household members may still be suffering from the consequences of the shock.

Phone Surveys elicit real-time information remotely, at low cost and are particularly well-suited for data collection purposes during shocks. The relative ubiquity of mobile phones, even in poorer countries, is such that it is generally possible to collect data from a representative sample of the population¹. A major downside of this method is the time constraint: a phone interview can last up to 30 minutes at best before fatigue sets in for the respondent, while face-to-face surveys can last 90 minutes or more. The recommended time limit in phone surveys, to prevent respondent fatigue, only allows for the administration of a limited number of questions and therefore adversely impacts comprehensive data collection.



Face-to-face household survey data collection remains the best approach for high quality welfare data, especially for low- and middle-income countries.² While phone surveys are useful in the absence of the former, this method has a few additional disadvantages when compared to face-to-face surveys. Beyond

time constraints, phone surveys also run the risk of selection bias driven by the segment of the population that owns a phone. In addition, phone surveys prevent the interviewer from ascertaining whether the respondent is the targeted participant, and unlike with face-to-face data collection, interviewers conducting phone surveys are unable to observe the respondent's body language and other visual cues that may indicate the respondent's understanding of the question. They are also unable to ask complex and detailed questions or use probe mechanisms, as is common in face-to-face surveys (Zezza, McGee, Wollburg, Assefa, & Gourlay, 2022; Gourlay, Kilic, Martuscelli, Wollburg, & Zezza, 2021).

Measuring changes in welfare due to a crisis is relevant for public policies. The first step in customizing responses is assessing the differentiated impacts of a shock, and this technical note is a guide on how to adapt household surveys to better account for the impacts of different crises. This note is aligned with the <u>World Bank Group's Global Crises</u> Response Framework that includes 4 pillars:

- i. *Responding to Food Insecurity* through immediate crisis response to provide urgent support and avoid long-term derailment of development prospects;
- **ii.** *Protecting People and Preserving Jobs* to help mitigate the medium- to long-term impact of crises;

¹ For more information see The World Bank (2023) Guidelines on Conducting Emergency Phone Surveys – Pacific Observatory.

² Standard questionnaires and guidelines about household surveys can be found in Capturing What Matters: Essential Guidelines for Designing Household Surveys (World Bank 2021)

 iii. Strengthening Resilience to be better prepared for future crises and challenges; and
 iv. Strengthening Policies, Institutions and Investments for Rebuilding Better to utilize the opportunities the crises provide to improve long term development outcomes (The World Bank Group, 2022).

Indicators related to these four pillars can be measured using household surveys, which can be designed to collect information during a crisis, assess how the crisis is affecting livelihoods and how the households cope when faced with different shocks.

This note offers guidance on how household surveys can be modified to account for the impacts of major shocks. We provide technical guidance on issues to consider when reviewing, designing, or updating questionnaires for household surveys during or after a major shock, relying on lessons learned from four decades of household survey expertise through the World Bank's Living Standards Measurement Study program. This note takes into consideration the standard questionnaires and guidelines included in the guidebook <u>Capturing What Matters: Essential Guidelines for Designing Household Surveys</u> and provides guidance on how to modify the survey modules to better assess crises impacts.

Improving survey implementation to account for shocks should not be only a priority when a shock hits a country. During non-crisis times, survey practitioners can invest in improving crisis readiness via the adaptability of household survey operations. For example, ensuring that the household database is regularly updated with current phone numbers and other relevant information for when the need arises (as seen during the pandemic when phone surveys became the most feasible approach to collecting information on household welfare).

The remainder of this note is organized as follows: Section B describes modifications to core household survey modules and Section C presents additional modules that should be considered for incorporation as appropriate, depending on the shock and the analytical needs.

PART B

Modifications to core household survey modules:

incorporating questions and response options related to shocks into standard questionnaires

This section discusses additional questions or response options that can capture changes in household conditions following the occurrence of a major shock, such as, a public health emergency (for example COVID-19 and Ebola emergencies), armed conflict, inflation, a natural disaster, inter alia. The recently released publication <u>Capturing What</u> <u>Matters: Essential Guidelines for Designing Household Surveys</u> presents a recommended household survey questionnaire format based on the core questionnaire modules from the World Bank's Household Survey Protocol. Using the same list of modules, Table 1 below provides a summary of the proposed changes, which are presented in detail in the text that follows.

| Section Number | Module | Modifications? | Shock-related topics/indicators to be included | Pillar in the WBG Global Crises Response Framework |
|-------------------|--------------------------------------|----------------|---|--|
| 0. | Household Identification | No | No changes | |
| 1. | Household Roster | Yes | Members that joined or left the household for reasons related to the shock | Pillar 2 Protecting People and Preserving Jobs |
| 2. | Education | Yes | School-age members not attending school due to school closures related to the shock School dropouts Classes missed Additional questions regarding internet use | Pillar 2 Protecting People and Preserving Jobs |
| 3. | Health and Disability | Yes | Illness related to the shock Access to health services Stress and mental health | Pillar 3 Strengthening Resilience |
| 4. | Labor/ Employment | Yes | Household members that stopped working, lost jobs, or changed jobs due to the shock | Pillar 2 Protecting People and Preserving Jobs |
| 5. | Household Enterprises | Yes | • Non-farm enterprises that stopped, slowed, or changed focus due to the shock | Pillar 2 Protecting People and Preserving Jobs |
| 6. | Non-Labor Income | No | No changes | |
| 7. | Durable Goods/Assets ³ | No | No changes | Pillar 3 Strengthening Resilience |
| 8. | Credit/Savings | Yes | Reasons for applying for new loans that are related to the shock | Pillar 4 Strengthening Policies, Institutions and Investments for Rebuilding Better |
| 9. | Food Consumption | No | No changes | Pillar 1 Responding to Food Insecurity |
| 10. | Non-Food Expenditures | No | No changes | Pillar 1 Responding to Food Insecurity |

Table 1. Suggested modifications to core questionnaire modules for household surveys

³ Changes in assets are captured in the shocks' module.

| Section Number | Module | Modifications? | Shock-related topics/indicators to be included | Pillar in the WBG Global Crises Response Framework |
|-------------------|------------------------------------|----------------|---|--|
| 11. | Housing | Yes | Additional questions regarding internet access | Pillar 4 Strengthening Policies, Institutions and Investments for Rebuilding Better |
| 12. | Food Security | No | No changes | |
| 13. | Social Protection Programs | Yes | Household eligibility for and use of programs designed to mitigate impacts of the shock | Pillar 1 Responding to Food Insecurity |
| 14. | Shocks and Coping Strategies | Yes | Inclusion of the current or past shock(s) of relevance | Pillar 3 Strengthening Resilience |
| 15. | Land Tenure | No | No changes | |

These additional questions and/or changes by module are described in detail in the following subsections and incorporated into the modules included in Annex 1 of this technical note. The modules build on the different sections included in the <u>Essential Guidelines</u>. Please note that the reference number of the questions match the ones presented in Annex 1. The modules are also available in an excel version available online. As detailed in the Essential Guidelines, all text written in UPPERCASE (capital) letters are either instructions to the interviewer or response options and codes and these should not be read to the respondent. Text written in lowercase (small) letters should be read directly to the respondent. Note that this includes all response options that are written in lowercase letters.

Module 1: Household Roster



The household roster collects information about household composition and the demographics of household members. See Annex 1 for the recommended location within the questionnaire of the suggested questions.

Proposed Modification 1A: To collect information about shock-related reasons for moving to the current place of residence, as shown in question 23b.

Depending on the context and the focus of the survey, there could be multiple shockrelated reasons of interest included, such as "INFLATION", "COVID-19 PANDEMIC RESTRICTIONS", "ARMED CONFLICT", "FOOD PRICE INCREASES", inter alia. This question should be asked to all household members that have moved to the current household location in recent years. While the most common time frame is five years, survey designers should adapt this period to match their data needs. The shockrelated option(s) should be only enabled/ valid for household members that moved to the current place of residence since the shock(s) began.

If there is a need to identify household members that moved into the household since the crisis/shock started, consider including question 23c, which captures whether the household member joined the household due to the specific shock at the time of the survey adjustment (referred to here as [SHOCK]).

| 23b. What was [NAME]'s main reason for moving to the current place of residence in the last 5 years? |
|---|
| TO LOOK FOR WORK1 |
| OTHER INCOME REASONS2 |
| DROUGHT, FLOOD, OR OTHER WEATHER-RELATED REASON |
| EVICTION4 |
| OTHER LAND-RELATED PROBLEM5 |
| ILLNESS, INJURY6 |
| DISABILITY7 |
| EDUCATION8 |
| MARRIAGE9 |
| DIVORCE10 |
| TO ESCAPE INSECURITY11 |
| RETURN HOME FROM DISPLACEMENT12 |
| FOLLOW/JOIN FAMILY13 |
| [INSERT ADDITIONAL SHOCK-RELATED REASON]14 |
| OTHER, SPECIFY15 |

23c. Did [NAME] join the household since the beginning of the [SHOCK]

YES 1 NO 2

Module 2: Education



The education module collects information about literacy, educational attainment, and school attendance of household members.

Proposed Modification 2A: To capture information on school-age household members that have never attended or are not currently attending school due to the shock (questions 6 and 12). The education module usually captures information for all household members 5 years and older.

The shock-related options in question 6 (response options 14 and 15) should only be enabled/valid for household members that should have started school for the first time during the shock, based on the relevant age threshold for the country in which the survey is being conducted.

| 6. What was the main reason [NAME] never attended school? | 12. Why is [NAME] not currently attending school? |
|---|--|
| TOO YOUNG 1 | HAD ENOUGH/COMPLETED SCHOOLING 1 |
| TOO FAR AWAY2 | AWAITING ADMISSION 2 |
| TOO EXPENSIVE | NO SCHOOL/LACK OF TEACHERS |
| WORKING (HOME OR JOB 4 | NO TIME |
| LACK OF MONEY5 | NO INTEREST5 |
| DEATH OF PARENT(S 6 | LACK OF MONEY 6 |
| SEPARATION OF PARENTS7 | MARITAL OBLIGATION7 |
| DID NOT HAVE INTEREST | SICKNESS |
| PARENTS DO NOT THINK IT IS IMPORTANT | DISABILITY9 |
| PARENTS OPPOSED TO SCHOOL10 | SEPARATION OF PARENTS 10 |
| ILLNESS 11 | DEATH OF PARENTS 11 |
| DISABILITY 12 | TOO OLD TO ATTEND 12 |
| CONFLICT (MILITANCY/ INSURGENCY | DOMESTIC OBLIGATION 13 |
| SCHOOL CLOSED DUE TO [SHOCK] 14 | CONFLICT (MILITANCY/INSURGENCY) 14 |
| WORRIED ABOUT RISK OF [SHOCK] | PREGNANCY 15 |
| OTHER (SPECIFY | TOO YOUNG 16 |
| | SCHOOL CLOSED DUE TO [SHOCK] 17 |
| | WORRIED ABOUT RISK OF [SHOCK] 18 |
| | OTHER, SPECIFY |



Proposed Modification 2B: To confirm whether schoolage household members

were attending school before the shock occurred (9b). For global shocks, the onset month may vary across countries. This question is applicable for all household members 5 years and older that have ever attended school. 9b. Was [NAME] attending school in person before [MAJOR SHOCK ONSET MONTH/YEAR]?

YES 1

NO 2

Proposed Modification 2C: To capture the number of classes missed during the last week and to identify the main reason for this. Please note that question 13b should be asked to all household members 5 years and older currently attending school.

| 13b. During the last week [MONDAY-SUNDAY] that school was open for lessons, either in-person or virtual, how many days did [NAME] miss? | 13c. Why did [NAME] miss the lessons? |
|--|---|
| CAPI/INTERVIEWER: CHECK THAT NUMBER OF DAYS <= MAXIMUM NUMBER OF DAYS PER WEEK WITH LESSONS | NO SCHOOL OPEN NEARBY/LACK OF TEACHERS.1SICK2NO TIME/NO INTEREST.3LACK OF MONEY4GOT MARRIED/MARITAL OBLIGATION.5DEATH OF PARENTS6DOMESTIC OBLIGATIONS.7CONFLICT (MILITANCY/INSURGENCY)8GOT JOB/WAS WORKING.9NATURAL DISASTER10NO INTERNET FOR VIRTUAL LESSONS.11OTHER [SHOCKS]12OTHER (SPECIFY)96 |

Proposed modification 2D: To understand why household members do not have access to the internet at home. This question is recommended to be included in Section 2b that captures information on technology access.

| 8. Why does [NAME] not have access to the internet at home? | |
|--|---|
| SELECT ALL APPLICABLE | |
| COST OF HAVING A DEVICE TO ACCESS THE INTERNET IS TOO HIGH1 COST OF INTERNET ACCESS/DATA PACKAGES IS TOO HIGH | |
| LACK OF KNOWLEDGE OR SKILLS TO USE INTERNET/PHONES | 3 |
| PRIVACY OR SECURITY CONCERNS | ł |
| QUALITY OF ACCESS IS TOO LOW | 5 |
| NO COVERAGE | 5 |
| NOT INTERESTED | 7 |
| OTHER, SPECIFY96 | 5 |

Proposed modification 2E: To capture information about the frequency of internet use, as well as the limitations to accessing the internet more frequently. Questions 10 and 11 are collected for individuals that previously answered that they have access to the internet.

| 10. | 11. |
|--|--|
| How often does [NAME] use the internet? | What limitations prevent [NAME] from using the internet more frequently? |
| Every day1 A few times a week2 A few times a month3 Less than once a month4 Never5 | SELECT ALL APPLICABLE NOTHING, NO LIMITATION |

Module 3: Health



The health and disability module collects information on health conditions, expenditures on health care, barriers to health care access and disabilities.

Proposed Modification 3A: To determine whether the household members needed health services or medical treatment but were not able to access them. Questions 2b-2d are collected at the individual level.

| 2b. | 2c. | 2d. |
|----------------------------|------------------------------|--|
| Has [NAME] needed health | Was [NAME] able to access | What was the main reason |
| services/medical treatment | the health services/ medical | why [NAME] was not able to |
| since the beginning of the | treatment the last time | access the health services or |
| [SHOCK]? | [NAME] needed it? | medical treatment needed? |
| YES 1 NO2 ▶ Q3 | YES 1 ▶ Q3 NO 2 | LACK OF MONEY1 NO MEDICAL PERSONNEL AVAILABLE2 TURNED AWAY BECAUSE FACILITY WAS FULL |

Proposed Modification 3B: To account for the specific shock-related illness suffered by household members (Question 6). The health module collects information for all household members.

Question 6 should be posed only to household members that have reported an illness or injury in the last 4 weeks. Here, we include COVID-19 as an example; however, any relevant illness related to the shock of interest should also be included.

| 6. What type of illness/injury did [NAME] suffer | r most during the last 4 weeks? |
|---|---------------------------------|
| SELECT UP TO TWO IN ORDER OF SEVERITY | |
| MALARIA 1 | FLU14 |
| ТВ2 | CATARRH15 |
| YELLOW FEVER | COUGH16 |
| TYPHOID4 | HEADACHE17 |
| CHOLERA5 | DIABETES |
| DIARRHEA6 | GUINEA WORM19 |
| MENINGITIS7 | DYSENTERY20 |
| CHICKEN POX8 | SCABIES21 |
| PNEUMONIA9 | RINGWORM22 |
| COMMON COLD 10 | HEPATITIS B23 |
| COVID-19 11 | ULCER/STOMACH PAIN24 |
| INJURY 12 | EYE PROBLEM25 |
| HYPERTENSION13 | TOOTH PROBLEM |
| OTHER (SPECIFY)96 | BODY PAINS27 |
| 1ST | 2ND |

Proposed Modification 3C: To measure mental health issues after a relevant shock. We propose including the module below to assess the mental health of the household member using a version of the Center for Epidemiological Studies- Depression or CES-D test.⁴ This module has been included as module S3B in Annex 1.

| CODE | Now I want to ask you about some of the ways you may have felt or behaved in the last 7 day | | |
|------|---|------------|--|
| 0 | During the last 7 days, how many days [] | Days (0-7) | |
| 1 | Were you disturbed by things that don't normally bother you? | | |
| 2 | Did you have trouble concentrating on what you were doing? | | |
| 3 | Did you feel depressed? | | |
| 4 | Did you feel that everything you did was a burden? | | |
| 5 | Were you hopeful about the future? | | |
| 6 | Did you feel afraid? | | |
| 7 | Was your sleep restless? | | |
| 8 | Were you happy? | | |
| 9 | Did you feel lonely? | | |
| 10 | Did you feel like not getting up in the morning? | | |

⁴ Jamison *et al.* (2018) discusses an application of this test in Nigeria.

Module 4: Labor

The labor module captures information about the labor activities of the household members.



Proposed Modification 4A: To identify whether the household members lost their job or stopped working due to the major shock, as well as the months in which they did not work. Note that for global shocks, the month

of onset will vary across countries. The list of months in Question 5 should be adapted by country based on the onset of the shock. Question 6 ascertains whether the household member had to reduce their usual working hours due to the shock. These questions have been included as module S4C in Annex 1.

| 4. Did you lose your job or stop working due to [SHOCK] that started in [MAJOR SHOCK ONSET MONTH/YEAR]? | 5. In which month(s) did you not work due to [SHOCK]? (if D1=1) | 6. Did you have to reduce your usual working hours due to [SHOCK] that started in [MAJOR SHOCK ONSET MONTH/YEAR]? |
|---|--|--|
| YES 1 NO 2 ▶ Q6 | March 20201 April 20202 | YES 1 NO 2 |
| | May 2020 | |
| | July 20205 August 20206 September 20207 | |
| | October 2020 | |
| | December 2020 | |
| | February 2021 | |
| | April 202114 | |

Module 5: Household Enterprises

This module captures the characteristics of household enterprises.



Proposed Modification 5A: To determine whether the household had a non-farm enterprise that shut down due to the shock. The household enterprises module has two sections, one at the household level and the

other at the enterprise level. The module at the household level works as a screener to determine if there is any household enterprise with any activity in the last 12 months. The module at the enterprise level collects information for all the businesses done by all household members in the last 12 months. We propose two ways to achieve this goal:

- Adjusting the reference period to start from before the onset of the shock and capturing the list of enterprises that the household had administered since the shock began, even if these activities are not currently ongoing. For example, for the case of the COVID-19 pandemic, the recommended reference time was January 2020.
- Keeping the enterprise-level module using a reference period of 12 months and including a question to determine whether the household had any enterprise before the shock and. If there is a yes in any line (lines 14-21), then questions 22 and 23 should be asked to understand if any of the activities stopped since the start of the shock and the main reason for that. Question 24 seeks to quantify any changes in the revenue from sales compared to a month before the shock.

| | | Since [REFERENCE MONTH BEFORE THE SHOCK], has anyone in your household YES |
|-----|---|--|
| 14. | owned a non-agricultural business or provided a non-agricultural service, either from home or from a household-owned shop? Examples include owning a carwash, metal worker, mechanic, carpenter, tailor, barber, etc. | |
| 15. | sold any <i>processed products derived from <u>PURCHASED</u></i> crops, livestock, fishing, or forest products? This means raw materials that were not grown, raised, fished, or foraged/collected by your household, but instead were purchased by your household and then processed for sale. Examples include flour, juice, beer, jam, oil, seed, fish filets, cured meats, wicker baskets, etc. | |
| 16. | owned a trading business on a street or in a market? | |
| 17. | offered any service or hawked/sold anything on a street or in a market? Includes firewood, home-made charcoal, curios, construction timber, traditional medicine, mats, bricks, furniture, weave baskets, thatch grass, etc.? | |
| 18. | offered professional services, from home or from an office, as a doctor, accountant, lawyer, translator, private tutor, midwife, mason, etc.? | |

| | | Since [REFERENCE MONTH BEFORE THE SHOCK], has anyone in your household |
|-----|---|---|
| | | YES 1 |
| | | NO 2 |
| 19. | offered transportation or moving services as a driver of a household- owned or rented taxi, motorbike, or truck? | |
| 20. | owned a bar, restaurant, or food stand? | |
| 21. | owned any other non-agricultural business, even if it is a small activity run from home or on a street? | |

| 22. Did the household stop any of these activities since [major shock] that started in [MAJOR SHOCK ONSET MONTH/YEAR]? (IF THERE IS ANY YES RESPONSE IN LINES 2-9 IN E1) | 23. Why did the household stop any of these activities? (IF E2=1) (MULTIPLE RESPONSES MAY APPLY) | 24. Compared to [REFERENCE TIME BEFORE THE SHOCK] are the revenue from sales from the non-farm family business READ OPTIONS |
|--|---|--|
| YES 1 NO 2 ▶ Q14 | LEGAL PROBLEMS.1COULD NOT OBTAIN INPUTS2LACK OF DEMAND3LOW PROFITS.4COULD NOT OBTAIN CREDIT5TOO MUCH DEBT6SECURITY ISSUES7DEATH/SICKNESS OF OWNER.8CONFLICT(MILITANCY/INSURGENCY)9ADDITIONAL[SHOCK]-RELATED REASON10OTHER, SPECIFY.96 | Higher |

Proposed Modification 5B: To assess the specific challenges that non-farm enterprises face due to the shock. We recommend including question 11b to shed light on the most relevant challenges that enterprises are currently facing.

| 11b Has the activity you or your household operate faced any of the following challenges due to the [major shock]? READ OUT OPTIONS AND RECORD Y/N RESPONSE | | | | | | |
|--|--|---|---|---------------------------------|--|----------------------------------|
| YES 1 NO 2 | | | | | | |
| Difficulty buying and receiving supplies and inputs to run the business | Difficulty raising money for the business | Difficulty repaying loans or other debt obligations | Difficulty paying rent for business location | Difficulty paying workers | Difficulty selling goods or services to customers | Other difficulty (SPECIFY) |

Module 8: Savings and Credit

This section collects savings and credit information at the individual level, allowing for intra-household analysis.



Proposed Modification 8A: To identify whether new loan applications are moti-

vated by shock-related income loss. This question is intended to be included in the credit module at the loan level.

| 14. What was the main reason for applying for this loan? |
|--|
| PURCHASE LAND1 |
| PURCHASE INPUTS FOR FOOD CROP2 |
| PURCHASE INPUTS FOR CASH CROP3 |
| PURCHASE LIVESTOCK4 |
| CEREMONIES (MARRIAGE, BURIAL, OTHER SOCIAL FUNCTIONS)5 |
| EDUCATION6 |
| MOTOR VEHICLE PURCHASE7 |
| HOME PURCHASE OR CONSTRUCTION8 |
| OTHER HOUSEHOLD CONSUMPTION9 |
| HEALTH EXPENSES10 |
| COPING WITH INCOME LOSS DUE TO [SHOCK]11 |
| OTHER, SPECIFY96 |

Module 11: Housing

The housing module collects information on access to electricity, drinking water source and the type of dwelling.



Proposed Modification 11A: To include more detailed questions about internet access. Shocks can often affect mobility and thereby, internet access. In the Essential Guidelines, questions related to internet or mobile

phone access are collected at the individual level in the education and technologies section. We recommend also including this set of questions (28b and 28c) at the household level in the housing module.

| 28b Do you have internet (WiFi) in the household? DO NOT INCLUDE ACCESS TO THE INTERNET USING MOBILE PHONES YES | 28c How much does your househ for internet (WiFi)? TIME UNIT DAILY | nold typically pay |
|---|--|--------------------|
| | AMOUNT | UNIT |

Module 14: Shocks and Coping Strategies

This standard module assesses whether the household experienced shocks over a specified time period and what their coping mechanisms were.



Proposed Modification 14A: To identify whether the household has been directly affected by the major shock of interest (COVID-19, armed conflict, inflation, among others). The standard shocks and coping strategies module

captures information on the various shocks a household may have experienced in the last 12 months. We suggest changing the reference period to the month and year of the relevant major shock and to incorporate two additional shocks: increase in price of oil and fuel and disruptions in farming/livestock activities.

PART C Additional modules to be considered

In addition to the core questionnaire modules discussed in Section B, the following modules are also recommended to be considered for household surveys. These additional modules are included in Annex 1 of this guidebook and are also available in an excel version available online.

| Table | 2. | Additional | modules |
|-------|----|------------|---------|
|-------|----|------------|---------|

| Section Number | Module | Description | Pillar in the WBG Global Crises Response Framework |
|-------------------|---|---|---|
| 1B. | Absentees | This module creates a roster of former household members and their reasons for leaving the household | Pillar 2 Protecting People and Preserving Jobs |
| 16. | Access to Essential Goods | This module collects information about the availability of essential goods and the main reasons why a household has not been able to get the item | Pillar 1 Responding to Food Insecurity |
| 17. | Climate shocks | This module provides in-depth information about shocks related to climate and households' expectations about future shocks | Pillar 3 Strengthening Resilience |
| 18. | Economic Sentiments | The module captures information on the economic situation of the household, the economic situation of the country, consumer prices, major household purchases and extreme weather shocks | Pillar 4 Strengthening Policies, Institutions and Investments for Rebuilding Better |
| 19. | Food prices | This is a module to capture changes in key food items that are usually consumed by households | Pillar 1 Responding to Food Insecurity |
| 20. | Government responses to [SHOCK] | This module collects information about satisfaction regarding government responses to different shocks | Pillar 4 Strengthening Policies, Institutions and Investments for Rebuilding Better |
| 21. | COVID-19 vaccine history, hesitancy, and information sources | This module captures information on COVID-19 vaccination for household members 5 years and older. It includes questions about the motivations of those who made the decision to get vaccinated, as understanding this motivation is as important as understanding vaccine hesitancy when considering how to improve future vaccine rollouts and uptake. | Pillar 3 Strengthening Resilience |
| 22. | Subjective Well-being | The module captures perceptions regarding the adequacy of the household's food consumption, housing, clothing, and health care. | Pillar 4 Strengthening Policies, Institutions and Investments for Rebuilding Better |

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Appendices

Appendix A. Model household survey questionnaire

Using this questionnaire

This questionnaire is designed in accordance with the LSMS Guidebook, "General Guidelines for Designing Household Surveys". This overview is a supplement to the guidebook and focuses on the design and layout of the questionnaire content; it does not summarize or replace the contents of the guidebook, which should be referred to for detailed guidance [link: LSMS General Guidelines]. The questionnaire content can be tailored to meet the specific survey's needs, but comparability should be maintained to the greatest extent possible.

In addition to the guidance provided here, the model questionnaire includes notes for survey designers (that is, those deciding the context and content of the survey) as well as programmers (that is, those formatting and preparing the questionnaire for implementation, whether on paper or in CAPI). These notes should be taken into consideration during the planning process and removed before the questionnaire is shared with enumerators and used in the field.

General edits throughout the questionnaire

Selecting questions. As questions are often interdependent from the perspective of survey analysis, adding and/or removing questions should be based on careful review of the survey analysis requirements. Any changes should also be carefully reviewed in the context of the flow of the entire section, ensuring that skip patterns and references are also updated. The sample module is based on the recommendations in the General Guidelines. In some cases, optional questions are provided that may allow for further analysis and more context on the section topics.

These optional questions are sorted into two types:

- Strongly encouraged: These are questions that are beyond the minimum requirement for the analysis and calculations detailed in the guidebook, but are nevertheless common and allow for greater understanding of the collected data. Throughout the model questionnaire, these are coded in lavender and labeled E/O (strongly encouraged, but optional).
- Optional, per survey demands: These are questions that are often included and may be of interest depending on the needs of the survey and the country context. Throughout the model questionnaire, these are coded in light orange and labeled OCC (optional, depending on country/survey context).

The following notes apply to all questionnaire sections:

- Item lists. All item lists other income sources, assets, food items, social assistance types, and so on – include example items and must be tailored to the country and survey needs in consultation with experts.
- Currency. Replace all occurrences of CURRENCY with the name of the local currency (such as NAIRA, DOLLAR, PESO, etc.).
- ▶ Household members as response options. Several questions ask the respondent to identify household members that conduct or are responsible for specific activities. For these questions, when using paper-and-pen interviewing (PAPI), allow space to report at least three possible household members per question. When using CAPI, the best option is to revise the question instruction to "List all" and design the response as a multi-select drop-down menu of all (age-appropriate) household members, from which the interviewer can select each household member reported by the respondent.
- Page formatting. Pages are formatted for reviewing the document. However, if the survey will be implemented using PAPI, the font size as well as space for writing responses should be increased.

Section 2 – Education

Reference period. In most cases, the appropriate reference period will be the current school year, although there are potential exceptions. The goal for this section is to collect consistent schooling data across all households (that is, all households are asked about the same reference period) while minimizing the household reporting burden.

- If the survey is taking place at the beginning of a new school year, it may be better to ask detailed information on the previous school year, as the respondent will likely have a better understanding of the details and costs of a complete year that has recently ended relative to one just beginning. In this case, we recommend the following question structure:
 - ▶ Q10 Q13: Ask as written in the model questionnaire.
 - ADD A NEW QUESTION: Did NAME attend school during the previous [20XX/20XX] school year?
 - Change all subsequent questions to refer to the recently completed school year instead of the current one.
- Likewise, if the survey is taking place between school years, information should be collected on the recently completed school year. In this case, the same questions can be revised as follows:
 - Q10. Did NAME attend school during the [20XX/20XX] school year?
 - Q12. Why did NAME not attend school?
 - Q13. In what level was NAME enrolled during the recent [20XX/20XX] school year?
 - Change all subsequent questions to refer to the recently completed school year instead of the current one.
- Consistency matters. If the survey will span different phases of the school year, survey designers should weigh their options regarding reference period and must agree on ONE reference period to be used throughout the survey.

Education expenditures should be reported for each item individually, or at least by grouping categories of expenses.

- Collecting data at the item-level is strongly recommended. To do so, for Q21 & Q22, ask individually about items labeled A through T. Items A though M as well as O are highly recommended. Delete the row of item groupings labeled i through xii.
- For surveys that will ask about expense by grouping categories, for Q21 & Q22, ask about each grouping labeled i through xii. In this case, delete the row of items labeled A through T.

Section 3 – Health

Labor impact. While not central to health and wellness calculations, Q7, Q8, and Q28 are highly encouraged for calculations regarding labor impact.

Treated bednets. Q29 – Q32 are strongly recommended for countries where malaria is a common health risk. They are only labeled as optional because malaria is not prevalent in some regions.

Anthropometry. Q33 – Q39 are a sample of an extremely brief child anthropometry module. For more details on this topic and for more detailed questionnaire examples, see http://mics.unicef.org/tools,

Section 4B – Own-use production of goods

The International Labor Organization recommends collecting data on all forms of work, including own-use production of goods (which is not classified as employment under current guidelines). Section 4B is an example of how such data may be collected. The questions can be asked as an extension of Section 4 or as a separate section (as shown here).

Sections 5A & 5B – Household enterprises

section 5A contains filter questions used to establish whether any of the activities conducted by the household qualify as non-farm enterprises (commonly known as household or family enterprises) for the purpose of this survey. Any households that report Yes to any of the activities in Q2 through Q9 will complete Section 5B for each activity.

Section 7 – Assets

The item list for this section should be tailored to the country where the survey is being conducted. If the item list will be used to calculate the overall asset wealth of households, expand the list to include the most commonly owned assets as well as any high-priced assets (even if not commonly owned) to allow for ranking households by assets. Alternatively, the list can be used to create an asset index to rank households by quintiles or deciles, for example. In this case, the item list does not need to be an exhaustive list of all potential household assets – rather, it should include key items across

all price ranges to help rank household wealth. Allowing for up to two additional items to be reported at the household's discretion (using an "Other, specify" response option) will help capture any significant items that may be overlooked. Items frequently reported as "Other, specify" should be evaluated for inclusion in future iterations of the survey.

Sections 8 & 8B – Savings & credit and credit detail

Section 8 collects savings and credit information at the individual level, allowing for intra-household analysis. Section 8 Q9 through Q14 ask about loans at the individual level (that is, each person is asked about the loans for which they applied) with details collected on one loan per person. Section 8B collects additional details specifically on loans at the loan level (that is, information is collected about each loan taken out by the household, identifying the household members who were applicants). Section 8B provides more detailed loan information and should be used if such information is explicitly requested by stakeholders. IMPORTANT NOTE: Data on loans should not be collected using both modules. When implementing Section 8B, the last question asked in S8 (which will become S8A) will be Q11.

Section 12 – Food security

This section is based on FAO's Food Insecurity Experience Scale (FIES), which requires that the set of questions are asked in their entirety. They can be asked at the overall household level (as shown here) or at the individual level, asked once for each adult household member. It is important that this section is NOT asked directly before or after the food consumption sections. There are two recommended options for the reference period for FIES:

- For SDG monitoring, a 12-month reference period is recommended, as it controls for possible seasonal changes in food security, thus improving comparability across countries and/or national regions with different environmental and climatic zones.
- A 30-day reference period can be used if the survey is conducted continuously across the year and time stratified, or if the intent is to collect FIES scores only during one specific month.

For further guidance, see http://www.fao.org/in-action/voices-of-the-hungry/en/.

| SECT | TON | PAGE |
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color code for questions that are E/O: STRONGLY ENCOURAGED BUT OPTIONAL

color code for questions that are OCC: OPTIONAL, DEPENDS ON COUNTRY/SURVEY CONTEXT

color code for questions that are ASR: ADDITIONAL SHOCK-RELATED QUESTIONS

BRIEF DEFINITION OF A HOUSEHOLD:

1. A household is a group of people who have usually slept in the same dwelling and share their meals together. Examples of households are:

- A man and his wife and children, father/mother, nephew, and other relatives.
- A single person.
- A couple or several couples living together, with or without children.

2. All listed persons that have been away from the household for more than six months are not considered to be household members except:

The person identified as the head of household even if he or she has not been with the household for more than 6 months

- Newly born (or newly adopted) children
- Students and seasonal workers who have not been living in or as part of another household
- New spouses

[SPACE FOR SURVEY HEADER]

| SECTION 0: COVER PAGE | | | | | | | | |
|---|---------------------|---------------|----------|--------------------|---|--|--|--|
| [Adjust to reflect country-specific listing information] Household Questionnaire | | | | | | | | |
| THIS INFORMATION IS STRICTLY CONFIDENT | TIAL AND IS TO BE | USED FOR ST | TATISTIC | CAL PURPOSES ONLY. | | | | |
| 1. DISTRICT: 2. ENUMERATION AREA: | CODE | | | NAME | ENUMERATOR: IF YOU FIND THE HOUSEHOLD AND SOMEONE IS ANSWERING THE DOOR, INTRODUCE YOURSELF AND ATTEMPT TO SEEK OUT A COMPETENT RESPONDENT. A COMPETENT RESPONDENT MUST BE X YEARS OF AGE OR OLDER AND MUST HAVE KNOWLEDGE | | | |
| 2. ENOWIERATION AREA: | | | | | OF THE HOUSEHOLD AND ITS ACTIVITIES. | | | |
| 3. PLACE / VILLAGE NAME: | | | | | TO CONFIRM CONSENT TO BEGIN THE INTERVIEW, READ THE FOLLOWING TEXT. | | | |
| 4. HOUSEHOLD ID (FROM LIST): | | | | | Country-specific text. Mention the following: i) Institution responsible for the survey | | | |
| 5. NAME OF HOUSEHOLD HEAD: | | | | | ii) Survey objectives and household selection protocol iii) Confidentiality of the information collected during the interview | | | |
| 6. ENUMERATOR CODE: | | | | | iv) Profile of the most appropriate respondent for this survey v) Request explicit oral consent to be interviewed, noting that this does | | | |
| 7. ENUMERATOR NAME: | | | _ | _ | not obligate them to answer any or all of the questions (though it would be very appreciated if they do). | | | |
| 8. INTERVIEW DATE AND TIME. Attempt 1 | DATE S | TART | END | | CODES FOR Q 12 | | | |
| Attempt 1 Attempt 2 | | | | | REFUSED1 NO COMPETENT RESPONDENT AT TIME OF THE VISIT | | | |
| Attempt 2 Attempt 3 | | | | | NO COMPETENT RESPONDENT AT TIME OF THE VISIT | | | |
| | L | H MM | НН | MM | HOUSEHOLD MOVED TO ANOTHER VILLAGE/TOWN/DISTRICT | | | |
| | | ′ES 1 | | | HOUSEHOLD MOVED TO A NEIGHBORING COUNTRY | | | |
| 9. Did a household member give consent to b | | 102 ▶ | 11 | | HOUSEHOLD MOVED TO UNKNOWN LOCATION6 | | | |
| 10. Name of member that gave consent: | | | | | HOUSEHOLD NOT FOUND7 | | | |
| 11. Is it possible to start the interview? | | ′ES1 ▶ IO2 | 13 | | DWELLING DESTROYED | | | |
| 12. Reason why the household cannot be interviewed. | | | | | | | | |
| 13. GPS COORDINATES OF THE DWELLING (| to be collected out | side the dwe | eiiing): | | | | | |
| LATITUDE (N)O | · · | | | LONGITUDE (E) | O | | | |

| | | | | | CODED E/O | | CODED OCC |
|--|---|--|---|----------------------------|---|---|--|
| 1. | 2. | 3. | 4. | | 5. | 6. | 7. |
| NAME MAKE A COMPLETE LIST OF ALL INDIVIDUALS <u>WHO</u> <u>NORMALLY LIVE AND EAT</u> <u>THEIR MEALS TOGETHER</u> <u>IN THIS HOUSEHOLD</u> , STARTING WITH THE HEAD OF HOUSEHOLD. (CONFIRM THAT HOUSEHOLD HEAD HERE IS THE SAME AS HOUSEHOLD HEAD LISTED ON COVER.)" | What is the sex of [NAME]? MALE1 FEMALE2 | What is [NAME]'s relationship to the head of household?HEAD | How old is [NAM IF 5 YEARS AND RECORD YEARS IF LESS THAN 5 Y AGE, RECORD Y MONTHS. | OVER, ONLY. YEARS IN | In what year was [NAME] born? CHECK CONSISTENCY WITH AGE IN Q4. | During the past 12 months (since MONTH/ YEAR), how many months has [NAME] <u>been</u> <u>away</u> from this household? | How many days did [NAME] eat in this household in the past 7 days? |
| | | SERVANT OR SERVANT'S RELATIVE 13 LODGER/LODGER'S RELATIVE 14 OTHER NON-RELATIVE 15 | YEARS | MONTHS | YEAR (YYYY) | CUMULATIVE MONTHS | NUMBER OF DAYS |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | 1 |

FOR A COMPLETE LIST OF INDIVIDUALS CONNECTED TO THE HOUSEHOLD, USE THE FOLLOWING PROBE QUESTIONS:

First, give me the names of all the members of your immediate family who normally live and eat their meals together here.

WRITE DOWN NAMES, SEX, AND RELATIONSHIP TO HH HEAD. LIST THE HOUSEHOLD HEAD ON LINE 1.

Next, give me the names of any other persons related to you or other household members who normally live and eat their meals together here.

Are there any other people not here now who normally live and eat their meals here? For example, household members studying elsewhere or traveling?

Next, give me the names of any other persons not related to you or other household members, but who normally live and eat their meals together here, such as servants, lodgers, or others who are not relatives.

DO NOT LIST SERVANTS WHO HAVE A HOUSEHOLD ELSEWHERE, AND GUESTS WHO ARE VISITING TEMPORARILY AND HAVE A HOUSEHOLD ELSEWHERE.

USE A SECOND QUESTIONNAIRE IF NEEDED TO COLLECT ALL NAMES.

| SE | CTION 1A: HOUSEH | OLD ROSTER | | | | | | | | | |
|------|--|--|---|---|-------|---------|---|---|--------|-----------|---------------------|
| | | | CODED E/O | | | CODE | D OCC | | | CODED E/O | CODED E/O |
| | 8. | 9. | 10. | | | 10 alte | ernate | | | 11. | 12. |
| DE | CAPI/ENUMERATOR CHECK: IS THIS PERSON 12 YEARS OR OLDER? YES1 NO2 | What is [NAME]'s present marital status? MONOGAMOUS/MARRIED 1 POLYGAMOUS/MARRIED 2 NON-FORMAL UNION 3 SEPARATED | Is the spouse of [NAME] living in the household? If so, write the ID Code of the spouse. IF NOT LIVING IN THE HH, USE CODE 00 | Is the spouse of [NAME] living in the household? WRITE ID CODE OF ALL CURRENT SPOUSES. IF SPOUSE DOES NOT LIVE IN HH, USE CODE 00 In what year did [NAME] get married to [SPOUSE]? IE RESPONDENT DOES NOT KNOW, CALCULATE USING | | | Does the biological father of [NAME] live in the household? YES | What is the PID of [NAME]'s biological father? • Q16 | | | |
| CODE | | | | FIRST S | POUSE | SECOND | SPOUSE | THIRD | SPOUSE | - | |
| ₽ | | CODE | ID CODE | ID CODE | YEAR | ID CODE | YEAR | ID CODE | YEAR | | COPY ID FROM ROSTER |
| 1 | | | | | | | | | | | |
| 2 | | | | | | | | | | | |
| 3 | | | | | | | | | | | |
| 4 | | | | | | | | | | | |
| 5 | | | | | | | | | | | |
| 6 | | | | | | | | | | | |
| 7 | | | | | | | | | | | |
| 8 | | | | | | | | | | | |

| SE | CTION 1A: HOUSEH | OLD ROSTER | | | | | |
|------|--|--|---|---|---|---|--|
| | CODED OCC | CODED OCC | CODED OCC | CODED E/O | CODED E/O | CODED OCC | CODED OCC |
| | 13. | 14. | 15. | 16. | 17. | 18. | 19. |
| | Is [NAME]'s biological father alive? | What was the highest educational level completed by [NAME'S] biological father? | What was/is the industry of occupation of [NAME'S] biological father? AGRICULTURE1 | Does the biological mother of [NAME] live in the household? | What is the ID of [NAME]'s biological mother? | ls [NAME]'s biological mother alive? | What was the highest educational level completed by [NAME'S] biological mother? |
| CODE | YES 1 NO 2 | [INSERT HERE COUNTRY- SPECIFIC EDUCATION CODES FROM PRESENT AND PAST.] | AGRICULIURE | YES 1 NO 2 > Q18 | ▶ Q22 | YES2 NO2 | [INSERT HERE COUNTRY- SPECIFIC EDUCATION CODES FROM PRESENT AND PAST.] CODE |
| ₽ | | ID CODE | | | COPY ID FROM ROSTER | | |
| 1 | | | | | | | |
| 2 | | | | | | | |
| 3 | | | | | | | |
| 4 | | | | | | | |
| 5 | | | | | | | |
| 6 | | | | | | | |
| 7 | | | | | | | |
| 8 | | | | | | | |

| SE | CTION 1A: HOUSEHOLD RO | STER | | | | | |
|---------|---|---|--|--|--|---|-----------|
| | CODED OCC | CODED OCC | CODED E/O | CODED E/O | CODED ASR | CODED ASR | CODED ASR |
| | 20. | 21. | 22. | 23. | 23a | 23b | 23c |
| ID CODE | What was/is the industry of occupation of [NAME'S] biological mother? AGRICULTURE AGRICULTURE MANUFACTURING 2 MANUFACTURING 3 PROFESSIONAL, SCIENTIFIC, TECHNICAL ACTIVITIES 4 ELECTRICITY SCONSTRUCTION 6 TRANSPORTATION 7 BUYING AND SELLING 8 FINANCIAL SERVICES 9 PERSONAL SERVICES 10 EDUCATION 11 HEALTH 12 PUBLIC ADMINISTRATION 13 OTHER, SPECIFY 14 NEVER WORKED | What is [NAME]'s main religion? CHRISTIAN | In which district/ country was [NAME] born? INSERT NATIONAL DISTRICT CODES AS WELL AS A CODE FOR "IN ANOTHER COUNTRY". INCLUDE CODES FOR A FEW SPECIFIC OTHER COUNTRIES IF OF INTEREST. | How many years has [NAME] lived in this place/village? REFERS TO CURRENT HH LOCATION. IF LESS THAN ONE YEAR, RECORD 00. IF HERE SINCE BIRTH, RECORD 100 • NEXT PERSON | CAPI/ENUMERATOR: IS Q23 IS 5 YEARS OR LESS? YES | What was [NAME]'s the main reason for moving to the current place of residence in the last 5 years? TO LOOK FOR WORK1 OTHER INCOME REASONS2 DROUGHT, FLOOD, OR OTHER WEATHER-RELATED REASON3 EVICTION4 OTHER LAND-RELATED PROBLEM | |
| 1 | | | | | | | |
| 2 | | | | | | | |
| 3 | | | | | | | |
| 4 | | | | | | | |
| 6 | | | | | | | |
| 7 | | | | | | | |
| 8 | | | | | | | |

Designer Note: Q22-Q25 can be used to collect migration data when a more extensive migration section is not included.

| SE | CTION 1A: HOUSEHOLD ROSTER | | | |
|---------|--|--|---|---|
| | CODED E/O | CODED E/O | CODED OCC | CODED OCC |
| | 24. | 25. | 26. | 27. |
| ID CODE | In which district/country did [NAME] live before moving to the current place of residence? INSERT NATIONAL DISTRICT CODES AS WELL AS A CODE FOR "IN ANOTHER COUNTRY". INCLUDE CODES FOR A FEW SPECIFIC OTHER COUNTRIES IF OF INTEREST. | What was the main reason for moving to the current place of residence?TO LOOK FOR WORK1OTHER IMCOME REASONS2DROUGHT, FLOOD, OR OTHER WEATHER-RELATED REASON3EVICTION4OTHER LAND-RELATED PROBLEM5ILLNESS, INJURY6DISABILITY7EDUCATION8MARRIAGE9DIVORCE10TO ESCAPE INSECURITY11RETURN HOME FROM DISPLACEMENT12FOLLOW/JOIN FAMILY13OTHER, SPECIFY14 | In which district/country did [NAME] live 5 years ago ? INSERT NATIONAL DISTRICT CODES AS WELL AS A CODE FOR "IN ANOTHER COUNTRY". INCLUDE CODES FOR A FEW SPECIFIC OTHER COUNTRIES IF OF INTEREST. | In how many places such as another village, town, or country, did [NAME] live during the last 5 years ? IF Q22 AND 26 REFER TO THE SAME VILLAGE AND RESPONDENT LIVED IN NO OTHER PLACES, RECORD 1 |
| 1 | | | | |
| 2 | | | | |
| 3 | | | | |
| 4 | | | | |
| 5 | | | | |
| 6 | | | | |
| 7 | | | | |
| 8 | | | | |

Designer Note: Q22-Q25 can be used to collect migration data when a more extensive migration section is not included.

SECTION 1B: ABSENTEE ROSTER (NON-HOUSEHOLD MEMBERS THAT WERE PART OF THE HOUSEHOLD BEFORE)

0a. Has any person that was part of the household moved away (to another state, city, country) in the last 10 years (NOT A CURRENT HH MEMBER)? (e.g. move to another State for a job, got married and moved to a different city, moved to another country to study)

YES 1

NO 2 > NEXT SECTION

Please list the names of all the persons who moved away (to another state, city, country) in the last 10 years.

| 1. | 2. | 3. | 4. | 5. |
|--|-----------------------|---|---|--|
| NAME PLEASE LIST THE NAMES OF ALL HOUSEHOLD MEMBERS WHO NO LONGER LIVE IN THIS HH. | What is [NAME]'s sex? | What is [NAME]'s current age (IN COMPLETED YEARS)? IF LESS THAN 1 YEAR, RECORD "0" | What is [NAME]'s relationship to the current household head? DO NOT READ OPTIONS SPOUSE 02 OWN CHILD 03 STEP CHILD 04 ADOPTED CHILD 05 GRANDCHILD 06 BROTHER/SISTER 07 NIECE/NEPHEW 08 SON-IN-LAW/DAUGHTER-INLAW 16 BROTHER/SISTER-IN-LAW 09 PARENT 10 PARENT 11 DOMESTIC HELP (RESIDENT) 12 OTHER RELATION (SPECIFY) 14 OTHER SPOUSE 17 | When did [NAME] leave this household? |
| | | YEARS | - | YEAR (YYYY) |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

| 6. | 7. | 8. | 9. |
|-------------------------------------|---|--|--|
| Where does [NAME] currently live? | In which country is [NAME] currently living? | In which STATE is [NAME] currently living? | Why did [NAME] leave the household? |
| ELSEWEHERE WITHIN THE SAME STATE | SELECT COUNTRY NAME FROM THE LIST OF COUNTRIES | SELECT STATE NAME FROM THE LIST OF STATES | VISIT/MOVED TO LIVE WITH RELATIVES/FRIENDS |
| | | | |
| | | | |
| | | | |

| SE | CTION 2A: EDUCATION | | | | | | |
|---------------|---|---|--|---|--|--|---|
| FO | R ALL HOUSEHOLD MEMBI | ERS 5 YEARS OLD OR | OLDER, PLEASE ASK | THE INDIVIDUAL THE | FOLLOWING QUESTI | ONS. | |
| | | CODED E/O | | | | | CODED E/O |
| | 1. | 2. | 3. | 4. | 5. | 6. | 7. |
| | CAPI/ENUMERATOR: IS THIS PERSON 5 YEARS OLD OR OLDER? | IS THIS PERSON ANSWERING FOR HIMSELF/HERSELF? | WRITE THE ID CODE OF THE PROXY RESPONDENT | Can [NAME] read or write in any language? | Has [NAME] ever attended school? | What was the main reason [NAME] never attended school? | At what age did [NAME] start school? |
| INDIVIDUAL ID | YES 1 NO 2 > NEXT PERSON | YES 1 > Q4 NO 2 | | YES 1 NO 2 | YES 1 if age<20 ▶ Q7 if age>=20 ▶ Q8 NO 2 ▶ Q6 | TOO YOUNG1TOO FAR AWAY2TOO EXPENSIVE3WORKING (HOME OR JOB)4LACK OF MONEY5DEATH OF PARENT(S)6SEPARATION OF PARENTS7DID NOT HAVE INTEREST8PARENTS DO NOT THINK IT IS IMPORTANT9PARENTS OPPOSED TO SCHOOL10ILLNESS11DISABILITY12CONFLICT (MILITANCY/INSURGENCY)13SCHOOL CLOSED DUE TO [SHOCK]14WORRIED ABOUT RISK OF [SHOCK]14ALL RESPONSES ► NEXT PERSON | |
| IN | | | ID CODE | - | | | AGE |
| 1 | | | | | | | |
| 2 | | | | | | | |
| 3 | | | | ` | | | |
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| 6 | | | | | | | |
| 7 | | | | | | | |

SECTION 2A: EDUCATION

| | | CODED E/O | | CURRENT SC | CHOOL YEAR |
|---------------|--|--|--|---|---|
| | 8. | 9. | 9b | 10. | 11. |
| | What is the highest level [NAME] has completed? | What is [NAME]'s highest qualification attained? | Was [NAME] attending school in person before [MAJOR SHOCK ONSET MONTH/YEAR]? | Is [NAME] currently attending school (the 20xx/20xx school year)? | CAPI/ENUMERATOR: IS THE RESPONDENT [XX] YEARS OR YOUNGER? |
| INDIVIDUAL ID | PRESCHOOL .01 UNIV.1 .31 P1 .11 UNIV.2 .32 P2 .12 UNIV.3 .33 P3 .13 UNIV.4 .34 P4 .14 UNIV.5+ .35 P5 .15 | NONE 1 FSLC 2 MSLC 3 JSS 5 SSS 'O LEVEL' 6 A LEVEL 7 VOC/COMM CERTIFICATE 8 VOC/COMM DIPLOMA 9 NCE/OND NURSING 10 BA/BSC/HND 11 PHD/MASTERS 12 DOCTORATE 13 OTHER (SPECIFY) 14 | YES 1 NO 2 | IF SCHOOL IS ON A REGULARLY SCHEDULED BREAK, BUT [NAME] WAS ATTENDING PRIOR TO THE BREAK, RECORD YES. YES 1 > Q13 NO 2 | YES 1 NO 2 NEXT PERSON |
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
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| 6 | | | | | |
| 7 | | | | | |

Designer Note: For Q11, Replace XX with the upper age limit for expected secondary schooling age in the survey country (for example, 14 or 16, etc.).

| 12. | 13. | 13b | 13c |
|--|--|--|--|
| Why is [NAME] not attending school this school year? | In what level is [NAME] enrolled this 20XX/20XX school year? | During the last week [MONDAY-SUNDAY] that school was open for lessons, either in-person or virtual, how many days did [NAME] miss? | Why did [NAME] miss the lessons? |
| HAD ENOUGH/COMPLETED SCHOOLING.1AWAITING ADMISSION2NO SCHOOL/LACK OF TEACHERS3NO TIME4NO INTEREST.5LACK OF MONEY6MARITAL OBLIGATION7SICKNESS8DISABILITY9SEPARATION OF PARENTS10DEATH OF PARENTS11TOO OLD TO ATTEND12DOMESTIC OBLIGATION13CONFLICT (MILITANCY/INSURGENCY)14PREGNANCY15TOO YOUNG16SCHOOL CLOSED DUE TO [SHOCK]17WORRIED ABOUT RISK OF [SHOCK]18OTHER, SPECIFY96ANSWER THEN ► Q25 | INSERT NEW CODES | CAPI CHECK that number of days <= maximum number of days per week with lessons DAYS=0 > Q14 | NO SCHOOL OPEN NEARBY/LACK OF TEACHERS 1 SICK |
| | LEVEL | DAYS | - |
| | | | |
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| | | | | [EDUCATION E | EXPENDITURES] | CODED OCC | CODED OCC |
|---------------|---|---|---|--|--|--|--|
| | 14. | 15. | 16. | 17. | 18. | 19. | 20. |
| INDIVIDUAL ID | What kind of organization runs the school that [NAME] is currently attending? FEDERAL GOVT1 STATE GOVT2 LOCAL GOVT3 COMMUNITY4 RELIGIOUS BODY5 PRIVATE6 NGO7 OTHER(SPECIFY)8 | What means does [NAME] use to get to school? BOARDING1 ↓Q17 WALKING2 BUS3 TRAIN4 BICYCLE5 MOTORCYCLE6 CAR7 OTHER, SPECIFY9 | How much time does it take [NAME] to get to school? (IN MINUTES) REPORT TIME ONE WAY (NOT ROUND TRIP) <u>TIME CODE</u> 0-15 1 16-30 2 31-45 3 46-60 4 61-90 5 91-120 6 120+ 7 | Does [NAME] have a scholarship for the current school year? YES 1 NO 2 > Q21 | What is the amount of the scholarship [NAME] receives for the current school year? | How many years does the scholarship cover? | From which organization, did [NAME] receive the scholarship for the current school year? FEDERAL GOVT1 STATE GOVT3 COMMUNITY4 RELIGIOUS BODY5 PRIVATE6 NGO7 OTHER(SPECIFY)8 |
| Z | | | CODE | | CURRENCY | YEARS | |
| 1 | | | | | | | |
| 2 | | | | | | | |
| 3 | | | | | | | |
| 4 | | | | | | | |
| 5 | | | | | | | |
| 6 | | | | | | | |
| 7 | | | | | | | |

| | | | [EDUCATION EXPENDITURES] 21. | | | | | | | |
|---------------|--|---|--|--|--|--|--|--|--|--|
| | How much did your household sp | end monthly for [NAME] on educat | ion during the 2017/2018 school yea | r for each of the following items? | | | | | | |
| | RECORD MONTHLY AMOUNTS. IF THERE WAS NO EXPENDITURE, WRITE '0' | | | | | | | | | |
| | i. Ancil (boarding, canteen, tra | lary fees ansport, health services) | ii. Other teaching materials | | transport purchased onal institutions | | | | | |
| INDIVIDUAL ID | A. School canteen fees | B. Fees for transport organized by the school | C. Other REQUIRED purchases, NOT TEXTBOOKS OR TEACHING MATERIALS (such as a computer, extra books, athletic equipment, material for arts lessons, or other school-related expenses specific to the country) | D. Transportation to and from school not organized by the school" | E. School meals purchased outside school | | | | | |
| 1 | | | | | | | | | | |
| 2 | | | | | | | | | | |
| 3 | | | | | | | | | | |
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| 7 | | | | | | | | | | |

Designer Note: For Q21 & Q22, it is strongly recommended to collect data at the level of DETAILED(header labels A through T). However, data should be collected AT LEAST at the GROUP LEVEL(headers i through xii). Delete the row of headers not being used.

22. During the current school year, how much will your household spend IN TOTAL for [NAME]'s education on the following items? Please include amounts already spent for the current school year as well as those you expect to spend for the rest of this CURRENT school year.

IF THERE WAS NO EXPENDITURE FOR A GROUP, WRITE '0' FOR THAT GROUP

IF THE RESPONDENT CANNOT DIVIDE SCHOOL EXPENSES INTO VARIOUS CATEGORIES, THEN RECORD THE AGGREGATE EDUCATION EXPENDITURE FOR THE INDIVIDUAL IN COLUMN T OR XII, NOT ALLOCABLE. FIRST, MAKE EVERY EFFORT TO OBTAIN EXPENSES BY CATEGORY. FILL IN ANY CATEGORIES POSSIBLE, AND RECORD ANY AMOUNT THAT CANNOT BE BROKEN DOWN IN COLUMN T OR XII, NOT ALLOCABLE.

| ₽ | | other fees (exam, tion, etc) | v. Other contribu | tions to school (PTA, S | MC, school fund, in-ki | nd contributions) | vi. Ancillary fees (boarding, canteen, transport, health services) | | |
|------------|--------------------|---|---|---|--|---|---|-----------------------------------|--|
| INDIVIDUAL | G. Tuition fees | H. Exam, registration and other official fees | l. PTA, SBMC and other association fees | J. Development levy or payment for construction, maintenance or other school funds | F. Gift or donation to teacher (cash or in-kind)? | K. Gift or donation to school (cash or in-kind)? | L. School boarding fees | M. Fees for health services | |
| 1 | | | | | | | | | |
| 2 | | | | | | | | | |
| 3 | | | | | | | | | |
| 4 | | | | | | | | | |
| 5 | | | | | | | | | |
| 6 | | | | | | | | | |
| 7 | | | | | | | | | |

Designer Note:

For Q21 & Q22, it is strongly recommended to collect data at the level of DETAILED (header labels A through T).

However, data should be collected AT LEAST at the GROUP LEVEL(headers i through xii). Delete the row of headers not being used.

| | | | | | CODED OCC | CODED OCC | |
|---------------|--|---|--|---|---|-----------------------------------|--------------------------|
| | | | | 22. | | | |
| | During the current school current school year as w | ol year, how much will yo ell as those you expect to | ur household spend IN TO spend for the rest of this | DTAL for [NAME]'s educat s CURRENT school year. | ion on the following iten | ns? Please include amoun | ts already spent for the |
| | IF THERE WAS NO EXPENDI | fure for a group, write 'C |)' FOR THAT GROUP | | | | |
| | | OT DIVIDE SCHOOL EXPENSES VERY EFFORT TO OBTAIN EXF | | | | | |
| ٩ | vii. Uniforms and other school clothing | viii. Textbooks and other teaching materials (stationery, etc.) | ix. Private tutoring | x. Additional (OPTIONAL) books, computer, or learning software to be used at home in support of formal schooling | xi. Other categories (music a curricular ac | xii. Not Allocable | |
| INDIVIDUAL II | N. Uniforms and other school clothing | O. Textbooks and other teaching materials (stationery, etc.) | P. Private tutoring | Q. Additional (OPTIONAL) books, computer, or learning software to be used at home in support of formal schooling | R. Music and arts lessons | S. Extra-curricular activities | T. Not Allocable |
| 1 | | | | | | | |
| 2 | | | | | | | |
| 3 | | | | | | | |
| 4 | | | | | | | |
| 5 | | | | | | | |
| 6 | | | | | | | |
| 7 | | | | | | | |

Designer Note: For Q21 & Q22, it is strongly recommended to collect data at the level of DETAILED(header labels A through T). However, data should be collected AT LEAST at the GROUP LEVEL(headers i through xii). Delete the row of headers not being used.

| | | | | | | 1 |
|--|---|---|---|--|---|--|
| CODEI | D E/O | CODED E/O | CODED E/O | CODED OCC | CODED OCC | CODED OCC |
| 23 | 3. | 24. | 25. | 26. | 27. | 28. |
| During the school year (2018/2019) [NAME] eve for a period weeks or lo YES 1 NO 2 > Q | ,) was er absent d of 2 onger? | Why was [NAME] absent for an extended period? ADD APPROPRIATE CODES FROM NOT ATTENDED SCHOOL | Did [NAME] ever repeat any class during Primary, Junior Secondary, or Senior Secondary? YES,PRIMARY ONLY 1 YES,SECONDARY ONLY 2 YES,BOTH | What was the last class [NAME] repeated? P1 | What was [NAME]'S main reason for repeating the grade specified in Q26? FAILED EXAM | How many times has [NAME] repeated the class specified in Q26? |
| INDIVIDUAL ID | | | | P6 | SCHOOL FEES | |
| 1 | | | | | | |
| 2 | | | | | | |
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| 4 | | | | | | |
| 5 | | | | | | |
| 6 | | | | | | |
| 7 | | | | | | |

SECTION 2B: TECHNOLOGY ACCESS

| | | | | | 1 | 1 | |
|---------------|---|---|--|--|---|--|--|
| | CODED E/O | CODED E/O | CODED E/O | CODED E/O | CODED E/O | CODED E/O | CODED E/O |
| | 1. | 2. | 3. | 4. | 5. | 6. | 7. |
| | CAPI/ENUMERATOR: IS [NAME] 10 YEARS OLD OR OLDER? | IS THIS PERSON ANSWERING FOR HIMSELF/HERSELF? | WRITE THE ID CODE OF THE PROXY RESPONDENT | Does [NAME] have access to a mobile phone? | If [NAME] wanted to make a phone call, whose mobile phone would they use? | Does [NAME] have access to the internet? | If [NAME] wanted to use the internet, how would they access it? |
| Individual id | YES 1 NO 2 > NEXT PERSON | YES 1 ▶ Q4 NO 2 | | YES 1 NO 2 ▶ Q5 | OWN | | PERSONAL DEVICE |
| | | | ID CODE | | | | |
| 1 | | | | | | | |
| 2 | | | | | | | |
| 3 | | | | | | | |
| 4 | | | | | | | |
| 5 | | | | | | | |
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| 7 | | | | | | | |
| 8 | | | | | | | |
| 9 | | | | | | | |
| 10 | | | | | | | |

48

SECTION 2B: TECHNOLOGY ACCESS

| | 8. | 9. | 10. | 11. |
|------------|---|--------------------------------|---|--|
| | Why does [NAME] not have access to internet at home? SELECT ALL APPLICABLE | CAPI/ENUMERATOR: IS Q6==NO? | How often does [NAME] use the internet? | What limitations prevent [NAME] from using the internet more frequently? |
| | | YES 1 ▶ NEXT PERSON | Every day1 | SELECT ALL APPLICABLE |
| | COST OF HAVING A DEVICE TO ACCESS THE INTERNET IS TOO HIGH 1 COST OF INTERNET ACCESS/DATA PACKAGES IS TOO HIGH | NO 2 | A few times a week2 A few times a month3 | NOTHING, NO LIMITATION |
| | LACK OF KNOWLEDGE OR SKILLS TO USE INTERNET/PHONES | Less th | Less than once a month. 4 | ISSUES WITH MY PHONE, TABLET OR COMPUTER |
| ₽ | NO COVERAGE | | | WORRIED ABOUT GETTING TRACKED/SURVEILLED 5 I FIND IT DIFFICULT TO USE |
| INDIVIDUAL | OTHER, SPECIFY | | | NOT ALLOWED TO USE IT MORE (FAMILY, SPOUSE, PARENTS) |
| | | | | OTHER, SPECIFY |
| 1 | | | | |
| 2 | | | | |
| 3 | | | | |
| 4 | | | | |
| 5 | | | | |
| 6 | | | | |
| 7 | | | | |
| 8 | | | | |
| 9 | | | | |
| 10 | | | | |

FOR ALL HOUSEHOLD MEMBERS

| | | | | | | | 1 | | |
|------------|-----------------------------|-------------------------|--|------------------------------------|---|-------------------------------------|--------------------------------------|------------------|----------|
| | 1. | 2. | 2b | 2c | 2d | 3. | | 4. | |
| | IS THIS PERSON ANSWERING | WRITE THE ID CODE OF | Has [NAME] needed health | Was [NAME] able to access | What was the main reason why [NAME] was not able to access | During the past 4 weeks, | For what reasor seek consultation | | |
| | FOR HIMSELF/ HERSELF? | THE PROXY RESPONDENT | services/medical treatment since the | the health services/ medical | the health services or medical treatment needed? | has [NAME] consulted a health | LIST UP TO THRI | EE REASONS | |
| | YES 1 ▶ Q3 | | beginning of the [SHOCK]? | treatment the last time | LACK OF MONEY1 NO MEDICAL PERSONNEL | practitioner, dentist, | | | |
| | NO 2 | | | [NAME] needed it? | AVAILABLE2 TURNED AWAY BECAUSE | traditional healer, or | | P (NOT FOR PREGN | |
| | | | YES 1 NO 2 ▶ Q3 | | FACILITY WAS FULL | pharmacist, or visited a health | | HECKUP | 4 |
| AL ID | | | | YES 1 ▶ Q3 NO 2 | WORRIED ABOUT RISK OF [SHOCK] 5 OTHER (SPECIFY) | center? | | | |
| INDIVIDUAL | | | | | | YES 1 NO 2 ▶ Q5 | | | |
| N | | ID CODE | | | | | REASON 1 | REASON 2 | REASON 3 |
| 1 | | | | | | | | | |
| 2 | | | | | | | | | |
| 3 | | | | | | | | | |
| 4 | | | | | | | | | |
| 5 | | | | | | | | | |
| 6 | | | | | | | | | |
| 7 | | | | | | | | | |

| | | | | | 7 | | |
|--|---|----------------------------|---|--|--|-------------------|--|
| | | | CODED E/O | CODED E/O | | | |
| 5. | | 6. | 7. | 8. | 9. | | |
| During the last 4 weeks, did [NAME] suffer from an illness or injury? | SELECT UP TO TWO IN ORDER OF SEVERITY | | Did [NAME] have to stop his/her usual activities in the past 4 weeks because of this condition? | For how many days did [NAME] have to stop his/her usual activities in the past 4 weeks because of this condition? | Whom did [NAME illness or injury in TRADITIONAL HEALI DOCTOR | the last 4 weeks? | |
| YES 1 NO 2 > Q17 | MALARIA 1 TB 2 YELLOW FEVER 3 TYPHOID 4 CHOLERA 5 DIARRHEA 6 MENINGITIS 7 CHICKEN POX 8 PNEUMONIA 9 COMMON COLD 10 COVID-19 11 INJURY 12 OTHER (SPECIFY) 12 | HYPERTENSION13 FLU | YES 1 NO 2 ▶ Q9 | | DENTIST NURSE MEDICAL ASST PHARMACIST CHEMIST SPIRITUALIST NO ONE OTHER (SPECIFY) | | |
| Ē 1 | 1ST | 2ND | | NUMBER OF DAYS | A. 1ST | B. 2ND | |
| 2 | | | | | | | |
| 3 | | | | | | | |
| • | | | | | | | |
| 5 | | | | | | | |
| 5 | | | | | | | |
| 7 | | | | | | | |

| | 10. | 11. | 12. | 13. | 14. | 15. |
|----------|--|---|--|--|--|--|
| | Where did [NAME]'s consultation take place? | Who ran the establishment where [NAME]'s consultation took place? | How much did [NAME] pay for the consultation? | How did [NAME] reach the [CONSULT LOCATION] for the first visit? | How much did [NAME] pay for the first trip (to and from) for | How long did it take to travel (one way) to [NAME]'s first consultation? |
| DUAL ID | HOSPITAL 1 DISPENSARY 2 PHARMACY 3 CHEMIST 4 CLINIC 5 MATERNITY HOME 6 CONSULTANT'S HOME 9 ▶ Q16 TRADITIONAL 10 SPIRITUALISTS HOME 11 OTHER (SPECIFY) 12 | FEDERAL GOVT | IF MULTIPLE CONSULTATIONS WITH THE SAME PROVIDER, REPORT ON THE FIRST CONSULT | NO TRAVEL/AT HOME | and from) for consultation (transport costs only)? IF THERE WAS NO COST, WRITE 00 | |
| INDIVIDU | REFER TO CONSULTATION REPORTED IN Q9A | REFER TO CONSULTATION REPORTED IN Q9A | CURRENCY | - | CURRENCY | HRS MIN |
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
| 6 | | | | | | |
| 7 | | | | | | |

| | | | | 1 | | | | | | |
|---------------|--|-----|---|-----|--|---|---|--|---|--|
| | CODED OCC | | | | 1 | HOSPITAL ADMISSIONS | | | | |
| | 1 | | 9-16 add'l | 17. | 18. | 19. | 20. | 21. | E1 | |
| INDIVIDUAL ID | How long did [NAME] have to wait to be attended for this first consultation? | | ong did [NAME] have to to be attended for this firstREPEAT Q10-Q16 FOR THE SECONDIn the past 4 weeks, did [NAME] spendHow the | | How much did [NAME] pay for these drugs or medicines? | During the past 12 months, was [NAME] admitted to a hospital or health facility? INCLUDE TRADITIONAL HEALING CENTERS YES 1 NO 2 > Q22 | During the past 12 months, how many nights did [NAME] stay in a hospital or health facility? INCLUDE TRADITIONAL HEALING CENTERS | How much did [NAME] pay in total for staying in a hospital or health facility in the last 12 months? | CAPI/ ENUMERATOR: IS RESPONDENT 5 YEARS OLD OR OLDER? IF YES, READ "The next questions ask about difficulties you may have doing certain activities because of a HEALTH PROBLEM." YES 1 NO 2 ▶ Q29 | |
| | HRS | MIN | _ | | CURRENCY | - | NIGHTS | CURRENCY | - | |
| 1 | | | | | | | | | | |
| 2 | | | | | | | | | | |
| 3 | | | | | | | | | | |
| 4 | | | | | | | | | | |
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| 6 | | | | | | | | | | |
| 7 | | | | | | | | | | |

| | | | | SABILITY | | |
|---------------|--|--|--|---|---|--|
| | 22. | 23. | 24. | 25. | 26. | 27. |
| | Does [NAME] have difficulty seeing, even if he/she is using glasses? READ RESPONSES | Does [NAME] have difficulty hearing, even if he/she is using a hearing aid? READ RESPONSES | Does [NAME] have difficulty walking or climbing steps? READ RESPONSES | Does [NAME] have difficulty remembering or concentrating? READ RESPONSES | Does [NAME] have difficulty with self care such as washing all over or dressing? READ RESPONSES | Using his/her usual (customary) language, does [NAME] have difficulty communicating, for example understanding or being understood? |
| INDIVIDUAL ID | NO, NO DIFFICULTY 1 YES, SOME 2 YES, A LOT 3 CANNOT SEE 4 | NO, NO DIFFICULTY 1 YES, SOME 2 YES, A LOT 3 CANNOT HEAR 4 | NO, NO DIFFICULTY 1 YES, SOME 2 YES, A LOT 3 CANNOT DO 4 | NO, NO DIFFICULTY 1 YES, SOME 2 YES, A LOT 3 CANNOT DO 4 | NO, NO DIFFICULTY 1 YES, SOME 2 YES, A LOT 3 CANNOT DO 4 | READ RESPONSES NO, NO DIFFICULTY1 YES, SOME |
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
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| 7 | | | | | | |

| | | | | | CODED OCC | | | | | | | |
|-----|--|-----------------------|---------|---|---|--|---|--|--|--|--|--|
| | | CODED OCC | | | TREATED BEDNET | | | | | | | |
| | | 28. | | 29. | 30. | 31. | 32. | | | | | |
| | Does this difficulty reduce the amount of work [NAME] can do at home, at school, or at work? | | | Did [NAME] sleep under a bednet yesterday? | Was the bednet [NAME] slept under yesterday treated or untreated? | How did the household obtain [NAME]'s bednet? | How much did the household pay for the bednet? | | | | | |
| | YES, ALL THE TIME | | 1 | | YES, TREATED NET LESS THAN 6 MONTHS OLD 1 | FREE GIFT1 • Q33 | | | | | | |
| | YES, SOMETIMES | | 2 | VEC 1 | YES, TREATED NET MORE THAN 6 MONTHS OLD 2 | PURCHASED2 | | | | | | |
| A N | YES, SOMETIMES | | | YES 1 NO 2 ▶ Q33 | NOT TREATED | PURCHASED W/VOUCHER3 | | | | | | |
| | NO, NOT ATTENDING | NG SCHOOL OR WORKING4 | | | | | | | | | | |
| Z | AT HOME | AT SCHOOL | AT WORK | | | | CURRENCY | | | | | |
| 1 | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | |
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| 7 | | | | | | | | | | | | |

| | | | | CODED OCC | | | |
|---------------|---|---|---|---|--------------------------------------|---|--|
| | | | ANT | HROPOMETRY SECTION | | | |
| | 33. | 34. | 35. | 36. | 37. | 38. | 39. |
| INDIVIDUAL ID | CAPI/INTERVIEWER: IS [NAME] A CHILD AGED LESS THAN 60 MNTHS (LESS THAN 5 YEARS)? YES 1 NO 2 > NEXT PERSON | INTERVIEWER: WAS [NAME] MEASURED? YES 1 ▶ Q36 NO 2 | INTERVIEWER: WHY WAS [NAME] NOT MEASURED? NOT AT HOME DURING SURVEY PERIOD1 TOO ILL | ENUMERATOR: IS [NAME] ABLE TO STAND ON THE SCALE? YES 1 NO 2 | WEIGHT (up to two decimal places) | LENGTH OR HEIGHT FOR A CHILD UNDER 2 YRS OLD, MEASURE LENGTH LYING DOWN FOR A CHILD AGED 2 OR MORE YRS, MEASURE HEIGHT STANDING UP | ENUMERATOR: WAS CHILD MEASURED STANDING UP OR LAYING DOWN? STANDING UP |
| 1 | | | | | | | |
| 2 | | | | | | | |
| 3 | | | | | | | |
| 4 | | | | | | | |
| 5 | | | | | | | |
| 6 | | | | | | | |
| 7 | | | | | | | |

| SE | SECTION 3B: MENTAL HEALTH | | | | | | | | | | |
|---------------|--|--|--|---|--|---|---|--|--|--|--|
| | | | | | | 1 | | | | | |
| | 1. | 2. | | 3. | 4. | 5. | 6. | | | | |
| Individual Id | CAPI/ENUMERATOR: IS [NAME] 15 YEARS OLD OR OLDER? YES 1 NO 2 > NEXT PERSON | IS THIS PERSON ANSWERING FOR HIMSELF/HERSELF? YES 1 NO 2 > NEXT PERSON | INTERVIEWER READ: Now I want to ask you some of the ways you may have felt or behaved in the last 7 days. | During the last 7 days, how many days were you disturbed by things that do not normally bother you? | During the last 7 days, how many days did you have trouble concentrating on what you were doing? | During the last 7 days, how many days did you feel depressed? | During the last 7 days, how many days did you feel that everything was a burden? | | | | |
| I | | | | DAYS | DAYS | DAYS | DAYS | | | | |
| 1 | | | | | | | | | | | |
| 2 | | | | | | | | | | | |
| 3 | | | | | | | | | | | |
| 4 | | | | | | | | | | | |
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| 9 | | | | | | | | | | | |
| 10 | | | | | | | | | | | |

SECTION 3B: MENTAL HEALTH

| | 7. | 8. | 9. | 10. | 11. | 12. |
|---------------|--|--|--|---|--|---|
| INDIVIDUAL ID | During the last 7 days, how many days were you hopeful about the future? | During the last 7 days, how many days did you feel afraid? | During the last 7 days, how many days was your sleep restless? | During the last 7 days, how many days were you happy? | During the last 7 days, how many days did you feel lonely? | During the last 7 days, how many days did you feel like not getting up in the morning? |
| | DAYS | DAYS | DAYS | DAYS | DAYS | DAYS |
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
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FOR HOUSEHOLD MEMBER 15 YEARS OLD OR OLDER

ENUMERATOR READ: We would now like to ask you questions regarding the different activities that you and your household members do.

| | | | | Wage | 9 | NFE | | NFE help | |
|---|--|--|---|--|--|--|--|---|--|
| | 1. | 2. | 3. | 4. | 5. | 6. | 7. | 8. | 9. |
| | CAPI/ENUMERATOR: IS THE RESPONDENT 15 YEARS OR OLDER? YES 1 NO 2 > NEXT PERSON | IS [NAME] REPORTING FOR HIMSELF/ HERSELF? YES 1 > Q4 NO 2 | WHO IS RESPONDING ON BEHALF OF [NAME]? | Last week, that is from Monday [DATE] up to Sunday [DATE], did [NAME] do any work for someone else for pay for one or more hours? INCLUDE PAID APPRENTICESHIPS AND PAID INTERNSHIPS. YES 1 NO 2 • Q6 | How many hours did [NAME] do this work last week? | Last week, did [NAME] work in a non-farm household business that [NAME] operates, for one or more hours? YES 1 NO 2 > Q8 | How many hours did [NAME] do this work last week? | Last week, did [NAME] help in a non-farm household business that is operated by another household member for one or more hours? YES 1 NO 2 > Q10 | How many hours did [NAME] do this work last week? |
| Z | | | ID CODE | | HOURS | | HOURS | | HOURS |
| 1 | | | | | | | | | |
| 2 | | | | | | | | | |
| 3 | | | | | | | | | |
| 4 | | | | | | | | | |
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| 6 | | | | | | | | | |
| 7 | | | | | | | | | |

Designer Note: See guidebook for guidance on determining age limit for this section.

| | Farm | | Recovery farm | n | Filter | Recovery question | |
|------------|---|---|--|---|--|--|--|
| | 10. | 11. | 12. | 13. | 14. | 15. | |
| | Last week, did [NAME] work on household farming, livestock, fishing or forestry activities, even if only for one hour? | Last week, did [NAME] help on a household farm, raising livestock, fishing or foraging/ hunting for one or more hours? | How many hours did [NAME] do this work in the last week? | Thinking about all the products [NAME] worked on, are they intended READ OPTIONS | CAPI/ENUMERATOR: REVIEW QUESTIONS Q4, Q6, Q8, Q10, AND Q11: IS THERE ANY YES? | Last week, did [NAME] run or do any kind of business, farming or other activity to generate income? READ ONLY IF NEEDED: For example: making things | |
| UAL ID | YES 1 ▶ Q12 NO 2 | YES 1 NO 2 ▶ Q14 | | ONLY FOR SALE | YES 1 • Q20 IF Q10=1 • Q21 IF Q10=2 NO 2 | for sale, buying or reselling things, provided paid services, growing products, raising animals, catching fish, hunting or foraging for sale. | |
| INDIVIDUAL | | | | - | | NO 2 | |
| | | | HOURS | | | | |
| 1 | | | | | | | |
| 2 | | | | | | | |
| 3 | | | | | | | |
| 4 | | | | | | | |
| 5 | | | | | | | |
| 6 | | | | | | | |
| 7 | | | | | | | |

Programmer Note: For Q14, is auto-calculated when using CAPI For Q39, static text in CAPI

| | | | | Recovery question | | |
|---------------|---|--|---|---|--|---|
| | 16. | 17. | 18. | 19. | 20. | 21. |
| | Or, did [NAME] help with the business, farm or paid job of a household member? | How many hours did [NAME] do this work in the last week? | Was [NAME]'s work in HH farming, livestock, fishing, or forestry activities? | Thinking about all the products [NAME] worked on, are they intended READ OPTIONS | CAPI/ENUMERATOR: IS (Q13==3 Q13==4) OR (Q19==3 Q19==4) ? | CAPI/ENUMERATOR: ENTER APPROPRIATE CODE 1. NO TYPE OF WORK Q4==Q6==Q8==Q10==2 & Q11==Q15==Q16==2 ENTER 1 \Rightarrow Q22 |
| | YES 1 NO 2 ▶ Q20 | | YES 1 NO 2 > Q20 | ONLY FOR SALE | YES 1 NO 2 | 2. FAMILY FARM ONLY, Q20==1 & Q4==Q6==Q8==2 ENTER 2 + Q28 |
| Individual id | | HOURS | _ | | | 3. WORKED FARM FOR MARKET, ANY WAGE, OR ANY NFE Q4==1 Q6==1 Q8==1 Q18==2 Q20==2 ENTER 3 + Q39 |
| | | поокз | | | | |
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| 6 | | | | | | |
| 7 | | | | | | |

| | | | Temporary Abser | nce | | |
|---------------|---|---|---|---|--|---|
| | 22. | 23. | 24. | 25. | 26. | 27. |
| | Does [NAME] have a job, business or family farm from which he/she was absent last week? | Why did [NAME] not work during the last week? WAITING TO START NEW JOB OR BUSINESS1 LOW OR OFF-SEASON | Including the time that [NAME] has already been absent, will [NAME] return to that same | During the low or off-season, does [NAME] continue to do some work for that | Was [NAME]'s work in household farming, livestock, fishing or forestry activities? | Thinking about all the products [NAME] worked on, are they intended READ OPTIONS |
| INDIVIDUAL ID | YES 1 NO 2 ▶ Q28 | SHIFT WORK, FLEXI TIME, NATURE OF WORK3 VACATION, HOLIDAYS | job, business or household farm in <u>three months or less</u> ? YES 1 NO 2 > Q28 | job, business, or household farm? YES 1 NO 2 > Q28 | YES 1 NO 2 ▶ Q38 | ONLY FOR SALE |
| 1 | | | | | | |
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| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
| 6 | | | | | | |
| 7 | | | | | | |

| | | | Job Search | | |
|--|--|---|---|---|--|
| 28. | 29. | 3 | :0. | 31. | 32. |
| During the <u>last four</u> <u>weeks</u> , did [NAME] do anything to find a paid job? | Or did [NAME] try to start a business? | What did [NAME] mainly do in th job or start a business? | ne last four weeks to find a paid | For how long has [NAME] been without work and trying to find a job or start a business? | At present does [NAME] want to work? |
| YES 1 NO 2 | YES 1 NO 2 > Q32 | APPLY TO PROSPECTIVE EMPLOYERS I PLACE OR ANSWER JOB ADVERTISEM POST/UPDATE RESUME ON PROFESSIO REGISTER WITH PUBLIC EMPLOYMENT REGISTER WITH A PRIVATE EMPLOYM TAKE PUBLIC SERVICE EXAM OR INTEL TAKE PRIVATE COMPANY'S EXAM OR SEEK HELP FROM RELATIVES, FRIENDS CHECK AT FACTORIES, WORK SITES WAIT ON THE STREET TO BE RECRUIT SEEK FINANCIAL HELP TO START A BU LOOK FOR LAND, BUILDING, EQUIPMI MATERIALS TO START A BUSINESS DEVELOPED A BUSINESS PLAN APPLY FOR A PERMIT OR LICENSE TO OTHER (SPECIFY) | ENTS 2 NAL/SOCIAL NETWORKING SITES 3 T SERVICE 4 IENT CENTRE/AGENCY 5 RVIEW 6 INTERVIEW 7 5, OTHERS 8 9 10 ISINESS 11 ENT, 12 | LESS THAN 1 MONTH | YES 1 NO 2 ▶ Q37 |
| | | Action 1 | Action 2 | | |
| | | | | | |
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| | | | loh (| Search | |
|---|--|---|---|--|---|
| | 33. | 34. | 35. | 36. | 37. |
| | What is the main reason [NAME] did not try to find a paid job or start a business in the last 4 weeks? | If a job or business opportunity had been available, | Or could [NAME] start working within the next 2 weeks? | Why is [NAME] not available to start working? | Which of the following best describes what [NAME] is mainly doing at present? |
| | WAITING FOR RESULTS OF A PREVIOUS SEARCH 1 AWAITING RECALL FROM A PREVIOUS JOB | could [NAME] have started working last week? | YES 1 ▶ Q37 NO 2 | AWAITING RECALL FROM A PREVIOUS JOB 1 WAITING FOR SEASON TO START | PLEASE READ ALL OPTIONS |
| | WAITING FOR THE SEASON TO START | YES 1 ▶ Q37 | | FAMILY/HOUSEHOLD RESPONSIBILITIES 4 IN FAMILY FARMING/LIVESTOCK/FISHING FOR FAMILY USE | ENGAGED IN HOUSEHOLD OR FAMILY RESPONSIBILITIES |
| | NO JOBS MATCHING SKILLS, LACKS EXPERIENCE 6 CONSIDERED TOO YOUNG/OLD BY EMPLOYERS 7 IN STUDIES, TRAINING | NO 2 | | RETIRED, PENSIONER | FISHING, OR FOREST ACTIVITIES FOR HOUSEHOLD USE |
| | FAMILY/HOUSEHOLD RESPONSIBILITIES | | | | WITH A LONG-TERM ILLNESS, INJURY OR DISABILITY |
| | OWN DISABILITY, INJURY, ILLNESS | | | | DOING VOLUNTEERING, COMMUNITY OR CHARITY WORK |
| | OTHER (SPECIFY) | | | | OLD AGE |
| | | | | | ALL > NEXT SECTION |
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 1 | | | | | |
| 5 | | | | | |
| 5 | | | | | |
| 7 | | | | | |

SECTION 4A: LABOR

| | Main Job Id | entification | | | | Main Job | | |
|---------------|--|--|------------------------|--------------|--------------------------------------|------------------|--|--|
| | 38. | 39. | 4 | 0. | 4 | 1. | 42. | |
| | Last week, that is | ENUMERATOR READ: | | | | activity of this | In [NAME]'s main job, does [NAME] work | |
| | from Monday [DATE] up to Sunday [DATE], | | duties in [NAME]' | s main job? | business or organ [NAME] works in | | READ RESPONSES | |
| | did [NAME] have | questions about | | | | this main job? | | |
| | more than one job | [NAME]'s main | | | | | | |
| | or business? | job. The main job is the one where | | | | | IN OWN BUSINESS OR FARMING ACTIVITY 1 IN A BUSINESS OR FARM OPERATED BY A HOUSEHOLD | |
| | | [NAME] usually | | | | | OR FAMILY MEMBER | |
| | YES 1 | works the highest | | | | | AS AN EMPLOYEE FOR SOMEONE ELSE | |
| | NO 2 ▶ Q40 | number of hours | | | | | HELPING A FAMILY MEMBER WHO WORKS | |
| NA | | (even if [NAME] was temporarily absent | | | | | FOR SOMEONE ELSE | |
| | | last week). | | | | | | |
| INDIVIDUAL ID | | | WRITTEN DESCRIPTION | ISCO CODE | WRITTEN DESCRIPTION | ISIC CODE | | |
| - | | | DESCRIPTION | CODE | DESCRIPTION | CODE | | |
| 1 | | | | | | | | |
| 2 | | | | | | | | |
| 3 | | | | | | | | |
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| 5 | | | | | | | | |
| 6 | | | | | | | | |
| 7 | | | | | | | | |

DESIGNER NOTE:

For Q40-41, determine IN ADVANCE who will code, or how it will be coded/incorporated into CAPI. Applies to Q60-Q61 as well.

| | | | Main Jo | b | | |
|------------|--|---|-----------------------------|---|---|----------------------------------|
| | 43. | 44. | 45. | 46. | 47 | ·. |
| | In the past 12 months, during how many months | How many weeks per month does [NAME] usually work in | does [NAME] usually work in | How many hours per day does [NAME] usually work in this main job? | How much does [NAME] us job? Over what time interv | sually earn in this main ral? |
| | did [NAME] work this main job? | this main job? | | | FOR HH BUSINESS REPORT PROFIT (IE. NET INCOME AFTER COST DEDUCTIONS) | |
| | | | | | TIME UNIT | |
| | | | | | HOUR1 | |
| | | | | | DAY2 | |
| | | | | | WEEK3 FORTNIGHT4 | |
| | | | | | MONTH | |
| ₽ | | | | | QUARTER6 | |
| M | | | | | HALF YEAR7 | |
| INDIVIDUAL | | | | | YEAR8 | |
| IND | MONTHS | WEEKS | DAYS | HOURS PER DAY | CURRENCY | TIME UNIT |
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
| 6 | | | | | | |
| 7 | | | | | | |

PROGRAMMER NOTE:

For Q47, 0 is a valid option if Q26=2or5

For Q56-57, in CAPI use Yes/No raidal buttons for each component.

Q59 is static text in CAPI

For Q67, 0 is a valid option if Q42=2or5

| | [| | | | |
|---------------|--|--|--|---|--|
| | | | Main Job | | |
| | 48. | 49. | 50. | 51. | 52. |
| | What kind of enterprise/ establishment does [NAME] work for in his/her main job? | Is the business [NAME] works for incorporated, for example as a [limited | Is the business [NAME] works for registered in the | What kind of accounts or records does this business keep? | CAPI/ENUMERATOR: IS Q42==3 OR 4? |
| | GOVERNMENT OR STATE-OWNED ENTERPRISE | company or partnership]? | [National Business Register]? | READ ALL OPTIONS | YES 1 |
| INDIVIDUAL ID | (FEDERAL, STATE, LOCAL) 1 ► Q52 PRIVATE AGRICULTURAL ENTITY 2 PRIVATE NON-AGRICULTURAL ENTITY 3 OTHER HOUSEHOLD(S)/INDIVIDUAL (EX: DOMESTIC WORKER) (EX: DOMESTIC WORKER) 4 ► Q53 NGO, NON-PROFIT INSTITUTION, OR CHURCH 5 INTERNATIONAL ORG. OR A FOREIGN EMBASSY 6 | YES2 NO2 DON'T KNOW98 | YES 1 NO 2 DON'T KNOW 98 | COMPLETE WRITTEN ACCOUNTS FOR TAX PURPOSES | NO 2 ⊁ Q58 |
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |
| 6 | | | | | |
| 7 | | | | | |

| | | Employee | s & Intern | s only | | | | | | | |
|---|--|--|---|--|--------------------------------|---------------------------------|------------------------|-----------------------|---|----------------------|-------------------|
| 53. | 54. | 55. | 56a | b | с | d | е | f | g | h | i |
| Including [NAME], how many people work at his/her place of work? | What is the status of [NAME]'s contract/ agreement in his/her main job? | Is [NAME]'s employer responsible for deducting any taxes on [NAME]'s income, or is that [NAME]'s responsibility? | Does [NAME]'s main job employer pay/provide the following benefits? READ ALL THE OPTIONS TO THE RESPONDENT, AND MARK ALL THAT APPLY WITH "X" | | | | | | | | |
| 11 2-42 5-93 10-194 20-495 50+6 | PERMANENT/PENSIONABLE/JOB 1 CONTRACT, LESS THAN 1 YEAR 2 CONTRACT, 1-5 YEARS | EMPLOYER RESPONSIBLE1 [NAME] RESPONSIBLE2 NOT APPLICABLE3 | 2 | | | | | | | | |
| INDIVIDUAL | | | Paid annual leave or vacation | Paid maternity or parental leave | Paid medical/ sick leave | Health insurance benefits | Pension/ retirement | Disability pension | Paid/ subsidized meals at work | Transport subsidy | Other benefits |
| 1 | | | | | | | | | | | |
| 2 | | | | | | | | | | | |
| 3 | | | | | | | | | | | |
| 4 | | | | | | | | | | | |
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| 7 | | | | | | | | | | | |

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|------------|--------------------------------------|---|---------------------|--|--|---|--|--|---------------|--|-----------------------------------|
| | Optional (gender-focus) | | | | | | | | Seco | ond job | |
| | 57a | b | c | d | е | 58. | 59. | 6 | D. | 6 | 1. |
| AL ID | [NAME]'s m | ain job? HE OPTIONS | - | ollowing diffi | | CAPI/ENUMERATOR: IS Q38==1? YES 1 NO 2 > Q68 | ENUMERATOR READ: I am now going to ask you some questions about [NAME]'s secondary job. The secondary job is the one where [NAME] usually works the second highest number of hours, even if [NAME] was temporarily absent last week. | What are [NAI tasks and dutie secondary job? | es in his/her | What is the m this business o where [NAME secondary job | r organization] works in this |
| INDIVIDUAL | Difficulty getting a promotion | Difficulty getting a raise in salary | Harassed at work | Difficulty traveling to/ from work | assigned tasks below level of education | _ | | WRITTEN DESCRIPTION | ISCO CODE | WRITTEN DESCRIPTION | ISIC CODE |
| 1 | | | | | | | | | | | |
| 2 | | | | | | | | | | | |
| 3 | | | | | | | | | | | |
| 4 | | | | | | | | | | | |
| 5 | | | | | | | | | | | |
| 6 | | | | | | | | | | | |
| 7 | | | | | | | | | | | |

| | | Second job | | | | | | | | |
|---|--|---|---|---|---|--|--|--|--|--|
| 62. | 63. | 64. | 65. | 66. | 67. | | | | | |
| In [NAME]'s second job, does [NAME] work READ RESPONSES IN OWN BUSINESS OR FARMING ACTIVITY | In the past 12 months, during how many months did [NAME] work this job? | How many weeks per month does [NAME] usually work in this job? | How many days per week does [NAME] usually work in this job? | How many hours per day does [NAME] usually work in this job? | How much does [NAME] usually ear in this job? Over what time interval FOR HH BUSINESS REPORT PROFIT (IE. NET INCOME AFTER COST DEDUCTIONS) TIME UNIT HOUR | | | | | |
| | MONTHS | WEEKS | DAYS | HOURS PER DAY | CURRENCY TIME UNIT | | | | | |
| | | | | | | | | | | |
| 2 | | | | | | | | | | |
| 3 | | | | | | | | | | |
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| , | | | | | | | | | | |

70

| | | | | Additional work des | ires | |
|-----------|---|--|---|---|---|--|
| | 68. | 69. | 70. | 71. | 72. | 73. |
| | During the last four weeks, did [NAME] look for additional or other paid work? | Would [NAME] want to work more hours per week than usually worked, provided the | If additional paid work was available, could [NAME] start working more hours | How many additional hours per week could [NAME] work? | Does [NAME] want to change his/her current employment situation? | What is the main reason [NAME] wants to change his/her employment situation? |
| | | extra hours are paid? | within the next two | | | PRESENT JOB IS TEMPORARY1 |
| | VEC 1 | | weeks? | | VEC 1 | TO HAVE A BETTER PAID JOB2 |
| | YES 1 NO 2 | YES 1 | | | YES 1 NO 2 ▶ NEXT SECTION | TO HAVE MORE CLIENTS/BUSINESS |
| | | NO 2 ▶ Q72 | YES 1 | | | TO WORK MORE HOURS4 |
| ₽ | | | NO 2 ▶ Q72 | | | TO WORK FEWER HOURS5 |
| | | | | | | TO BETTER MATCH SKILLS6 |
| NA I | | | | | | TO WORK CLOSER TO HOME7 |
| l e | | | | | | TO IMPROVE OTHER WORKING CONDITIONS8 |
| INDIVIDUA | | | | | | OTHER (SPECIFY)9 |
| 1 | | | | | | |
| 2 | | | | | | |
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| 7 | | | | | | |

SECTION 4B: OWN USE PRODUCTION OF GOODS

THESE QUESTIONS REFER TO ACTIVITIES PERFORMED WITHOUT ANY PAY

| | CODED OCC | CODED OCC | CODED OCC | CODED OCC | CODED OCC | CODED OCC | | | | |
|-------|---|---|---|---|---|---|--|--|--|--|
| | 1. | 2. | 3. | 4. | 5. | 6. | | | | |
| | CAPI/ENUMERATOR: IS THE RESPONDENT 15 YEARS OR OLDER? | ENUMERATOR: IS [NAME] REPORTING FOR HIM/ HERSELF? | ENUMERATOR: WHO IS RESPONDING ON BEHALF OF [NAME]? COPY ID FROM ROSTER | ENUMERATOR READ: The next questions are about other activities that [NAME] may have done last weak that is from | Last week, did [NAME] spend any time gathering wild food, such as wild berries, nuts, herbs, mushrooms, roots, etc.), | How many hours did [NAME] do this activity last week? | | | | |
| ш | YES 1 NO 2 > NEXT PERSON | YES 1 ▶ Q4 NO 2 | SECTION 1 | Monday [DATE] up to | mainly for consumption by the household or family? | | | | | |
| CODE | | | | | YES 1 NO 2 ▶ Q7 | | | | | |
| ₽ | | | ID CODE | | | HOURS | | | | |
| 1 | | | | | | | | | | |
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Programmer Note:

This entire section is OCC. If this section (S4B) will be implemented, S4 will become S4A.

Designer Note:

This section captures own-use production of other goods, for a complete accounting of these labor activities. Questions should be reviewed and selected based on their relevance for the survey/country context. Own-use production of services will be forthcoming.

| | CODED OCC | CODED OCC | CODED OCC | CODED OCC | CODED OCC | CODED OCC |
|------|---|---|---|---|--|---|
| | 7. | 8. | 9. | 10. | 11. | 12. |
| CODE | Last week, did [NAME] spend any time hunting animals (e.g. for bush meat) mainly for consumption by the household or family? YES 1 NO 2 > Q9 | How many hours did [NAME] do this activity last week? | Last week, did [NAME] spend any time making goods for use by the household such as (furniture, pottery, baskets, clothing, mats)? YES 1 NO 2 > Q11 | How many hours did [NAME] do this activity last week? | Last week, did [NAME] fetch water from natural or public sources for use by the household? YES 1 NO 2 > Q13 | How many hours did [NAME] do this activity last week? |
| ₽ | | HOURS | | HOURS | | HOURS |
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| 7 | | | | | | |

SECTION 4B: OWN USE PRODUCTION OF GOODS

| | CODED OCC | CODED OCC | CODED OCC | CODED OCC | CODED OCC | CODED OCC |
|------|---|---|---|---|---|---|
| | 13. | 14. | 15. | 16. | 17. | 18. |
| | Last week, did [NAME] collect any firewood or other natural products for use as fuel by the household? YES 1 NO 2 > Q15 | How many hours did [NAME] do this activity last week? | Last week, did [NAME] spend any time preparing food or drinks to preserve them for the household? [for example: flour, dried fish/meat, butter, cheese, marmalade, spirits, alcoholic beverages] | How many hours did [NAME] do this activity last week? | Last week, did [NAME] do any construction work her/ himself to renovate, extend or build the household's dwelling? YES 1 NO 2 • NEXT PERSON | How many hours did [NAME] do this activity last week? |
| CODE | | | YES 1 NO 2 ▶ Q17 | | | |
| ₽ | | HOURS | | HOURS | | HOURS |
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
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SECTION 4C: JOB LOSSES

| | 1. | 2. | 3. | 4. | 5. | 6. |
|---------------|--|--|---|---|---|---|
| INDIVIDUAL ID | CAPI/ENUMERATOR: IS [NAME] 15 YEARS OLD OR OLDER? YES 1 NO 2 > NEXT PERSON | IS THIS PERSON ANSWERING FOR HIMSELF/ HERSELF? YES 1 > Q4 NO 2 | WHO IS RESPONDING ON BEHALF OF [NAME]? | Did [NAME] lose his/her/ their job or stop working due to the [SHOCK] that started on [MAJOR SHOCK ONSET MONTH/YEAR]? YES 1 NO 2 > Q6 | In which months(s) did [NAME] not work due to the [SHOCK]? SELECT ALL THAT APPLY March 2020 | Did [NAME] have to reduce his/her/their working hours due to [SHOCK] that started on [MAJOR SHOCK ONSET MONTH/YEAR]? YES 1 NO 2 |
| 1 | | | | | | |
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| SECTIO | N 5A: HOUSEHOLD ENTERPRISES | | | | | | |
|--------|---|--|--|--|--|--|--|
| | | | | | | | |
| 1. | INTERVIEWER: RECORD ID OF PRIMARY RESPONDENT ID CODE | | | | | | |
| Dur | During the past 12 months, has anyone in your household | | | | | | |
| 2. | owned a non-agricultural business or provided a non-agricultural service, either from home or from a household-owned shop? Examples include owning a carwash, metal worker, mechanic, carpenter, tailor, barber, etc. | | | | | | |
| 3. | sold any processed products derived from PURCHASED crops, livestock, fishing, or forest products? This means raw materials that were not grown, raised, fished, or foraged/collected by your household, but instead were purchased by your household and then processed for sale. Examples include flour, juice, beer, jam, oil, seed, fish filets, cured meats, wicker baskets, etc. | | | | | | |
| 4. | owned a trading business on a street or in a market? | | | | | | |
| 5. | offered any service or hawked/sold anything on a street or in a market? Includes firewood, home-made charcoal, curios, construction timber, traditional medicine, mats, bricks, furniture, weave baskets, thatch grass, etc.? | | | | | | |
| 6. | offered professional services, from home or from an office, as a doctor, accountant, lawyer, translator, private tutor, midwife, mason, etc.? | | | | | | |
| 7. | offered transportation or moving services as a driver of a household-owned or rented taxi, motorbike, or truck? | | | | | | |
| 8. | owned a bar, restaurant, or food stand? | | | | | | |
| 9. | owned any other non-agricultural business, even if it is a small activity run from home or on a street? | | | | | | |
| E1 | CAPI/ENUMERATOR: IS THERE ANY YES RESPONSE IN Q2 THRU Q9? YES1 NO2 > Q12 | | | | | | |

Designer Note:

The wording of Q5 should take into consideration the final design of the Forest Use module in the accompanying Agriculture Questionnaire. As worded here, it includes products sold by the household (a) even if not processed or collected by the household; (b) collected/foraged by the household and sold unprocessed; and (c) collected/foraged by the household and processed by the household. Categories (b) and (c) should be collected either here or in the Agriculture module, nopt in both. The decision for each should be based on the desired level of detail for such activities.

Programmer Note:

When the questions coded as OCC are not used, the skip instruction in E1 must change to "NO...2 \blacktriangleright Section 6"

| SE | στιο | N 5A: HOUSEHOLD ENTERPRISES | | | | | | | | | |
|-----------|--|---|---------------------------------|-------------------|---------------|--|--|--|--|--|--|
| | 10. | Generally speaking, what are the 3 biggest constraints to starting a household | 1st: | | | | | | | | |
| | | (non-farm) business? | 2nd: | | | | | | | | |
| | | | 3rd: | | | | | | | | |
| | 11. | Currently, what are the 3 main constraints to operate and grow this household's (non-farm) business(es)? | 1st: | | | | | | | | |
| CODED OCC | | nousenoid s (non-tarm) business(es)? | 2nd: | | | | | | | | |
| DED | | | 3rd →Q14 | | | | | | | | |
| 8 | 12. | In recent years, have you or anyone in your household thought about starting a non-farm business or a self employment activity? | YES 1 NO 2 ▶ Q14 | | | | | | | | |
| | 13. | What are the three most important constraints to starting a household non-farm business? | 1st: | | | | | | | | |
| | | non-tarm dusiness? | | | | | | | | | |
| | | | 3rd →Q14 | | | | | | | | |
| | | | | | | | | | | | |
| ADI | DITIC | NAL SHOCK-RELATED QUESTIONS | | | | | | | | | |
| | Sind | e [REFERENCE MONTH BEFORE THE SHOCK], has anyone in your household | | | YES 1 NO 2 | | | | | | |
| | 14. | owned a non-agricultural business or provided a non-agricultural service, eit Examples include owning a carwash, metal worker, mechanic, carpenter, tailor, | | ehold-owned shop? | | | | | | | |
| | 15. | sold any processed products derived from PURCHASED crops, livestock, fishir not grown, raised, fished, or foraged/collected by your household, but instead sale. Examples include flour, juice, beer, jam, oil, seed, fish filets, cured meats, v | were purchased by your house | | | | | | | | |
| | 16. | owned a trading business on a street or in a market? | | | | | | | | | |
| | 17. | arcoal, curios, construction | | | | | | | | | |
| | 18. offered professional services, from home or from an office, as a doctor, accountant, lawyer, translator, private tutor, midwife, mason, etc.? | | | | | | | | | | |
| | 19. | offered transportation or moving services as a driver of a household-owned | or rented taxi, motorbike, or t | ruck? | | | | | | | |
| | 20. | owned a bar, restaurant, or food stand? | | | | | | | | | |

| SECTIC | | |
|--------|---|---------------------|
| 21. | owned any other non-agricultural business, even if it is a small activity run from home or on a street? | |
| E2 | CAPI/ENUMERATOR: IS THERE ANY YES RESPONSE IN Q14 THRU Q21? | |
| 22. | Did the household stop any of these activities since [SHOCK] that started in [MAJOR SHOCK ONSET MONTH/YEAR]? | YES 1 NO 2 ▶ Q14 |
| 23. | Why did the household stop any of these activities? | |
| 24. | Compared to [REFERENCE TIME BEFORE THE SHOCK] are the revenues from sales from all the non-farm family business | Higher |

| CODES FOR Q10, Q11, Q13 | | |
|---------------------------|------------------------------|------------------------------------|
| | HIGH INTEREST RATES6 | HIGH TAXES 12 |
| ELECTRICITY 1 | ACCESS TO MARKETS7 | CRIMINALITY, THEFT AND LAWLESSNESS |
| NETWORK | LOW DEMAND8 | CONFLICTS AND SOCIAL FRICTION |
| TRANSPORTATION | CORRUPTION9 | OTHER, SPECIFY15 |
| UNABLE TO BORROW MONEY4 | UNCERTAIN ECONOMIC POLICY 10 | |
| UNWILLING TO BORROW MONEY | REGISTRATION AND PERMITS11 | |
| CODES FOR Q23 | | |
| LEGAL PROBLEMS1 | COULD NOT OBTAIN CREDIT5 | CONFLICT (MILITANCY/INSURGENCY)9 |
| COULD NOT OBTAIN INPUTS2 | TOO MUCH DEBT6 | ADDITIONAL [SHOCK]-RELATED REASON |
| LACK OF DEMAND3 | SECURITY ISSUES7 | OTHER, SPECIFY96 |
| LOW PROFITS4 | DEATH/SICKNESS OF OWNER8 | |

| SECTION 5B: HOUSEHOLD ENTERPRIS | SES | |
|---------------------------------|-----|--|
| | | |
| 1. | 2. | |

| - | 1 | | 2. | 3. | 4. | 5 | |
|---------------|---|---------------|--|--|---|---------------------------------|------|
| ENTERPRISE NO | Please list all the businesses, trades, or work as self-employed craftsman done by all household members. Include ALL activities during the past 12 months, even if they are not currently ongoing. FIRST, LIST ALL ACTIVITIES HERE. THEN, CONTINUE WITH THE FOLLOW UP QUESTIONS FOR ONE ACTIVITY AT A TIME. | | z. Is this [ACTIVITY] currently operating? YES 1 → Q6 NO 2 | J. Is this [ACTIVITY] closed permanently, seasonally, or temporarily? PERMANENTLY1 SEASONALLY2 ▶ Q5 TEMPORARILY3 | 4. Why did this [ACTIVITY] stop? LEGAL PROBLEMS | When did this [AC operating? | |
| Ē | TYPE OF ACTIVITY | INDUSTRY CODE |] | | | MONTH | YEAR |
| 1 | | | | | | | |
| 2 | | | | | | | |
| 3 | | | | | | | |
| 4 | | | | | | | |
| 5 | | | | | | | |
| 6 | | | | | | | |
| 7 | | | | | | | |

SECTION 5B: HOUSEHOLD ENTERPRISES

| | | 6. | | | 7. | | 8. | | | 9. | 10. | 11. |
|---------------|-----------------------------|--------------|---------|----------|-------------------------------|--------------------------|---|-----------|-------------|--|---|---|
| | Who in the h [ACTIVITY]? | ousehold own | ns this | | household de rnings from t | | Who in the household manages this [ACTIVITY] or is most familiar with it? | | | Who is the respondent | How many YEARS ago did | During how many of the |
| | LIST ALL | | | LIST ALL | | | IF POSSIBLE, ASK THE REST OF THE QUESTIONS TO THE MANAGER(S). | | | providing information about this | the [ACTIVITY] first start operating? | past 12 months was [ACTIVITY] in operation? |
| ENTERPRISE NO | | | | | | LIST UP TO TWO MANAGERS. | | | [ACTIVITY]? | IF STARTED IN THE LAST 12 MONTHS, ENTER 0 | | |
| ļĻ | OWNER 1 | OWNER 2 | OWNER 3 | | 1 | | MANAGER 1 | MANAGER 2 | MANAGER 3 | | | _ |
| Ē | ID CODE | ID CODE | ID CODE | ID CODE | ID CODE | ID CODE | ID CODE | ID CODE | ID CODE | ID CODE | YEARS | |
| 1 | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | |

SECTION 5B: HOUSEHOLD ENTERPRISES

| | | CODED OCC | | | | | | | | | | | | | 1 | | | | | | |
|--------------|-------------|---------------|--------|--------|--------|--------|--------|--------|---------|--------|--------|--------|--------|--------|--|--|--|--|--|--|-------------------------------|
| | | | | | | 0 | - | | | | | | | | | | | | | | |
| | | | | | | | | alt | | | | | | | | 11b | | | | | |
| | | ng v ratio | | n of t | he p | ast 1 | 2 mc | onthe | s was | s [AC | TIVII | [Y] in | 1 | | Has the [ACTIV | as the [ACTIVITY] faced any of the following challenges due to the [SHOCK] | | | | | |
| NO | INTE "X" | RVIE | EWEF | r: Ine | DICA | TE TH | HE M | IONT | 'HS C | OPER. | ATEC |) WIT | 'H AI | N | | | | | | | |
| ENTERPRISE N | jan-18 | feb-18 | mar-18 | apr-18 | may-18 | jun-18 | jul-18 | aug-18 | sept-18 | oct-18 | nov-18 | dic-18 | jan-19 | feb-19 | Difficulty buying and receiving supplies and inputs to run the business | Difficulty raising money for the business | Difficulty repaying loans or other debt obligations | Difficulty paying rent for business location | | Difficulty selling goods or services to customers | Other difficulty (SPECIFY) |
| 1 | | | | | | | | | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | | | | | | | |

Designer Note: When using Q11 alt, Q5 is not needed and should be deleted.

SECTION 5B: HOUSEHOLD ENTERPRISES

| | | 1 | 1 | | | | | | | | | | | |
|---------------|---|--|--|--|---|---|--|--|--|--|---|--|----------------------|--|
| | 12.Where do you operate [ACTIVITY]?HOME (INSIDE RESIDENCE) | 14. Is this [ACTIVITY] officially registered with the government? YES 1 NO 2 | b. Durin this [AC c. Durin work in d. How [ACTIVI | ng how m TIVITY]? 9 g these m [ACTIVIT many <u>hou</u> TY]? | any of th SELECT A nonths, h Y]? urs per da | e past 12 LL THAT , ow many ay did [N/ | e months APPLY <u>days pe</u> AME] no | 1! ed in this did [NAM r month c rmally wo | [ACTIVIT IE] work lid [NAM ork in | in | ASK WH HOW M/ MONTHS ALL YEA JANUAR FEBRUAR MARCH APRIL 201 JUNE 201 JUNE 201 JUNE 201 JUNE 201 JUNE 201 JUNE 201 SEPTEME OCTOBE NOVEME | S R Y 2018 2018 118 18 18 2018 36 R 2018 36 R 2018 36 R 2018 | HS INSTEA | 0 1 2 3 4 5 6 7 |
| ENTERPRISE NO | | | | HH MEMBER #1 HH MEMBER #2 | | | | | | DECEMBER 201812 JANUARY 201913 FEBRUARY 201914 HH MEMBER #3 | | | | |
| ENTER | | | ID | MONTHS | DAYS PER MONTH | HOURS PER DAY | ID | MONTHS | DAYS PER MONTH | HOURS PER DAY | ID | MONTHS | DAYS PER MONTH | HOURS PER DAY |
| 1 | | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | |
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| 7 | | | | | | | | | | | | | | |

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| SECTION 5B: HOUSEHOLD ENTERPRISES |
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| | | | | | | | | 1 | |
|---------------|-------------|--------|---|---|------------------------------------|---|--|--|--|
| | 4 | 6 | | 17 | | 10 | CODED E/O | 20 | 21 |
| ENTERPRISE NO | MALE FEMALE | | What was the mathis [ACTIVITY]? LIST UP TO THRE HOUSEHOLD SAVIN NGO SUPPORT LOAN FROM BANK (COMMERCIAL, MIC MONEY LENDER ROSCAS - ROTATING ASSOCIATIONS/GRC OTHER LOANS DISTRICT/TOWN AS COOPERATIVE/TRAI REMITTANCES FROI PROCEEDS FROM F/ CHURCH/MOSQUE . PROCEEDS FROM F/ RELATIVES/FRIENDS OTHER (SPECIFY) | E IN ORDER OF IN IGS CRO FINANCE, CREE G CREDIT AND SAVI DUPS {USE LOCAL N SOCIATION SUPPOF DE ASSOCIATIONS M ABROAD AMILY FARM AMILY NON-FARM I | MPORTANCE. 1 2 DIT UNION) | 18. During the past 12 months, did any member of your household try to get credit for this [ACTIVITY] from banks OR other formal financial agencies? NOTE THIS ONLY REFERS TO BUSINESS LOANS, NOT PERSONAL LOANS. YES 1 NO 2 + Q22 | 19. From which source did your household apply for the credit? SELECT UP TO TWO LOAN FROM BANK (COMMERCIAL, MICRO FINANCE, CREDIT UNION) | 20. Did your household eventually get credit from the banks or other formal financial agencies for this [ACTIVITY]? YES 1 NO 2 > Q22 | 21. During the past 12 months, how much has your household borrowed IN TOTAL for this [ACTIVITY]? |
| Ē | MALE | FEMALE | 1ST | 2ND | 3RD | | CODE | | CURRENCY |
| 1 | | | | | | | | | |
| 2 | | | | | | | | | |
| 3 | | | | | | | | | |
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| 5 | | | | | | | | | |
| 6 | | | | | | | | | |
| 7 | | | | | | | | | |

SECTION 5B: HOUSEHOLD ENTERPRISES

| | | | • | | - | | CODED E/O | CODED E/O |
|------------|--------------------------------------|-------------------------------|--------------------|------------------------|------------------|--|---|---|
| | 22. | | 3. | _ | 4. | 25. | 26. | 27. |
| | During the past 12 | How much MONE | | To whom do you sell y | our products or | What is the current | What is the total | What is the total |
| | months, has your household been | household pay on activity? | all loans for this | services? | | value of the physical capital | value of the current stock of inputs or | value of your current stock of finished |
| | repaying any loans (in | activity? | | LIST UP TO 2 BUYERS | | stock, including all | supplies for this | merchandise (goods |
| | cash or kind) for this | | | IMPORTANCE. | | tools, generators, | activity? | for sale)? |
| | [ACTIVITY]? | WEEKLY | 1 | | equipment, | | | |
| | INCLUDE PAYMENTS | EVERY 2 WKS | 2 | TRADERS | | vehicles, that are | INPUTS AND | NOT ASKED |
| | IN PAST 12 MONTHS | MONTHLY | 3 | OTHER SMALL BUSINESSI | | | SUPPLIES DO NOT INCLUDE PHYSICAL | TO THOSE PERMANENTLY |
| | FOR <u>ALL LOANS (NOT</u> | EVERY 3 MO | 4 | LARGE ESTABLISHED BUS | | used for this detivity. | CAPITAL STOCK | CLOSED |
| | ONLY NEW LOANS IN PAST 12 MONTHS) | EVERY 6 MO | 5 | INSTITUTIONS | | NOT ASKED TO THOSE PERMANENTLY CLOSED | REPORTED IN Q24 | |
| N N | FAST 12 MONTHS/ | YEARLY | 6 | (SCHOOLS, HOSPITALS, G | OVT MINISTRIES)5 | | NOT ACKED | |
| | | OTHER (SPECIFY) | 7 | EXPORT | 6 | | NOT ASKED TO THOSE | |
| SIS | NO 2 ▶ Q25 | | | MANUFACTURERS | 7 | CLOJED | PERMANENTLY | |
| ENTERPRISE | | | | OTHER (SPECIFY) | 8 | | CLOSED | |
| ΙĘ | | | 1 | | 1 | | | |
| Ē | | CURRENCY | CODE | 1ST | 2ND | CURRENCY | CURRENCY | CURRENCY |
| 1 | | | | | | | | |
| 2 | | | | | | | | |
| 3 | | | | | | | | |
| 4 | | | | | | | | |
| 5 | | | | | | | | |
| 6 | | | | | | | | |
| 7 | | | | | | | | |

SECTION 5B: HOUSEHOLD ENTERPRISES

| | | CODED OCC | CODED OCC | | | | | CODE | ED E/O | | | | | | |
|---------------|---|---|---|--------------------------|---|-------------------------------|-----------|--------------------------|--------------------------------|-----------|----------|-------------------------|----------|--|--|
| | 28. | 28 alt1 | 28 alt 1 | | | | | 28 | alt 2 | | | | | | |
| ENTERPRISE NO | what was the <u>total</u> <u>profit</u> for | During the LAST MONTH OF OPERATION, what were the total sales/revenue for the [ACTIVITY]? | During the LAST MONTH OF OPERATION, what were the total <u>COSTS</u> for the [ACTIVITY]? This includes wages, raw materials and other inputs, generator fuel, rent, and so on. | | What were the <u>business costs during the LAST MONTH OF OPERATION</u> in the following categories? | | | | | | | | | | |
| VTERPR | | | | SALARIES AND WAGES | PURCHASE OF GOODS FOR SALE | RAW MATERIALS OR INPUTS | TRANSPORT | FUEL FOR GENERATOR | MAINTENANCE OF GENERATOR | INSURANCE | RENT | INTEREST ON LOANS | OTHER | | |
| Ē | CURRENCY | CURRENCY | CURRENCY | CURRENCY | CURRENCY | CURRENCY | CURRENCY | CURRENCY | CURRENCY | CURRENCY | CURRENCY | CURRENCY | CURRENCY | | |
| 1 | | | | | | | | | | | | | | | |
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| 7 | | | | | | | | | | | | | | | |

Designer Note: Three options are provided for collecting data to calculate one month of profit: a) Q28, b) Q28alt.1, consisting of 2 questions, and c) 28 alt.3, consisting of a table of questions. Combining options is not recommended.

| SECTION 6: OTHER | INCOMI | E IN THE LAST 12 MONTHS | | | | | |
|-----------------------------|--------|---|--|---|---|-------------------|-------------|
| 1. PRIMARY RESPOND | ENT FO | R THIS SECTION ID CODE: | | | | | |
| | | | 2. | 3. | | 4. | |
| | | | During the last 12 months, did any members of your household receive any income from | How much in total did your household receive from [SOURCE] during the last 12 months? | Who in your hou with this income LIST ALL | isehold decides w | hat to do |
| | | | [SOURCE]? YES 1 NO2 ▶ NEXT SOURCE | ESTIMATE THE CASH VALUE OF IN-KIND TRANSFERS RECEIVED | | | |
| ТҮРЕ | CODE | SOURCE | | \$ | ID CODE # 1 | ID CODE # 2 | ID CODE # 3 |
| | 101 | National remittances - cash transfers/gifts from individuals (relatives, friends) within the country | | | | | |
| INCOMING TRANSFERS/GIFTS | 102 | International remittances - cash transfers/gifts from individuals (relatives, friends) from abroad | | | | | |
| | 103 | In-kind gifts (food and non-food) from individuals (relatives, friends) anywhere | | | | | |
| PENSION & INVESTMENT | 104 | Savings, interest or other investment income | | | | | |
| INCOME | 105 | Contributory pension income | | | | | |
| | 106 | Rental income, residential property (apartment, house) | | | | | |
| | 107 | Rental income, commercial (shop, store) | | | | | |
| REVENUE, SALE OF ASSETS | 108 | Income from SALE of real estate (do not include rental income) | | | | | |
| | 109 | Other income (Specify): | | | | | |

Designer Note: The list of sources is an example and must be tailored to the country and survey needs, in consultation with experts. It should be expanded to include any specific income sources that are common in the country of the survey.

| SECTION 7: | HOUSEHOLD ASSETS | | | | | |
|--------------|---|---|--|------------------------------------|-------------------------|---|
| 1. PRIMARY F | RESPONDENT ID FOR THIS SECTION | ID CODE: | | | | |
| | | | | COD | ED E/O | |
| ASK Q1 FOR | ALL ITEMS FIRST. THEN, CONTINUE | 2. | 3. | | 4. | 5. |
| WITH THE FO | LLOW-UP QUESTIONS FOR EACH BY THE HOUSEHOLD. | Does your household own any [ITEM]? YES 1 NO 2 > NEXT ITEM | How many [ITEM]s does your household own? | Who in your HH owns (t LIST ALL | he majority of) [ITEM]? | If you wanted to sell (one of) the [ITEM] today, how much would you receive? IF MORE THAN ONE ITEM, REFER TO NEWEST |
| ITEM CODE | ITEM | - | NUMBER | ID CODE | ID CODE | CURRENCY |
| 101 | Furniture (sofa or armchairs) | | | | | |
| 102 | Mattress | | | | | |
| 103 | Bed | | | | | |
| 104 | Gas (or kerosene) cooker | | | | | |
| 105 | Stove (electric or gas) | | | | | |
| 106 | Refrigerator | | | | | |
| 107 | Freezer | | | | | |
| 108 | Air conditioner | | | | | |
| 109 | Washing machine | | | | | |
| 110 | Bicycle | | | | | |
| 111 | Motorbike | | | | | |
| 112 | Cars and other 4-wheel vehicles | | | | | |
| 113 | Generator | | | | | |
| 114 | Fan | | | | | |
| 115 | Microwave | | | | | |
| 116 | Television | | | | | |
| 117 | Computer or tablet | | | | | |
| 118 | Satellite dish | | | | | |
| 119 | Smartphone | | | | | |
| 120 | Mobile phone (NOT smartphones) | | | | | |
| 121 | Other (specify): | | | | | |
| 122 | Other (specify): | | | | | |

SECTION 8A: SAVINGS & CREDIT

| IS SECTION IS ONLY ASI | KED FOR HOUSEHOLD | MEMBERS 15 YEAR | S OR OLDER | | | | |
|--|--|---|--|--|---|--|--|
| | | | BANKING | | | SAVINGS | |
| 1. | 2. | 3. | 4. | 5. | 6. | 7. | 8. |
| CAPI/ENUMERATOR: IS [NAME] 15 YEARS OLD OR OLDER? YES 1 NO 2 > NEXT PERSON | IS THIS PERSON ANSWERING FOR HIMSELF/ HERSELF? YES 1 > Q4 NO 2 | WRITE THE ID CODE OF THE RESPONDENT | Some people like to keep their money in an account at a bank or micro- finance institution. Does [NAME] have a bank account? YES 1 NO 2 > Q6 | Does [NAME] personally have access to mobile money [local example]? YES 1 NO 2 | Is there someone who lets [NAME] cash cheques, transfer funds, or do other banking transactions using their account? YES1 NO2 DON'T KNOW98 | In the LAST 12 MONTHS, has [NAME] personally saved or set aside money using a commercial bank, a credit union, savings and credit cooperative [INSERT LOCAL EXAMPLE], micro-finance institution or any other formal or semi-formal institution? YES 1 NO 2 | In the LAST 12 MONTHS, has [NAME] personally saved or set aside money by using any informal savings clubs (like [insert local example]) or a person outside the household? YES 1 NO 2 |
| | | ID CODE | | | | | |
| | | | | | | | |
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SECTION 8A: SAVINGS & CREDIT

| | | | | - | | |
|---|--|---|--|--|--|---|
| | IF including SECTION 8B, YES > NEXT PERSON | | IF including SECTION 8B, then SECTION 8A ends here | | | |
| | CODED OCC | LOANS | CODED OCC | | | |
| | 9. | 10. | 11. | 12. | 13. | 14. |
| | During the last 12 months, did [NAME] try to borrow money from someone outside the household or from any institution (formal or informal)? INCLUDE EVEN IF LOAN WAS REFUSED. INCLUDE LOANS FOR EDUCATION. DO NOT INCLUDE BUSINESS LOANS REPORTED IN S5. YES 1 NO 2 > Q12 | Did [NAME] have need for a loan in the last 12 months? YES 1 NO 2 > NEXT PERSON | Why did [NAME] not attempt to borrow in the last 12 months? PROBE & SELECT ALL THAT APPLY IN ORDER OF IMPORTANCE BELIEVED IT WOULD BE REFUSED1 TOO EXPENSIVE | Was the Ioan approved or denied? IF PERSON REQUESTED MULTIPLE LOANS, ASK ABOUT THE BIGGEST APPROVED. APPROVED | From what type of institution or person did [NAME] request this loan? COOPERATIVE SOCIETY | What was the main reason for applying for this loan? PURCHASE LAND 1 PURCHASE INPUTS FOR FOOD CROP. 2 PURCHASE INPUTS FOR CASH CROP 3 PURCHASE LIVESTOCK 4 CEREMONIES (MARRIAGE, BURIAL, OTHER SOCIAL FUNCTIONS ETC) 5 EDUCATION 6 MOTOR VEHICLE PURCHASE 7 HOME PURCHASE OR CONSTRUCTION 8 OTHER HOUSEHOLD CONSUMPTION 9 HEALTH EXPENSES 10 COPING WITH INCOME LOSS DUE TO 11 OTHER (SPECIFY) 96 |
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
| 6 | | | | | | |
| 7 | | | | | | |
| 8 | | | | | | |

SECTION 8B: CREDIT DETAIL CAPI/ENUMERATOR CHECK: YES.....1 1. DOES S8A Q7==1 [YES] for any HH members? NO2 ▶ NEXT SECTION CODED OCC CODED OCC CODED OCC CODED OCC 2. 3. 4. 5. Which household member(s) applied What was the main reason for applying Was this loan application approved? Please list all the types of sources for the loan from [LENDER TYPE]? institutions and people - from which your for the loan from [LENDER TYPE]? household attempted to borrow money or PROBE & LIST ALL applied for a loan over the past 12 months. LOAN APPROVED AND RECEIVED 1 > 07 PURCHASE LAND 1 LOAN APPROVED. * INCLUDE APPROVED & REJECTED LOANS. * DO NOT INCLUDE LOANS REPORTED AWAITING APPLICATION DECISION 3 ▶ NEXT LOAN IN S5 PURCHASE LIVESTOCK 4 LOAN NOT APPROVED/GIVEN4 * FILL Q1 FOR ALL BEFORE GOING ON CEREMONIES (MARRIAGE, BURIAL, * IF MULTIPLE LOANS FROM THE SAME PERSON/INSTITUTION, LIST EACH ON A EDUCATION6 SEPARATE LINE. MOTOR VEHICLE PURCHASE7 HOME PURCHASE OR CONSTRUCTION 8 COOPERATIVE SOCIETY......1 OTHER HOUSEHOLD CONSUMPTION.......9 SAVINGS ASSOCIATION2 HEALTH EXPENSES...... 10 OTHER (SPECIFY) 11 ADASHI/ESUSU/AJO......5 FRIENDS & RELATIVES6 MONEY LENDERS7 ₽ HIRE PURCHASE......8 LOAN OTHER (SPECIFY)9 ID CODE ID CODE ID CODE 1 2 3 4 5 6

SECTION 8B: CREDIT DETAIL

| CODED OCC | CODED OCC | CODE | D OCC | CODED OCC | CODE | D OCC | CODED OCC |
|---|--|---|----------------|--|--|-----------------------------------|--|
| 6. | 7. | 8 | 3. | 9. | 1 | 0. | 11. |
| What were the reasons why this loan application was refused? LACK OF COLLATERAL 1 NO SAVINGS/SHARES 2 BAD CREDIT HISTORY 3 ITEMS DIDNT QUALIFY FOR A LOAN | How much was received under this loan? | When did your receive this loar MONTH CODE JAN JAN 01 FEB 02 MAR 03 APR 04 MAY 05 JUN 06 JUL 07 AUG 08 SEP 09 OCT 10 NOV 11 DEC | | Has the loan from [LENDER TYPE] already been fully repaid? YES 1 > Q10 NO 2 | Approximately v household expe final payment o [LENDER TYPE]? MONTH CODE JAN JAN 01 FEB 02 MAR 03 APR 04 MAY 05 JUN 06 JUL 07 AUG 08 SEP 09 OCT NOV 11 DEC | ct to make the n the loan from | At completion of repayment of this loan, what is the total amount you expect to repay? (INTEREST + PRINCIPAL) |
| PROBE & SELECT ALL THAT APPLY IN ORDER OF IMPORTANCE > NEXT LOAN | CURRENCY | MONTH (MM) | YEAR (YYYY) | | MONTH (MM) | YEAR (YYYY) | CURRENCY |
| 1 | | | | | | | |
| 2 | | | | | | | |
| 3 | | | | | | | |
| 4 | | | | | | | |
| 5 | | | | | | | |
| 6 | | | | | | | |

Designer Note:

• If using S8B, rename S8 to be S8A and drop S8A Q11-Q23. See questionnaire note for more details.

• Answer options for Q3 do not include loans for household non-farm enterprises (NFEs) as these are expected to be collected under the NFE section. Train enumerators to avoid double-counting of loans (e.g., reporting the same loan in two sections).

SECTION 9A: MEALS AWAY

| | | | 1. | 2. |
|---------------------------------|--|------|---|---|
| | | | In the <u>past 7 days</u> , did any members of this household consume any of the following meals or drinks away from home? | In the <u>past 7 days</u> , how much IN TOTAL did household members pay for [MEAL]? If it was free, please estimate what it would have cost if you had to pay. |
| | | | READ OUT EACH MEAL TYPE AND RECORD YES/NO. | |
| | | CODE | ANSWER Q1 FOR ALL MEAL TYPES BEFORE PROCEEDING. DO NOT COUNT FOOD EATEN FROM THE HOUSEHOLD'S OWN FAMILY BUSINESS. | |
| MEALS PREPA | RED AND CONSUMED OUTSIDE THE HOME | ITEM | YES1 NO2 () NEXT ITEM) | CURRENCY |
| Breakfast | complete meals [local example] | 1 | | |
| Lunch | complete meals [local example] | 2 | | |
| Dinner | complete meals [local example] | 3 | | |
| Snacks such as chips, biscuits, | [local examples], etc. | 4 | | |
| Dairy-based beverages such a | as milk, yoghurt, [local example], etc. | 5 | | |
| Vegetable snacks (ex: carrot, | pears, roasted corn, roasted plantain, roasted yam, etc. | 6 | | |
| Non-alcoholic drinks (Coke, F | anta, [local examples], etc.) | 7 | | |
| Alcoholic drinks (palm wine, | beer, etc.) | 8 | | |

Designer Note:

The item list is an example and must be tailored to the country and survey needs in consultation with local experts.

| SEC | TION 9B: WITHIN-HOUSEH | old f | | IPTION & | EXPEND | DITURE | | | | | | | | |
|-----------------------------|--|-----------|--|--|--|-----------------------------|---|---|--|---|--|------------------------------|------|---|
| | | | | | | | | | | | | | | |
| | | | 1 | | 2. | | 3. | 4. | 5. | 6. | | 7. | | 8. |
| | REPORT ONLY ITEMS CONSUMED WITHIN THE HOUSEHOLD. FOOD CONSUMED OUTSIDE THE HOUSEHOLD MUST BE REPORTED IN THE PREVIOUS SECTION. ASK Q1 FOR ALL ITEMS, BEFORE MOVING TO FOLLOW | DE | During the past 7 days, did members of this household eat/drink any [ITEM] within the household? YES 1 NO 2 ▶ NEXT | consume in days? DO NOT IN CONSUMEI HOUSEHOL | your hou the <u>past</u> CLUDE F(O OUTSID D | sehold <u>: 7</u> DOD | Of the [QUANTITY] [UNIT] of [ITEM] consumed, how much came from purchases? UNIT AND | Of the [QUANTITY] [UNIT] of [ITEM] consumed in the last 7 days, how much came from own production? UNIT AND SIZE | Of the [QUANTITY] [UNIT] of [ITEM] consumed, how much came from gifts and other sources? | Did your household purchase any [ITEM] in the past 30 days? YES 1 NO 2 > NEXT ITEM | In the most purchase, h did the hou SEE UNIT C ON NEXT P | ow much usehold b ODES | | How much did your household spend on this [QUANTITY, UNIT, SIZE IN Q7] of [ITEM]? |
| | UP QUESTIONS. | ITEM CODE | ITEM | SEE UNIT CON NEXT P | | SIZE | THE SAME AS IN Q2 QUANTITY | | UNIT AND SIZE MUST BE THE SAME AS IN Q2 QUANTITY | CODE | OUANTITY | UNIT | SIZE | CURRENCY |
| <u> </u> | Guinea corn/sorghum | <u> </u> | | QUANTITY | UNIT | JIZE | QUANTITY | QUANTIT | QUANTIT | CODE | QUANTITY | UNIT | JIZE | CURRENCE |
| | Millet | 100 | | | | | | | | | | | | |
| | Rice - local | 102 | | | | | | | | | | | | |
| | Rice - imported | 103 | | | | | | | | | | | | |
| FLOURS | Maize flour | 104 | | | | | | | | | | | | |
| & FLO | Yam flour | 105 | | | | | | | | | | | | |
| INS 8 | Cassava flour | 106 | | | | | | | | | | | | |
| GRAINS | Wheat flour | 107 | | | | | | | | | | | | |
| | Maize (unshelled/on the cob) | 108 | | | | | | | | | | | | |
| | Maize (shelled/off the cob) | 109 | | | | | | | | | | | | |
| | Other grains and flour (specify) | 110 | | | | | | | | | | | | |
| ED | Bread | 111 | | | | | | | | | | | | |
| LIS ES | Cake | 112 | | | | | | | | | | | | |
| PRO | Buns/pofpof/donuts | 113 | | | | | | | | | | | | |
| BAKED/PROCESSED PRODCUTS | Biscuits | 114 | | | | | | | | | | | | |
| BAI | Meat pie/sausage roll | 115 | | | | | | | | | | | | |

SECTION 9B: WITHIN-HOUSEHOLD FOOD CONSUMPTION & EXPENDITURE

| | | | | 1 | | | | | | | 1 | | | |
|-------------------------------------|---|-----------|--|--|--|--------------------|---|---|---|---|--|-----------------------------|------|---|
| | | | 1 | | 2. | | 3. | 4. | 5. | 6. | | 7. | | 8. |
| | REPORT ONLY ITEMS CONSUMED WITHIN THE HOUSEHOLD. FOOD CONSUMED OUTSIDE THE HOUSEHOLD MUST BE REPORTED IN THE PREVIOUS SECTION. ASK Q1 FOR ALL ITEMS, BEFORE MOVING TO FOLLOW UP QUESTIONS. | ITEM CODE | During the past 7 days, did members of this household eat/drink any [ITEM] within the household? YES 1 NO 2 • NEXT ITEM | In total, ho [ITEM] did : <u>consume</u> in <u>days</u> ? DO NOT IN CONSUMEE HOUSEHOL SEE UNIT C ON NEXT P | your hou 1 the <u>past</u> CLUDE F(D OUTSID D ODES | sehold <u>7</u> | Of the [QUANTITY] [UNIT] of [ITEM] consumed, how much came from purchases? UNIT AND SIZE MUST BE THE SAME AS IN Q2 | Of the [QUANTITY] [UNIT] of [ITEM] consumed in the last 7 days, how much came from own production? UNIT AND SIZE MUST BE THE SAME AS IN Q2 | Of the [QUANTITY] [UNIT] of [ITEM] consumed, how much came from gifts and other sources? UNIT AND SIZE MUST BE THE SAME AS IN Q2 | Did your household purchase any [ITEM] in the past 30 days? YES 1 NO 2 > NEXT ITEM | In the most purchase, h did the hou SEE UNIT C ON NEXT P | ow muc isehold b ODES | | How much did your household spend on this [QUANTITY, UNIT, SIZE IN Q7] of [ITEM]? |
| | | Ë | | QUANTITY | UNIT | SIZE | QUANTITY | QUANTITY | QUANTITY | CODE | QUANTITY | UNIT | SIZE | CURRENCY |
| Ś | Cassava - roots | 116 | | | | | | | | | | | | |
| BER | Yam - roots | 117 | | | | | | | | | | | | |
| N ^S | Cocoyam | 118 | | | | | | | | | | | | |
| STARCHY ROOTS, TUBERS, PLANTAINS | Plantains | 119 | | | | | | | | | | | | |
| Y RO | Sweet potatoes | 120 | | | | | | | | | | | | |
| RCH _ | Potatoes | 121 | | | | | | | | | | | | |
| STA | Other roots and tuber (specify) | 122 | | | | | | | | | | | | |
| | Soya beans | 123 | | | | | | | | | | | | |
| DS | Other beans | 124 | | | | | | | | | | | | |
| SEEDS | Groundnuts (unshelled) | 125 | | | | | | | | | | | | |
| TS & | Groundnuts (shelled) | 126 | | | | | | | | | | | | |
| , NUTS | Coconut | 127 | | | | | | | | | | | | |
| PULSES, | Kola nut | 128 | | | | | | | | | | | | |
| PUI | Cashew nut | 129 | | | | | | | | | | | | |
| | Other nuts/seeds (specify) | 130 | | | | | | | | | | | | |

| SEC | TION 9B: WITHIN-HOUSEH | old i | | IPTION & | EXPENC | DITURE | | | | | | | | |
|--------|---|-----------|---|---|---|--------------------|---|--|--|---|--|------------------------------|------|---|
| | | | | | | | | | | | | | | |
| | | | 1 | | 2. | | 3. | 4. | 5. | 6. | | 7. | | 8. |
| | REPORT ONLY ITEMS CONSUMED WITHIN THE HOUSEHOLD. FOOD CONSUMED OUTSIDE THE HOUSEHOLD MUST BE REPORTED IN THE PREVIOUS SECTION. ASK Q1 FOR ALL ITEMS, | | During the past 7 days, did members of this household eat/drink any [ITEM] within the household? YES 1 | In total, ho [ITEM] did y <u>consume</u> in <u>days</u> ? DO NOT IN CONSUMEE HOUSEHOL | your hou the <u>past</u> CLUDE F(O OUTSID | sehold 7 200 | Of the [QUANTITY] [UNIT] of [ITEM] consumed, how much came from purchases? UNIT AND | Of the [QUANTITY] [UNIT] of [ITEM] consumed in the last 7 days, how much came from own production? | Of the [QUANTITY] [UNIT] of [ITEM] consumed, how much came from gifts and other sources? | Did your household purchase any [ITEM] in the past 30 days? YES 1 NO 2 ➤ NEXT ITEM | In the most purchase, h did the hou SEE UNIT C ON NEXT P | ow much usehold b ODES | | How much did your household spend on this [QUANTITY, UNIT, SIZE IN Q7] of [ITEM]? |
| | BEFORE MOVING TO FOLLOW UP QUESTIONS. | ITEM CODE | NO 2 > NEXT ITEM | SEE UNIT CO ON NEXT P | AGE | | SIZE MUST BE THE SAME AS IN Q2 | MUST BE THE SAME AS IN Q2 | UNIT AND SIZE MUST BE THE SAME AS IN Q2 | | | | | |
| | Palm oil | - | | QUANTITY | UNIT | SIZE | QUANTITY | QUANTITY | QUANTITY | CODE | QUANTITY | UNIT | SIZE | CURRENCY |
| | | 131 | | | | | | | | | | | | |
| & FATS | Butter/margarine Groundnuts oil | 132 | | | | | | | | | | | | |
| & F/ | | 133 | | | | | | | | | | | | |
| OILS | Coconut oil | 134 | | | | | | | | | | | | |
| 0 | Animal fat | 135 | | | | | | | | | | | | |
| | Other oil and fat (specify) | 136 | | | | | | | | | | | | |
| | Bananas | 137 | | | | | | | | | | | | |
| | Oranges/tangerines | 138 | | | | | | | | | | | | |
| | Mangos | 139 | | | | | | | | | | | | |
| | Avocados | 140 | | | | | | | | | | | | |
| | Pineapples | 141 | | | | | | | | | | | | |
| FRUITS | Fruit, canned | 142 | | | | | | | | | | | | |
| Æ | Pawpaw | 143 | | | | | | | | | | | | |
| | Watermelon | 144 | | | <u></u> | | | | | | | | | |
| | Apples | 145 | | | <u> </u> | | | | | | | | | |
| | Guava | 146 | | | | | | | | | | | | |
| | Other fruits (specify) | 147 | | | | | | | | | | | | |

SECTION 9B: WITHIN-HOUSEHOLD FOOD CONSUMPTION & EXPENDITURE

| | | | 1 | | 2. | | 3. | 4. | 5. | 6. | | 7. | | 8. |
|----------------------|---|------|--|--|--|--------------------|-------------------|---------------------------|--|---|--|------------------------------|------|---|
| | REPORT ONLY ITEMS CONSUMED WITHIN THE HOUSEHOLD. FOOD CONSUMED OUTSIDE THE HOUSEHOLD MUST BE REPORTED IN THE PREVIOUS SECTION. ASK Q1 FOR ALL ITEMS, BEFORE MOVING TO FOLLOW UP QUESTIONS. | CODE | During the past 7 days, did members of this household eat/drink any (ITEM) within the household? YES 1 NO 2 • NEXT ITEM | In total, ho [ITEM] did y <u>consume</u> in <u>days</u> ? DO NOT IN CONSUMEE HOUSEHOL SEE UNIT CO ON NEXT P, | your hou the <u>past</u> CLUDE F(O OUTSID D ODES | sehold 7 200 | THE SAME AS | | Of the [QUANTITY] [UNIT] of [ITEM] consumed, how much came from gifts and other sources? UNIT AND SIZE MUST BE THE | Did your household purchase any [ITEM] in the past 30 days? YES 1 NO 2 > NEXT ITEM | In the most purchase, h did the hou SEE UNIT C ON NEXT P | ow much isehold b ODES | | How much did your household spend on this [QUANTITY, UNIT, SIZE IN Q7] of [ITEM]? |
| | | ITEM | | OUANTITY | UNIT | SIZE | IN Q2 OUANTITY | SAME AS IN Q2 OUANTITY | SAME AS IN Q2 | CODE | OUANTITY | UNIT | SIZE | CURRENCY |
| | Tomatos | 148 | | 20, 1111 | | 5.22 | 20/11/1 | 201001 | 20/11/1 | | 20,000 | | 5122 | |
| | Tomato puree (canned) | 149 | | | | | | | | | | | | |
| | Onions | 150 | | | | | | | | | | | | |
| | Garden eggs/eggplant | 151 | | | | | | | | | | | | |
| SLES | Okra - fresh | 152 | | | | | | | | | | | | |
| VEGETABLES | Okra - dried | 153 | | | | | | | | | | | | |
| VEG | Fresh pepper | 154 | | | | | | | | | | | | |
| | Dry pepper | 155 | | | | | | | | | | | | |
| | Leaves (cocoyam, spinach, etc.) | 156 | | | | | | | | | | | | |
| | Other vegetables, fresh or canned (specify) | 157 | | | | | | | | | | | | |
| | Chicken | 158 | | | | | | | | | | | | |
| POULTRY & RELATED | Other domestic poultry | 159 | | | | | | | | | | | | |
| | Eggs (from chicken) | 160 | | | | | | | | | | | | |
| P P R | Other eggs (not chicken) (specify) | 161 | | | | | | | | | | | | |

96

| SEC | TION 9B: WITHIN-HOUSEH | OLD F | | /IPTION & | EXPEND | DITURE | | | | | | | | |
|-----------|---|-----------|--|--|--|--------------------|---|--|---|---|--|---|------|---|
| | | | 1 | | 2. | | 3. | 4. | 5. | 6. | | 7. | | 8. |
| | REPORT ONLY ITEMS CONSUMED WITHIN THE HOUSEHOLD. FOOD CONSUMED OUTSIDE THE HOUSEHOLD MUST BE REPORTED IN THE PREVIOUS SECTION. ASK Q1 FOR ALL ITEMS, BEFORE MOVING TO FOLLOW UP QUESTIONS. | ITEM CODE | During the past 7 days, did members of this household eat/drink any [ITEM] within the household? YES 1 NO 2 • NEXT ITEM | In total, hc [ITEM] did <u>consume</u> ir <u>days</u> ? DO NOT IN CONSUMEI HOUSEHOI SEE UNIT C ON NEXT P | ow much o your hou n the <u>past</u> CLUDE F(D OUTSID D D ODES | sehold 7 DOD | Of the [QUANTITY] [UNIT] of [ITEM] consumed, how much came from purchases? UNIT AND SIZE MUST BE THE SAME AS IN Q2 | Of the [QUANTITY] [UNIT] of [ITEM] consumed in the last 7 days, how much came from own production? | Of the [QUANTITY] [UNIT] of [ITEM] consumed, how much came from gifts and other sources? UNIT AND SIZE MUST BE THE SAME AS IN Q2 | Did your household purchase any [ITEM] in the past 30 days? YES 1 NO 2 ► NEXT ITEM | In the most purchase, h did the hou SEE UNIT C ON NEXT P | recent now much usehold b ODES | | How much did your household spend on this [QUANTITY, UNIT, SIZE IN Q7] of [ITEM]? |
| | Beef | ⊢ 162 | | QUANTITY | UNIT | SIZE | QUANTITY | QUANTITY | QUANTITY | CODE | QUANTITY | UNIT | SIZE | CURRENCY |
| | Mutton | 162 | | | | | | | | | | | | |
| | Pork | 164 | | | | | | | | | | | | |
| MEATS | Goat | 165 | | | | | | | | | | | | |
| ME | Wild game/bush meat | 166 | | | | | | | | | | | | |
| | Canned beef/corned beef | 167 | | | | | | | | | | | | |
| | Other meat (excl. poultry) (specify) | 168 | | | | | | | | | | | | |
| | Fish - fresh | 169 | | | | | | | | | | | | |
| | Fish - frozen | 170 | | | | | | | | | | | | |
| DOD | Fish - smoked | 171 | | | | | | | | | | | | |
| SEAFOOD | Fish - dried | 172 | | | | | | | | | | | | |
| FISH & SI | Seafood (lobster, crab, prawns, etc) | 173 | | | | | | | | | | | | |
| Ē | Canned fish/seafood | 174 | | | | | | | | | | | | |
| | Other fish or seafood (specify) | 175 | | | | | | | | | | | | |

SECTION 9B: WITHIN-HOUSEHOLD FOOD CONSUMPTION & EXPENDITURE

| | | | | | | | _ | _ | - | | | | | _ |
|--------------------------|---|-----------|--|---|--|---------------------------|---|---|---|---|--|-------------------------------|------|---|
| | | | 1 | | 2. | | 3. | 4. | 5. | 6. | | 7. | | 8. |
| | REPORT ONLY ITEMS CONSUMED WITHIN THE HOUSEHOLD. FOOD CONSUMED OUTSIDE THE HOUSEHOLD MUST BE REPORTED IN THE PREVIOUS SECTION. ASK Q1 FOR ALL ITEMS, BEFORE MOVING TO FOLLOW UP QUESTIONS. | ITEM CODE | During the past 7 days, did members of this household eat/drink any [ITEM] within the household? YES 1 NO 2 > NEXT ITEM | In total, ho [ITEM] did y <u>consume</u> in <u>days</u> ? DO NOT IN CONSUMEE HOUSEHOL SEE UNIT CO ON NEXT P | your hou the <u>past</u> CLUDE F(O OUTSIC D ODES | sehold <u>7</u> 00D | Of the [QUANTITY] [UNIT] of [ITEM] consumed, how much came from purchases? UNIT AND SIZE MUST BE THE SAME AS IN Q2 | Of the [QUANTITY] [UNIT] of [ITEM] consumed in the last 7 days, how much came from own production? UNIT AND SIZE MUST BE THE SAME AS IN Q2 | Of the [QUANTITY] [UNIT] of [ITEM] consumed, how much came from gifts and other sources? UNIT AND SIZE MUST BE THE SAME AS IN Q2 | Did your household purchase any [ITEM] in the past 30 days? YES 1 NO 2 ► NEXT ITEM | In the most purchase, H did the hou SEE UNIT C ON NEXT P | iow mucl usehold b ODES | | How much did your household spend on this [QUANTITY, UNIT, SIZE IN Q7] of [ITEM]? |
| | | | | QUANTITY | UNIT | SIZE | QUANTITY | QUANTITY | QUANTITY | CODE | QUANTITY | UNIT | SIZE | CURRENCY |
| | Fresh milk | 176 | | | | | | | | | | | | |
| e | Milk powder | 177 | | | | | | | | | | | | |
| LATE | Baby milk powder | 178 | | | | | | | | | | | | |
| K & RELATED | Milk, tinned (unsweetened) | 179 | | | | | | | | | | | | |
| MILK | Cheese | 180 | | | | | | | | | | | | |
| | Other milk products (specify) | 181 | | | | | | | | | | | | |
| R E | Coffee | 182 | | | | | | | | | | | | |
| Coffee, tea & similar | Chocolate drinks (including Milo) | 183 | | | | | | | | | | | | |
| S ∞ | Теа | 184 | | | | | | | | | | | | |
| 8 0 | Sugar | 185 | | | | | | | | | | | | |
| SUGAR & SWEETS | Honey | 186 | | | | | | | | | | | | |
| SUG | Other sweets and confections (specify) | 187 | | | | | | | | | | | | |
| | Salt | 188 | | | | | | | | | | | | |
| lisc | Unground ogbono | 189 | | | | | | | | | | | | |
| ER | Ground ogbono | 190 | | | | | | | | | | | | |
| OTHER MISC | Ground pepper | 191 | | | | | | | | | | | | |
| | Other spices | 192 | | | | | | | | | | | | |

| SECTION 9B: WITHIN-HOUSEHOLD FOOD CONSUMPTION & EXPENDITURE | | | | | | | | | | | | | | |
|---|---|-----------|--|---|------|---|---|---|---|---|----------|---|------|----------|
| | | | 1 | 1 | | | 1 | 1 | 1 | 1 | 1 | | | 1 |
| | | | 1 | | 2. | | 3. | 4. | 5. | 6. | | 7. | | 8. |
| | REPORT ONLY ITEMS CONSUMED WITHIN THE HOUSEHOLD. FOOD CONSUMED OUTSIDE THE HOUSEHOLD MUST BE REPORTED IN THE PREVIOUS SECTION. ASK Q1 FOR ALL ITEMS, BEFORE MOVING TO FOLLOW UP QUESTIONS. | ITEM CODE | During the past 7 days, did members of this household eat/drink any [ITEM] within the household? YES 1 NO 2 > NEXT ITEM | consume in the past 7 days? DO NOT INCLUDE FOOD | | Of the [QUANTITY] [UNIT] of [ITEM] consumed, how much came from purchases? UNIT AND SIZE MUST BE THE SAME AS IN Q2 | Of the [QUANTITY] [UNIT] of [ITEM] consumed in the last 7 days, how much came from own production? UNIT AND SIZE MUST BE THE SAME AS IN Q2 | Of the [QUANTITY] [UNIT] of [ITEM] consumed, how much came from gifts and other sources? UNIT AND SIZE MUST BE THE SAME AS IN Q2 | Did your household purchase any [ITEM] in the past 30 days? YES 1 NO 2 ► NEXT ITEM | In the most recent purchase, how much [ITEM] did the household buy? SEE UNIT CODES ON NEXT PAGE | | How much did your household spend on this [QUANTITY, UNIT, SIZE IN Q7] of [ITEM]? | | |
| | | Ë | | QUANTITY | UNIT | SIZE | QUANTITY | QUANTITY | QUANTITY | CODE | QUANTITY | UNIT | SIZE | CURRENCY |
| ALCOHOL NON-ALCOHOLIC DRINKS | Bottled water | 193 | | | | | | | | | | | | |
| | Sachet water | 194 | | | | | | | | | | | | |
| | Malt drinks | 195 | | | | | | | | | | | | |
| | Soft drinks (Coca Cola, Sprite, etc) | 196 | | | | | | | | | | | | |
| | Fruit juice canned/pack | 197 | | | | | | | | | | | | |
| | Other non-alcoholic drinks (specify) | 198 | | | | | | | | | | | | |
| | Beer (local and imported) | 199 | | | | | | | | | | | | |
| | Palm wine | 200 | | | | | | | | | | | | |
| | Gin | 201 | | | | | | | | | | | | |
| | Other alcoholic beverages | 202 | | | | | | | | | | | | |

| FOOD ITEM UNIT & SIZE COD | ES |
|---------------------------|------|
| NIT | CODE |
| Kilograms (kg) | 1 |
| Grams (g) | 2 |
| Litres (I) | 3 |
| Centilitres (cl) | 4 |
| | |
| Bin/basket | 10 |
| Paint rubber | 11 |
| Milk cup | 12 |
| Cigarette cup | 13 |
| Tin | 14 |
| Congo | 20 |
| Mudu | 30 |
| Derica | 40 |
| Tiya, small | 50 |
| Kobiowu | 60 |
| Bowl | 70 |
| Piece | 80 |
| Неар | 90 |
| Bunch / Bundle | 100 |
| Stalk | 110 |
| Packet/sachet | 120 |
| Sack/Bag | 130 |
| Basket | 140 |
| Loaf | 200 |
| Other (specify) | 900 |

Designer Note:

The unit list provided here is an example and must be tailored to the country and survey needs in consultation with local experts.

| SECTION 10A: NON-FOOD EXPENDITURES - 7 DAY, 30 DAY, & 6 MONTH RECALL PERIODS | | | | | | | | | |
|--|---|--|--|--|--|--|--|--|--|
| | | | | | | | | | |
| 1. | ENUMERATOR: RECORD ID OF PRIMARY RESPONDENT | ID CODE: | | | | | | | |
| | | | | | | | | | |
| ЭС | 7 DAYS | 2. | 3. | | | | | | |
| A CODE | | Over the PAST 7 DAYS, did the household purchase or pay for any []? | In total, how much did your household spend on [ITEM] in the past 7 days? | | | | | | |
| ITEM | ITEM | YES 1 NO 2 ▶ NEXT ITEM | CURRENCY | | | | | | |
| 101 | Cigarettes or tobacco | | | | | | | | |
| 102 | Matches (and rolling papers, smoking accessories, etc.) | | | | | | | | |
| 103 | Public transport (moto, okada, keke, bus, boat, etc.) EXCLUDE EDUCATION RELATED EXPENSES | | | | | | | | |
| 104 | Gambling, lotto, raffles | | | | | | | | |
| | | | | | | | | | |
| Щ | 30 DAYS RECALL | 4. | 5. | | | | | | |
| A CODE | | Over the PAST 30 DAYS, did the household purchase or pay for any []? | In total, how much did the household spend on [ITEM] in the past 30 days? | | | | | | |
| ITEM | ITEM | YES 1 NO 2 ▶ NEXT ITEM | CURRENCY | | | | | | |
| 301 | Kerosene | | | | | | | | |
| 302 | Gas (for lighting/cooking) | | | | | | | | |
| 303 | Other liquid cooking fuel | | | | | | | | |
| 304 | Electricity, including electricity vouchers | | | | | | | | |
| 305 | Candle | | | | | | | | |

SECTION 10A: NON-FOOD EXPENDITURES - 7 DAY, 30 DAY, & 6 MONTH RECALL PERIODS 30 DAYS RECALL (continued) 6. 7. CODE Over the PAST 30 DAYS, did the household In total, how much did the household spend on [ITEM] in the past 30 days? purchase or pay for any [...]? ITEM YES .. 1 ITEM NO ... 2 ▶ NEXT ITEM CURRENCY Firewood 306 307 Charcoal 308 Petrol and diesel Lubricants (oil, grease, etc) 309 310 Light bulbs/globes 311 Water 312 Soap and washing powders (body and clothes) 313 Other household cleaning (cleaning, disinfectant, pesticides) 314 Toilet paper Personal care goods (toothpaste/brush, razor blades, skin 315 & hair products, cosmetics) Vitamin supplements 316 317 Postal (including stamps, courier) (Cell phone) recharge cards 318 319 Landline phone charges Internet services 320 321 Recreational (Cinemas, video/DVD rental, etc) **322** Motor vehicle service, repair, or parts **323** Bicycle service, repair, or parts Wages paid to household workers (maid, gardeners, etc) 324 Mortgage - regular payment to purchase house 325 Repairs & maintenance to dwelling 326 Repairs to household items and personal items (radios, appliances, watches, shoes, etc.) 327

SECTION 10A: NON-FOOD EXPENDITURES - 7 DAY, 30 DAY, & 6 MONTH RECALL PERIODS 6 month recall 8. 9. CODE Over the PAST 6 MONTHS, did the household In total, how much did your household spend on [ITEM] in the past 6 months? purchase or pay for any [...]? ITEM YES .. 1 ITEM NO ... 2 ▶ NEXT ITEM CURRENCY Baby nappies/diapers 401 Clothes for children - boys 402 403 Clothes for children - girls Clothes for men 404 Clothes for women 405 406 Boy's shoes Men's shoes 407 Girl's shoes 408 409 Women's shoes Tailoring charges 410 Laundry and dry cleaning 411 Kitchenware (plates, utensils, cups, pots, etc) 412 Cleaning utensils (brooms, brushes, etc.) 413 Small household items (torch/flashlight, umbrella, etc 414 Books & stationery items (not for school) 415 416 House decorations Bed linens - bed sheets, bed cover, blankets, pillows 417 418 Curtain and other linen Carpet and other floor covering 419 Cell phone 420 Personal computer, laptop, or tablet 421 Night's lodging in rest house or hotel 422 423 Donations (to church, mosque, charity, beggars, etc)

| SE | CTION 10B: NON-FOOD EXPENDIT | TURES - 12 MONTH RECALL PERIOD | | | | |
|-----------|--|--|--|--------------------|---|------------|
| | 1 | | | I | | |
| 1. | PRIMARY RESPONDENT | | | ID CODE: | | |
| | | 7 | | | | |
| | | | - | | CODED E/O | |
| B | | 2. | 3. | | 4. | |
| 8 | | Over the PAST 12 MONTHS, did the household purchase or pay for any []? | In total, how much did your household spend on [ITEM] in the past 12 months? | OFI insurance at a | members have beer ny point in the past | 12 months? |
| ITEM CODE | | YES1 NO2 ▶ NEXT ITEM | CURRENCY | ID CODE | ID CODE | ID CODE |
| 501 | Carpets, rugs, drapes, curtains | | | | | |
| 502 | Linens (towels, sheets, blankets) | | | | | |
| 503 | Mat (for sleeping or for drying maize flour) | | | | | |
| 504 | Mosquito net | | | | | |
| 505 | Mattress | | | | | |
| 506 | Small household appliances (electric kettle, mixer, toaster, iron, etc.) | | | | | |
| 507 | Sports & hobby equipment, musical instruments, toys | | | | | |
| 508 | | | | | | |
| 509 | Building items (cement, bricks, timber, iron sheets, tools, etc.) | | | | | |
| 510 | Council rates | | | | | |
| 511 | Health insurance | | | | | |
| alt* | Other insurance (auto, home, life) | | | | | |
| 512 | Auto insurance | | | | | |
| 513 | Home insurance | | | | | |
| 514 | Life insurance | | | | | |
| 515 | Fines or legal fees | | | | | |
| 516 | Dowry costs | | | | | |
| 517 | Marriage ceremony costs | | | | | |
| 518 | Funeral costs | | | | | |

| | CODED OCC | | | | |
|---|--|--|--|--|--|
| 1 | 2 | 3. | 4. | 5. | 6. |
| INDICATE THE MAIN RESPONDENT FOR THIS SECTION | WHAT TYPE OF DWELLING DOES THE HOUSEHOLD LIVE IN? SEPARATE HOUSE(BUNGALOW) | Does your household own, rent or stay for free in the dwelling that your household currently occupies? OWNED | If your household sold this dwelling today, how much would you receive for it? | If you were to rent this dwelling on the open market, how much would you have to pay? TIME UNIT MONTH | How much does your household <u>pay to rent</u> this dwelling? IF IN KIND, INCLUDE VALUE OF IN KIND PAYMENTS TIME UNIT MONTH |
| ID CODE | | | CURRENCY | CURRENCY TIME UNIT | CURRENCY TIME UNIT |
| | | | | | |

| SECTION 11: HOUSING CONDITION | 5 | | | | | |
|---|---|--|---------|-----------------|---|--|
| 7. | 8. | | 9. | | 10. | 11. |
| What type of documentation does your household have to support occupancy status? TITLE/DEED | Are any members of the household listed on the [DOCUMENT]? YES 1 NO 2 | Which membe on the [DOCUI PROBE & LIST / | • | nold are listed | MAIN CONSTRUCTION MATERIAL OF THE OUTSIDE WALLS OF THE DWELLING OBSERVE, DO NOT READ OUT MUD1 STONE | MAIN CONSTRUCTION MATERIAL OF THE ROOFING OF THE DWELLING OBSERVE, DO NOT READ OUT THATCH (GRASS OR STRAW) 1 CORRUGATED IRON SHEETS |
| | | ID CODE | ID CODE | ID CODE | YEAR | _ |
| | | | | | | |

SECTION 11: HOUSING CONDITIONS

| 12.13.MAIN CONSTRUCTION MATERIAL OF THE FLOORING OF THE DWELLINGHow many separate rooms the members of your house occupy?OBSERVE, DO NOT READ OUT SAND/DIRT/STRAW(DO NOT COUNT BATHROC TOILETS, STORE-ROOMS, O GARAGES)SAND/DIRT/STRAW1 SMOOTHED MUDSMOOTH CEMENT/CONCRETE3 WOOD4 TILE5 OTHER(SPECIFY)6 TERRAZO7 |
|--|
| OF THE FLOORING OF THE DWELLING the members of your house occupy? OBSERVE, DO NOT READ OUT SAND/DIRT/STRAW |
| |
| NUMBER |

Designer Note:

When Q14 is not included, Q15 must be revised to include Q14 answer options 8 - 11, with relevant skip instructions.

| | CODED E/O | | | CODED E/O |
|---|--|--|---|---|
| 16. | 17. | 18. | 19. | 20. |
| How much did your household spend on the [FUEL TYPE IN Q15] for this stove in the last month? ENTER THE ACTUAL AMOUNT SPENT, NOT THE MARKET VALUE OF THE FUEL | Where does your household normally cook with the cookstove? IN DWELLING, NOT A SLEEPING AREA | What is this household's MAIN source for lighting? PROBE & SELECT TWO MOST OFTEN USED SOURCES IN ORDER OF FREQUENCY ELECTRICITY (INCLUDING SOLAR PANELS, GENERATOR)1 > Q20 SOLAR-POWERED LANTERN OR FLASHLIGHT2 RECHARGEABLE FLASHLIGHT, MOBILE, TORCH OR LANTERN | Do you have electricity from any source in your household? YES 1 NO 2 ▶ Q29 | What are all the sources of electricity that your household use regularly? PROBE & SELECT ALL THAT APPLY PHCN/NEPA 1 LOCAL MINI GRID 2 GENERATOR 3 SOLAR HOME SYSTEM 4 SOLAR LANTERN/LIGHTING SYSTEM 5 RECHARGEABLE BATTERY 6 OTHER (SPECIFY) 7 |
| | | | | |

| CODED OCC | | | CODED OCC | CODED OCC | CODED OCC | CODED OCC | CODED OCC |
|--|---|------------------------------------|--|--|---|--|---|
| 21. | 22. | | 23. | 24. | E1 | 25. | 26. |
| What is the household's MAIN source of electricity (the one used most of the time)? [NAME, NATIONAL GRID] [NAME, NATIONAL GRID] LOCAL MINI GRID 2 GENERATOR 3 SOLAR HOME SYSTEM 4 SOLAR LANTERN/LIGHTING SYSTEM 6 DRY CELL BATTERY/TORCH 7 OTHER (SPECIFY) | How much does household typic for electricty? TIME UNIT DAILY WEEKLY FORTNIGHTLY QUARTERLY YEARLY | cálly pay 1 2 3 4 5 | In the last 7 days, how many hours of electricity were available <u>each day</u> <u>on average</u> from [MAIN ELECTRICITY SYSTEM]? | In the last 7 days, how many hours of electricity were available, on average, <u>each</u> <u>evening from 6:00</u> <u>pm to 10:00 pm</u> from [MAIN ELECTRICITY | CAPI/ENUMERATOR CHECK: DOES Q21==1 OR 2? YES 1 NO 2 > Q29 | In the last 7 days, how many times were there unscheduled outages/blackouts from [NAME OF MAIN ELECTRICITY SYSTEM]? | What was the total duration of all the unscheduled outages/ blackouts in the last 7 days? |
| | AMOUNT | UNIT | HOURS (0-24) | HOURS (0-4) | | # OF INTERUPTIONS | HOURS |
| | | | | | | | |

| CODED OCC | CODED OCC | | | | |
|--|--|--|--|-----------------------|---|
| 27. | 28. | 28b | 280 | c | 29. |
| In the last 12 months , were any of your appliances damaged because of issues in the electrical system (voltage surges)? YES | In the last 12 months , did anyone using [NAME OF MAIN ELECTRICAL SYSTEM] die or have permanent limb (bodily injury) damage? YES1 NO2 DON'T KNOW98 | Do you have internet (WiFi) in the household? DO NOT INCLUDE ACCESS TO THE INTERNET USING MOBILE PHONES YES 1 NO 2 | How much does household typic internet (WiFi)? TIME UNIT DAILY WEEKLY FORTNIGHTLY QUARTERLY YEARLY AMOUNT | 1 2 3 4 5 | What is the MAIN source of <u>drinking</u> water for this household? MOST FREQUENTLY USED SOURCE THROUGHOUT THE YEAR, EVEN IF NOT CURRENTLY IN USE. PIPED INTO DWELLING |

| 30. 31. 32. 33. 34. Where is this [SOURCE] located? How long does it take to go there, get water, and come back, including waiting time? Do you usually do anything to the water (dry or rainy back, including time? What do you usually do to the water to make it safer to drink? Do you use the main water source IN OWN DWELLING1 > Q32 TIME UNIT YES1 DO NOT READ. PROBE & SELECT ALL THAT APPLY ALL YEAR |
|--|
| located? there, get water, and come back, including waiting time? to the water (dry or rainy season) to make it safer to drink? make it safer to drink? DO NOT READ. PROBE & SELECT ALL THAT APPLY ALL YEAR |
| TIME UNIT # OF TRIPS |
| |

| | CODED E/O | CODED E/O | |
|--|---|--|--|
| 35. | 36. | 37. | 38. |
| What is the main source of drinking water for your household during the other season? PIPED INTO DWELLING | In the last 30 days , has there been any time when your household did not have sufficient quantities of drinking water when needed? | What is the main source of water used by members of your household for other purposes , such as cooking and hand washing ? PIPED INTO DWELLING | During the past 30 days , how much did your household pay for water, including any fees or costs of transportation, delivery, etc.? IF THE WATER BILL IS |
| PIPED TO NEIGHBOR3PUBLIC TAP/STANDPIPE4TUBE WELL/BOREHOLE5PROTECTED DUG WELL6UNPROTECTED DUG WELL7PROTECTED SPRING8UNPROTECTED SPRING9RAIN WATER COLLECTION10TANKER TRUCK/WATER VENDOR11WITH SMALL TANK/DRUM12SURFACE WATER (RIVER, STREAM, POND, DAMN, CANAL)13BOTTLED WATER14SACHET WATER KIOSK16OTHER (SPECIFY)17 | YES 1 NO 2 | PIPED INTO YARD/PLOT2PIPED TO NEIGHBOR3PUBLIC TAP/STANDPIPE4TUBE WELL/BOREHOLE5PROTECTED DUG WELL6UNPROTECTED DUG WELL7PROTECTED SPRING8UNPROTECTED SPRING9RAIN WATER COLLECTION10TANKER TRUCK11CART WITH SMALL TANK/DRUM12SURFACE WATER14SACHET WATER14SACHET WATER15WATER KIOSK16OTHER (SPECIFY)17 | SHARED, ONLY RECORD THE HH'S PORTION |
| | | | CURRENCY |

| by your household? FLUSH TO PIPED SEWAGESYSTEM 1 • Q42 FLUSH TO SEPTIC TANK | this toilet bcated? WELLING1 ARD/ PLOT2 RE3 YES1 NO2 | In the past 12 months , how has your household most often disposed of refuse (garbage disposal)? COLLECTED BY GOV | How much does your household typically pay for refuse disposal? TIME UNIT DAILY |
|---|--|--|---|
| BUCKET 11 > Q42 HANGING TOILET/HANGING LATRINE 12 > Q42 NO FACILITIES, BUSH OR FIELD 13 > Q42 OTHER (SPECIFY | | INFORMAL DISPOSAL, WATER (RIVER, SEA8 > NEXT SECTION OTHER (SPECIFY)9 | |
| | | | AMOUNT UNIT |

| w I | would like to ask you some questions about food and meals in the household. | |
|-------|---|---------------|
| 1. | ENUMERATOR: RECORD PRIMARY RESPONDENT ID | ID CODE |
| | | |
| Durin | g the last 12 months, was there a time when, <u>because of lack of money or other resources</u> : | YES 1 NO 2 |
| 2. | anyone in your household worried about not having enough food to eat? | |
| 3. | anyone in your household was unable to eat healthy and nutritious foods? | |
| 4. | anyone in your household ate only a few kinds of foods? | |
| 5. | anyone in your household had to skip a meal ? | |
| 6. | anyone in your household ate less than you thought you should? | |
| 7. | your household ran out of food? | |
| 8. | anyone in your household was hungry but did not eat? | |
| 9. | anyone in your household went without eating for a whole day? | |

Designer Note:

Ask these questions at the overall household level, or at the individual level for each HH member. See Questionnaire Note for more detail.

| SE | CTION 13: SOCIAL PROGRAMS AND PR | OTECTIONS | | |
|------|--|---|---|--|
| 1. | PRIMARY RESPONDENT | ID CODE: | | |
| | FIRST ASK QUESTION 1 FOR ALL TYPES OF ASSISTANCE. THEN ASK FOLLOW- UP QUESTIONS FOR EACH TYPE THE HOUSEHOLD RECEIVES. | 2. In the past 12 months, has any member of your household received any of the following types of assistance from the government or from community, religious, international, or other organizations/ groups? | 3. What was the total value of [ASSISTANCE] from [GOVERNMENT]? ESTIMATE VALUE OF ANY FOOD AND IN-KIND ASSISTANCE. IF NONE, WRITE 0 | 4. What was the total value of [ASSISTANCE] from all other groups/ organizations? ESTIMATE VALUE OF ANY FOOD AND IN-KIND ASSISTANCE. IF NONE, WRITE 0 |
| CODE | ASSISTANCE TYPE | YES 1 NO 2 ▶ NEXT ITEM | | |
| 1 | (Free) Food | | | |
| 2 | (Direct) Cash transfers | | | |
| 3 | Payment relief for public services (ex: electricity, water, internet) | | | |
| 4 | Other in-kind transfers, SPECIFY (medicine, masks/sanitizers, etc.) | | | |

Designer Note:

The item list is an example and must be tailored to the country and survey needs in consultation with local experts.

| | | CODED OCC | CODED E/O | | CODED E/O | |
|------|--|--|---|---------------------------------------|-------------|-------------|
| | | 5. | 6. | 7. | | |
| CODE | | What was the source of this [ASSISTANCE] ? COMMUNITY ORGANIZATION OR COOPERATIVE 1 NGO | Was this assistance given to READ OPTIONS ALOUD. ENTIRE HOUSEHOLD | received th [PROGRAM 12 months] | DSTER ID OF | from ast |
| 8 | ASSISTANCE TYPE | | | ID CODE | ID CODE | ID CODE |
| 1 | (Free) Food | | | | | |
| 2 | (Direct) Cash transfers | | | | | |
| 3 | Payment relief for public services (ex: electricity, water, internet) | | | | | |
| 4 | Other in-kind transfers, SPECIFY (medicine, masks/sanitizers, etc.) | | | | | |

| SE | CTION 14: SHOCKS COPING | | | | |
|----------------|---|--|--|---|--|
| 1. 11 | NTERVIEWER: RECORD ID OF PRIMARY RESPONDENT | ID CODE: | | | |
| SHOCK CODE | 2. During the last 12 months, was your household negatively affected by any of the following? FIRST ASK Q1 FOR ALL SHOCKS , THEN ASK FOLLOW UP QUESTIONS FOR EACH YES ANSWER YES 1 NO 2 ▶ NEXT SHOCK | 3. Rank the 3 most significant shocks you have experienced. MOST SEVERE 1 NEXT MOST SEVERE 2 THIRD MOSTSEVERE 3 | cope with HAPPENEE ONCE, ASK RECENT. ONLY ASK SIGNIFICAI RANKED II | 4. our household [SHOCK]? IF SHOCK) MORE THAN (ABOUT MOST FOR 3 MOST NT SHOCKS N Q4. 5. SELECT ALL THAT | CODES FOR Q4. SALE OF LIVESTOCK SALE OF LAND 2 SALE OF OTHER PROPERTY. 3 SENT CHILDREN TO LIVE WITH FRIENDS. 4 WITHDREW CHILDREN FROM SCHOOL 5 ENGAGED IN ADDITIONAL INCOME GENERATING ACTIVITIES 6 RECEIVED HELP FROM FRIENDS & FAMILY 7 BORROWED FROM FRIENDS OR FAMILY 8 TOOK A LOAN FROM FINANCIAL INSTITUTION |
| 1 2 3 | Death of an adult working household member Death of other HH members Illness or disability of income earning household member | | | | MEMBERS OF THE HOUSEHOLD MIGRATED FOR WORK |
| 4 | Job loss (NOT related to death, disability, or illness) End of regular assistance, aid, or remittances from outside the household | | | | SOLD HARVEST IN ADVANCE |
| 6 | Departure of income-earning household member (ex: due to separation, divorce, changing to another household) Non-farm business failure/closure | | | | RELIED ON SAVINGS |
| 8 9 | Theft of crops, cash, livestock or other property Destruction of harvest by fire | | | | WAS COVERED BY INSURANCE PROM GOVERNMENT 20 WAS COVERED BY INSURANCE POLICY 21 DID NOTHING 22 OTHER (SPECIFY) 23 |
| 10 11 12 | Dwelling damaged/demolished Irregular or poor rains that caused harvest failure Flooding that caused harvest failure or storage loss | | | | |
| 13 14 15 | Pest invasion that caused harvest failure or storage loss Loss of property due to fire or flood Loss of land | | | | |

SECTION 14: SHOCKS COPING

| | 2. | 3. | | 4. | CODES FOR Q4. |
|-------|--|------------------------------|---|---------------|---|
| | During the last 12 months , was your household negatively | Rank the 3 most significant | | our household | |
| | affected by any of the following? | shocks you have experienced. | cope with [SHOCK]? IF SHOCK HAPPENED MORE THAN | | SALE OF OTHER PROPERTY |
| | FIRST ASK Q1 FOR ALL SHOCKS , THEN ASK FOLLOW UP QUESTIONS | | | K ABOUT MOS | SENT CHILDREN TO LIVE WITH FRIENDS |
| | FOR EACH YES ANSWER | MOST SEVERE 1 | RECENT. | | WITHDREW CHILDREN FROM SCHOOL 5 |
| CODE | | NEXT MOST SEVERE 2 | | K FOR 3 MOST | ENGAGED IN ADDITIONAL INCOME |
| | | THIRD MOSTSEVERE 3 | SIGNIFICA | | GENERATING ACTIVITIES |
| SHOCK | YES 1 NO 2 ▶ NEXT SHOCK | | | • | |
| E | NO 2 P NEXT SHOCK | | APPLY | S. SELECT ALL | HAT TOOK A LOAN FROM FINANCIAL INSTITUTION |
| 16 | Other natural disasters (landslides, earthquakes, etc.) | | | | MEMBERS OF THE HOUSEHOLD MIGRATED FOR WORK |
| 17 | Death of livestock due to illness, disease, or accident | | | | PUT PURCHASES ON CREDIT 11 |
| | Increase in price of inputs needed by household farm or non- | | | | DELAYED PAYMENT OBLIGATIONS 12 |
| 18 | farm enterprise | | | | SOLD HARVEST IN ADVANCE |
| 19 | Fall in price of outputs sold by household farm or non-farm | | | | REDUCED FOOD CONSUMPTION 14 REDUCED NON-FOOD CONSUMPTION 15 |
| 15 | enterprise | | | | RELIED MORE ON COLLECTING/FORAGING |
| 20 | Reduction in earnings from non-farm enterprise (not due to illness, accident, or death of household member) | | | | FOOD ITEMS FROM FOREST/WILD AREAS 16 |
| | Failure or closure of non-farm enterprise | | | | RELIED ON SAVINGS |
| 21 | | | | | TOOK ADVANCED PAYMENT FROM EMPLOYER 18 RECEIVED ASSISTANCE FROM NGO 19 |
| 22 | Increase in price of major food items consumed | | | | RECEIVED ASSISTANCE FROM GOVERNMENT |
| 22 | | | | | WAS COVERED BY INSURANCE POLICY |
| 23 | Increase in price of oil and fuel | | | | OTHER (SPECIFY) |
| 24 | Kidnapping/hijacking/robbery/assault | | | | |
| 25 | Disruptions in farming/livestock activities | | | | |
| 96 | Other (specify) | | | | |

1. Do you or does any member of your household use, own, or hold use rights for any parcel of land, either alone or jointly with someone else, regardless of who uses the parcel and regardless of what it is used for (i.e., dwelling, cultivated, pastoral, forest, or business/commercial parcels)?

YES .. 1 NO ... 2 > SECTION 16

A PARCEL IS A PIECE OF LAND OF ONE TENURE TYPE ENTIRELY SURROUNDED BY OTHER LAND, WATER, ROADS, OR FORESTS OF A DIFFERENT TENURE TYPE THAT MAY OR MAY NOT BE USED OR OWNED BY THE SAME HOUSEHOLD.

ENUMERATOR: CREATE THE ROSTER BY COMPLETING Q2 & Q3 FOR ALL PARCELS, THEN GO THROUGH THE REST OF THE SECTION ONE PARCEL AT A TIME.

| | 2. | 3. | 4. | 5. | 6 | • |
|-----------|--|--|---|--|---|---|
| | 2. PARCEL NAME Please tell me about each parcel that you or any household member currently uses, owns, or holds use rights for, either alone or with someone else. Please describe or give me the name of each | 3. LOCATION & DESCRIPTION OF PARCEL | 4. RECORD THE ID OF THE RESPONDENT. LIST FROM HOUSEHOLD ROSTER" | 5. How was this [PARCEL] acquired? GRANTED BY CUSTOMARY/COMMUNITY AUTHORITIES1 > 9 ALLOCATED BY GOVERNMENT | 6 How much do you for use of this [PAF ESTIMATE THE VAL KIND PAYMENTS, E OUTPUTS | pay the owner RCEL]? .UE OF ANY IN- |
| PARCEL ID | parcel, (starting with the parcel you reside on, if applicable). | | | RENTED IN, LONG-TERM | САЅН | IN-KIND |
| PAR | | | ID | CODE | CURRENCY | CURRENCY |
| 1 | | | | CODE | | contener |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |

| | 7. | 8. | 9. | | 1 | 0. | | 11. |
|--------|---|----------|--|---------------------------|--|--|---------------|------------|
| | How many <u>months</u> does this payment cover? How much in total did you pay for this [PARCE (include both cash and payments in-kind)? | | Under which tenure system is this [PARCEL]? CUSTOMARY1 FREEHOLD2 LEASEHOLD3 STATE4 COMMUNITY/GROUP RIGHT5 COOPERATIVES6 OTHER (SPECIFY)7 | [PARCEL]? LIST UP TO 4 | ousehold [own JOINT OWNER: HOLD ROSTER | Does your household have a document for this [PARCEL] issued by or registered at the Land Registry/Cadastral Agency, such as a title deed, certificate of ownership, certificate of hereditary acquisition, lease or rental contract? | | |
| ₽ | | | | | | | | NO 2 • Q13 |
| | ALL ▶ 9 | | | | | | | |
| PARCEL | NUMBER | CURRENCY | CODE | ID CODE #1 | ID CODE #2 | ID CODE #3 | ID CODE #4 | |
| 1 | | | | | | | | |
| 2 | | | | | | | | |
| 3 | | | | | | | | |
| 4 | | | | | | | | |
| 5 | | | | | | | | |

| | | | | | | | C | ODED E/O |) | | | | | | | |
|-----------|--|---|-----------|----------|--|--|---|-----------|----|--|--|---|---|----|--|-----|
| | | | | | | | | 12. | | | | | | | | 13. |
| | What typ and whic | What type of documents does your household have for this [PARCEL], and which household members are listed as owners or use rights holders on each? LIST UP TO 3, SHOW PHOTO AID | | | | | | | | | | | CAPI/ENUMERATOR: IS Q4==6 OR 8 OR 9? | | | |
| | LIST UP T | | | | | | | | | | | | | | | |
| | DOCUMEN | | | | | | | | | | | | YES 1 > Q18 NO 2 | | | |
| | TITLE DEEI | D | | | | | 1 | | | | | | | | | |
| | CERTIFICA | TE OF CUST | OMARY OV | VNERSHIP | | | 2 | | | | | | | | | |
| | CERTIFICATE OF OCCUPANCY | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | |
| | RENTAL CONTRACT, REGISTERED | | | | | | | | | | | | | | | |
| | LEASE, REGISTERED | | | | | | | | | | | | | | | |
| | OTHER (SF | PECIFY) | | | | | 8 | | | | | | | | | |
| PARCEL ID | IF NO HOUSEHOLD MEMBER ON DOCUMENT, ENTER "55" IF DON'T KNOW, ENTER "98" | | | | | | | | | | | | | | | |
| | | D | OCUMENT # | #1 | | | D | OCUMENT # | #2 | | | D | OCUMENT : | #3 | | |
| | DOC. TYPE ID CODE #1 ID CODE #2 ID CODE #3 ID CODE #4 ID CODE #4 ID CODE #1 ID CODE #2 ID CODE #3 ID CODE #4 ID CODE #1 ID CODE #1 ID CODE #3 ID CODE #4 ID CODE #1 ID CODE #1 ID CODE #3 ID CODE #4 ID CODE #3 ID CODE #4 ID CODE #4 ID CODE #1 ID CODE #3 ID CODE #4 ID CODE #3 ID CODE #3 <th></th> <th></th> | | | | | | | | | | | | | | | |
| 1 | | | | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | | |

| | 14. | | | 15. | | | 16. | | | 17. | | |
|--------|---|---------------|--------------------------------------|---------------|---|------------------------------------|---|---|---|---------------|---------------|------------------|
| | Does anyone in the | Who can d | ecide whet | her to sell [| PARCEL]? | | Does anyone in the | Does anyone in the Who can decide wheth | | | | PARCEL]? |
| | household have the right to sell [PARCEL], either alone or with someone else? | AND 1 COI | ND 1 CODE FROM OUTSIDE HOUSEHOLD, IF | | | | household have the right to bequeath this [PARCEL], either alone or with someone else? | AND 1 COI | LIST UP TO 4 ID CODES FROM HOUSEHOLD ROST AND 1 CODE FROM OUTSIDE HOUSEHOLD, IF APPLICABLE. | | | |
| EL ID | YES1 NO2 ▶ 16 DONT'KNOW98 ▶ 16 REFUSAL99 ▶ 16 | | | | YES1 NO2 → 18 DON'T KNOW98 → 18 REFUSAL99 → 18 | RELATIVE LOCAL OFFI CUSTOMAR | NON-HH MEM | | | 2 3 | | |
| PARCEL | | ID CODE #1 | ID CODE #2 | ID CODE #3 | ID CODE #4 | NON-HH MEMBER | - | ID CODE #1 | ID CODE #2 | ID CODE #3 | ID CODE #4 | NON-HH MEMBER |
| 1 | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | |

| <u> </u> | | | | | | | | | | | | | | | | | |
|----------|--|---|------------|-------------|---------|----------|------|---------------------|-------------------|---|---|---|------|-----|--|-----------|------|
| | | | | 18 | 3. | | | | 19. | | | | | 20. | | | |
| | [NAME c | le from 1 to 5 of owner/use] in the next 5 | rights hol | | | | | | | What is the area of this [PARCEL]? ENUMERATOR: IF AREA IS REPORTED ON THE DOCUMENT, ASK TO SEE THE DOCUMENT | | | | | | MENT, ASK | |
| | ASK FOR | EACH OF TH | e id cod | ES REPORTED | IN Q10. | | | | [PARCEL] reported | | | | | | | | |
| | IF "MOVED IN WITHOUT PERMISSION" (Q5=12), ASK ABOUT THE HOUSEHOLD HEAD (INDVIDUAL 1) AND THE SPOUSE OF THE HEAD OF HOUSEHOLD (INDIVIDUAL 2). | | | | | | | on the document(s)? | | | | | | | | | |
| | | | | | | | | | YES 1 | | | | | | | | |
| | NOT AT ALL LIKELY1 | | | | | | | | NO 2 | | | | | | | | |
| | SLIGHTLY LIKELY | | | | | | | | | | | | | | | | |
| | | TELY LIKELY | | | | | | | | | | | | | | | |
| | | ELY | | | | | | | | | | | | | | | |
| - | EXTREME | LY LIKELY | 5 | | | | | | | | | | | | | | |
| PARCEL | INDI | VIDUAL 1 | INDI\ | /IDUAL 2 | INDI | VIDUAL 3 | INDI | /IDUAL 4 | | | | | | | | | |
| PA | ID | RESPONSE | ID | RESPONSE | ID | RESPONSE | ID | RESPONSE | | | | | AREA | | | | UNIT |
| 1 | | | | | | | | | | | _ | _ | _ | | | _ | |
| 2 | | | | | | | | | | | _ | | | | | | |
| 3 | | | | | | | | | | | _ | | | | | | |
| 4 | | | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | | | |

| | 21. | | 22. | | 24. | 2 | 5. | 26. |
|--------|--|---|---|-----|---|--|----------|---|
| L ID | ONLY IF Q10=1 (yes) ENUMERATOR: REPORT THE SOURCE OF INFORMATION RESPONDENT DECLARATION1 DOCUMENT2 | What are the t [PARCEL]? RESIDENTIAL CROP PRODUCTI GRAZING (MEAE TEMPORARILY F/ FARM BUILDING AQUACULTURE FOREST BUSINESS/COMM UNUSED RENTED OUT/SH. GAVE OUT FOR F NO SECOND USE DON'T KNOW OTHER (SPECIFY) | ON OWS AND PAST ALLOW S IERCIAL ARECROPPED OU REE | | CAPI/ENUMERATOR: IS Q22A==10 Q22B==10 Q22C==10?) YES 1 NO 2 > NEXT PARCEL | How much do you receive from renting or sharecropping out this [PARCEL]? ESTIMATE THE VALUE OF IN- KIND RECEIPTS, INCLUDING CROP OUTPUTS | | How many <u>months</u> does this payment cover? |
| PARCEL | | | | | | CASH | IN-KIND | |
| PA | | 1st | 2nd | 3rd | | CURRENCY | CURRENCY | |
| 1 | | | | | | | | |
| 2 | | | | | | | | |
| 3 | | | | | | | | |
| 4 | | | | | | | | |
| 5 | | | | | | | | |

| SECTION 16: ACCESS TO ESSENTIAL GOODS | | | | | | | | | | | | |
|---|--|---|--|--|--|--|--|--|--|--|--|--|
| Instructions: Include the 5 more co | Instructions: Include the 5 more common essential goods in the country | | | | | | | | | | | |
| 1a. | 1b. | 1c. | 2a | 2b | | | | | | | | |
| In the past 7-days, did you or anyone in your household want or need to buy [ITEM]? | Were you or someone in your household able to buy [ITEM]? | Why was your household not able to buy [ITEM]? | Were you able to buy the desired amount of [ITEM]? | Why was your household not able to buy the desired amount of [ITEM]? | | | | | | | | |
| YES 1 NO 2 > NEXT SECTION | YES 1 > Q2A NO 2 | OUT OF STOCK1PRICE HAS INCREASED2DUE TO QUOTAS3HIGH TRANSPORTATION COSTS4INFERIOR QUALITY OF AVAILABLE ITEMS5NOT ABLE TO GO TO THE MARKETDUE TO SECURITY REASONS6NO MONEY TO BUY7OTHER (SPECIFY)96SELECT ALL THAT APPLY | YES 1 ▶ NEXT SECTION NO 2 | OUT OF STOCK | | | | | | | | |
| 1 | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | |
| δ | | | | | | | | | | | | |

| SE | CTION 17: PAST EXPERIENCE, CURRENT EXP | ERIENCE & FUTURE EXPECTA | TIONS: CLIMATE/WEATHER | R | |
|------------|--|---|---|--|---|
| | | | EVERIENCE | | |
| | | | EXPERIENCE | EIN THE PAST 12 MONTHS ENABLE IF Q3=1 | |
| | | 3. | 4. | 5. | 6. |
| EVENT CODE | | Juring the <u>past 12 months</u> , did your household experience [CLIMATE/ WEATHER EVENT]? YES 1 NO 2 > NEXT ROW | 4. In which month did the [CLIMATE/WEATHER EVENT] first occur? JANUARY JANUARY 1 FEBRUARY 2 MARCH 3 APRIL 4 MAY 5 JUNE 6 JULY 7 AUGUST 8 SEPTEMBER 9 OCTOBER 10 NOVEMBER 11 DECEMBER 12 DO NOT KNOW 777 | Jos How long did the [CLIMATE/ WEATHER EVENT] last? IF RESPONDENT DOES NOT KNOW, RECORD "99" CODES FOR UNIT: MONTH 1 WEEK | b. Did [CLIMATE/WEATHER EVENT] affect only this household, some other households, most households in the community, or all households in the community? Only this household |
| | | CODE | CODE | NB OF MONTHS UNIT | CODE |
| 1 | Drought | | | | |
| 2 | Erratic rainfall | | | | |
| 3 | Late onset of rains | | | | |
| 4 | Early onset of rains | | | | |
| 5 | Early cessation of rains | | | | |
| 6 | Flooding | | | | |
| 7 | Water logging | | | | |
| 8 | Torrential downpours | | | | |
| 9 | Hailstorms | | | | |
| 10 | Extreme winds | | | | |
| 11 | Very high temperatures | | | | |
| 12 | Unusually High Level of Crop Pests & Disease | | | | |
| 13 | Unusually High Level of Livestock Disease | | | | |

| SE | CTION 17: PAST EXPERIENCE, CURRENT EXP | ERIENCE & FUTURE EXPECTATIONS: CLIN | IATE/WEATHER | |
|-------|--|--|---|--|
| | | | | |
| | | EXPERIENCE IN TH | E PAST 12 MONTHS | |
| | | | DISABLE IF "NO" TO ALL ANSWER OPTIONS IN Q7 | |
| | | 7. | 8. | 8a |
| | | Did any of the following happen to your household because of [CLIMATE/ WEATHER EVENT] in the last 12 months? | How did your household cope with the negative consequences of [CLIMATE/ WEATHER EVENT] after it occurred? | <u>Compared to 5 years ago</u> , would you say that the occurrence of [CLIMATE/ WEATHER EVENT] is increasing, decreasing or about the same? |
| | | READ EACH OPTION ALOUD. SELECT ALL THAT APPLY | FOR EACH EVENT, PROVIDE UP TO 3 ANSWERS STARTING WITH THE ONE THAT WAS DONE FIRST | Increasing 1 |
| | | A. Loss of crop productionY/N | | About the same 2 |
| | | B. Loss of livestock/livestock production Y/N | [SEE CODES] | Decreasing 3 |
| | | C. Loss/damage of other assets or properties (specify)Y/N | | DO NOT KNOW 777 |
| CODE | | D. Household members displaced | | |
| 8 | | E. Household members killed/injured Y/N Other (specify)Y/N | | |
| EVENT | | other (specify) | | |
| E< | | | CODE | |
| 1 | Drought | | | |
| 2 | Erratic rainfall | | | |
| 3 | Late onset of rains | | | |
| 4 | Early onset of rains | | | |
| 5 | Early cessation of rains | | | |
| 6 | Flooding | | | |
| 7 | Water logging | | | |
| 8 | Torrential downpours | | | |
| 9 | Hailstorms | | | |
| 10 | Extreme winds | | | |
| 11 | Very high temperatures | | | |
| 12 | Unusually High Level of Crop Pests & Disease | | | |
| 13 | Unusually High Level of Livestock Disease | | | |

| CODES FOR Q8 |
|--------------|
|--------------|

| USED OWN SAVINGS1 | RELIED MORE INTENSIVELY ON IRRIGATION16 |
|---|--|
| [RECEIVED ASSISTANCE] RECEIVED ASSISTANCE FROM FRIENDS/FAMILY | ENGAGED IN ADDITIONAL INCOME GENERATING ACTIVITIES |
| RECEIVED ASSISTANCE FROM GOVERNMENT | DELAYED PAYMENT OBLIGATIONS18 |
| RECEIVED ASSISTANCE FROM NGO/RELIGIOUS INSTITUTION4 | [TOOK A LOAN] TOOK A LOAN FROM A FINANCIAL INSTITUTION |
| EMPLOYED HOUSEHOLD MEMBERS TOOK ON MORE EMPLOYMENT5 | TOOK A LOAN FROM AN INFORMAL LENDER20 |
| ADULT HOUSEHOLD MEMBERS WHO WERE PREVIOUSLY NOT WORKING HAD TO FIND WORK 6 | TOOK ADVANCED PAYMENT FROM EMPLOYER/BUYER21 |
| ONE OR MORE HOUSEHOLD MEMBERS MIGRATED TO FIND JOB ELSEWHERE | [SOLD OR RENTED ASSETS] RENTED OUT LAND/BUILDINGS/HOUSES |
| WITHDREW CHILDREN FROM SCHOOL8 | SOLD LAND/BUILDINGS/HOUSES |
| MARRIED THE CHILDREN9 | SOLD AGRICULTURAL ASSETS24 |
| SENT CHILDREN (UNDER 15) TO WORK10 | SOLD CROP/FOOD STOCK25 |
| SENT CHILDREN TO LIVE ELSEWHERE11 | SOLD CROP HARVEST IN ADVANCE26 |
| REDUCED EXPENDITURE ON HEALTH AND/OR EDUCATION12 | SOLD DURABLE GOOD27 |
| CHANGED EATING PATTERNS | SOLD LIVESTOCK |
| (RELIED ON LESS PREFERRED FOOD OPTIONS, REDUCED THE PROPORTION OR NUMBER OF MEALS PER DAY, OR HOUSEHOLD MEMBERS SKIPPED DAYS OF EATING, ETC) | ENGAGED IN SPIRITUAL EFFORTS - PRAYER, SACRIFICES, DIVINER CONSULTATIONS |
| CHANGED CROPPING PRACTICES (CROP CHOICES OR TECHNOLOGY) | HOUSEHOLD WAS COVERED BY INSURANCE |
| STARTED OFF-SEASON CULTIVATION | DID NOT DO ANYTHING |
| | OTHER (SPECIFY) |

| other option for CODES for Q8 |
|---|
| USED OWN SAVINGS 1 |
| RECEIVED ASSISTANCE 2 |
| SOLD ASSETS |
| RENTED OUT LAND/BUILDINGS/HOUSES |
| ENGAGED IN ADDITIONAL INCOME GENERATING ACTIVITIES 5 |
| TOOK A LOAN |
| REDUCED FOOD CONSUMPTION7 |
| REDUCED NON-FOOD CONSUMPTION8 |
| CHANGED CROPPING PRACTICES (CROP CHOICES OR TECHNOLOGY)9 |
| STARTED OFF-SEASON CULTIVATION 10 |
| RELIED MORE INTENSIVELY ON IRRIGATION 11 |
| WAS COVERED BY INSURANCE POLICY 12 |
| DID NOTHING 13 |
| OTHER (SPECIFY) |
| |

| Q8.1 | Q8.2 | Q8.3 |
|---|--|--|
| From whom did you received assistance? IF Q8==2 SELECT ALL THE ONES THAT APPLY | What asset did you sell? IF Q8==3 SELECT ALL THE ONES THAT APPLY | From whom did youy take a loan? IF Q8==6 SELECT ALL THE ONES THAT APPLY |
| FRIENDS/FAMILY | LAND/BUILDINGS/ HOUSES | FRIENDS/FAMILY 1 FINANCIAL INSTITUTION 2 INFORMAL LENDER 3 NGO/RELIGIOUS INSTITUTION 4 EMPLOYER 5 OTHER (SPECIFY) 96 |

| | | OPTIONAL | OPTIONAL | OPT | ONAL | |
|-------|--|---|---|--|--------------|-----|
| | | | FUTURE EXPECTATIO | NS . | | |
| | | | DISABLE IF Q9=1 | ENABLE IF | Q10=4 OR 5 | |
| | | 9. | 10. | | 1 | |
| | | During the <u>next 5 years</u> , how frequently do you expect your household to experience [CLIMATE/ WEATHER EVENT]? | Using a scale of 1 to 5. where 1 is "Extremely unlikely" and 5 is "Extremely likely", how likely is that your household will | The next time [CLIMATE/M do you expect it to cause y following? READ EACH OPTION ALOU | our househol | |
| | | NEVER | experience [CLIMATE/WEATHER EVENT] in the next 12 months? | SELECT ALL THAT APPLY | | |
| | | MOST YEARS | EXTREMELY UNLIKELY 1 | A. Loss of crop production | | Y/N |
| | | EVERY YEAR4 | UNLIKELY2 | B. Loss of livestock/livestock p | oduction | Y/N |
| | | DO NOT KNOW777 | NEITHER LIKELY NOR UNLIKELY | C. Loss/damage of other assets | | |
| CODE | | | LIKELY | D. Household members displaced | | |
| 0 | | | DO NOT KNOW | E. Household members killed/ | njured | Y/N |
| EVENT | | | | | | |
| E | | CODE | CODE | A B | С | D |
| 1 | Drought | | | | | |
| 2 | Erratic rainfall | | | | | |
| 3 | Late onset of rains | | | | | |
| 4 | Early onset of rains | | | | | |
| 5 | Early cessation of rains | | | | | |
| 6 | Flooding | | | | | |
| 7 | Water logging | | | | | |
| 8 | Torrential downpours | | | | | |
| 9 | Hailstorms | | | | | |
| 10 | Extreme winds | | | | | |
| 11 | Very high temperatures | | | | | |
| 12 | Unusually High Level of Crop Pests & Disease | | | | | |
| 4.0 | Unusually High Level of Livestock Disease | | | | | |

SECTION 18: ECONOMIC SENTIMENTS

| Household ecc | nomic situation | Country econ | omic situation |
|--|---|--|--|
| 1. | 2. | 3. | 4. |
| We are interested in how people are getting along financially these days. Would you say that you and your household are financially better off, about the same, or worse off than you were 12 months ago? READ OPTIONS Better now | Now looking aheaddo you think that 12 months from now you and your household will be better off financially, or worse off, or just about the same as now? READ OPTIONS Will be better off | Now turning to economic situation in the country as a whole. How do you think the general economic situation in the country has changed during the past 12 months? It has READ OPTIONS Got a lot better | And during the next 5 years, how do you expect the general economic situation in this country to develop? It will READ OPTIONS Get a lot better |

SECTION 18: ECONOMIC SENTIMENTS

| | Consur | ner prices | |
|--|--|---|---|
| 5. | ба. | 6b. | 7. |
| Now turning to prices in general: During the last 12 months, do you think prices in general have gone up a lot, gone up somewhat, stayed the same, or gone down? | (IF Q5=1 OR 2) By about what percent do you think prices in general went up during the last 12 months? RECORD PERCENTAGE | (IF Q5=3) By about what percent do you think prices in general went down during the last 12 months? RECORD PERCENTAGE | How do you expect that prices in general will develop during the next 12 months, comparing to the past 12 months? READ OPTIONS |
| Gone up a lot1 Gone up somewhat2 Stayed the same3 > Q7 Gone down4 DON'T KNOW97 > Q7 | | | Go up more than in the last 12 months 1 Go up at the same rate as in the last 12 months 2 Go up less than in the last 12 months 3 Stay about the same 4 Go down 5 DON'T KNOW 97 |
| | Percentage | Percentage | |
| | | | |

SECTION 18: ECONOMIC SENTIMENTS

| Major household purchases | Extreme weather shocks | |
|--|--|--|
| 8. | 9. | 10. |
| We now would like to ask you about the big items people buy for their householdssuch as furniture, a stove, a refrigerator, a car, a motorcycle. Generally speaking, do you think now is a good or a bad time for people to buy major household items? | We would now like to ask you about extreme weather events, such as drought conditions, delayed rains, floods, and heatwaves, how likely is it that extreme weather events will negatively affect you and your household financially during the next 12 months? | Which events, do you expect will negatively affect you and your household financially during the next 12 months? READ OPTIONS |
| READ OPTIONS Good time | READ OPTIONS Extremely likely | SELECT ALL THAT APPLY Drought conditions |

| SECTION 19: FOOD P | ECTION 19: FOOD PRICES | | | | | | | | | |
|--------------------------|--|--|--|--|----|---|----------------------------------|---|--|--|
| The list of items should | The list of items should be adapted by country | | | | | | | | | |
| | | 1. | 2. | 3. | 4. | 5 | 5. | 6. | 7. | |
| | ITEM CODE | Is [ITEM] currently available for sale in your community or nearby? YES | Do you know the price of [ITEM] in your community or nearby? YES1 NO2 > NEXT ITEM | Do you know the price of [ITEM] in your community or nearby in Kilograms (KG)? YES 1 NO 2 > Q5 | | SIZE for you know price of SEE ITEN UNIT CO | w the [ITEM]? 1 AND DES | What is the current price for [ITEM] per [UNIT/SIZE in Q5]? | In the last month, did the price of [ITEM] INCREASE | |
| ITEM | - | | | | \$ | UNIT | SIZE | \$ | | |
| Guinea corn/sorghum | 10 | | | | | | | | | |
| Rice - local | 11 | | | | | | | | | |
| Cassava - roots | 12 | | | | | | | | | |
| Yam - roots | 13 | | | | | | | | | |
| White beans | 14 | | | | | | | | | |
| Onions | 15 | | | | | | | | | |

SECTION 20: GOVERNMENT RESPONSES

| 1. | 2. | 3. | 4. | 5. | 6. | 7. |
|--|--|--|---|---|--|--|
| 1. INDICATE THE MAIN RESPONDENT FOR THIS SECTION | Are you satisfied with the government's response to the [SHOCK]? YES 1 > Q4 NO 2 | Why are you not satisfied with the federal or state government's response? PLEASE DO NOT READ. SELCT ALL THAT APPLY LIMITED TESTING POINTS | with the following sta | Itements: TATEMENT AND SELECT 1 2 3 | ndicate how much you | |
| | _ | OTHER (SPECIFY)5 | The local authorities / government are trustworthy in the way they manage the [SHOCK] | The government is treating all citizens (regardless of age, gender and origin) fairly when providing health care related to [SHOCK] | The Government is able to provide health care to address the [SHOCK] | The government is treating all citizens (regardless of age, gender and origin) fairly when providing (cash and in-kind) assistance to deal witt [SHOCK] |

SECTION 21: COVID-19 VACCINE

FOR ALL HOUSEHOLD MEMBERS 5 YEARS OLD OR OLDER , PLEASE ASK THE INDIVIDUAL THE FOLLOWING QUESTIONS.

INTERVIEWER READ OUT: Now I'd like to ask you some questions on COVID-19 vaccine to understand people's attitudes towards COVID-19 vaccines. This will not be used to determine your eligibility to receive COVID-19 vaccine or to provide you with COVID-19 vaccine.

| 0. | 1. | 2. | 3. | 4. | 5. |
|---|--|--|--|--|---|
| CAPI/ENUMERATOR: IS THIS PERSON 5 YEARS OLD OR OLDER? | IS THIS PERSON ANSWERING FOR HIMSELF/ HERSELF? | WRITE THE ID CODE OF THE PROXY RESPONDENT | Have you been vaccinated for COVID-19? | When did you receive the first shot of COVID-19 vaccine? | How many shots of COVID-19 vaccine have you received? |
| YES 1 NO 2 > NEXT PERSON | YES 1 ▶ Q3 NO 2 | | YES1 NO2 ▶ Q9 | January 2021 | ONE2 > Q6B MORE THAN TWO3 > Q6B |
| | | ID CODE | _ | MONTH/YEAR | _ |
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |
| 6 | | | | | |
| 7 | | | | | |

SECTION 21: COVID-19 VACCINE

| | 6a. | 6b. | 7. | 8. | 9. |
|---------------|--|---|--|---|--|
| | Where did you get vaccinated for COVID-19? | Where did you get vaccinated for COVID-19? | What are your main reasons for getting vaccinated for COVID-19 apart from protecting your health? | How likely are you to encourage others to get the COVID-19 vaccine? | Are you planning to be vaccinated for COVID-19? |
| | HOSPITAL 1 | SELECT ALL THAT APPLY | RECORD UP TO TWO REASONS | READ OPTIONS | YES1 |
| INDIVIDUAL ID | CLINIC | HOSPITAL1CLINIC2LOCAL HEALTH CENTER3PHARMACY4SENIOR LIVING CENTER5MASS VACCINATION SITE6WORKPLACE7RELIGIOUS WORSHIP CENTRES8OTHER (SPECIFY)96 | DO NOT READ OPTIONS PROTECTING MY HEALTH IS THE ONLY REASON 1 PROTECTING COMMUNITY'S HEALTH 2 GOVERNMENT MANDATE 3 REQUIRED FOR SCHOOL ATTENDANCE 4 EMPLOYER MANDATE 5 AVOID PUBLIC HEALTH MEASURES 6 TAKE PART IN PUBLIC LIFE /SOCIAL EVENTS 7 BE ABLE TO TRAVEL 8 PEOPLE IN MY COMMUNITY/FAMILY DID IT TOO 9 RECEIVED FINANCIAL OR IN-KIND INCENTIVE 10 OTHER (SPECIFY) 96 | VERY LIKELY | NO2 > Q14 NOT SURE3 > Q14 |
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |
| 6 | | | | | |
| 7 | | | | | |

SECTION 21: COVID-19 VACCINE

| 13. ny have you not received the COVID-19 vaccine yet? CORD UP TO TWO REASONS D NOT READ THE OPTIONS LIGIBLE FOR VACCINE IN CURRENT PHASE | 14. Why are you not sure or not planning to be vaccinated for COVID-19? RECORD UP TO TWO REASONS DO NOT READ THE OPTIONS I DON'T THINK IT WILL WORK 1 I ADR AD THE OPTIONS I DON'T THINK IT WILL WORK 1 I ADR AD THE OPTIONS I AM WORRIED ABOUT THE SIDE EFFECTS 2 I ALREADY HAD COVID-19 3 I ALREADY HAD COVID-19 3 I AM NOT ENOUGH AT RISK OF CONTRACTING COVID-19 4 IN GENERAL, I DON'T TRUST VACCINES 5 IT IS AGAINST MY RELIGION 6 I AM WORRIED TO GET INFECTED WITH COVID-19 AT THE HEALTH FACILITY 7 |
|---|--|
| CORD UP TO TWO REASONS O NOT READ THE OPTIONS LIGIBLE FOR VACCINE IN CURRENT PHASE | for COVID-19? RECORD UP TO TWO REASONS DO NOT READ THE OPTIONS I DON'T THINK IT WILL WORK |
| IGIOUS REASONS 10 DICAL REASONS 11 ITING FOR MY APPOINTMENT 12 IAID OF THE SIDE EFFECTS 13 HER (SPECIFY) 96 | HEALTH FACILITY TOO FAR OR TOO HARD TO GET TO |
| | DISTRUST IN GOVERNMENT AND HEALTH ORGANIZATIONS |
| | |

SECTION 22: SUBJECTIVE WELFARE

INTERVIEWER READ OUT:

Now I'd like to ask you some questions on the welfare of your household. This information would help us understand the sentiments and standard of living of the population.

| 1. | 2. | 3. | 4. | 5. | 6. |
|---|--|---|--|---|---|
| Concerning your household's food consumption over the past one month, which of the following is true? NOTE: 'ADEQUATE' MEANS NO MORE OR NO LESS THAN WHAT THE RESPONDENT CONSIDERS TO BE THE MINIMUM CONSUMPTION NEEDS OF THE HOUSEHOLD. It was less than adequate for household needs | Concerning your household's housing over the past month, which of the following is true? It was less than adequate for household needs | Concerning your household's clothing over the past month, which of the following is true? It was less than adequate for household needs | Concerning the standard of health care you received for household members over the past month, which of the following is true? It was less than adequate for household needs | Considering the level of your current household income, would you say that you are living Well1 Fairly well2 Fairly3 With difficulty4 | Taking all things together, would you say you are currently Very happy |

SECTION 23: CONTACT

1. In order for us to be able to contact you in the future, could you kindly provide us with your telephone numbers? 2A. FIRST HOUSEHOLD MEMBER: NAME : PHONE: 2B. SECOND HOUSEHOLD MEMBER. Is there another adult member of the household that can provide us with a phone number for contact? NAME : PHONE: 2C. THIRD HOUSEHOLD MEMBER. Is there another adult member of the household that can provide us with a phone number for contact? NAME : PHONE: 3A. If you were to move in the next two years, who are the people in this community who would be most likely to know your new address? CONTACT INFORMATION FOR REFERENCE PERSON 2 CONTACT INFORMATION FOR REFERENCE PERSON 1 3A1. NAME: NAME: 3B1. 3A2. RELATION TO HEAD: **RELATION TO HEAD:** 3B2. 3A3. PHONE (MOBILE): 3B3. PHONE (MOBILE): 3A4. ADDRESS: 3B4. ADDRESS:

HOUSEHOLD SURVEYS DURING MULTIPLE CRISES

MODIFYING QUESTIONNAIRES TO ASSESS THE IMPACT OF SHOCKS



About this publication

By reviewing the standard household survey questionnaires included in the guidebook, Capturing What Matters: Essential Guidelines for Designing Household Surveys, we provide technical guidance on issues to consider when reviewing, designing, or updating questionnaires for household surveys during or after a major shock – relying on lessons learned from the World Bank's Living Standards Measurement Study program.



Living Standards Measurement Study

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