

## 18 MONTHS PROCUREMENT PLAN

**Project information:** P166570 - Uganda:

**Project Implementation agency:** Ministry of Education and Sports

**Date of the Procurement Plan:** March 2020

**Period covered by this Procurement Plan:** 18 months

### Preamble

In accordance with paragraph 5.9 of the “World Bank Procurement Regulations for IPF Borrowers” (July 2016, revised August 2018) (“Procurement Regulations”) the Bank’s Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

**The Bank’s Standard Procurement Documents:** shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

**National Procurement Arrangements:** Paragraph 5.3 of the Procurement Regulations will not apply. When approaching the national market, the country’s own procurement procedures shall not be used due to restrictions on eligibility of otherwise eligible firms to participate in NCB. The Procurement Regulations shall apply.

However, the Borrower may use its own procurement arrangements as set forth in the **Public Procurement and Disposal Act, 2003** for Request for Quotation such arrangements shall be subject to permitting universal eligibility and paragraph 5.4 of the Procurement Regulations and the following conditions:

*In accordance with paragraph 5.3 of the Procurement Regulations, the request for bids/request for proposals document shall require that Bidders/Proposers submitting Bids/Proposals present a signed acceptance at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance with, the Bank’s Anti-Corruption Guidelines, including without limitation the Bank’s right to sanction and the Bank’s inspection and audit rights. The form of the Letter of Acceptance is attached in Appendix 1.*

**Leased Assets** as specified under paragraph 5.10 of the Procurement Regulations: Leasing may be used for those contracts identified in the Procurement Plan tables: **Not Applicable.**

**Procurement of Second Hand Goods** as specified under paragraph 5.11 of the Procurement Regulations – is allowed for those contracts identified in the Procurement Plan tables: **Not Applicable.**

**Domestic preference** as specified under paragraph 5.51 of the Procurement Regulations (**Goods and Works**).

Goods : **Applicable for those contracts identified in the Procurement Plan tables**

Works: **applicable for those contracts identified in the Procurement Plan tables**

**Other Relevant Procurement Information:-**

**(a). Prior Procurement Arrangements:**

The Procurement Arrangements as indicated in the below table and within the thresholds indicated in the below tables will be used. The thresholds for the Bank’s prior review requirements are also provided in the table below:

Table: Thresholds\*, Procurement Arrangements, and Prior Review

(The table below depicts the Thresholds and Procurement Methods to be used under the IWMDP ):

**Thresholds for Procurement Methods**

No	Expenditure Category	Contract (C) Value Threshold* [eq. USD]	Procurement Method
1	Works	$C \geq 10,000,000$	Open Competition International Market Approach
		$200,000 < C < 10,000,000$	Open Competition National Market Approach
		$C \leq 200,000$	RfQ
2	Goods, IT and non-consulting services	$C \geq 2,000,000$	Open Competition International Market Approach
		$100,000 < C < 2,000,000$	Open Competition National Market Approach
		$C \leq 100,000$	RfQ
3	selection of consultant firms	$C < 300,000$	Open Competition International Market Approach
4	Selection of Individual consultants	Any value	Individual Consultant Selection through open competition, limited competition and Direct Selection
5	Direct Selection	Any category and value	Subject to justification

No	Expenditure Category	Contract (C) Value Threshold* [eq. USD]	Procurement Method
6	Training, Workshops, Study Tours	All Values	Based on approved Annual Work Plan & Budgets (AWPB) by TTL

\* All contracts not subject to prior review will be post-reviewed.

\*\* Short lists of consultants for services estimated to cost less US\$300,000 equivalent per contract may be composed entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines. However, if foreign firms have expressed interest, they will not be excluded from consideration.

### Prior review Thresholds

Procurement Type	High Risk	Substantial Risk
Works	5.0	10.0
Goods, It & Non-Consulting Services	1.5	2.0
Consultants (Firms)	0.5	1.0
Individual Consultants	0.2	0.3

**Prequalification.** Not expected for any works or goods packages in the procurement plan.

### Proposed Procedures for CDD Components: Not Applicable

**Reference to (if any) Project Operational/Procurement Manual:** the IWMDP team will prepare a Procurement manuals, which will also be part of the Project Implementation Manual.

**Any Other Special Procurement Arrangements:** None

**Procurement Packages/PLAN with Procurement Arrangements and Time Schedule:** as per the Table below:

## **Appendix 1**

### **Letter of Acceptance of the World Bank's Anti-Corruption Guidelines and Sanctions Framework**

Date: \_

Invitation of Bids/Proposals No. \_\_\_\_\_

To: \_\_\_\_\_

We, along with our sub-contractors, sub-consultants, service providers, suppliers, agents (whether declared or not) consultants and personnel, acknowledge and agree to abide by the World Bank's policy regarding Fraud and Corruption (corrupt, fraudulent, collusive, coercive, and obstructive practices), as set out and defined in the World Bank's Anti-Corruption Guidelines<sup>1</sup> in connection with the procurement and execution of the contract (in case of award), including any amendments thereto.

We declare and warrant that we, along our sub-contractors, sub-consultants, service providers, suppliers, agents (whether declared or not), consultants and personnel, , are not subject to, and are not controlled by any entity or individual that is subject to, a temporary suspension, early temporary suspension, or debarment imposed by a member of the World Bank Group, including, inter alia, a cross-debarment

---

<sup>1</sup>*Guidelines on Preventing and Combating Fraud and Corruption in Projects Financed by International Bank for Reconstruction and Development Loans and the International Development Agency Credits and Grants*, dated October 15, 2006, and revised in January 2011 and July 2016, as they may be revised from time to time.

imposed by the World Bank Group as agreed with other international financial institutions (including multilateral development banks), or through the application of a World Bank Group finding of non-responsibility on the basis of Fraud and Corruption in connection with World Bank Group corporate procurement. Further, we are not ineligible under the laws or official regulations of *[Insert name of Employer as per bidding document]* or pursuant to a decision of the United Nations Security Council.

We confirm our understanding of the consequences of not complying with the World Bank's Anti-Corruption Guidelines, which may include the following:

- a. rejection of our Proposal/Bid for award of contract;
- b. in the case of award, termination of the contract, without prejudice to any other remedy for breach of contract; and
- c. sanctions, pursuant to the Bank's Anti-corruption Guidelines and in accordance with its prevailing sanctions policies and procedures as set forth in the Bank's Sanctions Framework. This may include a public declaration of ineligibility, either indefinitely or for a stated period of time, (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;<sup>2</sup> (ii) to be a nominated<sup>3</sup> sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project.

We understand that we may be declared ineligible as set out above upon:

- a. completion of World Bank Group sanctions proceedings according to its prevailing sanctions procedures;
- b. cross-debarment as agreed with other international financial institutions (including multilateral development banks);

---

<sup>2</sup> For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

<sup>3</sup> A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

- c. the application of a World Bank Group finding of non-responsibility on the basis of Fraud and Corruption in connection with World Bank Group corporate procurement; or
- d. temporary suspension or early temporary suspension in connection with an ongoing World Bank Group sanctions proceeding.

For avoidance of doubt, the foregoing effects of ineligibility do not extend to a sanctioned firm's or individual's execution of its ongoing Bank-financed contracts (or its ongoing sub-agreements under such contracts) that are not the subject of a material modification, as determined by the Bank.

We shall permit, and shall cause our sub-contractors, sub-consultants, agents (whether declared or not), personnel, consultants, service providers or suppliers, to permit the Bank to inspect<sup>4</sup> all accounts, records, and other documents relating to the procurement process and/or contract execution (in the case of award), and to have them audited by auditors appointed by the Bank.

We agree to preserve all accounts, records, and other documents (whether in hard copy or electronic format) related to the procurement and execution of the contract.

Name of the Bidder/Consultant: \_\_\_\_\_

Name of the person duly authorized to sign the Bid/Proposal on behalf of the Bidder/Consultant:

Title of the person signing the Letter: \_\_\_\_\_

---

<sup>4</sup> Inspections in this context are usually investigative (i.e., forensic) in nature: they involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data, and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third-party verification of information.

**PROCUREMENT PLAN Uganda : Uganda Secondary Education Expansion Project**

**General Information**

**Country:** Uganda **Bank's Approval Date of the Original Procurement Plan:** 2020-12-15  
**Revised Plan Date(s):** (comma delineated, leave blank) 2022-08-29  
**Project ID:** P166570 **GPN Date:** 2020-12-03  
**Project Name:** Uganda Secondary Education Expansion Project  
**Loan / Credit No.:** IDA / D7010, IDA / 67540  
**Executing Agency:** Ministry of Education and Sports

**WORKS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
												Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

**GOODS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
UG-MOES-226166-GO-RFB / Procurement of 1300 Laptops and 1300 Projectors for Science Teachers to be Trained from 1300 Government Schools	IDA / D7010	Improving Teachers Support and Strategy Development Nationality	Post	Request for Bids	Open - International	Single Stage - One Envelope		0.00	Canceled					2021-06-21		2021-06-26					2021-08-07		2021-09-06		2021-10-11		2022-04-09
UG-MOES-312191-GO-RFO / Procurement of Office Stationery for 18 months for USEEP PCU	IDA / 67540	Project Management, Monitoring and Evaluation	Post	Request for Quotations	Limited	Single Stage - One Envelope		0.00	Pending Implementation							2022-09-06								2022-11-01		2023-04-30	

**NON CONSULTING SERVICES**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		
UG-MOES-206978-NC-DR / Hire of Office Space for PCU for 5 years	IDA / D7010	Project Management, Monitoring and Evaluation	Post	Direct Selection	Direct			0.00	Canceled					2021-02-19		2021-03-12								2021-04-30		2021-10-27	
UG-MOES-296534-NC-RFO / Hire of Office Space for USEEP Project Coordination Unit for 2 years	IDA / 67540	Project Management, Monitoring and Evaluation	Post	Request for Quotations	Limited	Single Stage - One Envelope		0.00	Pending Implementation							2022-06-11								2022-08-06		2023-02-02	

**CONSULTING FIRMS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion	
									Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
UG-MOES-226172-CS-COS / Consultancy services (firm) for the development of the National Teacher Retention Strategy	IDA / D7010	Improving Teachers Support and Strategy Development Nationality	Post	Consultant Qualification Selection	Limited		0.00	Pending Implementation	2021-06-14												2021-07-28		2021-09-01		2022-02-28	
UG-MOES-226173-CS-COS / Consultancy services (firm) for the development of the National School Construction Strategy	IDA / D7010	Improving Teachers Support and Strategy Development Nationality	Post	Consultant Qualification Selection	Limited		0.00	Under Implementation	2021-06-14	2021-11-30											2021-07-28		2021-09-01		2022-02-28	
UG-MOES-206733-CS-QCBS / Consultancy for independent verification of project results (DLI)	IDA / D7010	Project Management, Monitoring and Evaluation	Post	Consultant Qualification Selection	Limited		0.00	Canceled	2020-12-16	2021-11-18											2021-01-29		2021-03-05		2021-09-01	
UG-MOES-226170-CS-COS / Consultancy services to Conduct Baseline studies under the refugee host communities	IDA / D7010	Improving Teachers Support and Strategy Development Nationality	Post	Consultant Qualification Selection	Limited		0.00	Canceled	2021-06-07												2021-07-21		2021-08-25		2022-02-21	
UG-MOES-257846-CS-COS / Consultancy services to develop, design and produce materials into digitized adaptive materials for special needs students	IDA / D7010	Hosting Community and Refugee Education Support	Post	Consultant Qualification Selection	Limited		0.00	Canceled	2022-04-24												2022-06-07		2022-07-12		2023-01-08	
UG-MOES-296544-CS-COS / Consultancy services to undertake Independent Verification of Project Results under the Uganda Secondary Education Expansion Project (USEEP)	IDA / 67540	Project Management, Monitoring and Evaluation	Post	Consultant Qualification Selection	Limited		0.00	Under Review	2021-11-25												2022-01-08		2022-02-12		2022-08-11	
UG-MOES-312180-CS-QCBS / Consultancy services for independent verification of project results (DLI) for the Uganda Teacher and School Effectiveness Project (USEEP)	IDA / D7010	Project Management, Monitoring and Evaluation	Prior	Quality And Cost-Based Selection	Open - National		0.00	Pending Implementation	2022-08-29		2022-09-18			2022-10-16			2022-10-30		2022-11-20		2022-12-25		2023-01-16		2025-01-15	

**INDIVIDUAL CONSULTANTS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identify/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion	
									Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
UG-MOES-209515-CS-INDV / Recruitment of a secondary Education Specialist under USEEP for 2 years	IDA / D7010	Project Management, Monitoring and Evaluation	Prior	Individual Consultant Selection	Open		0.00	Under Implementation	2021-02-19	2022-06-05	2021-04-09			2021-04-30		2021-06-04		2022-12-04
UG-MOES-209502-CS-INDV / Recruitment of a Monitoring and Evaluation Specialist for 2 years	IDA / D7010	Project Management, Monitoring and Evaluation	Prior	Individual Consultant Selection	Open		0.00	Under Implementation	2021-02-19	2022-03-15	2021-04-09			2021-04-30		2021-06-04		2022-12-04

UG-MOES-209509-CS-INDV / Recruitment of a communication specialist under USEEIP for 2 years	IDA / D7010	Project Management, Monitoring and Evaluation	Prior	Individual Consultant Selection	Open		0.00	Under Implementation	2021-02-19	2022-04-29	2021-04-09		2021-04-30		2021-06-04		2022-12-04
UG-MOES-209519-CS-INDV / Recruitment of a Social Development Specialist for 2 years under USEEIP	IDA / D7010	Project Management, Monitoring and Evaluation	Prior	Individual Consultant Selection	Open		0.00	Under Implementation	2021-02-19	2022-03-17	2021-04-09		2021-04-30		2021-06-04		2022-12-04
UG-MOES-209512-CS-INDV / Recruitment of five (5) Project Engineers under USEEIP for two years	IDA / D7010	Project Management, Monitoring and Evaluation	Prior	Individual Consultant Selection	Open		0.00	Under Implementation	2021-03-24	2022-04-24	2021-05-12		2021-06-02		2021-07-07		2023-01-06
UG-MOES-226161-CS-INDV / Recruitment of 60 Clerks of Works to oversee 60 sites	IDA / D7010	Project Management, Monitoring and Evaluation	Prior	Individual Consultant Selection	Open		0.00	Under Implementation	2021-06-14	2022-04-25	2021-08-02		2021-08-23		2021-09-27		2022-03-26
UG-MOES-226175-CS-INDV / Individual consultancy Services to support the development of Private Education provision Policy	IDA / D7010	Improving Teachers Support and Strategy Development Nationally	Post	Individual Consultant Selection	Limited		0.00	Pending Implementation	2021-06-14		2021-06-19		2021-07-10		2021-08-14		2022-02-10
UG-MOES-226177-CS-INDV / Procure individual consultants for the development of the Universal Secondary Education Policy	IDA / D7010	Improving Teachers Support and Strategy Development Nationally	Post	Individual Consultant Selection	Limited		0.00	Pending Implementation	2021-06-14		2021-06-19		2021-07-10		2021-08-14		2022-02-10
UG-MOES-209480-CS-CDS / Recruitment of Ms. Jane Frances Mambamba as Deputy Project Coordinator for USEEIP for 2 years	IDA / D7010	Project Management, Monitoring and Evaluation	Post	Direct Selection	Direct		0.00	Canceled	2021-03-24		2021-04-03				2021-06-07		2022-12-07
UG-MOES-290579-CS-INDV / Recruitment of a Deputy Project Coordinator under USEEIP for a period of 2 years	IDA / 67540	Project Management, Monitoring and Evaluation	Post	Individual Consultant Selection	Open		0.00	Under Implementation	2022-04-24		2022-06-12		2022-07-03		2022-08-07		2024-07-27
UG-MOES-259645-CS-CDS / Procurement of NGOs to implement Accelerated Education Program (AEP) in 12 centers	IDA / D7010	Hosting Community and Refugee Education Support	Prior	Direct Selection	Direct		0.00	Under Implementation	2022-04-24		2022-05-04				2022-07-08		2023-01-04
UG-MOES-209525-CS-INDV / Recruitment of an Environmental Safe Guard specialist for 2 years under USEEIP	IDA / D7010	Project Management, Monitoring and Evaluation	Prior	Individual Consultant Selection	Open		0.00	Canceled	2021-02-19	2022-05-02	2021-04-09		2021-04-30		2021-06-04		2022-12-04
UG-MOES-296513-CS-CDS / Recruitment of Mr. Alex Winyi Kiiza as Environmental Safeguards Specialist under USEEIP for two years	IDA / 67540	Project Management, Monitoring and Evaluation	Post	Direct Selection	Direct		0.00	Canceled	2022-05-29		2022-06-08				2022-08-12		2023-02-08
UG-MOES-209484-CS-CDS / Recruitment of Ms. Irene Aol Policy Procurement Specialist under USEEIP for 2 years	IDA / D7010	Project Management, Monitoring and Evaluation	Prior	Direct Selection	Direct		0.00	Canceled	2021-03-24	2022-03-21	2021-04-03				2021-06-07		2022-12-07
UG-MOES-304345-CS-INDV / Recruitment of an Environmental Safeguards Specialist under USEEIP for 2 years	IDA / D7010	Project Management, Monitoring and Evaluation	Post	Individual Consultant Selection	Open - National		0.00	Under Implementation	2022-08-05		2022-09-23		2022-10-07		2022-11-04		2024-11-03
UG-MOES-304362-CS-INDV / Recruitment of a Procurement Specialist under USEEIP for 2 years	IDA / D7010	Project Management, Monitoring and Evaluation	Post	Individual Consultant Selection	Open - National		0.00	Under Implementation	2022-08-05		2022-09-23		2022-10-07		2022-11-04		2024-11-03