Procurement Plan

I. General

1. Project Information

Country : Kenya

Recipient : Republic of Kenya

Project Name

Growth

National Agriculture and Rural Inclusive

Loan Credit No. : IDA 59000

2. Date of General Procurement Notice : 9th February

2018

3. Period Covered by this procurement plan : 18 months

II. Goods, Works, and Non-Consulting Services

1. Thresholds for the Method of Procurement:

Method of Procurement	Thresholds for Method (US\$ equivalent)
Open International (Goods)	Equal to or more than 3 million
Open National (Goods) - Open Tender as per PPDA 2015	< \$3 million
RFQ (Goods)	Less than 20,000 or as stipulated in Kenya Procurement Regulations 2017
Open International (Works)	Equal to or more than 15 million
Open National (Works) - Open Tender as per PPDA 2015	Less than 15 million
RFQ (works)	Less than 20,000 or as stipulated in Kenya Procurement Regulations 2017

Method of Procurement	Thresholds for Method (US\$ equivalent)
Open International (Non-consulting Services)	Equal to or More than 3 million
Open National (Non-consulting Services) - Open Tender as per PPDA 2015	Less than 3 million
Direct Contracting	No threshold; meet requirements of Bank's Procurement Regulations

Prior Review: The details of the Procurement review / oversight are defined in the Annex II of the Regulations for borrowers. The following would be subject to Prior review of the Bank regardless of the Method of selection (Project Procurement Risk Rating is "**High**"):

- (a) **Goods, information technology and Non-consulting Services**: First procurement under the project irrespective of value and all procurements estimated to cost more than USD 1.5 million
- (b) Works (including turnkey, supply & installation of plant and equipment and PPP); First procurement under the project irrespective of value and all procurements estimated to cost more than USD 5 million and

The prior review thresholds will be reviewed during the implementation of the project and modified, based on the risk assessment.

2. For contracts subject to prior review, the Borrower shall seek the Bank's no objection before agreeing to:

- a. an extension of the stipulated time for execution of a contract that has an impact on the planned completion of the project;
- b. any material modification of the scope of the Works, Goods, Nonconsulting services or Consulting Services, or other significant changes to the terms and conditions of the contract;
- c. any variation order or contract amendment (except in cases of extreme urgency), including due to extension of time, that singly or combined with all previous variation orders or amendments, increases the original contract amount by more than 15% (fifteen percent); or
- d. the proposed termination of the contract.

The Borrower / IA shall provide a copy of all amendments to the contract to the Bank for its records.

3. Prequalification

Not Applicable

Domestic Preference. The provision of domestic preference shall be applied in the procurement of goods following international market approach in accordance with Annex VI of the Regulations. Domestic preference shall not apply in the procurement of works.

4. Reference to Standard Procurement Documents

Bank's Standard RFB Goods and RFP Consulting Services dated July 2016 will be used as a base and incorporating suitable modifications and the same would be agreed with the Bank subject international competition.

5. Any Other Special Procurement Arrangements

A. Procurement approach under CDD Approach by Communities:

Applicable if stipulated in the PAD.

- B. When approaching the national market, as agreed in the Procurement Plan, the Open Tender procedure of Public Procurement and Asset Disposal Act 2015 and Regulations 2017 may be used. The specific Requirements for national open competitive procurement include the following:
- a. open advertising of the procurement opportunity at the national level;
- b. the procurement is open to eligible firms from any country;
- c. the request for bids/request for proposals document shall require that Bidders/Proposers submitting Bids/Proposals present a signed acceptance at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance with, the Bank's Anti-Corruption Guidelines, including without limitation the Bank's right to sanction and the Bank's inspection and audit rights;
- d. contracts with an appropriate allocation of responsibilities, risks, and liabilities:
- e. publication of contract award information;
- f. rights for the Bank to review procurement documentation and activities;
- g. an effective complaints mechanism; and
- h. maintenance of records of the Procurement Process.

Other national procurement arrangements (other than national open competitive procurement), that may be applied by the Borrower (such as limited/restricted competitive bidding, request for quotations/shopping, direct contracting), shall be consistent with the Bank's Core Procurement Principles and ensure that the Bank's Anti-Corruption Guidelines and Sanctions Framework and contractual remedies set out in its Legal Agreement apply.

- In all cases, the national procurement procedures to be used shall give due attention to quality aspects.
- 6. The bid evaluation will be carried out according to the agreed timeline in the Procurement Activity Schedule.

III. Selection of Consultants

procurement regulations

1. Methods and Prior Review Threshold

Selection methods of procurement of Consultants' Services (Firms): The Approved Selection Methods as reflected in the Section VII of the

Method of Procurement	Thresholds for Methods
Quality and Cost Based Selection (QCBS)	As per requirements of para 7.3 of the Regulations
Quality Based Selection (QBS)	As per requirements of para 7.8 to 7.10 of the Regulations
Selection based on a Fixed Budget (FBS)	As per requirements of para 7.4 and 7.5 of the Regulations
Selection Based on Least Cost Basis (LCS)	As per requirements of para 7.6 and 7.7 of the Regulations
Selection based on Consultant's Qualification (CQ)	As per requirements of para 7.11 and 7.12 of the Regulations
Direct	Must meet the requirements of Para 7.13 to 7.15 of the Regulations, and with prior agreement in PP with the Bank.

The short list may consist of all National consultants in case the estimated cost is less than USD 300,000

Procurement methods for Selection of Individual Consultants (IC):
The Approved Selection Methods as reflected in the Section VII of the procurement regulations

Method of P	rocurement	Thresholds for Methods									
	Competitive	As per requirements of para 7.36 and 7.37 of									
Selection		the Regulations									
Limited	Competitive	As per requirements of para 7.38 of the									
Selection		Regulations									
Direct		Must meet the requirements of Para 7.39									
		of the Regulations, and with prior									
		agreement in PP with the Bank									

^{*}Shall meet the requirements as stated in Regulations

Prior Review Thresholds: The details of the Procurement review / oversight are defined in the Annex II of the Regulations for borrowers. The following would be subject to Prior review of the Bank regardless of the Method of selection:

- (a). **Consulting Firm**: First procurement under the project irrespective of value and all procurements estimated to cost more than USD 0.5 million.
- (b). **Individual Consultant**: all procurements estimated to cost more than USD 0.2 million

Terms of Reference (ToR) for all consultant contracts shall be furnished to the Bank for its prior review and No Objection.

PROCUREMENT PLAN Kenya: National Agricultural and Rural Inclusive Growth Project

Kenya Bank's Approval Date of the Original Procurement Plan: 2019-06-11
Revised Plan Date(s): (comma delineated, leave blank if non 2021-11-24
CPN Date: 2018-02-09
National Agricultural and Rural Inclusive Growth Project
Loan / Credit No: IDA / 59000
Executing Agency(ies Makueni County

GOODS

Activity Reference No. / Loan / Credit
Description No.

Component

Review Type

Method

Market Approach

WORKS																												
Activity Reference No. / Description	Loan / Credit	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount	Actual Amount	Process Status	Draft Pre-c		Prequal	ification on Report	Docu	Bidding iment /		Procurement Invitation	Bidding Do	Proposal S	ubmission / / Minutes	Bid Evaluat and Recom	mendation	Signed (Contract	Cont
	NO.					Process	(1/N)	RISK	Document Type	(US\$)	(US\$)	Status		Actual		Actual	Justit Planned	fication Actual	,	Actual				for A Planned	ward Actual	Planned	Actual	
KE-MAKUENI COUNTY- 110810-CW-RFQ / Renovation and refurbishment of offices	IDA / 59000	Project Coordination and Management	Post	Request for Quotations	Limited	Single Stage - One Envelope				25,000.00	22,938.29	Completed								8 2019-06-20							2019-07-19	2019-12- 20
KE-MAKUENI COUNTY- 136850-CW-RFQ / construction of gabions and works at gullied hotspot areas under SLM interventions	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope				42,798.00	39,240.54	Signed							2019-10-08	8 2019-09-20						2019-12-03	2019-10-31	2020-05- 31
KE-MAKUENI COUNTY- 136927-CW-RFQ / installation of farm pods for VMGs households for SLM interventions	IDA / 59000	Supporting Community- Driven Development	Post	Request for Quotations	Limited	Single Stage - One Envelope				120,150.00	107,452.68	Signed							2019-10-08	8 2019-07-17						2019-12-03	2019-11-06	2020-05- 31
KE-MAKUENI COUNTY- 137349-CW-RFB / construction of a dam for SLM intervetions	IDA / 59000	Supporting County Community-Led Development	Post	Request for Bids	Open - National	Single Stage - One Envelope				852,650.00	715,157.34	Signed					2019-10-30	2020-01-21	2019-11-04	4		2019-12-16	2020-02-12	2020-01-15	2020-03-12	2020-02-19	2020-07-07	2020-08- 17
KE-MAKUENI COUNTY- 241757-CW-RFQ / construction of Kwa Nguni sand Dam	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope			Request for Quotations (Non Bank-SPD)	64,122.41	0.00	Pending Implementat ion							2021-07-1	3						2021-09-07		2022-03- 06
KE-MAKUENI COUNTY- 241760-CW-RFQ / construction of kwa Nguni farm ponds	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope			Request for Quotations (Non Bank-SPD)	70,000.00	0.00	Pending Implementat ion							2021-07-1	3						2021-09-07		2022-03- 06
KE-MAKUENI COUNTY- 241761-CW-RFQ / Construction of Muangini sand dam	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope			Request for Quotations (Non Bank-SPD)	101,300.65	0.00	Pending Implementat ion							2021-07-1	7						2021-09-11		2022-03- 10
KE-MAKUENI COUNTY- 241763-CW-RFQ / construction of farm ponds at Muangini catchment area	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope			Request for Quotations (Non Bank-SPD)	70,000.00	0.00	Pending Implementat ion							2021-07-17	7						2021-09-11		2022-03- 10
KE-MAKUENI COUNTY- 241770-CW-RFB / construction of Ngosini sand dam	IDA / 59000	Supporting County Community-Led Development	Post	Request for Bids	Open - National	Single Stage - One Envelope			Request for Bids – Small Works SPD (1 envelope process)	232,751.20	0.00	Pending Implementat ion					2021-07-12	2	2021-07-1	7		2021-08-28		2021-09-27		2021-11-01		2022-04- 30
KE-MAKUENI COUNTY- 241772-CW-RFQ / construction Ngosini farm ponds for the VMGs	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope			Request for Quotations (Non Bank-SPD)	70,000.00	0.00	Pending Implementat ion							2021-07-1	7						2021-09-11		2022-03- 10
KE-MAKUENI COUNTY- 241776-CW-RFQ / construction of Mukuyuni sand dam	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage – One Envelope			Request for Quotations (Non Bank-SPD)	119,908.80	0.00	Pending Implementat ion							2021-07-20	0						2021-09-14		2022-03- 13
KE-MAKUENI COUNTY- 241783-CW-RFQ / construction of farm ponds at Mukuyuni for VMGs	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage – One Envelope			Request for Quotations (Non Bank-SPD)	70,000.00	0.00	Pending Implementat ion							2021-07-19	9						2021-09-13		2022-03- 12
KE-MAKUENI COUNTY- 241786-CW-RFQ / construction of Muuni sand dam	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope			Request for Quotations (Non Bank-SPD)	114,430.58	0.00	Pending Implementat ion							2021-07-2	3						2021-09-17		2022-03- 16
KE-MAKUENI COUNTY- 241788-CW-RFQ / construction of Muuni farm ponds for the VMGs	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope			Request for Quotations (Non Bank-SPD)	70,000.00	0.00	Pending Implementat ion							2021-07-24	4						2021-09-18		2022-03- 17
KE-MAKUENI COUNTY- 241792-CW-RFQ / construction of Mutongu Ndiani sand dam and water shed	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope			Request for Quotations (Non Bank-SPD)	63,316.00	0.00	Pending Implementat ion							2021-07-24	4						2021-09-18		2022-03- 17
KE-MAKUENI COUNTY- 241795-CW-RFQ / construction of Mutongu Ndiani farm ponds for the VMGs	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope			Request for Quotations (Non Bank-SPD)	70,000.00	0.00	Pending Implementat ion							2021-07-2	4						2021-09-18		2022-03- 17

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Draft Pre-qualification Documents

Prequalification (Y/N) Estimated Actual Amount (US\$) Frocess (US\$) Status

Procurement Process

| Draft Pre-qualification | Droutents | Draft Bidding | Document / Document | Document / Evaluation Report | Document / Indication | Pre-qualification | Pre-qualification | Draft Bidding | Document / Document / Indication | Draft Bidding | Document / Indication | Draft Bidding | Draft

KE-MAKUENI COUNTY- 136833-GO-RFQ / supply and delivery of office furniture and equipment	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage – One Envelope	21,852.00	20,051.39	Signed			2019-10-08	2019-09-24			2019-12-03	2019-10-23 2020-05	-31
KE-MAKUENI COUNTY- 136868-GO-RFQ / supply of terrace bond stabilization materials, range land, reseeding and Agroforestry tree seedlings under SLM interventions	IDA / 59000	Supporting Community- Driven Development	Post	Request for Quotations	Limited	Single Stage – One Envelope	22,606.00	0.00	Under Implementati on			2019-10-07	,			2019-12-02	2020-05	-30
KE-MAKUENI COUNTY- 136975-GO-RFQ / supply and delivery of soil testing equipment for SLM interventions	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope	59,400.00	50,677.76	Signed			2019-10-23	2020-04-14			2019-12-18	2020-06-08 2020-06	-15
KE-MAKUENI COUNTY- 137312-GO-RFQ / supply and delivery of computers	IDA / 59000	Project Coordination and Management	Post	Request for Quotations	Limited	Single Stage - One Envelope	10,000.00	9,170.11	Under Implementati on			2019-10-19	2020-08-28			2019-12-14	2020-06	-11
KE-MAKUENI COUNTY- 167915-GO-RFQ / supply and delivery of 20 (twenty) project motorcycles as per given specifications	IDA / EDDOO	Project Coordination and Management	Post	Request for Quotations	Limited	Single Stage – One Envelope	80,000.00	0.00	Under Implementati on			2020-04-15				2020-06-10	2020-07	-10
KE-MAKUENI COUNTY- 167919-NC-RFQ / supply delivery and Installation of IP based teleconference system, net work router and network firewall at the CPCU offices in Wote, Makueni county.		Project Coordination and Management	Post	Request for Quotations	Limited	Single Stage – One Envelope	85,000.00	0.00	Under Implementati on			2020-05-09				2020-07-04	2020-12	-31
KE-MAKUENI COUNTY- 263531-CO-RFQ / supply and delivery of 20 (twenty)desk tops computers and printers , and 20 laptops for sub- county officers		Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage – One Envelope	44,000.00	0.00	Pending Implementati on			2021-11-22				2022-01-17	2022-07	-16

NON CONSULTING SE	ERVICES																											
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-o Docu	qualification ments	Prequa Evaluati	lification on Report	Draft I Docur Justifi			ocurement Invitation	Bidding Do Issi	cuments as ued	Proposal Su Opening	ibmission /	Bid Evaluat and Recom for A	mendation		Contract	Contract C	Completion
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
KE-MAKUENI COUNTY- 137473-NC-RFQ / installation of internet connectivity	IDA / 59000	Project Coordination and Management	Post	Request for Quotations	Limited	Single Stage - One Envelope		2,250.00	0.00	Under Implementati on							2019-10-20	2020-09-07							2019-12-15		2020-06-12	
KE-MAKUENI COUNTY- 260100-NC-DIR / excavation of farm ponds using hired back hoe	IDA / 59000	Supporting County Community-Led Development		Request for Quotations	Limited	Single Stage – One Envelope		10,860.00	0.00	Pending Implementati on							2021-11-09								2022-01-04		2022-07-03	

CONSULTING FIRMS																											
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status		Reference	Expression No		Short List Request for		as Is	sued	Opening of Proposals	/ Minutes	Evalua Technical		Combined Report a Negotiated	nd Draft Contract	Signed (Contract C	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
KE-MAKUENI COUNTY- 136913-CS-QCBS / provision of consultancy services forthe four selected value chains i.e tomato, indigenous chicken, green grams and mango value chains		Supporting Community- Driven Development	Prior	Quality And Cost- Based Selection	Open – National		200,000.00	0.00	Canceled	2019-10-01		2019-10-22		2019-12-05				2020-01-02		2020-02-01		2020-03-07		2020-04-11		2021-04-11	
KE-MAKUENI COUNTY- 172946-CS-CQS / provision of consultancy services in tomato value chain	IDA / 59000	Supporting Community– Driven Development	Post	Consultant Qualification Selection	Open - National		50,000.00	0.00	Under Implementation	2020-05-13	2020-10-06	2020-06-03		2020-07-17								2020-08-16		2020-09-20		2022-03-24	
KE-MAKUENI COUNTY- 172947-CS-CQS / provision of consultancy services in service provision in indigenous chicken value chain	IDA / 59000	Supporting Community- Driven Development	Post	Consultant Qualification Selection	Open – National		50,000.00	0.00	Under Implementation	2020-05-13	2020-10-06	2020-06-03		2020-07-17								2020-08-16		2020-09-20		2022-03-24	
KE-MAKUENI COUNTY- 172949-CS-CQS / Provision of consultancy services in service provision in green grams value chains in Makueni county	IDA / 59000	Supporting Community- Driven Development	Post	Consultant Qualification Selection	Open – National		50,000.00	0.00	Under Implementation	2020-05-13	2020-10-06	2020-06-03		2020-07-17								2020-08-16		2020-09-20		2022-03-24	
KE-MAKUENI COUNTY- 172948-CS-CQS / provision of consultancy services in service provision in mango value chain in Makueni county	IDA / 59000	Supporting Community- Driven Development	Post	Consultant Qualification Selection	Open – National		50,000.00	0.00	Under Implementation	2020-05-13	2020-10-06	2020-06-03		2020-07-17								2020-08-16		2020-09-20		2022-03-24	

	INDIVIDUAL CONSUL	TANTS																		
	Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of I	Reference	Invitat Identified Const		Draft Ne Con	gotiated tract	Signed (Contract	Contract C	Completion
- 1											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
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