

## Sample Procurement Plan

***(Text in italic font is meant for instruction to staff and should be deleted in the final version of the PP)***

*(This is only a sample with the minimum content that is required to be included in the PAD. The detailed procurement plan is still mandatory for disclosure on the Bank's website in accordance with the guidelines. The initial procurement plan will cover the first 18 months of the project and then updated annually or earlier as necessary).*

### **I. General**

- 1. Bank's approval Date of the procurement Plan** *[Original: December 2007]; Revision 15 of Updated Procurement Plan, June 2010]*
- 2. Date of General Procurement Notice:** Dec 24, 2006
- 3. Period covered by this procurement plan:** *The procurement period of project covered from year June 2010 to December 2012*

### **II. Goods and Works and non-consulting services.**

- 1. Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement: *[Thresholds for applicable procurement methods (not limited to the list below) will be determined by the Procurement Specialist /Procurement Accredited Staff based on the assessment of the implementing agency's capacity.]*

	<b>Procurement Method</b>	<b>Prior Review Threshold US\$</b>	<b>Comments</b>
1.	ICB and LIB (Goods)	<i>Above US\$ 500,000</i>	<i>All</i>
2.	NCB (Goods)	<i>Above US\$ 100,000</i>	<i>First contract</i>
3.	ICB (Works)	<i>Above US\$ 15 million</i>	<i>All</i>
4.	NCB (Works)	<i>Above US\$ 5 million</i>	<i>All</i>
5.	(Non-Consultant Services)	<i>Below US\$ 100,000</i>	<i>First contract</i>
	<i>[Add other methods if necessary]</i>		

- 2. Prequalification.** Bidders for *Not applicable* shall be prequalified in accordance with the provisions of paragraphs 2.9 and 2.10 of the Guidelines.

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3. **Proposed Procedures for CDD Components (as per paragraph 3.17 of the Guidelines:** [*Refer to the relevant CDD project implementation document approved by the Bank or delete if not applicable*]
4. **Reference to (if any) Project Operational/Procurement Manual:** *Project Implementation Manual for World Bank Loan Project XYZ 04/01/2010 issued by <mention name of PIU>*
5. **Any Other Special Procurement Arrangements:** [*including advance procurement and retroactive financing, if applicable*] *5 ICB works packages will be financed under retroactive financing*
6. **Summary of the Procurement Packages planned during the first 18 months after project effectiveness ( including those that are subject to retroactive financing and advanced procurement)**

*[List the Packages which require Bank's prior review first and then the other packages]*

1	2	3	4	5	6	7
Ref. No.	Description	Estimated Cost US\$ million	Packages	Domestic Preference (yes/no)	Review by Bank (Prior / Post)	Comments
	Summary of the ICB (Works)	82	5	No	Prior	
	Summary of the ICB (Goods)	43.77	15	No	Prior	
	Summary of the NCB (Works)	64.53	18	No	Post	1 <sup>st</sup> contract for Prior Review
	Summary of the NCB (Goods)	1.86	4	No	Post	1 <sup>st</sup> contract for Prior Review
	Summary of the ICB (Non-Consultant Services)	0.45	1	No	Prior	

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### **III. Selection of Consultants**

- 1. Prior Review Threshold:** Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

	<b>Selection Method</b>	<b>Prior Review Threshold</b>	<b>Comments</b>
1.	Competitive Methods (Firms)	Above US\$ 100,000	
2.	Single Source (Firms)	All	
3.	Individual	Above US\$ 50,000	

- 2. Short list comprising entirely of national consultants:** Short list of consultants for services, estimated to cost less than \$ 300,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.
- 3. Any Other Special Selection Arrangements:** *[including advance procurement and retroactive financing, if applicable or delete if not applicable]*
- 4. Consultancy Assignments with Selection Methods and Time Schedule**

<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>
<b>Ref. No.</b>	<b>Description of Assignment</b>	<b>Estimated Cost US\$ million</b>	<b>Packages</b>	<b>Review by Bank (Prior / Post)</b>	<b>Comments</b>
	Summary of number of contracts that will be let under QCBS	4.17	7	Prior	
	Summary of number of contracts that will be let under	0.83	1	Prior	CQS

	other methods				
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**PROCUREMENT PLAN** India : IN Karnataka Urban Water Supply Modernization Project

**General Information**  
 Country: India Bank's Approval Date of the Original Procurement Plan: 2017-08-28  
 Revised Plan Date(s): (comma delineated, leave blank if non 2021-11-23)  
 Project ID: P130544 GPN Date:  
 Project Name: IN Karnataka Urban Water Supply Modernization Project  
 Loan / Credit No: IBRD / 86010  
 Executing Agency(ies) Karnataka Urban Infrastructure Development and Finance Corporation

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	
IN-KUIDFC-97508-CW-RFB / Procurement of Operator for Design, build, operate and maintain, and transfer water supply system in Belagavi city of Karnataka State, India for cost effective and sustainable upscaling to continuous (24x7) pressurized water supply	IBRD / 86010	Capital Investment Program	Prior	Request for Bids	Open - International	Single Stage - Two Envelope				101,297,142.85	106,375,221.59	Signed					2019-02-08	2019-06-03	2019-02-13	2019-09-19			2019-03-27	2019-10-05	2019-06-09	2020-03-28	2019-07-14	2020-06-15	2020-01-10
IN-KUIDFC-99723-CW-RFB / Procurement of Operator for Design, Build, operate and maintain, and transfer water supply system in Kalaburgi city of Karnataka State, India for cost effective and sustainable upscaling to continuous (24x7) pres	IBRD / 86010	Capital Investment Program	Prior	Request for Bids	Open - International	Single Stage - Two Envelope				106,443,724.63	110,780,348.72	Signed					2019-02-08	2019-07-09	2019-02-13	2019-07-10			2019-03-27	2019-10-01	2019-06-09	2020-03-28	2019-07-14	2020-06-10	2020-01-10
IN-KUIDFC104978 / Procurement of Operator service for Design, build, operate and maintain, and transfer water supply system in Hubballi - Dharwad city of Karnataka State, India for cost effective and sustainable upscaling to continuous (24x7) pressurized water supply	IBRD / 86010	Capital Investment Program	Prior	Request for Bids	Open - International	Single Stage - Two Envelope				173,109,858.00	159,665,349.35	Signed					2019-03-08	2019-09-19	2019-03-13	2019-11-06			2019-04-24	2019-11-26	2019-07-07	2020-03-28	2019-08-11	2020-06-12	2020-02-07

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
IN-KUIDFC-200463-GO-RFQ / Procurement of 4 Nos of Vehicles for KUWSMP PMU/PLU.	IBRD / 86010	Project Management	Post	Request for Quotations	Open - National	Single Stage - One Envelope		100,000.00	100,728.42	Completed								2020-11-15						2021-01-10	2021-01-01	2021-07-09	2021-03-31	
IN-KUIDFC-241618-GO-RFQ / Procurement of Computers (Laptop and Desktop), Printers and Scanners and Xerox machines along with necessary related accessories for PMU's and PMU of KUIDFC under KUWSMP	IBRD / 86010	Project Management	Post	Request for Quotations	Open - National	Single Stage - One Envelope		89,010.00	0.00	Canceled								2021-08-28	2021-09-02					2021-10-23		2022-04-21		
IN-KUIDFC-263524-GO-RFQ / The requirements for PMU's and PMU are 31 nos Desktops, 14 nos Laptops with 14 nos Multi functions printers along with necessary backup UPS is estimated cost will be around INR 7,120,000/- ( @ 80 per Dollar its 89010.00. The Procurement will be done using	IBRD / 86010	Project Management	Post	Request for Quotations	Open - National	Single Stage - One Envelope		89,010.00	0.00	Pending Implementation								2021-11-25						2022-01-20		2022-07-19		

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		
KUIDFC-KUWSMP-194 / Procurement of Services of Operator for Cost Effective and Sustainable Up-scaling of Continuous -24x7 - Pressurized Water Supply, its Operation and Management for Hubballi-Dharwad of Karnataka, India	IBRD / 86010	Capital Investment Program	Prior	Request for Proposals	Open - International	Single Stage - Two Envelope		56,678,460.86	0.00	Canceled	2017-08-22		2017-08-22					2017-08-22						2017-08-22		2017-08-22	2028-05-14	
IN-KUIDFC-174533-NC-RFQ / Selection of Agency for Production of 10 minutes Short Film and Production of 10 minutes Short Film on KUWSMP	IBRD / 86010	Project Management	Post	Request for Quotations	Open - National	Single Stage - One Envelope		21,508.00	7,502.11	Signed								2020-06-20	2020-07-28					2020-08-15	2021-02-12	2021-02-11		
IN-KUIDFC-174537-NC-RFQ / Selection of Agency for Printing of Project Brochures and Printing of Frequently Asked Questions (FAQ's) about KUWSMP	IBRD / 86010	Project Management	Post	Request for Quotations	Open - National	Single Stage - One Envelope		13,234.00	11,482.04	Signed								2020-06-20	2020-07-28					2020-08-15	2020-11-24	2021-02-11		



INDIVIDUAL CONSULTANTS																			
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
IN-KUJIFC-171801-CS-INDV / HIRING AN INDIVIDUAL LEARNING AND DEVELOPMENT CONSULTANT.	IBRD / 86010	Institution Building	Post	Individual Consultant Selection	Open		53,500.00	32,458.08	Signed	2020-05-10	2020-05-05	2020-06-28	2020-09-02	2020-07-19	2020-11-17	2020-08-23	2020-11-30	2021-02-19	
IN-KUJIFC-177830-CS-CDS / Advisory Assistance Consultancy Service for preparation/revision of financial Model for upscaling 2&7 water supply projects in Belagavi, Kalaburagi and Hubballi-Dharawada cities.	IBRD / 86010	Technical Assistance for Sector Development	Post	Direct Selection	Direct		16,000.00	16,063.94	Signed	2020-06-15	2020-06-08	2020-06-25	2020-06-08			2020-08-29	2020-06-30	2021-02-25	