Sample Procurement Plan

(Text in italic font is meant for instruction to staff and should be deleted in the final version of the PP)

(This is only a sample with the minimum content that is required to be included in the PAD. The detailed procurement plan is still mandatory for disclosure on the Bank's website in accordance with the guidelines. The initial procurement plan will cover the first 18 months of the project and then updated annually or earlier as necessary).

I. <u>General</u>

- 1. Bank's approval Date of the procurement Plan [Original: December 2007]: Revision 15 of Updated Procurement Plan, June 20101
- 2. Date of General Procurement Notice: Dec 24, 2006
- 3. **Period covered by this procurement plan**: The procurement period of project covered from year June 2010 to December 2012

II. Goods and Works and non-consulting services.

1. Prior Review Threshold: Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement: [Thresholds for applicable procurement methods (not limited to the list below) will be determined by the Procurement Specialist /Procurement Accredited Staff based on the assessment of the implementing agency's capacity.]

	Procurement Method	Prior Review Threshold US\$	Comments
1.	ICB and LIB (Goods)	Above US\$ 500,000	All
2.	NCB (Goods)	Above US\$ 100,000	First contract
3.	ICB (Works)	Above US\$ 15 million	All
4.	NCB (Works)	Above US\$ 5 million	All
5.	(Non-Consultant Services)	Below US\$ 100,000	First contract
	[Add other methods if necessary]		

2. Prequalification. Bidders for <u>Not applicable</u> shall be prequalified in accordance with the provisions of paragraphs 2.9 and 2.10 of the Guidelines.

- 3. Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines: [Refer to the relevant CDD project implementation document approved by the Bank or delete if not applicable]
- 4. Reference to (if any) Project Operational/Procurement Manual:

 Project Implementation Manual for World Bank Loan Project XYZ
 04/01/2010 issued by <mention name of PIU>
- **5.** Any Other Special Procurement Arrangements: [including advance procurement and retroactive financing, if applicable] 5 ICB works packages will be financed under retroactive financing
- 6. Summary of the Procurement Packages planned during the first 18 months after project effectiveness (including those that are subject to retroactive financing and advanced procurement)

[List the Packages which require Bank's prior review first and then the other packages]

1	2	3	4	5	6	7
Ref. No.	Descripti on	Estimat ed Cost US\$ million	Packages	Dome stic Prefe rence (yes/ no)	Review by Bank (Prior / Post)	Comme nts
	Summary of the ICB (Works)	82	5	No	Prior	
	Summary of the ICB (Goods)	43.77	15	No	Prior	
	Summary of the NCB (Works)	64.53	18	No	Post	1 st contrac t for Prior Review
	Summary of the NCB (Goods)	1.86	4	No	Post	1st contrac t for Prior Review
	Summary of the ICB (Non- Consultant Services)	0.45	1	No	Prior	

III. Selection of Consultants

1. **Prior Review Threshold**: Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

	Selection Method	Prior Review Threshold	Comments
1.	Competitive Methods (Firms)	Above US\$ 100,000	
2.	Single Source (Firms)	All	
3.	Individual	Above US\$ 50,000	

- 2. Short list comprising entirely of national consultants: Short list of consultants for services, estimated to cost less than \$_300,000_equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.
- **3. Any Other Special Selection Arrangements:** [including advance procurement and retroactive financing, if applicable or delete if not applicable]

4. Consultancy Assignments with Selection Methods and Time Schedule

1	2	3	4	5	6
Ref. No.	Description of Assignmen t	Estimat ed Cost US\$ million	Package s	Review by Bank (Prior / Post)	Comment
	Summary of number of contracts that will be let under QCBS	4.17	7	Prior	
	Summary of number of contracts that will be let under	0.83	1	Prior	CQS

other		
methods		

PROCUREMENT India: IN Karnataka Urban Water Supply Modernization Project General Information

Country: India Bank's Approval Date of the Original Bank's Approval Bank's Approval Date of the Origin General Information
Country: India Bank's Approval Date of the Original Procurement Plan: 2017-08-28
Revised Plan Date(s): (comma delineated, leave blank if non 2021-11-23
Project ID: P130544 (PPN Date:
Project Name: IN Karnataka Urban Water Supply Modernization Project
Loan / Credit No: IRBO / 86010
Executing Agency(ies Karnataka Urban Infrastructure Development and Finance Corporation

WORKS																													
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-o	qualification ments		lification on Report	Docu	Bidding ment / ication		rocurement Invitation	Bidding Do		Proposal Su Opening		and Recom	tion Report nmendation Award	Signed (Contract	Con
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned
IN-KUIDFC-97508-CW-RFB / Procurement of Operator for Design, build, operate and maintain, and transfer water supply system in Belagavi city of Karnataka State, India for cost effective and sustainable upscaling to continuous (24x7) pressurized water supply	IBRD / 86010	Capital Investment Program	Prior	Request for Bids	Open – International	Single Stage – Two Envelope				101,297,142. 85	106,375,22	Signed					2019-02-08	2019-06-03	2019-02-13	2019-09-19			2019-03-27	2019-10-05	2019-06-09	2020-03-28	2019-07-14	2020-06-15	2020-01- 10
IN-KUIDFC-99723-CW-RFE / Procurement of Operator for Design, Build, operate and maintain, and transfer water supply system in Kalaburgi city of Karnataka State, India for cost effective and sustainable upscaling to continuous (24x7) pres	IBRD / 86010	Capital Investment Program	Prior	Request for Bids	Open - International	Single Stage – Two Envelope				106,443,724. 63	110,780,34	Signed					2019-02-08	2019-07-09	2019-02-13	2019-07-10			2019-03-27	2019-10-01	2019-06-09	2020-03-28	2019-07-14	2020-06-10	2020-01- 10
IN KUIDFC104978 / Procurement of Operator service for Design, build, operate and maintain, and transfer water supply system in Hubballi - Dharawada city of Karnataka State, India for cost effective and sustainable upscaling to continuous (24x7) pressurized water supply	IBRD / 86010	Capital Investment Program	Prior	Request for Bids	Open - International	Single Stage – Two Envelope				173,109,858. 00	159,665,34 9.35	Signed					2019-03-08	2019-09-19	2019-03-13	2019-11-06			2019-04-24	2019-11-26	2019-07-07	2020-03-28	2019-08-11	2020-06-12	2020-02- 07

GOODS																												
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-c Docu			ification on Report	Draft I Docur Justifi	nent /	Specific Pr Notice /		Bidding Do		Proposal Su Opening		and Recon	tion Report nmendation ward		Contract	Contract	Completion
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
IN-KUIDFC-200463-GO-RFQ / Procurement of 4 Nos of Vehicles for KUWSMP PMU/PIU.	IBRD / 86010	Project Management	Post	Request for Quotations	Open - National	Single Stage – One Envelope		100,000.00	100,728.42	Completed							2020-11-15								2021-01-10	2021-01-01	2021-07-09	9 2021-03-31
IN-KUIDFC-241618-GO-RFQ / Procurement of Computers (Laptop and Desktop), Printers and Scanners and Xerox machines along with necessary related accessories for PIU's and PMU of KUIDFC under KUWSMP	IBRD / 86010	Project Management	Post	Request for Quotations	Open – National	Single Stage – One Envelope		89,010.00	0.00	Canceled							2021-08-28	2021-09-02							2021-10-23		2022-04-21	1
IN-KUIDFC-263524-GO-RFQ / The requirements for PIU's and PMU are 31 nos Desktops, 14 nos Laptops with 14 nos Multi functions printers along with necessary backup UFS is estimated cost will be around INR 7120800/- (@ 80 per Dollar its 89010.00. The Procurement will be done using	IBRD / 86010	Project Management	Post	Request for Quotations	Open – National	Single Stage – One Envelope		89,010.00	0.00	Pending Implementati on							2021-11-25								2022-01-20		2022-07-19	9

NON CONSULTING SE																												
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-q Docum		Prequal Evaluation	ification on Report	Draft Docur Justifi	ment /		ocurement Invitation	Bidding Do Issu		Proposal Su Opening /	DMISSION /	Bid Evaluat and Recom for A		Signed (Contract	Contract (Completion
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
KUIDFC-KUWSMP-194 / Procurement of Services of Operator for Cost Effective and Sustainable Up-scaling of Continuous -24x7 - Pressured Water Supply, its Operation and Management for Hubballi-Dharwad of Karnataka, India	IBRD / 86010	Capital Investment Program	Prior	Request for Proposals	Open – International	Single Stage – Two Envelope		56,678,460.86	0.00	Canceled	2017-08-22		2017-08-22		2017-08-22		2017-08-22				2017-08-22		2017-08-22		2017-08-22		2028-05-14	ı
IN-KUIDFC-174533-NC-RFQ / Selection of Agency for Production of 10 minutes Short Film and Production of 10 minutes Short Film on KUWSMP	IBRD / 86010	Project Management	Post	Request for Quotations	Open - National	Single Stage – One Envelope		21,508.00	7,502.11	Signed							2020-06-20	2020-07-28							2020-08-15	2021-02-12	2021-02-11	ı
IN-KUIDFC-174537-NC-RFQ / Selection of Agency for Printing of Project Brochures and Printing of Frequently Asked Questions (FAQ's) about KUWSMP	IBRD / 86010	Project Management	Post	Request for Quotations	Open - National	Single Stage – One Envelope		13,234.00	11,482.04	Signed							2020-06-20	2020-07-28							2020-08-15	2020-11-24	2021-02-11	ı

CONSULTING FIRMS																											
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of	Reference	Expression	n of Interest	Short List Request fo	and Draft or Proposals	Request for P	roposals ed	Opening o Proposals	f Technical / Minutes	Evalua Technical	tion of Proposal	Combined Report a		Signed	Contract	Contract Co	ompletion
										Planned	Actual	Planned	Actual			Planned								Planned	Actual	Planned	Actual
KUIDFC-KUWSMP-TA-228 / Selection of Consultant for the role of Technical Auditor for Hubballi-Dharwad	e IBRD / 86010	Project Management	Prior	Quality And Cost- Based Selection	Open - International		980,000.00	0.00	Canceled	2017-08-21		2017-08-21		2017-08-21				2017-08-21		2017-08-21		2017-08-21		2017-08-25		2021-08-24	
IN-KUIDFC-99718-CS-QCBS / REQUEST FOR EXPRESSIONS OF INTEREST (CONSULTING SERVICES- FIRMS SELECTION) Design-Build, Operate Engineer Services for Belagavi 24x7 pressurized water supply Contract	IBRD / 86010	Project Management	Prior	Quality And Cost- Based Selection	Open – International		1,739,130.43	0.00	Canceled	2019-02-08	2019-03-06	2019-03-01	2019-05-03	2019-04-14				2019-05-12		2019-06-11		2019-07-16		2019-08-20		2020-08-19	
IN-KUIDFC-99722-CS-QCBS / REQUEST FOR EXPRESSIONS OF INTEREST (CONSULTING SERVICES- FIRMS SELECTION) for Design-Build, Operate Engineer Services for Kalaburgi 24x7 pressurized water supply Contract under QCBS system of selection	IBRD / 86010	Project Management	Prior	Quality And Cost- Based Selection	Open – International		1,739,130.43	0.00	Canceled	2019-02-08	2019-03-06	2019-03-01		2019-04-14				2019-05-12		2019-06-11		2019-07-16		2019-08-20		2020-08-19	
IN-KUIDFC-104990-CS- QCBS / REQUEST FOR EXPRESSIONS OF INTEREST (CONSULTING SERVICES- FIRMS SELECTION) for Design- Build, Operate Engineer Services for Hubballi- Dharawada City 24x7 pressurized water supply Contract	- IBRD / 86010	Project Management	Prior	Quality And Cost- Based Selection	Open – International		1,739,130.43	1,417,498.32	Signed	2019-03-08	2019-05-09	2019-03-29	2019-05-20	2019-05-12	2020-02-29			2019-06-09	2020-06-02	2019-07-09	2020-07-31	2019-08-13	2020-09-08	2019-09-17	2020-10-08	2020-09-16	
IN-KUIDFC-113314-CS- QCBS / REQUEST FOR EXPRESSIONS OF INTEREST (CONSULTING SERVICES- FIRMS SELECTION) Design- Build, Operate Engineer Services for Belagavi 24x7 pressurized water supply Contract	IBRD / 86010	Project Management	Prior	Quality And Cost- Based Selection	Open – International		1,739,130.43	1,357,309.33	Signed	2019-05-03	2019-05-13	2019-05-24	2019-05-13	2019-07-07	2020-02-29			2019-08-04	2020-06-02	2019-09-03	2020-07-31	2019-10-08	2020-09-08	2019-11-12	2020-10-08	2020-11-11	
IN-KUIDFC-113317-CS- QCBS / REQUEST FOR EXPRESSIONS OF INTEREST (CONSULTING SERVICES- FIRMS SELECTION) for Design- Build, Operate Engineer Services for Kalaburgi 24x7 pressurized water supply Contract under QCBS system of selection	- IBRD / 86010	Project Management	Prior	Quality And Cost- Based Selection	Open – International		1,739,130.43	1,630,401.60	Signed	2019-05-03	2019-05-13	2019-05-24	2019-05-13	2019-07-07	2020-02-29			2019-08-04	2020-06-02	2019-09-03	2020-07-31	2019-10-08	2020-09-08	2019-11-12	2020-10-08	2020-11-11	
KUIDFC-KUWSMP-SO-225 / Implementation of Stakeholders Intermediation and Communication Activities - Support Services for Hubballi	IBRD / 86010	Project Management	Post	Quality And Cost- Based Selection	Open – National		380,000.00	0.00	Canceled	2017-08-21		2017-08-21		2017-08-21				2017-08-21		2017-08-21		2017-08-21		2017-08-21		2021-01-20	
KUIDFC-KUWSMP-SO-259 / Implementation of Stakeholders Intermediation and Communication Activities - Support Activities at Dharwad	IBRD / 86010	Project Management	Post	Quality And Cost- Based Selection	Open – National		190,000.00	0.00	Canceled	2017-08-21		2017-08-21		2017-08-21				2017-08-21		2017-08-21		2017-08-21		2017-08-21		2021-01-20	
KUIDFC-KUWSMP-ER-216 / Selection of Consultant for the role of Expert Reviewer for the cities of 1.Hubballi-Dharwad, 2. Belagavi 3. Kalaburagi	e e IBRD / 86010	Project Management	Prior	Quality And Cost- Based Selection	Open - International		970,000.00	0.00	Canceled	2017-08-21		2017-08-21		2017-08-21				2017-08-21		2017-08-21		2017-08-21		2017-08-21		2021-04-25	
IN-KUIDFC-151743-CS- QCBS / Selection of Support Organization for Implementation of Stakeholders inter mediation & communication activities for Kalburgi city of Karnataka State, India	IBRD / 86010	Project Management	Post	Quality And Cost- Based Selection	Open – National		394,352.80	81,660.17	Signed	2020-01-23	2020-06-10	2020-02-13	2020-07-22	2020-03-28	2020-10-16			2020-04-25	2020-12-02	2020-05-25	2020-12-16	2020-06-29	2021-02-05	2020-08-03	2021-03-06	2021-08-03	
IN-KUIDFC-151744-CS-QCBS / Selection of Support Organization for Implementation of Stakeholders Intermediation & Communication activities at Belagavi city.	IBRD / 86010	Project Management	Post	Quality And Cost- Based Selection	Open – National		417,221.80	74,642.24	Signed	2020-01-23	2020-06-10	2020-02-13	2020-07-22	2020-03-28	2020-10-16			2020-04-25	2020-12-01	2020-05-25	2020-12-16	2020-06-29	2021-02-05	2020-08-03	2021-03-06	2021-08-03	
IN-KUIDFC-200456-CS- QCBS / Procurement of the services of Support Organisations (SOS/NGOs) for Hubballi-Dharawada city for implementation of Communications and Stakeholder Inter mediation activities	IBRD / 86010	Project Management	Post	Quality And Cost- Based Selection	Open – National		209,107.00	71,458.04	Signed	2020-11-10	2020-10-23	2020-12-01		2021-01-14	2020-12-16			2021-02-11	2021-01-21	2021-03-13	2021-02-08	2021-04-17	2021-04-12	2021-05-22	2021-06-05	2022-05-22	

INDIVIDUAL CONSUL	TANTS																		
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of	Reference	Invitat Identified Consi	/Selected	Draft Ne Con	tract	Signed (Contract	Contract C	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
IN-KUIDFC-171891-CS-INDV / HIRING AN INDIVIDUAL LEARNING AND DEVELOPMENT CONSULTANT.	IBRD / 86010	Institution Building	Post	Individual Consultant Selection	Open		53,500.00	32,458.08	Signed	2020-05-10	2020-05-05	2020-06-28	2020-09-02	2020-07-19	2020-11-17	2020-08-23	2020-11-30	2021-02-19	
IN-KUIDFC-177830-CS-CDS / Advisory Assistance Consultancy Service for preparation/revision of Financial Model for upscaling 24x7 water supply projects in Belagavi, Kalaburagi and Hubballi-Dharawada cities.	IBRD / 86010	Technical Assistance for Sector Development	Post	Direct Selection	Direct		16,000.00	16,063.94	Signed	2020-06-15	2020-06-08	2020-06-25	2020-06-08			2020-08-29	2020-06-30	2021-02-25	