Public Disclosure Authorized

Skills Strengthening for Industrial Value Enhancement Operation (P156867)

SOUTH ASIA | India | Education Global Practice | Requesting Unit: SACIN | Responsible Unit: HSAED | IBRD/IDA | Program-for-Results Financing | FY 2017 | Team Leader(s): Shobhana Sosale, Shiro Nakata

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Program Development Objectives

Program Development Objective (from Program Appraisal Document)

To improve access to quality and market-driven vocational training provided in ITIs and apprenticeships

Implementation Status and Key Decisions

The Project Development Objective for the STRIVE operation is to improve access to quality and market-driven vocational training provided in ITIs and apprenticeships. An assessment of the Results Framework, outcome and output indicators, and disbursements achieved indicate good progress towards the achievement of the PDO. Out of seven PDO level indicators, two indicators have already met the final targets, while three indicators are on track. Progress on the remaining two PDO indicators related to ITIs has been impacted due to the closure of the institutions as a result of the COVID-19 pandemic.

Implementation progress has accelerated. The project is catching up with the restructured implementation schedule at a substantive pace. Implementation structures and arrangements are well defined and established at the National Project Implementation Unit (NPIU), State Project Implementation Units (SPIUs), Industrial Training Institutes (ITIs) and Industry Cluster (IC) levels. The NPIU's implementation monitoring at the National, State, ITI and IC levels is satisfactory. Implementation progress is regularly evaluated, and feedback is collected periodically. The problems faced by State-level implementing agencies are being addressed in a timely manner. Further, regular capacity building and knowledge sharing workshops are also being conducted.

Data on Financial Performance

Disbursements (by loan)

Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	% Disbursed
P156867	IDA-59650	Effective	USD	125.00	124.00	1.00	69.39	54.61	56%

11/30/2021 Page 1 of 11



Key Dates (by Ioan)							
Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
P156867	IDA-59650	Effective	02-Mar-2017	19-Dec-2017	08-Feb-2018	30-Nov-2022	30-Nov-2022

DLI Disbursement

DLI ID	DLI Type	Description	Coc	DLI Amount	Achievement Status	Disbursed amount in Coc	Disbursem DLI	ent % for
Loan:	IDA59650-001							
1(a)	Regular	DLI1 Increase in no of Graduates	USD	18,000,000.00	Partially Achieved	2,000,000.00		11 %
1(b)	Regular	DLI2 Impr in Indus Training and Emplmt	USD	21,000,000.00	Partially Achieved	11,000,000.00		52 %
1(c)	Regular	DLI3 Reduc in ITI Trainer Vac and Trng	USD	20,500,000.00	Partially Achieved	9,000,000.00		44 %
1(d)	Regular	DLI4 No of States cond Tracer Stud	USD	18,000,000.00	Partially Achieved	2,000,000.00		11 %
1(e)	Regular	DLI5 No of ICs intr Apprnshp Prog	USD	22,500,000.00	Partially Achieved	6,400,000.00		28 %
1(f)	Regular	DLI6 Inc in Fem Enrlmnt	USD	19,000,000.00	Partially Achieved	9,500,000.00		50 %
2	Regular	EEP Gds,NCS,CS,Trng,Wkshp, IOC	USD	5,000,000.00	Fully Achieved	1,985,900.28		40 %

Program Action Plan

Action Description	PMC in place							
Source	DLI#	DLI# Responsibility Timing Timing Value Status						
Technical		Client Due Date 08-Feb-2019 Completed						
Completion Measurement	Award of contract	Award of contract						
Comments	PMC was contracted in May-2018							

Action Description	OM, including ITI PB Grant Agreement Scheme Guidelines, State Performance-Based Funding Scheme Guidelines, and IAI Grant Mechanism Guidelines, completed							
Source	DLI#	DLI# Responsibility Timing Timing Value Status						
Technical		Client Due Date 30-Apr-2018 Completed						
Completion Measurement	· •	Operations Manual approved by the National Steering Committee (NSC) and adopted by the National Project Implementation Unit (NPIU).						
Comments	· · · · · · · · · · · · · · · · · · ·	The Project's Operations Manual, including ITI PB Grant Agreement Scheme Guidelines, State Performance-Based Funding Scheme Guidelines, and IAI Grant Mechanism Guidelines, was approved and adopted on January 3, 2019						

11/30/2021 Page 2 of 11

Action Description	Functional procurement complaint handling and redressal mechanism							
Source	DLI#	PLI# Responsibility Timing Timing Value Status						
Other		Client Due Date 08-Feb-2019 Completed						
Completion Measurement	Availability on project	Availability on project website and reports generated from the system						
Comments								

Action Description	First 18 months Procurement Plan updated and submitted to Bank							
Source	DLI#	LI# Responsibility Timing Timing Value Status						
Technical		Client	Due Date	21-Nov-2017	Completed			
Completion Measurement	Procurement plan for first 18 months is uploaded on the project website and was also submitted to the World Bank task team.							
Comments								

Action Description	Inclusion. All ISPs to include a plan for inclusion of vulnerable groups							
Source	DLI#	DLI# Responsibility Timing Timing Value Status						
Technical		Client	Due Date	01-Aug-2018	Completed			
Completion Measurement	ISPs have been rece	ISPs have been received and contain an inclusion plan.						
Comments								

Action Description	Gender. Study to understand the demand- and supply-side constraints for women to enter skills training and subsequently transition into the labor market							
Source	DLI#	LI# Responsibility Timing Timing Value Status						
Technical		Client Due Date 08-Feb-2019 Completed						
Completion Measurement	Study completed and	Study completed and shared with the World Bank						
Comments	The study has been of	The study has been completed and shared with the Bank						

Action Description	MIS. Develop a system to disaggregate data pertaining to SCs, STs, OBCs, women, minorities, and persons with disabilities as part of tracer studies for ITI graduates to be implemented by states under the Program						
Source	DLI# Responsibility Timing Timing Value Status						
Technical	Client Recurrent Yearly In Progress						

11/30/2021 Page 3 of 11

Completion Measurement	ToRs for tracer studies to include requirement for disaggregation of data pertaining to SCs, STs, OBCs, women, minorities, and persons with disabilities
Comments	The NIPU has developed a model ToR for Tracer Studies to be conducted by states. States are yet to roll out the ToRs and implement the Tracer Studies.

Action Description	Robust environmental management system developed and functioning in ITIs							
Source	DLI#	DLI# Responsibility Timing Timing Value Status						
Environmental and Social Systems		Client	Recurrent	Continuous	Completed			
Completion Measurement	Each ITI should have a designated nodal person on environmental and safety management who would be responsible to undertake following broad environmental management in respective ITI. These include onsite construction management covering the aspects							
Comments	Nodal officers are identified and action plans developed, The remaining activities will remain on-gong till the end of the project							

Action Description	Students aware of and practice good safety norms in ITI				
Source	DLI#	Responsibility	Timing	Timing Value	Status
Environmental and Social Systems		Client	Recurrent	Continuous	Completed
Completion Measurement	Training to staff and students of each ITI on OHS and environment, including hazardous waste, completed. The PB Grant Agreement to include provisions to ensure that students use safety gear in workshop, are clearly communicated OHS measures, and use				
Comments	Met and continues till	Met and continues till the end of the project			

Action Description	Apprenticeship enforce good OHS and waste management standards					
Source	DLI#	Responsibility	Timing	Timing Value	Status	
Environmental and Social Systems		Client	Recurrent	Continuous	Completed	
Completion Measurement		Grant agreement on IAIs to include provisions to ensure that apprentices are aware of and practice good OHS measures, and proper waste management systems in place and functional				
Comments	Met and continues till	the end of the project				

Action Description	Disclosure of approved Procurement Plans on project website				
Source	DLI#	Responsibility	Timing	Timing Value	Status
Other		Client	Recurrent	Continuous	Delayed

11/30/2021 Page 4 of 11

Completion Measurement	Year 2: 20%, Year 4: 40%
Comments	

Action Description	Initiate procurement for Independent Verification Firm					
Source	DLI#	Responsibility	Timing	Timing Value	Status	
Technical		Client	Due Date	30-Nov-2017	Completed	
Completion Measurement	IVA onboarded	IVA onboarded				
Comments						

Risks

Systematic Operations Risk-rating Tool

Risk Category	Rating at Approval	Previous Rating	Current Rating
Political and Governance	□Low	Low	Low
Macroeconomic	Low	Moderate	Moderate
Sector Strategies and Policies	Moderate	Moderate	Moderate
Technical Design of Project or Program	Moderate	Moderate	Moderate
Institutional Capacity for Implementation and Sustainability	Substantial	□Moderate	□Moderate
Fiduciary	□Substantial	Substantial	□Substantial
Environment and Social	□Moderate	Moderate	□Moderate
Stakeholders	Substantial	Moderate	Moderate
Other	Substantial	Substantial	Substantial
Overall	□Moderate	□Moderate	Moderate

Comments

The risk rating for the category "other" continues to be Substantial. Since March 2020, the COVID-19 pandemic has surged and receded. In India, the pandemic has had significant impact since the May 2021 surge. This has created substantial risks for project implementation. The COVID-19 surge resulted in the closure of all ITIs, student examinations having to be postponed, and the institutions having to pivot to distance learning, opting for online programs where available, and to adapting to the pandemic induced challenges with supply chain disruptions, price escalations, etc. These have had a sudden impact on the implementation of STRIVE Operation activities. The MSDE/NPIU has been proactive about introducing solutions and mitigation measures/actions to facilitate funds flow through the system, efforts to address key monitoring and evaluation issues, and improving fiduciary oversight since the mid-term review in January 2021.

The ongoing effects of the pandemic are being tracked to put in place progressive mitigation measures to address emerging risks. For instance, options for putting place blended learning through a variety of outreach efforts is being considered for financing through the STRIVE Operation. Good practice examples from the States are actively being explored and knowledge is being shared through webinars and during mission meetings to determine the most cost-effective options for scaling up. Innovations are being fostered by bringing together relatively well-performing States to problem-solution sessions to assist less well-performing States. For example, during the recent Joint Review Mission (JRM), the Maharashtra and Odisha States shared their experiences and innovations during the pandemic. The information is captured in Annex 2 in the JRM aide-memoire. Further, at the request of the MSDE, the World Bank task team has commenced a Webinar series to bring global good practices to inform

11/30/2021 Page 5 of 11

innovations and operations in the ITIs. The first in the series took place on October 26, 2021 with the Singapore Nanyang Youth Polytechnic sharing their innovations with the MSDE.

The project involves minor civil works in the participating ITIs/ICs, on existing land, and within the campus. Therefore, these do not pose a risk with respect to involuntary resettlement. The impacts related to civil works are minor and they are manageable through compliance with Labor Management-cum-Gender Based Violence Prevention guidance. However, (a) adequate management is required to ensure that the civil works is circumscribed with appropriate safety measures towards providing a safe and secure environment for students and laborers on the campuses, (b) the risks and impacts pertaining to tribal students/beneficiaries would be manageable provided there is effective implementation of a Social Action Plan (SAP), (c) amid the COVID restrictions, it is crucial to supervise and document the progress being made with the SAP to ensure compliance with the social aspects of the STRIVE Program. In this connection, it would be crucial to effectively publicize the Grievance Redress Mechanism (GRM). The agreed actions in this respect are included in the JRM aide-memoire. The Bank team has been providing implementation support and indepth consultations through regular virtual meetings with counterparts on the aspects.

There has been progress towards addressing the NPIU's capacity constraints: Steps have been taken to augment the NPIU's capacity with: (a) the deployment of a nodal office for Environment and Social aspects, and a procurement officer on part-time basis, (b) approving an increase in the number of specialists in the PMC to address safeguards, M&E, financial management, and procurement, and (c) building the capacity of NPIU staff on safeguards and fiduciary aspects. Additional actions have been agreed to strengthen environment and social aspects. These are included in the aide-memoire.

Results

PDO Indicators by Objectives / Outcomes

►Increase in the num	ber of graduates from ITIs that h	nave signed PR Grant Agreemer	nts (Percentage Custom)		
7	isor or graduates ironi irro aratir	aro olgiloci i 2 olaliti iglocillo.	no (r oroomago, o aotom)		
	Baseline	Actual (Previous)	Actual (Current)	End Target	
Value	0.00	0.00	0.00	20.00	
Date	31-Mar-2017	04-Feb-2021	15-Sep-2021	30-Nov-2022	
examinations for the 2019-20 academic session are ongoing and are expected in March/ April 2021. The DGT expects the number of graduates to increase by 11%. As of September 15, 2021, the results were not yet declared. This has been induced due to the COVID-19 pandemic that has required ITIs to adjust the academic year and student performance assessments.					
► Female enrollment i	rate in ITIs with signed PB Grant	Agreements (Percentage, Cust	om)		
►Female enrollment i	rate in ITIs with signed PB Grant Baseline	Agreements (Percentage, Cust	om) Actual (Current)	End Target	
	· ·	.	,	End Target 15.00	
Value	Baseline	Actual (Previous)	Actual (Current)		
Value Date	Baseline 9.70 31-Mar-2017 As per data from se has been achieved. Actual enrolments a	Actual (Previous) 19.10 04-Feb-2021 elected ITIs, female enrolment st	Actual (Current) 18.60 15-Sep-2021 ood at 19.1% in May 2021. ers are 18.6%, slightly dow	15.00 30-Nov-2022 Hence, this PDO indicator	
Value Date Comments ▶Percentage of gradu	Baseline 9.70 31-Mar-2017 As per data from se has been achieved. Actual enrolments a This is likely due to	Actual (Previous) 19.10 04-Feb-2021 elected ITIs, female enrolment st as of September 15, 2021 registed dropouts due to the COVID-19 p	Actual (Current) 18.60 15-Sep-2021 ood at 19.1% in May 2021. ers are 18.6%, slightly dow bandemic.	15.00 30-Nov-2022 Hence, this PDO indicator n from the May 2021 figure	
Value Date Comments	Baseline 9.70 31-Mar-2017 As per data from se has been achieved. Actual enrolments a This is likely due to	Actual (Previous) 19.10 04-Feb-2021 elected ITIs, female enrolment st as of September 15, 2021 registed dropouts due to the COVID-19 p	Actual (Current) 18.60 15-Sep-2021 ood at 19.1% in May 2021. ers are 18.6%, slightly dow bandemic.	15.00 30-Nov-2022 Hence, this PDO indicator n from the May 2021 figure	

11/30/2021 Page 6 of 11

Date	31-Mar-2017	31-Mar-2017	15-Sep-2021	30-Nov-2022
Comments	been undertaken. The ter	data are not yet available or ms of reference have been pro nement of student performand	epared and approved for t	he tracer study to take

Results Area 2: Increased capacities of State Govts. to support ITIs and Apprenticeship Training

▶ Number of Participating States that have reduced the vacancies of sanctioned trainers' posts by at least 20% in government ITIs (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target		
Value	0.00	7.00	15.00	15.00		
Date	31-Mar-2017	04-Feb-2021	15-Sep-2021	30-Nov-2022		
Comments	7 States had achieved instructor vacancy reduction target in February 2021 and the data has been verified by IVA. As verified by IVA, by September 15, 2021 an additional 7 States had achieved the target. This brings the total to 15 States that have reached the instructor vacancy reduction target. The end-project target is 15 b November 30, 2022. Therefore, the indicator has already achieved the end-project target.					

Results Area 3: Improved Teaching and Learning

▶ Number of teachers who have completed pre-employment or in-service distance learning/blended modules (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target	
Value	5,000.00	18,567.00	20,000.00	20,000.00	
Date	31-Mar-2017	04-Feb-2021	15-Sep-2021	30-Nov-2022	
Comments	As confirmed by the Directorate, An additional 13,567 instructors have been trained as of February 2021, bringing the MTR-stage total to 18,567. By September 15, 2021 an additional 1,433 instructors have been trained. The total now is at 20,000. The end-project target is 20,000. Therefore, the target has been achieved.				

Results Area 4: Improved and Broadened Apprenticeship Training

▶ Number of ICs that have introduced at least 2 different apprenticeship programs within their participating (member) industries (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	4.00	7.00	30.00
Date	31-Mar-2017	04-Feb-2021	15-Sep-2021	30-Nov-2022
Comments	2 trades each. This has bee	s complete. By February 2021 en successfully verified by IVA additional 3 ICs had initiated dertaken for another 6 ICs.	A .	

Crosscutting

▶ Direct Operation beneficiaries; % Female (Text, Custom)

11/30/2021 Page 7 of 11

	Baseline	Actual (Previous)	Actual (Current)	End Target	
Value	0; Female: 0%	150,000, Female 19%	409,000, Female 19%	400000; Female: 12%	
Date	31-Mar-2017	04-Feb-2021	15-Sep-2021	30-Nov-2022	
Comments	The number of project direct beneficiaries, 19% of which are female, has reached 409,000. This end-project target of 400,000 has been achieved by September 15, 2021.				

Intermediate Results Indicators by Results Areas

►ID Indicator 1.1: D	arcentage increase in enrollment a	peross ITIs with signed DR Gran	t Agreement (Percentage	Custom)		
PIR IIIulcator 1.1. P	icator 1.1: Percentage increase in enrollment across ITIs with signed PB Grant Agreement (Percentage, Custom)					
	Baseline	Actual (Previous)	Actual (Current)	End Target		
Value	0.00	0.00	0.70	25.00		
Date	31-Mar-2017	18-Sep-2020	15-Sep-2021	30-Nov-2022		
Comments	enrolments still ongo	As reported by the Directorate, enrolment in the current cohort has decreased by 2%. However, with enrolments still ongoing this result is to be re-measured. As of September 15, 2021, the enrolments had increased up to 0.7 percent.				
►IR Indicator 1.2: P	ercentage of trainees undergoing (_	,	,		
	ercentage of trainees undergoing of Baseline 2.00	OJT across ITIs with signed PB Actual (Previous) 10.00	Grant Agreements (Percer Actual (Current) 22.90	ntage, Custom) End Target 25.00		
►IR Indicator 1.2: Polyalue Value Date	Baseline	Actual (Previous)	Actual (Current)	End Target		

Results Area 2: Increased Capacities of State Govts. to support ITIs and Apprenticeship Training ▶IR Indicator 4.1: Number of Participating States that have conducted tracer studies (Number, Custom) Baseline Actual (Previous) Actual (Current) **End Target** Value 0.00 1.00 1.00 15.00 Date 31-Mar-2017 04-Feb-2021 15-Sep-2021 30-Nov-2022 A pilot tracer study had been conducted in Haryana and the report has been accepted by the NPIU. This result is also verified by the IVA. Due to the COVID-19 pandemic, student performance assessment has been delayed by 1.5 academic Comments years. Commensurately, the tracer studies have also been delayed.

11/30/2021 Page 8 of 11

▶IR Indicator 4.2: Percentage of trainees in government ITIs who are from ST populations (Percentage, Custom)					
	Baseline	Actual (Previous)	Actual (Current)	End Target	
Value	1.35	7.80	7.80	4.00	
Date	31-Mar-2017	04-Feb-2021	15-Sep-2021	30-Nov-2022	
As reported by the DGT, by Mid-Term Review, the percentage of STs in government ITIs was 7.8 percent (February 2021) thereby exceeding the end-Target of 4 percent. Therefore, no additional data reported in September 2021.					

Results Area 3: Improved Teaching and Learning

►IR Indicator 5.1: Number of CTS trades for which ICT-based teaching and learning packages have been developed (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	6.00	4.00
Date	31-Mar-2017	18-Sep-2020	15-Sep-2021	30-Nov-2022
Comments	ICT based teaching and learning packages have been development for 6 CTS trades as of September 1 2021. The achievement exceeds the end-project that has been set for 4 CTS trades.			

▶IR Indicator 5.2: Number of CITS trades upgraded (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	4.00
Date	31-Mar-2017	13-Feb-2019	15-Sep-2021	30-Nov-2022
Comments	The Directorate has decide CITS trades is currently or	ed to outsource this job and t ngoing.	he procurement of a firm f	or upgrading the selected

Results Area 4: Improved and Broadened Apprenticeship Training

▶IR Indicator 6.1: Number of ICs receiving IAI Grants that have reached a female enrollment of 12% in their respective apprenticeship programs (Number, Custom)

programo (rtambor, ouotom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	7.00	10.00
Date	31-Mar-2017	13-Feb-2019	15-Sep-2021	30-Nov-2022
Comments	This is however yet to be	able with the Directorate, 4 IO verified by the IVA. Actual Cu ICs had reached a female en dditional 6 ICs.	rrent will be updated once	this result is verified.

Disbursement Linked Indicators

11/30/2021 Page 9 of 11

▶DLI 1 Increase in the number of graduates from ITIs that have signed PB Grant Agreements (Outcome, 18,000,000.00, 0%)					
	Baseline	Actual (Previous)	Actual (Current)	FY2021/22 (Year 5)	
Value	0.00	0.00	0.00		
Date	31-Mar-2017	18-Sep-2020	18-Sep-2020		
Comments	Selection of 200 ITIs has been completed and Performance Based Grant Agreements (PBGAs) signed. The result for the 2019-20 cohort are expected in March/ April 2021 and the DGT expects the number of graduates to increase by 11%				

▶DLI 2 Improvement in industrial training and employment outcomes for trainees and graduates of ITIs that have signed PB Grant Agreements (Outcome, 21,000,000.00, 0%)					
	Baseline	Actual (Previous)	Actual (Current)	FY2021/22 (Year 5)	
Value	2%,50%	0.00	0.00		
Date	31-Mar-2017	13-Feb-2019	13-Feb-2019		
Comments	While data collection is ongoing, the data currently available with the DGT indicates a 10% increase in OJTs, The Directorate is of the view that the target of 15% increase from the 2019-20 cohort may also be achieved by the end of the academic year. This will be updated once results achieved in confirmed and data is verified by the IVA.				

▶DLI 3 Reduction in ITIs' trainer vacancies and improvements in training of trainers (Outcome, 20,500,000.00, 0%)					
	Baseline	Actual (Previous)	Actual (Current)	FY2021/22 (Year 5)	
Value	0,0	0.00	0.00		
Date	31-Mar-2017	13-Feb-2019	13-Feb-2019		
7 States are estimated to have already achieved instructor vacancy reduction targets. While this has been verified by the IVA, it will be updated once the claim is approved by the World Bank.					

A pilot tracer study had been conducted in Haryana and the report has been accepted by the NPIU. This marks achievement of FY 2019-20 target. The FY 2020-21 target of 5 states is expected to be achieved by December 2021					
Date	31-Mar-2017	14-Aug-2020	14-Aug-2020		
Value	0.00	1.00	1.00		
	Baseline	Actual (Previous)	Actual (Current)	FY2021/22 (Year 5)	
►DLI 4 Number of Participating States that have conducted tracer studies (Intermediate Outcome, 18,000,000.00, 0%)					

▶ DLI 5 Number of ICs that have introduced at least 2 different apprenticeship programs within their participating (member) industries (Outcome, 22,500,000.00, 0%)

11/30/2021 Page 10 of 11

	Baseline	Actual (Previous)	Actual (Current)	FY2021/22 (Year 5)
Value	0.00	DLR period 1 (2017-18) for this indicator that states "MSDE has developed and approved/adopted the Operations Manual for the IAI Grant mechanism" has been achieved.	DLR period 1 (2017-18) for this indicator that states "MSDE has developed and approved/adopted the Operations Manual for the IAI Grant mechanism" has been achieved.	
Date	31-Mar-2017	13-Feb-2019	13-Feb-2019	
Comments	and it is reported that 4 ICs introducing 2 tra	is been developed, approved and a 4 of them have initiated 2 trades ea des each has been verified as ach ent will be updated upon receipt of	ach. The minimum threshold of lieved by the IVA however, is pe	target of this DLI, i.e

▶DLI 6 Increase in female enrollment rate in ITIs with PB Grant Agreements and ICs receiving IAI Grants (Outcome, 19,000,000.00, 0%)					
	Baseline	Actual (Previous)	Actual (Current)	FY2021/22 (Year 5)	
Value	9.7%,0	19.1%,0%	19.1%,0%		
Date	31-Mar-2017	13-Feb-2019	13-Feb-2019		
Comments	Female enrolment targets for ITIs has been verified as achieved for 2019-20 cohort. Data collection for the 2020-21 cohort is yet to be initiated. Temale enrolment targets for ICs has also been verified by the IVA however it is pending World Bank approvation and subsequent disbursement. Actual current will be updated upon approval by the Bank.				

11/30/2021 Page 11 of 11