

**Ukraine/Ministry of Finance/Ministry for
Communities, Territories and Infrastructure
Development
REPAIRING ESSENTIAL LOGISTICS
INFRASTRUCTURE AND NETWORK
CONNECTIVITY (RELINC) PROJECT (P180318)**

**Draft
ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)**

December 27, 2022

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. Ukraine (the Recipient) will implement the Repairing Essential Logistics Infrastructure and Network Connectivity (RELINC) Project (P180318) (the Project) with the involvement of the Ministry for Communities, Territories and Infrastructure Development of Ukraine (MOCTIDU), the Ukraine State Roads Agency (UAD), and the Ukraine State Railway Agency (UZ) as set out in the Grant Agreement. The International Bank for Reconstruction and Development (hereinafter the World Bank) has agreed to provide financing for the Project, as set out in the referred agreement.
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the World Bank. The ESCP is a part of the Grant Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Borrower shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the World Bank. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the World Bank.
4. As agreed by the World Bank and the Borrower, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient through Ministry for Communities, Territories and Infrastructure Development of Ukraine and the World Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the World Bank and the Ministry for Communities, Territories and Infrastructure Development of Ukraine. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the World Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism(s).</p>	<p>Submit quarterly reports to the World Bank throughout Project implementation, commencing after the Effective Date. Submit each report to the World Bank not later than 15 days after the end of each reporting period.</p>	<p><i>MOCTIDU/UAD/UZ with technical support from UNOPS (TBD)</i></p>
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the World Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the World Bank’s request, prepare a report, including a root cause analysis on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the World Bank not later than 48 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the World Bank within a timeframe acceptable to the World Bank throughout Project implementation.</p>	<p><i>MOCTIDU/UAD/UZ with technical support from UNOPS (TBD)</i></p>
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>a. UAD shall maintain the PIU for Component 1 with qualified staff and resources to support the management of ESHS risks and impacts of the Project, including engaging one environmental specialist and one social specialist.</p> <p>b. UZ shall maintain the PIU for Component 2 with qualified staff and resources to support the management of ESHS risks and impacts of the Project, including engaging one environmental specialist and one social specialist.</p> <p>c. MOCTIDU shall hire E&S specialist to support management of E&S risks and coordination between UAD and UZ ES staff</p>	<p>Maintain the PIUs as set out in the legal agreements. Hire the E&S specialists not later than thirty (30) days after the grant Effective Date and retain them throughout Project implementation. The two delegations have agreed to re assess if additional E&S specialist hire is required 45 days after the Effective Date.</p>	<p><i>UAD/UZ/MOCTIDU</i></p>

<p>1.2</p>	<p>ENVIRONMENTAL AND SOCIAL INSTRUMENTS</p> <p>a. MOCTIDU/UAD/UZ shall prepare, disclose, consult upon adopt and thereafter implement an Environmental and Social Management Framework (ESMF) and the Environmental, Health and Safety Guidelines (EHSGs). The ESMF shall describe potential E&S impacts and mitigation measures for common groups of activities, including preparation of additional site-specific E&S management plans (a full Environmental and Social Impact Assessment (ESIA), an Environmental and Social Management Plan (ESMP), and/or a simplified ESMP checklist, a Traffic Management Plan, Waste Management Plan, etc.), as relevant. The ESMF shall provide a monitoring plan format that includes monitoring indicators, timing, methods, and institutional responsibilities. The ESMF shall include labor management procedures as well as a Code of Conduct addressing the risk of SEA/SH incidents and a grievance process for workers with contact details for service providers. The ESMF shall provide guidance on the preparation of site-specific Emergency Preparedness and Response Plans which will cover measures to protect the safety and security of project personnel and nearby communities from war-related hazards and other relevant emergencies.</p>	<p>Prepare and adopt EMSF and EHSGs not later than 90 days after the Effective Date and prior to carrying out any works, thereafter, implement throughout Project implementation</p> <p>ESMF will be consulted upon and redisclosed at official MOCTIDU/UAD/UZ website and adopted once the situation in country allows.</p> <p>The two delegations agreed to reassess the consultation / disclosure situation within 90 days. ESMF shall be further updated throughout Project implementation if required.</p>	<p><i>MOCTIDU/UAD/UZ with technical support from UNOPS (TBD)</i></p>
	<p>b. MOCTIDU/UAD/UZ shall prepare, disclose, consult upon, adopt and thereafter implement relevant site-specific instruments as indicated by the Project ESMF and ESSs requirements, including ESMP, ESMP Checklist, Emergency Preparedness and Response Plans, as well as preparation and implementation of additional site-specific E&S management plans (such as Traffic Management Plan, Waste Management Plan, etc.) etc.</p>	<p>Prepare and adopt relevant site specific instruments prior to launching the bidding process for the relevant works. Those shall be consulted upon and disclosed at official MoCTIDU/UAD/UZ website and adopted once the situation in country allows. Thereafter, implement throughout Project implementation.</p> <p>The two delegations agreed to reassess the consultation / disclosure situation within 90 days.</p>	<p><i>MOCTIDU/UAD/UZ with technical support from UNOPS (TBD)</i></p>
<p>1.3</p>	<p>MANAGEMENT OF CONTRACTORS</p> <p>Incorporate the relevant aspects of the ESCP, including, inter alia, the relevant E&S instruments, the Labor Management Procedures, and code of conduct, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter, ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p>	<p>As part of the preparation of procurement documents and respective contracts.</p> <p>Supervise contractors throughout Project implementation.</p>	<p><i>MOCTIDU/UAD/UZ with technical support from UNOPS (TBD)</i></p>

<p>1.4</p>	<p>TECHNICAL ASSISTANCE Ensure that consultancies, studies (including feasibility studies, if applicable), capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the World Bank, that are consistent with the ESSs. Thereafter, ensure that the outputs of such activities comply with the terms of reference</p>	<p>Throughout Project implementation</p>	<p><i>MOI/UAD/UZ with technical support from UNOPS (TBD)</i></p>
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1.5	<p>CONTINGENT EMERGENCY RESPONSE FINANCING</p> <p>a. Ensure that the Contingency Emergency Response Component (CERC) Manual includes a description of the ESHS assessment and management arrangements including the CERC-ESMF Addendum that will be prepared for the implementation of the CERC, in accordance with the ESSs.</p> <p>b. Adopt any environmental and social (E&S) instruments which may be required for activities under the CERC, in accordance with the CERC Manual, the CERC-ESMF Addendum and the ESSs, and thereafter implement the measures and actions required under said E&S instruments, within the timeframes specified in said E&S instruments.</p>	<p>a. Prepare and adopt the CERC Manual and CERC-ESMF Addendum in form and substance acceptable to the Bank as a withdrawal condition under Section III.B.1d of Schedule 2 to the Financing Agreement and Section III.B.1.d of Schedule 2 to the Grant Agreement for the Project.</p> <p>b. Adopt any required E&S instrument and include it as part of the respective bidding process, if applicable, and in any case, before the carrying out of the relevant Project activities for which the E&S instrument is required. Implement the E&S instruments in accordance with their terms, throughout Project implementation.</p>	<p><i>MoF</i> <i>MOCTIDU/UAD/UZ if relevant</i></p>
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>Prepare, disclose, consult upon, adopt, and thereafter implement the Labor Management Procedures (LMP) for the Project as part of the ESMF, including, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including relating to SEA and SH) the prohibitions on forced labor and child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms, all in accordance with the applicable requirements of ESS2 and the ESSs.</p>	<p>Same timeframe as under Section 1.2</p> <p>a. above.</p>	<p><i>MOCTIDU/UAD/UZ with technical support from UNOPS (TBD)</i></p>
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.</p>	<p>Establish grievance mechanism prior to engaging Project workers and thereafter maintain and operate it throughout Project implementation.</p>	<p><i>MOCTIDU/UAD/UZ with technical support from UNOPS (TBD)</i></p>

ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	WASTE MANAGEMENT PLANS Incorporate and implement waste management plans in the ESIA, ESMPs and relevant management plans to be prepared under the ESMF to manage hazardous and non-hazardous wastes, consistent with ESS3.	Same timeframe as under Section 1.2 b. above.	<i>MOCTIDU/UAD/UZ with technical support from UNOPS (TBD)</i>
3.2	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT Incorporate and implement resource efficiency and pollution prevention and management measures in the ESIA, ESMPs and/or relevant management plans to be prepared under ESMF, including, inter alia, measures to address noise and dust emissions and the generation of construction wastes and wastewater, including hazardous and non-hazardous waste and water pollution; energy efficient processes/products;	Same timeframe as under Section 1.2 b. above.	<i>MOCTIDU/UAD/UZ with technical support from UNOPS (TBD)</i>
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	TRAFFIC ROAD AND SAFETY Incorporate and implement measures to manage traffic and road safety risks as required in the relevant ESIA, ESMPs, and/or relevant management plans to be prepared under the ESMF	Same timeframe as under Section 1.2 b. above	<i>MOCTIDU/UAD/UZ with technical support from UNOPS (TBD)</i>
4.2	COMMUNITY HEALTH AND SAFETY Assess and manage specific risks and impacts to the community arising from Project activities, including possible security risks, and include mitigation measures in the relevant ESIA, ESMPs and/or relevant management plans to be prepared under the ESMF, in accordance with ESS4.	Same timeframe as under Section 1.2 b.	<i>MOCTIDU/UAD/UZ with technical support from UNOPS (TBD)</i>
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	RESETTLEMENT POLICY FRAMEWORK Prepare, disclose, consult upon, adopt and thereafter implement a Resettlement Policy Framework (RPF) for the project, consistent with ESS5 to screen all activity sites for risk of land taking or other impacts on land use as necessary.	Not later than 90 days after the Effective Date and prior to carrying out any Project activity which may involve any Project-related land acquisition or restrictions on land use that may cause physical displacement, economic displacement, or both, in accordance with ESS5; thereafter, implement throughout Project implementation. The two delegations agreed to reassess the situation with RPF preparation after environmental and social screening of the project activities is completed within 45 days	<i>MOCTIDU/UAD/UZ</i>

5.2	Adopt and implement a resettlement action plan (RAP) for each activity under the Project for which the RPF requires such RAP, as set out in the RPF and consistent with ESS5.	Adopt and implement the respective RAP, including ensuring that before taking possession of the land and related assets, full compensation has been provided and as applicable, displaced people have been resettled and moving allowances have been provided.	<i>MOCTIDU/UAD/UZ</i>
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	Adopt and implement, as part of the ESMF, measures to screen and manage biodiversity and habitat risks and impacts, consistent with ESS6.	Same timeframe as under Section 1.2 a. above.	<i>MOCTIDU/UAD/UZ with technical support from UNOPS (TBD)</i>
6.2	Project activities shall be screened for potential adverse impacts in accordance with the ESMF and those having such impact on natural and critical habitats, protected areas or ecosystems shall be excluded from consideration under this project.	Throughout project implementation.	<i>MOCTIDU/UAD/UZ</i>
6.3	If any Project activities are determined to have a significant adverse impact on biodiversity, a detailed biodiversity assessment and management plan shall be developed, disclosed, consulted upon, adopted and implemented as part of the ESIA, ESMP, or other relevant E&S instrument prepared under the ESMF.	Same timeframe as under Section 1.2 b. above.	<i>with technical support from UNOPS (TBD)</i>
6.4	Training for contractor and supervising engineer in the protected area shall be delivered before start of works in order to increase the awareness that activities might impact the protected area and biodiversity and measures should be presented on minimizing and avoiding impact	Prior to start of relevant works, throughout Project implementation.	<i>MOCTIDU/UAD/UZ</i>
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
	Not currently relevant		
ESS 8: CULTURAL HERITAGE			
8.1	Provisions for implementation of chance find procedures shall be included in site specific ESMPs and in all civil works contracts to be conducted in such a way as to avoid any potential adverse impacts on historical monuments and other tangible and intangible cultural heritage.	Throughout Project implementation.	<i>MOCTIDU/UAD/UZ</i>
ESS 9: FINANCIAL INTERMEDIARIES			
	Not currently relevant		
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION Prepare, adopt and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them		<i>MOCTIDU/UAD/UZ</i>

	in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.	Adopt SEP not later than 30 days after the Effective Date and thereafter implement the SEP throughout Project implementation Consult and disclose SEP publicly once situation in country allows	
10.2	<p>PROJECT GRIEVANCE MECHANISM</p> <p>Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	Establish the Grievance two weeks after the Effective Date and thereafter operate and maintain throughout Project implementation.	MOCTIDU/UAD/UZ
CAPACITY SUPPORT			
CS1	Project Implementation Unit and other relevant implementing support staff responsible for the Project to receive training on the Project’s ESHS plans and instruments, fair, equitable and inclusive access and allocation of Project benefits and the roles and responsibilities of different key agencies in the ESF implementation.	Not later than 60 days after the Effective Date and periodically with the addition of new Project team members join the Project throughout implementation.	MOCTIDU/UAD
CS2	<p>Develop a training plan for staff, with adequate budget, providers and timeframe. Training will be required for staff on:</p> <ul style="list-style-type: none"> • E&S/OHS risks and impacts • World Bank ESF/EHS Guidelines • Community health and safety • Emergency preparedness and response • Monitoring and reporting of E&S aspects of the Project • Other issues, as required 	<p>Not later than 60 days after the Effective Date and periodically with the addition of new Project team members join the Project throughout implementation.</p> <p>UZ shall deploy E&S specialists to develop and implement the training plan for staff prior to the commencement of Project activities.</p>	UZ