

Public Disclosure Authorized

The Office of the Cabinet Secretariat Mongolia: Smart Government II Project P176631

Final
**ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)**

November 2021

WORKING DRAFT

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Office of the Cabinet Secretariat (CS) will implement the Mongolia Smart Government II Project (P176631) (the **Project**), with the involvement of the following Ministries/agencies/units: Communications and IT Authority (CITA), Ministry of Justice and Home Affairs (MoJHA), National Statistical Organization (NSO), and Agency for Land Administration and Management, Geodesy and Cartography (ALAMGC). The International Bank for Reconstruction and Development (hereinafter the Bank) is providing financing for the Project.
2. The CS will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, any specific documents, or plans, as well as the timing for each of these.
3. The CS will also comply with the provisions of any other E&S documents required under the ESF and referred to in this ESCP, such as Environmental Code of Practice (ECOP), Labor Management Procedure (LMP), and Stakeholder Engagement Plans (SEP), and the timelines specified in those E&S documents.
4. The CS is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in 1. above.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by the CS as required by the ESCP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed by the Bank and by the CS, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the CS will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and the CS. The CS will promptly disclose the updated ESCP.
7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the CS shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include such as environmental, community health, and safety impacts, labor influx, exclusion of vulnerable groups etc.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S documents required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s).	Six-monthly throughout Project implementation.	CS
B	Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Bank's request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.	Notify the Bank within 48 hours after learning of the incident or accident, and a report would be provided within a timeframe acceptable to the Bank.	CS
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	ORGANIZATIONAL STRUCTURE Establish and maintain an organizational structure with qualified staff and resources to support management of E&S risks, including appointment of at least one dedicated E&S specialist within the PIU and recruitment of external E&S consultants based on demand.	An organizational structure including at least one dedicated E&S specialist will be established within 30 days after Project effectiveness, and the external E&S consulting team to be recruited and mobilized based on demand after Project effectiveness. The organizational structure, including the specialist, should be maintained throughout Project implementation.	CS
1.2	ENVIRONMENTAL AND SOCIAL ASSESSMENT Update, adopt, and implement, the Environmental and Social Impact Assessment that has been prepared for the Project, in a manner acceptable to the Bank.	The mitigation measures contained in all the ESAs should be implemented throughout the Project implementation.	CS

		TA activities need to be screened for environmental and social risks and TA TORs need to include provisions to ensure environmental and social risks are assessed and mitigation measures are proposed as part of the TA outputs for addressing those E&S implications consistent with the ESF.	
1.3	<p>MANAGEMENT TOOLS AND INSTRUMENTS</p> <p>Screen any proposed activities in accordance with the Environmental and Social instruments prepared for the Project, and, thereafter, draft, adopt, and implement Environmental Code of Practice (ECOP), Social Impact Assessment (SIA), Stakeholder Engagement Plan (SEP), Labor Management Plan (LMP) as required, in a manner acceptable to the Bank.</p>	ECOP, SIA, SEP and LMP should apply throughout Project implementation. Once approved by Bank, these E&S documents will be implemented throughout Project implementation.	CS
1.4	<p>MANAGEMENT OF CONTRACTORS</p> <p>Potential minor civil works may involve contractors/subcontractors to carry out limited physical works. Incorporate the relevant aspects of the ESCP, including the relevant E&S documents and/or plans, and the Labor Management Procedures, into the ESHS specifications of the procurement documents with contractors. Thereafter ensure that the contractors comply with the ESHS specifications of their respective contracts.</p>	<p>Contractors bidding for upgrading buildings should incorporate the E&S instruments prior to the preparation of procurement documents.</p> <p>Supervise contractors throughout Project implementation.</p>	CS
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>Update, adopt, and implement the Labor Management Procedures (LMP) that have been developed for the Project.</p>	LMP has been developed as of November 15, 2021 and it has been reflected in the ESCP.	CS
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Maintain¹, and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.</p>	<p>The Labor related grievance mechanism specified as part of the LMP updating process.</p> <p>Any grievances and related resolutions are to be documented in regular reporting.</p>	CS
2.3	<p>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</p>	Throughout Project implementation	CS

¹ Current Grievance mechanism established under SMART Government I Project will continue to be operational.

	Prepare, adopt, and implement occupational, health and safety (OHS) measures specified in the prepared LMP.		
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT: Resource efficiency and pollution prevention and management measures will be covered under the ECOP prepared under action 1.3 above.	Same timeframe than for the preparation and implementation of the LMP and ECOP.	CS
ESS 4: COMMUNITY HEALTH AND SAFETY.			
4.1	COMMUNITY HEALTH AND SAFETY: Prepare, adopt, and implement measures and action to assess and manage specific risks and impacts to the community arising from Project activities, including, inter alia, behavior of Project workers, response to emergency situations, etc., and include these measures in the LMP and ECOP, in a manner acceptable to the Bank.	Same timeframe than for the preparation and implementation of the LMP and ECOP. The TOR of the public meeting/capacity building financed by the project should incorporate OHS requirements and measures based on the World Bank Environment Health and Safety Guidelines as well as WHO health guidance regarding COVID-19 prior to the preparation of procurement documents.	CS
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
ESS5 is not relevant to the Project.			
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
ESS6 is not relevant to the Project.			
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES.			
7.1	INDIGENOUS PEOPLES PLAN: Prepare and adopt Social Impact Assessment (SIA) and implement Stakeholder Engagement Plan (SEP) consistent with the requirement of ESS7, in a manner acceptable to the Bank.	Throughout project preparation and implementation.	CS
7.2	GRIEVANCE MECHANISM: Prepare, adopt, and implement the arrangements for the grievance mechanism for indigenous people, as required under ESS10.	Throughout project implementation.	CS
ESS 8: CULTURAL HERITAGE.			
ESS8 is not relevant to the Project.			

ESS 9: FINANCIAL INTERMEDIARIES.			
ESS9 is not relevant to the Project.			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE.			
10.1	STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION Update, adopt, and implement Stakeholder Engagement Plan (SEP).	Throughout project preparation and implementation.	CS
10.2	PROJECT GRIEVANCE MECHANISM: Maintain and operate a grievance mechanism, as described in the SEP.	Throughout project implementation.	CS
CAPACITY SUPPORT (TRAINING)			
CS1	Training on environmental and social management will be provided to PIU staff, key beneficiary agencies, consultants, contractors, communities, and project workers on: <ul style="list-style-type: none"> World Bank's Environmental and Social Framework (ESF) policy, process and guidelines for preparation, implementation, and supervision of E&S instruments focusing on ESCP, SEP, ECOP, and LMP, Specific training sessions on ESCP, SEP, ECOP, and LMP planning and implementation including the application of GRM. Supervision and monitoring of contractor performance, etc. 	After loan effectiveness and throughout project implementation	CS with support from the qualified international and national consultants with the support from the Bank.
CS2	Specify training for Project workers on occupational health and safety including on emergency prevention and preparedness and response arrangements to emergency situations, and basic knowledge and awareness on gender and inclusiveness, and good practices on reduction of energy consumption and e-waste management for reducing potential impacts on local communities and environment, GRM procedures and other social issues related to GBV, COVID-19 and other communicable diseases etc.	Throughout Project implementation	CS