

## PROCUREMENT PLAN (Textual Part)

**Project information:** INDIA, Kerala Solid Waste Management Project (P170811)

**Project Implementation agency:** Suchitwa Mission

**Date of the Procurement Plan:** April 20, 2020

**Period covered by this Procurement Plan (textual part):** From April 20, 2020 until publication of next version of the Procurement Plan (Textual Part).

### Preamble

In accordance with paragraph 5.9 of the “World Bank Procurement Regulations for IPF Borrowers” (July 2016, Revised November 2017 and August 2018) (“Procurement Regulations”) the Bank’s Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

**The Bank’s Standard Procurement Documents:** shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

**Procurement for the project will be carried out in accordance with the World Bank’s Procurement Regulations for IPF Borrowers, July 2016, Revised November 2017 and August 2018 and applicable to Investment Project Financing (IPF).** The project will be subject to the World Bank’s Anticorruption Guidelines, dated October 15, 2006, as revised in January 2011 and July 2016.

**The table below describes various procurement methods to be used for activities financed by the loan.** The thresholds (**Error! Reference source not found.**) indicated will govern the procurement approach and methods;

**Table 1: Procurement Approaches and Methods**

<b>Procurement Approaches and Methods</b>	<b>Thresholds (US\$ equivalent)</b>
Open international	For any value activity
Open national (Works – including turnkey, supply and installation of plant and equipment and PPP)	Up to 40 million

Open national (Goods, IT system, and Non-Consulting Services)	Up to 10 million
National request for quotation (Works, Goods, IT system and Non-Consulting Services)	Up to 100,000
Direct selection	No threshold; For works, goods, non-consulting services and IT system procurement: According to paragraphs 6.8-6.10 of the Regulations; For consultants: According to paragraphs 7.13-7.15 of the Regulations
National Market Approach for Selection of Consultants	Up to 800,000
<b>Prior Review Thresholds</b>	
Works including Supply & Installation of Plant and Equipment	All contracts more than or equal to US\$ 10 million equivalent.
Goods, IT system and Non-consulting services	All contracts more than or equal to US\$2 million equivalent
Consultants	All contracts (i) more than or equal to US\$1 million equivalent for firms; and (ii) more than or equal to US\$300,000 equivalent for individuals.
Prior Review contracts irrespective of value	Irrespective of the contract value, the following procurement activities are subject to the Bank's procurement prior review: (a) procurement processes involving contract negotiations, as described in Section VI, paragraphs 6.34-36, of the Procurement Regulation for Borrowers (b) competitive dialogue; (c) sustainable procurement; (d) selection of probity assurance providers, as described in Section III, paragraph 3.3 of the Procurement Regulations for Borrowers; and (e) best and final offer.

**Domestic preference-** The provision of domestic preference for goods will be applied in the evaluation of bids in accordance with Annex VI of the Regulations.

**National Procurement Arrangements:** National competition for the procurement of works, goods, IT system procurement and non-consulting services according to the established thresholds will be conducted in accordance with paragraphs 5.3–5.5 of Section V of the Regulations and the following provisions:

1. Only the model bidding documents for National Competitive Procurement (NCP) agreed with the GOI Task Force (and as amended for time to time), shall be used for bidding.
2. Invitations to bid shall be advertised on a widely used website or electronic portal with free open access at least 30 days prior to the deadline for the submission of bids, unless otherwise agreed in the approved procurement plan.
3. No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprises from any given State.
4. Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder.
5. Government e-Marketplace (GeM) set-up by Ministry of Commerce, Government of India will be acceptable for procurement under Request for Quotations (RFQ) method.
6. At the Borrower's request, the Bank may agree to the Borrower's use, in whole or in part, of its electronic procurement system, provided that the Bank is satisfied with the adequacy of such system.
7. Procurement will be open to eligible firms from any country. This eligibility shall be as defined under Section III of the Procurement Regulations. Accordingly, no bidder or potential bidder shall be declared ineligible for contracts financed by the Bank for reasons other than those provided in Section III of the Procurement Regulations.
8. The request for bids/request for proposals document shall require that Bidders/Proposers submitting Bids/Proposals include a signed acceptance in the bid, to be incorporated in any resulting contracts, confirming application of, and compliance with, the Bank's Anti-Corruption Guidelines, including without limitation the Bank's right to sanction and the Bank's inspection and audit rights.
9. The Borrower shall use an effective complaints mechanism for handling procurement related complaints in a timely manner.
10. Procurement Documents will include provisions, as agreed with the Bank, intended to adequately mitigate against environmental, social (including sexual exploitation and abuse and gender-based violence), health and safety ("ESHS") risks and impacts.

**Procurement of Works, Goods (Supply and Installation; and IT Equipment), Non-Consultancy Services and Consultancy Services** - As per procurement plan approved in STEP.

Use of Government e-Marketplace will be allowed in lieu of RFQ as per following details:

1. Up to INR 50,000 in catalog mode (viz. any available item could be selected by Implementing Agency without further competition), provided selected Item/Supplier meeting the requisite quality, specification and delivery period.
2. Up to INR 3 Million from the Supplier having lowest price amongst at least three suppliers meeting the requisite quality, specification and delivery period. The tools for online bidding and online reverse auction available on GeM may be used by the Purchaser.
3. Up to INR equivalent of US\$ 100,000 from the supplier having lowest price and meeting the requisite quality, specification and delivery period after mandatorily obtaining bids from at least three suppliers, using online bidding or reverse auction tool provided on GeM.
4. While making use of GeM portal, the borrower shall make use of Special Terms and Conditions meant for World Bank financed projects.

**PROCUREMENT PLAN** India : Kerala Solid Waste Management Project

**General Information**  
 Country: India Bank's Approval Date of the Original Procurement Plan: 2020-07-10  
 Revised Plan Date(s): (comma delineated, leave blank if non GPN Date: 2021-11-22  
 Project ID: P168633  
 Project Name: Kerala Solid Waste Management Project  
 Loan / Credit No: IBRD / P4960, IBRD / 92080  
 Executing Agency(ies) Suchitwa Mission (State PMU)

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	

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											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion			
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
										IN-SUCM -183720-CS-QCBS / Solid Waste Management Survey Agency	IBRD / P4960	Institutional development, capacity building and project management	Prior	Quality And Cost-Based Selection	Open - International		4,000,000.00	0.00	Under Implementation	2020-07-13	2021-02-14	2020-08-03	2021-02-16	2020-09-16	2021-11-15			2020-10-31	2020-11-30
IN-SUCM -210897-CS-QCBS / Project Management Consultancy (PMCS) Services	IBRD / P4960	Institutional development, capacity building and project management	Prior	Quality And Cost-Based Selection	Open - International		16,000,000.00	0.00	Under Implementation	2020-12-21	2020-12-19	2021-01-08	2020-12-23	2021-02-21	2021-03-27			2021-04-07	2021-08-04	2021-05-07	2021-10-12	2021-06-11	2021-11-17	2021-07-16		2027-06-15			
IN-SUCM -183713-CS-QCBS / Communication and Awareness Generation Support Services	IBRD / P4960	Institutional development, capacity building and project management	Post	Quality And Cost-Based Selection	Open - National		300,000.00	0.00	Cancelled	2020-07-13		2020-08-03		2020-09-16					2020-10-16	2020-11-15	2020-12-20		2021-01-24		2022-01-24				
IN-SUCM -183681-CS-QCBS / Project Management Consultancy (PMCS) Services	IBRD / P4960	Institutional development, capacity building and project management	Prior	Quality And Cost-Based Selection	Open - International		16,000,000.00	0.00	Cancelled	2020-07-13	2020-07-17	2020-08-03	2020-08-20	2020-09-16					2020-10-31	2020-11-30	2021-01-04		2021-02-08		2027-02-07				
IN-SUCM -183706-CS-QCBS / Technical Support Consultancy Services - Package A (Districts - Thrissur, Malappuram, Palakkad)	IBRD / P4960	Institutional development, capacity building and project management	Prior	Quality And Cost-Based Selection	Open - International		3,100,000.00	0.00	Cancelled	2020-07-13	2021-02-16	2020-08-03	2021-02-18	2020-09-16					2020-10-31	2020-11-30	2021-01-04		2021-02-08		2027-02-07				
IN-SUCM -183707-CS-QCBS / Technical Support Consultancy Services - Package B (Districts - Ernakulam, Alappuzha, Kottayam, Idukki)	IBRD / P4960	Institutional development, capacity building and project management	Prior	Quality And Cost-Based Selection	Open - International		4,150,000.00	0.00	Cancelled	2020-07-13	2021-02-16	2020-08-03	2021-02-18	2020-09-16					2020-10-31	2020-11-30	2021-01-04		2021-02-08		2027-02-07				
IN-SUCM -183711-CS-QCBS / Technical Support Consultancy Services - Package C (Districts - Thrissur, Malappuram, Palakkad)	IBRD / P4960	Institutional development, capacity building and project management	Prior	Quality And Cost-Based Selection	Open - International		3,100,000.00	0.00	Cancelled	2020-07-13	2021-02-16	2020-08-03	2021-02-18	2020-09-16					2020-10-31	2020-11-30	2021-01-04		2021-02-08		2027-02-07				
IN-SUCM -183712-CS-QCBS / Technical Support Consultancy Services - Package D (Districts - Kasaragod, Kannur, Wayanad, Kozhikode)	IBRD / P4960	Institutional development, capacity building and project management	Prior	Quality And Cost-Based Selection	Open - International		4,150,000.00	0.00	Cancelled	2020-07-13	2021-02-16	2020-08-03	2021-02-18	2020-09-16					2020-10-31	2020-11-30	2021-01-04		2021-02-08		2027-02-07				
IN-SUCM -260555-CS-QCBS / Technical Support Consultancy Service-Package D (Districts - Kasaragod, Kannur, Wayanad, Kozhikode)	IBRD / P4960	Institutional development, capacity building and project management	Prior	Quality And Cost-Based Selection	Open - International		4,150,000.00	0.00	Pending Implementation	2021-11-22		2021-12-13		2022-01-26					2022-02-23	2022-03-25	2022-04-29		2022-06-03		2023-06-03				
IN-SUCM -260543-CS-QCBS / Technical Support Consultancy Service-Package A (Districts - Thrissur, Malappuram, Palakkad)	IBRD / P4960	Institutional development, capacity building and project management	Prior	Quality And Cost-Based Selection	Open - International		3,100,000.00	0.00	Pending Implementation	2021-11-22		2021-12-13		2022-01-26					2022-02-23	2022-03-25	2022-04-29		2022-06-03		2023-06-03				
IN-SUCM -260551-CS-QCBS / Technical Support Consultancy Service-Package C (Districts - Thrissur, Malappuram, Palakkad)	IBRD / P4960	Institutional development, capacity building and project management	Prior	Quality And Cost-Based Selection	Open - International		3,100,000.00	0.00	Pending Implementation	2021-11-22		2021-12-13		2022-01-26					2022-02-23	2022-03-25	2022-04-29		2022-06-03		2023-06-03				

IN-SUCM - 260550-CS-QCBS / Technical Support Consultancy Services-Package B (Districts- Ernakulam,Alappuzha,Kottayam, Idukki)	IBRD / P4960	Institutional development, capacity building and project management	Prior	Quality And Cost-Based Selection	Open - International		4,150,000.00	0.00	Pending Implementation	2021-11-22	2021-12-13	2022-01-26			2022-02-23	2022-03-25	2022-04-29	2022-06-03	2023-06-03
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INDIVIDUAL CONSULTANTS																				
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion		
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	
IN-SUCM - 237223-CS-CDS / Communication Strategy Development and Implementation	IBRD / 92080	Institutional development, capacity building and project management	Post	Direct Selection	Direct		207,211.00	0.00	Under Implementation	2021-05-31		2021-06-10					2021-08-14		2022-02-10	
IN-SUCM - 240053-CS-CDS / Digital Media Engagement	IBRD / 92080	Institutional development, capacity building and project management	Post	Direct Selection	Direct		135,190.00	0.00	Under Implementation	2021-06-22		2021-07-02					2021-09-05		2022-03-04	