

China: Lushan Earthquake Reconstruction and Risk Reduction Project

Consolidated Procurement Plan

The procurement plan will cover the whole period of the project implementation. The PMO shall update the procurement plan throughout the duration of the project at least annually by including contracts previously awarded. All procurement plans, their updates or modifications shall be subject to Bank's prior review and no objection before implementation.

I. General

1. Project information:

Country □ China

Borrower: The People's Republic of China

Project Name: Lushan Earthquake Reconstruction and Risk Reduction Project

Loan No: 8647-CN

Project ID: P153548

Project Implementation Agency (PIA):

No .	Project County / District	Project Implementation Agency
1		Sichuan Urban Environment Project Office (UPPMO)
2	Yucheng District	Ya'an City Urban-Rural Planning, Construction and Housing Bureau
3	Mingshan District	Mingshan District Urban-Rural Planning, Construction and Housing Bureau
4	Lushan County	Lushan County Urban-Rural Planning, Construction and Housing Bureau
5	Baoxing County	Baoxing County Urban-Rural Planning, Construction and Housing Bureau
6	Tianquan County	Tianquan County Urban-Rural Planning, Construction and Housing Bureau
7	Yingjing County	Yingjing County Urban-Rural Planning, Construction and Housing Bureau
8	Shimian County	Shimian County Urban-Rural Planning, Construction and Housing Bureau
9	Shimian County	Shimian County Emergency Office
No .	Project County / District	Project Implementation Agency
1		Sichuan Provincial Transportation Department Highway Bureau (TPPMO)
2	Qionglai City,	Qionglai Highway Maintenance Team under Qionglai Municipal Transportation Bureau (IA)

3	Tianquan County	Tianquan County Highway Maintenance Team (IA)
4	Yingjing County	Yingjing highway maintenance team under Yingjing County Transportation Bureau (IA)

2. Bank's approval date of the procurement plan [Original : May 31, 2016; Revision 1:]

3. Date of General Procurement Notice: June 7. 2016.

4. Period covered by this procurement plan: June 2016 - June 2022

II. Goods and Works and Non-consulting services.

1. Prior Review Threshold: Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Guidelines for Procurement:

Expenditure Category	Contract Value (US\$)	Procurement Method	Bank Prior Review
Goods/IT Systems and Non-Consulting Services	≥10 million	ICB	All ICB contracts
	≥500k - < 10 million	NCB Remarks: Where goods are not normally available from within China, the method of procurement will be ICB even if the contract value is less than USD 10 million.	First NCB goods contract by each PMO irrespective of value and all contracts ≥ USD 3 million.
	< 500 K	Shopping	None
	N/A	DC	All contracts ≥ USD 200k.
Works/ Supply & Installation	≥40 million	ICB	All ICB contracts
	≥500k - < 40 million	NCB	First NCB works contract by each PMO irrespective of value and all contracts ≥ USD 15 million.

	< 500 K	Shopping	None
	N/A	DC	All contracts >= USD 200k.
Notes:	ICB: International Competitive Bidding NCB: National Competitive Bidding DC: Direct Contracting NA: Not Applicable		

2. **Prequalification.** None
3. **Proposed Procedures for CDD Components (as per paragraph 3.10 of the Guidelines):** refer to the CDD project implementation document approved by the Bank.
4. **Reference to (if any) Project Operational/Procurement Manual:**
The PMO has prepared a procurement management manual.
5. **Any Other Special Procurement Arrangements:** No
6. **Procurement Packages with Methods and Time Schedule**

USD : RMB = 1:6.5

1	2	3	4	5	6	7	8	9	10	11	12
Ref. No.	Contract (Description)	Estimated Cost (CNY10,000)	Estimated Cost (USD 10,000)	Procurement Method	Prequalification (yes/no)	Domestic Preference (yes/no)	Review by Bank (Prior / Post)	Expected Bid-Opening Date	Contract Period (Months)	Date of Land Acquisition and Resettlement Agreement	Remarks
	Urban activities (Component 2)										
YC-1	Civil works contract for Yucheng District: construction of 3.5km of Outer South Ring Road	16316.386	2510.21	NCB/Works	No	No	Prior	2017/8/30	20	2017/8/15	
YC-2	Civil works contract for Yucheng District: construction of 2.82km of Outer South Ring Road; construction of one emergency shelter	13351.994	2054.15	NCB/Works	No	No	Prior	2017/9/30	20	2017/9/15	
MS-1	Civil works contract for Mingshan District: construction of 6 roads; construction / reconstruction of 2 emergency shelters; construction of two sections of the flood protection embankment with the greening and landscaping areas along the embankment	18483.73	2843.65	NCB/Works	No	No	Prior	2017/6/30	20	2017/6/1	
LS-1	Civil works contract	25565.08	3933.09	NCB/	No	No	Prior	2017/6/	22	2017/6/15	

	for Lushan County: reconstruction and expansion of 8 roads, construction of 3 roads, pavement reconstruction of 6 roads, construction of 4 emergency shelters			Works					30			
TQ-1	Civil works contract No.1 for Tianquan County: reconstruction of 5 roads in the old urban area, construction of one emergency shelter	7085.17	1090.03	NCB/ Works	No	No	Prior	2017/9/30	17	2017/9/15		
TQ-2	Civil works contract No.2 for Tianquan County: construction of 8 roads in Shaba Area, construction of one emergency shelter	16510.57	2540.09	NCB/ Works	No	No	Prior	2017/10/30	20	2017/9/30	*See note	
BX-1	Civil works contract for Baoxing County: repair of the embankment along Yanjiang Road and the construction of evacuation corridor, water plant, and water distribution pipeline in Muping Town; North Zhongling Road in Lingguan Town	19153.39	2946.68	NCB/ Works	No	No	Prior	2017/7/30	20	No		
YJ-1	Civil works contract for Yingjing County: construction of 2	15953.89	2454.44	NCB/Works	No	No	Prior	2017/7/30	20	2016/12/31		

	roads, reconstruction of 2 roads, reconstruction of floodway; reconstruction of one emergency shelter										
SM-1	Civil works contract No.1 for Shimian County: construction of Yanzi Road, reconstruction of G108 (with bridge) and S211; construction of embankment in Yanzi Area	12900.25	1984.65	NCB/ Works	No	No	Prior	2017/8/30	20	No	
SM-2	Civil works contract No.2 for Shimian County: construction of 2 emergency shelters, construction of Road S1, S2, Road No.1, No.2, No.3, Xuefu Road, Dianli Road, Mid Nanyahe Bridge	13038.37	2005.9	NCB/ Works	No	No	Prior	2017/10/30	17	No	
SM-3	Civil works contract No.3 for Shimian County: construction of Lianpowan Daduhe Bridge in Xiangdong Area	9396.68	1445.64	NCB/ Works	No	No	Post	2017/11/30	20	No	
SM-4	Equipment contract for the DRM system of Shimian County	2817.75	433.5	NCB/ Goods	No	No	Prior	2017/12/30	12	No	
	Sub-total	170573.26	26242.03								
	Rural roads	USD : RMB = 1:6.5									

(Component 1)											
NCG L-QL-1	Daozuo Village-Huojing Highway in Qionglai City totals 13.41 km	15615	2402	NCB/ Civil Works	No	No	Prior	2016/9	18		
NCG L-TQ-2	The new highway in Tianquan county, Ya'an city totals to 16.56 km.	5738	882.76	NCB/ Civil works	No	No	Prior	2016/9	18		
NCG L-YJ-3	Yinglu Road (Hongshigou to Daqiaotou Section) in Yingjing County, Ya'an City totals to 6 km.	4095	630	NCB/ Civil Works	No	No	Prior	2016/9	14		
Sub-total		25448	3914.76								

Note: The bidding for works of the bridge along the connection road to Ya'an - Kangding Expressway shall start before the Bank issues NOL to the bidding document.

III. Selection of Consultants

1. Prior Review Threshold: Selection decisions subject to Prior Review by Bank as stated in Appendix 1 to the Guidelines Selection and Employment of Consultants:

Expenditure Category	Contract Value (US\$)	Selection Method	Bank Prior Review
Consultants	>= 300,000	QCBS, QBS	Firms: First contract for each selection method and all contracts >=USD 1 million;
	< 300,000	QCBS, QBS, CQS	
	N/A	SSS	
	N/A	IC	Firms: All SSS contracts >= USD 100 K; Individual Consultant: Only in Exceptional Cases; SSS for individual consultant: >=USD 50 K
			All terms of references (TOR) will be reviewed by the Bank Task Team regardless of the contract value

Notes QCBS: Quality- and Cost-Based Selection
 : QBS: Quality-Based Selection
 CQS: Selection Based on the Consultants' Qualifications
 SSS: Single-Source Selection
 IC: Individual Consultant selection procedure
 NA: Not Applicable

2. Short list comprising entirely of national consultants: Short list of consultants for services, estimated to cost less than USD500,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

3. Any Other Special Selection Arrangements: Advance contract and retroactive financing will be included under this project, if applicable.

4. Consultancy Assignments with Selection Methods and Time Schedule

USD : RMB = 1:6.5

1	2	3	4	5	6	7
Ref. No.	Description of Assignment	Estimated Cost	Selection	Review	Expected Proposals	Contract Duration

		(USD 1m)	Meth od	by Bank (Prior / Post)	Submission Date	(months)
TA-1	Procurement agent	1.00	QCBS	Prior	2016/6/30	48
TA-2	Survey and design for Yucheng District and Mingshan District	2.34	QCBS	Prior	2016/8/30	6
TA-3	Survey and design for Lushan County, Baoxing County, and Yingjing County	2.91	QCBS	Prior	2016/8/30	6
TA-4	Survey and design for Tianquan County and Shimian County	2.68	QCBS	Prior	2016/8/30	6
TA-5	Project management and construction supervision	8.00	QCBS	Prior	2016/8/30	48
TA-6	External environmental monitoring	0.295	CQS	Prior	2016/8/30	48
TA-7	External resettlement monitoring	0.295	CQS	Post	2016/8/30	48
TA-8	DRM consulting service	1.50	QCBS	Prior	2016/9/30	36
	Training	0.40	SOE			
	Total	19.42				

Note: The influence of the potential restructuring is not considered.

PROCUREMENT PLAN China : Lushan Earthquake Reconstruction and Risk Reduction Project

General Information
 Country: China Bank's Approval Date of the Original Procurement Plan: 2016-09-27
 Project ID: P153548 Revised Plan Date(s): (comma delineated, leave blank if non 2022-03-16
 Project Name: Lushan Earthquake Reconstruction and Risk Reduction Project GPN Date:
 Loan / Credit No: IBRD / 86470
 Executing Agency(ies) Sichuan Urban Environment Project Office

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents as Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		Planned	Actual
LS-1 / Civil works contract for Lushan County reconstruction and expansion of 8 roads, construction of 3 roads, pavement reconstruction of 5 roads, construction of 4 emergency shelters	IBRD / 86470	Upgrading of Priority Urban and Emergency Infrastructure	Prior	Request for Bids	Open - National	Single Stage - One Envelope				39,330,000.00	19,868,498.24	Signed					2018-07-10	2018-07-19	2018-07-20	2018-07-20			2018-08-21	2018-08-31	2018-09-10	2018-10-18	2018-10-19	2018-11-16	2020-04-18		
YC-1 / Civil works contract for Yucheng District, construction of 3.5km of Outer South Ring Road	IBRD / 86470	Upgrading of Priority Urban and Emergency Infrastructure	Prior	Request for Bids	Open - National	Single Stage - One Envelope				25,100,000.00	12,756,714.37	Signed					2018-07-30	2018-09-14	2018-08-09	2018-09-20			2018-09-10	2018-10-31	2018-09-30	2018-11-28	2018-11-08	2018-12-27	2020-05-09		
YC-2 / Civil works contract for Yucheng District, construction of 2.82km of Outer South Ring Road, construction of one emergency shelter	IBRD / 86470	Upgrading of Priority Urban and Emergency Infrastructure	Prior	Request for Bids	Open - National	Single Stage - One Envelope				20,540,000.00	12,190,793.18	Signed					2018-07-30	2018-09-14	2018-08-09	2018-09-20			2018-09-10	2018-10-31	2018-09-30	2018-11-28	2018-11-08	2018-12-27	2020-05-09		
MS-1 / Civil works contract for Mingshan District, construction of 6 roads, construction / reconstruction of 2 emergency shelters, construction of two sections of the flood protection embankment with the greening and landscaping areas along the embankment	IBRD / 86470	Upgrading of Priority Urban and Emergency Infrastructure	Prior	Request for Bids	Open - National	Single Stage - One Envelope				28,440,000.00	14,244,116.04	Signed					2018-08-17	2018-11-07	2018-08-27	2018-11-11			2018-09-28	2018-12-26	2018-10-18	2019-03-04	2018-11-27	2019-03-26	2020-05-27		
TQ-1 / Civil works contract No.1 for Tianquan County, reconstruction of 5 roads in the old urban area, construction of one emergency shelter	IBRD / 86470	Upgrading of Priority Urban and Emergency Infrastructure	Prior	Request for Bids	Open - National	Single Stage - One Envelope				10,900,000.00	0.00	Canceled					2018-07-30	2018-09-10	2018-08-09	2018-09-12			2018-09-10	2018-11-01	2018-09-30	2018-12-13	2018-11-08		2020-02-08		
TQ-2 / Civil works contract No.2 for Tianquan County, construction of 8 roads in Shaba Area, construction of one emergency shelter	IBRD / 86470	Upgrading of Priority Urban and Emergency Infrastructure	Prior	Request for Bids	Open - National	Single Stage - One Envelope				25,400,000.00	8,545,095.74	Signed					2018-08-24	2019-02-19	2018-09-03	2019-02-20			2018-10-11	2019-04-03	2018-10-31	2019-05-01	2018-12-10	2019-05-16	2020-06-09		
BX-1 / Civil works contract for Baixing County, repair of the embankment along Yanjiang Road and the construction of evacuation corridor, water plant, and water distribution pipeline in Muping Town, North Zhongling Road in Lingguan Town	IBRD / 86470	Upgrading of Priority Urban and Emergency Infrastructure	Prior	Request for Bids	Open - National	Single Stage - One Envelope				29,470,000.00	22,125,586.94	Signed					2018-07-30	2018-10-30	2018-08-09	2018-11-05			2018-09-10	2018-12-26	2018-09-30	2019-02-23	2018-11-08	2019-04-12	2020-05-09		
YJ-1 / Civil works contract for Yingjing County, reconstruction of 2 roads, reconstruction of floodway, reconstruction of one emergency shelter	IBRD / 86470	Upgrading of Priority Urban and Emergency Infrastructure	Prior	Request for Bids	Open - National	Single Stage - One Envelope				24,540,000.00	0.00	Canceled					2018-08-17	2018-11-01	2018-08-27	2018-11-05			2018-09-28	2018-12-19	2018-10-18		2018-11-27		2020-05-27		
SM-1 / Civil works contract No.1 for Shimian County, reconstruction of Yanzi Road, reconstruction of G108 (with bridge) and S211, construction of embankment in Yanzi Area	IBRD / 86470	Upgrading of Priority Urban and Emergency Infrastructure	Prior	Request for Bids	Open - National	Single Stage - One Envelope				19,850,000.00	18,758,886.95	Signed					2018-08-13	2018-10-24	2018-08-23	2018-10-30			2018-09-25	2018-11-30	2018-10-15	2019-01-17	2018-11-26	2019-02-14	2020-05-27		
SM-2 / Civil works contract No.2 for Shimian County, construction of 2 emergency shelters, construction of Road S1, S2, Road No.1, No.2, No.3, Xuefu Road, Dami Road, Mid Nanyue Bridge	IBRD / 86470	Upgrading of Priority Urban and Emergency Infrastructure	Prior	Request for Bids	Open - National	Single Stage - One Envelope				20,060,000.00	9,687,853.3	Signed					2018-08-13	2018-11-07	2018-08-23	2018-11-11			2018-09-25	2018-12-21	2018-10-15	2019-02-26	2018-11-26	2019-03-15	2020-05-27		
SM-3 / Civil works contract No.3 for Shimian County, construction of Luangwan Daduhe Bridge in Xiangdong Area	IBRD / 86470	Upgrading of Priority Urban and Emergency Infrastructure	Post	Request for Bids	Open - National	Single Stage - One Envelope				14,460,000.00	14,899,617.80	Signed					2018-08-13	2018-10-16	2018-08-23				2018-09-25	2018-12-06	2018-10-15	2019-01-25	2018-11-26	2019-02-19	2020-05-27		
TQ-1A / Civil works contract No.1 for Tianquan County, reconstruction of 5 roads in the old urban area, construction of one emergency shelter	IBRD / 86470	Upgrading of Priority Urban and Emergency Infrastructure	Prior	Request for Bids	Open - National	Single Stage - One Envelope				10,900,000.00	11,369,983.18	Signed					2019-01-11		2019-01-13				2019-01-14		2019-01-16	2019-01-17	2019-02-15	2019-01-31	2020-10-15		

TA-3 / Investigation and design services for the subprojects in Baoxing County, Lushan County, and Yingjing County.	IBRD / 86470	Project Management and Capacity Building	Prior	Quality And Cost-Based Selection	Open - International		2,910,000.00	2,177,763.65	Signed	2017-01-20	2017-01-25	2017-01-25	2017-01-26	2017-04-26	2017-05-13			2017-05-31	2017-07-11	2017-07-05	2017-07-28	2017-08-23	2017-09-01	2017-09-27	2017-09-20	2018-03-28
TA-4 / Investigation and design services for the subprojects in Tianquan County and Shimian County.	IBRD / 86470	Project Management and Capacity Building	Prior	Quality And Cost-Based Selection	Open - International		2,680,000.00	2,044,054.24	Signed	2017-01-20	2017-01-25	2017-01-25	2017-01-26	2017-04-26	2017-05-13			2017-05-31	2017-07-11	2017-07-05	2017-07-28	2017-08-23	2017-09-01	2017-09-27	2017-09-20	2018-03-28
TA-5 / Project management and construction supervision.	IBRD / 86470	Project Management and Capacity Building	Prior	Quality And Cost-Based Selection	Open - International		8,000,000.00	6,889,067.00	Signed	2017-01-20	2017-01-25	2017-01-25	2017-01-26	2017-04-12	2017-08-07			2017-05-17	2017-09-20	2017-06-14	2017-11-06	2017-08-02	2017-12-26	2017-09-06	2018-01-10	2022-09-06
TA-6 / External environment monitoring	IBRD / 86470	Project Management and Capacity Building	Prior	Consultant Qualification Selection	Open - International		295,000.00	296,073.79	Signed	2017-05-08	2017-05-23	2017-05-22	2017-07-23	2017-07-24	2017-10-26							2017-09-04	2017-12-29	2017-10-09	2018-01-17	2022-06-30
TA-7 / External resettlement monitoring	IBRD / 86470	Project Management and Capacity Building	Post	Consultant Qualification Selection	Open - International		295,000.00	301,004.40	Signed	2017-05-08	2017-05-11	2017-05-22	2017-07-23	2017-07-24	2017-10-17							2017-09-04	2017-12-06	2017-10-09	2018-01-17	2022-06-30
TA-8 / Pilot multi-hazard risk management system in Shimian County	IBRD / 86470	Project Management and Capacity Building	Prior	Quality And Cost-Based Selection	Open - International		1,500,000.00	1,439,630.82	Signed	2018-05-15	2018-05-18	2018-05-16	2018-05-21	2018-07-11	2018-08-15			2018-08-15	2018-09-20	2018-08-22	2018-10-08	2018-09-26	2019-01-15	2018-11-07	2019-01-30	2022-06-30

INDIVIDUAL CONSULTANTS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified / Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion		
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	