



## Strengthening of State Universities in Chile (P163437)

LATIN AMERICA AND CARIBBEAN | Chile | Education Global Practice |  
IBRD/IDA | Investment Project Financing | FY 2018 | Seq No: 8 | ARCHIVED on 22-Dec-2021 | ISR49725 |

Implementing Agencies: Ministry of Finance, Ministry of Education

### Key Dates

#### Key Project Dates

Bank Approval Date: 20-Oct-2017

Effectiveness Date: 22-Mar-2018

Planned Mid Term Review Date: 19-Oct-2020

Actual Mid-Term Review Date: 19-Jan-2021

Original Closing Date: 30-Dec-2022

Revised Closing Date: 30-Dec-2022

### Project Development Objectives

Project Development Objective (from Project Appraisal Document)

The objectives of the project are to improve quality and equity within State Universities and to strengthen their institutional capacity to address regional and national development challenges.

Has the Project Development Objective been changed since Board Approval of the Project Objective?

No

### Components Table

Name

Technical Assistance for Strengthening the Borrower's State Universities Sector  
Support to the Establishment of Networks in State Universities:(Cost \$7.00 M)  
Support to the Implementation of Institutional Strengthening Development Plans:(Cost \$43.00 M)

### Overall Ratings

Name	Previous Rating	Current Rating
Progress towards achievement of PDO	<input type="checkbox"/> Satisfactory	<input type="checkbox"/> Satisfactory
Overall Implementation Progress (IP)	<input type="checkbox"/> Satisfactory	<input type="checkbox"/> Satisfactory
Overall Risk Rating	<input type="checkbox"/> Moderate	<input type="checkbox"/> Moderate

### Implementation Status and Key Decisions

The Project has made important progress, including the development of 10-year State University strengthening plans for the 18 State Universities and of three State University Structural Networks, which promote the capacity of universities to plan and implement both academic and non-academic activities and policies cooperatively. The networks on student mobility and information systems, in particular, will be critical in addressing some of the challenges posed by the COVID-19 pandemic. The network on mobility, for example, is important given the constraints on physical mobility, and can offer important opportunities for students from the most disadvantaged contexts.

In terms of disbursements from 2018-2020, disbursements against eligible expenditures based on the achievement of Performance-Based Conditions (PBC, formerly Disbursement-Linked Indicators) have remained largely in line with what was envisioned at Project Appraisal. To date, the following PBC have been achieved:

- PBC 1 State Universities Strengthening Plan Committee (SPC) has been established is operational (US\$5.0 million)
- PBC 2 Pertinence Diagnostic was completed and disseminated to at least 12 State Universities (US\$5.0 million)



- PBC 3 Definition of quality standards for Career Services was approved by the SPC and disseminated to at least 12 State Universities (US\$5.0 million)
- PBC 4 Definition of quality standards for Remedial Programs was approved by the SPC and disseminated to at least 12 State Universities (US\$5.0 million)
- PBC 5 18 Institutional Strengthening Development Plans (ISDPs) were approved by the SPC and adopted by each State University (US\$2.5 million)
- PBC 7 At least 3 pilot Structural Networks are operational (US\$2,000,685)
- PBC 9 At least 5% increase in the share of full-time faculty with Ph.Ds. in State Universities (US\$3.0 million)
- PBC 10 Central Information System is operational at each State University was achieved at 80 percent, with the remaining 20 percent expected in 2021 (disbursed US\$2.0 million of US\$2.5 million)

For year 2021, evidence of compliance with PBCs 6, 8, 11 and 15 has been presented.

The PIU and the Bank team have already agreed on holding a meeting in January to discuss potential issues with the remaining PBCs for year 2022.

## Risks

### Systematic Operations Risk-rating Tool

Risk Category	Rating at Approval	Previous Rating	Current Rating
Political and Governance	☐ Substantial	☐ Substantial	☐ Substantial
Macroeconomic	☐ Low	☐ Low	☐ Low
Sector Strategies and Policies	☐ Moderate	☐ Moderate	☐ Moderate
Technical Design of Project or Program	☐ Moderate	☐ Moderate	☐ Moderate
Institutional Capacity for Implementation and Sustainability	☐ Low	☐ Low	☐ Low
Fiduciary	☐ Moderate	☐ Moderate	☐ Moderate
Environment and Social	☐ Moderate	☐ Low	☐ Low
Stakeholders	☐ Low	☐ Low	☐ Low
Other	--	--	--
Overall	☐ Moderate	☐ Moderate	☐ Moderate

## Results

### PDO Indicators by Objectives / Outcomes

Improve quality of State Universities.				
► Improvement of the Institutional Quality Index of State Universities (Percentage, Custom, PBC)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	51.00	58.70	60.90	54.00



Date	30-Dec-2016	10-May-2021	14-Dec-2021	30-Dec-2022
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Improve Equity of State Universities.

► Reduction of the dropout rate of 3rd year vulnerable students (Percentage, Custom, PBC)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	36.60	32.90	29.88	35.60
Date	30-Dec-2016	10-May-2021	14-Dec-2021	30-Dec-2022

Strengthen SUs' institutional capacity to address regional and national development challenges.

► Proportion of Conicyt-Nuevo Milenio projects in execution adjudicated to State Universities (Percentage, Custom, PBC)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	49.30	48.80	48.35	50.00
Date	30-Dec-2016	10-May-2021	14-Dec-2021	30-Dec-2022

Intermediate Results Indicators by Components

Technical Assistance for Strengthening the Borrower's State Universities Sector

► Development of Early-Warning Systems (EWS) (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	State universities do not have EWS	EWS on hold due to the pandemics	EWS on hold due to the pandemics	12 state universities have operational early-warning systems
Date	15-Aug-2017	10-May-2021	14-Dec-2021	30-Dec-2022

Comments: Due to the pandemics, the development of the EWS is on hold

► Improvement of State Universities' Information Systems (Text, Custom, PBC)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	State universities do not have a central information system	System developed and available in each university	System developed and available in each university	A central information system is operational at state universities
Date	15-Aug-2017	10-May-2021	14-Dec-2021	30-Dec-2022

► Impact Evaluations (IEs) are designed and completed (Text, Custom)



	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	Ministry has asked cancellation	Ministry has asked cancellation	Two reports describing the main findings of the Impact Evaluations are completed
Date	15-Aug-2017	10-May-2021	14-Dec-2021	30-Dec-2022

Support to the Implementation of Institutional Strengthening Development Plans

► Peer reviewed publications at State Universities (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	4,952.00	7,828.00	7,828.00	6,000.00
Date	31-Dec-2015	10-May-2021	14-Dec-2021	30-Dec-2022

► Percentage increase in the share of full-time faculty with PhDs (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	16.70	16.70	9.00
Date	30-Dec-2016	10-May-2021	14-Dec-2021	30-Dec-2022

► Number of institutions that improve their accreditation level (or equivalent) (Amount(USD), Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	4.00	4.00	7.00
Date	15-Aug-2017	10-May-2021	14-Dec-2021	30-Dec-2022

► Dropout rate of 3rd year students (Percentage, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	36.10	33.10	33.10	35.00
Date	30-Dec-2016	10-May-2021	14-Dec-2021	30-Dec-2022

► Number of Projects related to regional or national development (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	n/a	14 Regional projects corresponding to 14 universities. Moreover there is a regional aspect in the ISDP.	14 Regional projects corresponding to 14 universities. Moreover there is a regional aspect in the ISDP.	70% of all regional and national development projects are deemed satisfactory



Date	15-Aug-2017	10-May-2021	14-Dec-2021	30-Dec-2022
<b>► Share of last year students who have received quality career services (Percentage, Custom)</b>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	20.00
Date	15-Aug-2017	10-May-2021	14-Dec-2021	30-Dec-2022
<b>► Number of state universities with Centers of Inclusion with gender Action Plans (Number, Custom)</b>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	16.00
Date	15-Aug-2017	10-May-2021	14-Dec-2021	30-Dec-2022
<b>► Institutional consolidation of the State University system (Text, Custom)</b>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	"Ley de Fortalecimiento de las Universidades Estatales" has been introduced in Congress	18 Institutional Strengthening Development Plans are approved by the SPC and adopted by each university	18 Institutional Strengthening Development Plans are approved by the SPC and adopted by each university	12 State Universities accomplish 70% of the yearly ISDP objectives
Date	15-Aug-2017	10-May-2021	14-Dec-2021	30-Dec-2022
<b>► Students benefiting from direct interventions to enhance learning (Number, Corporate)</b>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	292,876.00	292,876.00	360,000.00
Date	30-Dec-2016	10-May-2021	14-Dec-2021	30-Dec-2022
<b>□ Students benefiting from direct interventions to enhance learning - Female (Number, Corporate Supplement)</b>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	149,349.00	149,349.00	180,000.00
<b>► Citizen engagement in Project design and implementation (Text, Custom)</b>				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	Focus groups on Project design were conducted with State University rectors and other key stakeholders	Focus groups on Project design were conducted with State University rectors and other key stakeholders	No focus groups were hold	Focus groups on Project impact were conducted with State University rectors and other key stakeholders



Date	30-Jun-2017	11-Sep-2020	14-Dec-2021	30-Dec-2022
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Support to the Establishment of Networks in State Universities

► Number of structural networks that are operational (Amount(USD), Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	3.00	3.00	6.00

Date	15-Aug-2017	10-May-2021	14-Dec-2021	30-Dec-2022
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► Number of thematic networks that are operational (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	At least 4 thematic networks accomplish 70% of their yearly performance targets

Date	15-Aug-2017	10-May-2021	14-Dec-2021	30-Dec-2022
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Performance-Based Conditions

► PBC 1 Improvement of the Institutional Quality Index of State Universities (Percentage, Process, 50,000,685.00, 10.00%)

	Baseline	Actual (Previous)	Actual (Current)	2022
Value	51.00	58.70	60.90	--
Date	--	10-May-2021	14-Dec-2021	--

Comments: PBC completed and disbursed

► PBC 2 Improvement of State Universities' Information Systems (Text, Process, 50,000,685.00, 10.00%)

	Baseline	Actual (Previous)	Actual (Current)	2022
Value	State universities do not have a central information system	System developed and available in each university	System developed and available in each university	--
Date	--	10-May-2021	14-Dec-2021	--



► PBC 3 Improvement of the Institutional Quality Index of State Universities (Percentage, Process, 50,000,685.00, 10.00%)				
	Baseline	Actual (Previous)	Actual (Current)	2022
Value	51.00	58.70	60.90	--
Date	--	10-May-2021	14-Dec-2021	--
Comments:	PBC completed and disbursed			

► PBC 4 Reduction of the dropout rate of 3rd year vulnerable students (Percentage, Process, 50,000,685.00, 10.00%)				
	Baseline	Actual (Previous)	Actual (Current)	2022
Value	36.60	32.90	29.88	--
Date	--	10-May-2021	14-Dec-2021	--
Comments:	PBC completed and disbursed			

► PBC 5 Improvement of the Institutional Quality Index of State Universities (Percentage, Process, 50,000,685.00, 5.00%)				
	Baseline	Actual (Previous)	Actual (Current)	2022
Value	51.00	58.70	60.90	--
Date	--	10-May-2021	14-Dec-2021	--

► PBC 6 Improvement of the Institutional Quality Index of State Universities (Percentage, Process, 50,000,685.00, 0.00%)				
	Baseline	Actual (Previous)	Actual (Current)	2022
Value	51.00	58.70	60.90	--
Date	--	10-May-2021	14-Dec-2021	--



▶ PBC 7 Reduction of the dropout rate of 3rd year vulnerable students (Percentage, Process, 50,000,685.00, 5.00%)				
	Baseline	Actual (Previous)	Actual (Current)	2022
Value	36.60	32.90	29.88	--
Date	--	10-May-2021	14-Dec-2021	--

▶ PBC 8 Proportion of Conicyt-Nuevo Milenio projects in execution adjudicated to State Universities (Percentage, Process, 50,000,685.00, 0.00%)				
	Baseline	Actual (Previous)	Actual (Current)	2022
Value	49.30	48.80	48.35	--
Date	--	10-May-2021	14-Dec-2021	--

▶ PBC 9 Improvement of the Institutional Quality Index of State Universities (Percentage, Process, 50,000,685.00, 6.00%)				
	Baseline	Actual (Previous)	Actual (Current)	2022
Value	51.00	58.70	60.90	--
Date	--	10-May-2021	14-Dec-2021	--

▶ PBC 10 Improvement of the Institutional Quality Index of State Universities (Percentage, Process, 50,000,685.00, 4.00%)				
	Baseline	Actual (Previous)	Actual (Current)	2022
Value	51.00	58.70	60.90	--
Date	--	10-May-2021	14-Dec-2021	--

▶ PBC 11 Reduction of the dropout rate of 3rd year vulnerable students (Percentage, Process, 50,000,685.00, 0.00%)				
	Baseline	Actual (Previous)	Actual (Current)	2022





Value	36.60	32.90	29.88	--
Date	--	10-May-2021	14-Dec-2021	--

► PBC 12 Proportion of Conicyt-Nuevo Milenio projects in execution adjudicated to State Universities (Percentage, Process, 50,000,685.00, 0.00%)

	Baseline	Actual (Previous)	Actual (Current)	2022
Value	49.30	48.80	48.35	--
Date	--	10-May-2021	14-Dec-2021	--

► PBC 13 Improvement of the Institutional Quality Index of State Universities (Percentage, Process, 50,000,685.00, 0.00%)

	Baseline	Actual (Previous)	Actual (Current)	2022
Value	51.00	58.70	60.90	--
Date	--	10-May-2021	14-Dec-2021	--

► PBC 14 Improvement of the Institutional Quality Index of State Universities (Percentage, Process, 50,000,685.00, 0.00%)

	Baseline	Actual (Previous)	Actual (Current)	2022
Value	51.00	58.70	60.90	--
Date	--	10-May-2021	14-Dec-2021	--

► PBC 15 Reduction of the dropout rate of 3rd year vulnerable students (Percentage, Process, 50,000,685.00, 0.00%)

	Baseline	Actual (Previous)	Actual (Current)	2022
Value	36.60	32.90	29.88	--
Date	--	10-May-2021	14-Dec-2021	--



► PBC 16 Proportion of Conicyt-Nuevo Milenio projects in execution adjudicated to State Universities (Percentage, Process, 50,000,685.00, 0.00%)

	Baseline	Actual (Previous)	Actual (Current)	2022
Value	49.30	48.80	48.35	--
Date	--	10-May-2021	14-Dec-2021	--

### Data on Financial Performance

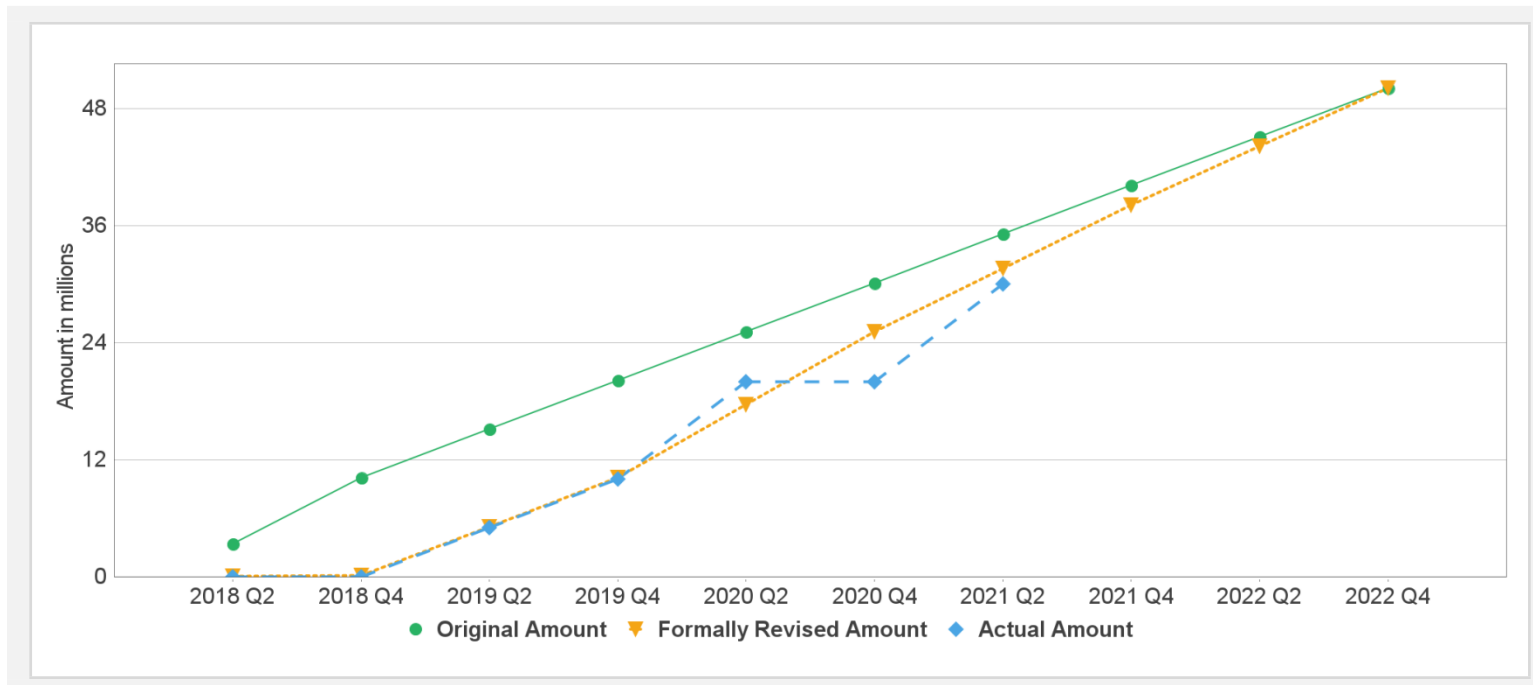
#### Disbursements (by loan)

Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	% Disbursed
P163437	IBRD-87850	Effective	USD	50.13	50.13	0.00	30.13	20.00	60%

#### Key Dates (by loan)

Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
P163437	IBRD-87850	Effective	20-Oct-2017	14-Dec-2017	22-Mar-2018	30-Dec-2022	30-Dec-2022

### Cumulative Disbursements





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### PBC Disbursement

PBC ID	PBC Type	Description	Coc	PBC Amount	Achievement Status	Disbursed amount in Coc	Disbursement % for PBC
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### Restructuring History

There has been no restructuring to date.

### Related Project(s)

There are no related projects.

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