## Republic of Congo Ministry of Planning, Statistics, and Regional Integration Additional Financing to the Climate-Resilient and Inclusive Livelihoods Project (P181184)

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

**Draft for negotiation** 

September 2023

- 1. The Republic of Congo (hereinafter the Recipient) shall implement additional activities under the Climate-Resilient and Inclusive Livelihoods Project (P181184) (the Project), with the involvement of the Ministry of Planning, Statistics, and Regional Integration (MPSIR), as set out in the additional Financing Agreement. The International Development Association (the Association) acting as the administrator of the IDA-Crisis Response Window (IDA-CRW), has agreed to provide additional financing of \$50 million for the implementation of the additional activities under the Project, as set out in the referred agreement. This ESCP shall apply solely to the additional activities under the Project referred to above, and the ESCP for the original financing of the Project, shall continue to apply to the original activities under the Project.
- 2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is part of the Financing Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
- 3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring, and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
- 4. As agreed by the Association and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient through the Ministry of Planning, Statistics, and Regional Integration and the Association agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association and the Minister of MPSIR. The Recipient shall promptly disclose the updated ESCP.

MAT	ERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
MATI	ERIAL MEASURES AND ACTIONS		
A	Prepare and submit to the association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, in form and substance acceptable to the Association including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism(s) for project workers, project level and GM process, including for responding to incidents of sexual exploitation and abuse/sexual harassment (SEA/SH).	Submit quarterly reports to the Association throughout Project implementation, commencing after three months after Effective Date.  Submit each report to the Association no later than 15 days after the end of each quarter.	MPSIR/Project Implementation Unit (PIU)
В	Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury, kidnapping and traffic accident. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.  Subsequently, at the Association's request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence. Any notification of a SEA/SH incident will follow an information sharing protocol to respect the privacy, confidentiality and security of the survivor.  For the purposes of the Project, any social, labor, health and safety, security or environmental incident, accident or circumstance directly related to the Project, including, but not limited to, explosions, spills and any work-related accident resulting in death or serious injury; any violent and exceptional incident or labor dispute involving any project beneficiary; any gender-based violence (e.g. SEA/SH) suffered by persons associated with the Project; or any other event that has or could reasonably be expected to have a material adverse effect on the implementation of the project, shall be considered significant.	Notify the Association no later than 48 hours after learning of the incident or accident, and within 24 hours in case of a fatality or SEA/SH incident.  Provide subsequent reports to the Association within 5 days, or such other timeframe as later agreed with the Association.  The notification/reporting system shall remain in place throughout Project implementation.  File a closure report within 30 days or a time frame agreed with the Association.	MPSIR/Project Implementation Unit (PIU)

MATE	RIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
С	CONTRACTORS' MONTHLY REPORTS	Require contractors and supervising firms to submit their reports monthly	MPSIR/PIU
	Require contractors and supervising firms to provide monthly monitoring reports on ESHS performance in accordance with the metrics specified in the respective bidding documents and contracts and submit such reports to the Association.	to the PIU throughout the Project implementation.	Contractor/sub-contractors
		Submit these reports upon request to the Association as annexes to the reports to besubmitted under action A above.	
ESS 1:	ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND	MPACTS	
1.1	ORGANIZATIONAL STRUCTURE	Recruit junior E&S specialist not later than three (3) months after the Effective	MPSIR/PIU
	Hire additional staff to scale up the original financing of the Project. Additional staff will include junior environmental and social safeguards specialists as well as resources to support management of ESHS risks and impacts of the additional Activities.	Date and thereafter maintain these positions throughout Project implementation.	

1.2	ENVIRONMENTAL AND SOCIAL INSTRUMENTS		MPSIR/PIU
	1. Update, adopt and implement the initial Environmental and Social Management Framework (ESMF) prepared as part of the parent project with the relevant ESSs. The ESMF contains subproject-specific screening and monitoring forms for environmental and social risks and impacts. The ESMF also includes Integrated Pest Management best practices, GBV risk assessment, detailed screening procedures for Project activities for biodiversity impacts, and terms of reference (ToR) for Biodiversity Management Plans (BMP). The ESMF also includes relevant measures relating to the CERC Component. Activities described in the exclusion list set out in the ESMF shall	Adopt the updated ESMF, including the GBV risk assessment, before the Effective Date and thereafter implement the updated ESMF throughout Project implementation.	
	<ol> <li>be ineligible to receive financing under the Project.</li> <li>Adopt and implement subproject Environmental and Social Management Plans (ESMP), as set out in the ESMF consistent with the relevant ESSs.</li> </ol>	2. Adopt any ESMP before launching the bidding process for the respective Project activity, and prior to the carrying out of Project activity that requires the adoption of such ESMP. Once adopted, implement	
		the respective ESMP throughout Project implementation.	

MATE	RIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
1.3	MANAGEMENT OF CONTRACTORS  Incorporate the relevant aspects of the ESCP, including, inter alia, the relevant E&S instruments, the Labor Management Procedures and code of conduct, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.  The Recipient shall ensure that the contractors prepare, adopt and implement Contractor Occupational Health and Safety Plan (C-OHSP) consistent with ESS2.	As part of the preparation of procurement documents and respective contracts. Before signing agreements. Supervise contractors throughout Project implementation.	MPSIR/PIU
1.4	TECHNICAL ASSISTANCE Ensure that the consultancies, studies (including feasibility studies), capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the Association, that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.	Throughout Project implementation.	MPSIR/PIU
1.5	a) Ensure that the CERC Manual as specified in the Loan Agreement includes a description of the ESHS assessment and management arrangements including CERC-ESMF that will be included or referred to in the CERC Manual for the implementation of a CERC component, in accordance with the ESSs.  b) Adopt any environmental and social (E&S) instruments which may be required for activities under CERC component of the Project, in accordance with the CERC Manual and CERC-ESMF and the ESSs, and thereafter implement the measures and actions required under said E&S instruments, within the timeframes specified in said E&S instruments.	The adoption of the CERC manual in form and substance acceptable to the Association is a withdrawal condition under Section III.B.1.e of Schedule 2 of the Financing Agreement for the Project Include CERC manual as a section of PIM (Project Implementation Manual)	MPSIR/PIU
1.6	<ul> <li>EXCLUSION</li> <li>Exclude the following type of activities as ineligible for financing under the Project:</li> <li>Activities that may cause long term, permanent and/or irreversible (e.g., loss of major natural habitat) impacts,</li> <li>Activities that have high probability of causing serious adverse effects to human health and/or the environment,</li> <li>Activities that may have significant adverse social impacts and may give rise to</li> </ul>	During the assessment process conducted under action 1.2 above and throughout implementation.	MPSIR/PIU/service providers/sub-contractors

MATE	RIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
	<ul> <li>significant social conflict,</li> <li>Activities that may affect lands or rights of indigenous people or other vulnerable group/minorities,</li> <li>Activities that may involve impacts on cultural heritage,</li> <li>Activities in urban areas that would require land acquisition or permanent resettlement of households,</li> <li>Activities that would significantly convert natural habitats or significantly alter potentially important biodiversity and/or cultural resource areas. Activities involving new schemes that use or risk polluting water resources of international waterways or activities on existing schemes that go beyond small-scale rehabilitation of existing schemes, and that may adversely impact the quality or quantity of international waterways or may be adversely affected by other riparian's possible water use. All the other exclusion criteria and zones have been clearly stipulated in the ESMF of the Project.</li> </ul>		
ESS 2:	LABOR AND WORKING CONDITIONS		
2.1	LABOR MANAGEMENT PROCEDURES  Update, adopt and implement the initial Labor Management Procedures (LMP) for the Project, including, inter alia, provisions on working conditions, management of worker relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), codes of conduct (including relating to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.	Adopt the updated LMP before the Effective Date and thereafter implement it throughout Project Implementation.	MPSIR/PIU
2.2	GRIEVANCE MECHANISM FOR PROJECT WORKERS  Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.	Establish a grievance mechanism prior to engaging Project workers (direct workers, contracted workers, Community workers and primary supply worker) and thereafter maintain and operate it throughout Project implementation.	MPSIR/PIU
<b>ESS 3:</b>	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT		
3.1	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT	Same timeframe as for the adoption and implementation of the ESMP under action 1.2.	MPSIR/PIU

MATE	ERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
	Incorporate resource efficiency and pollution prevention and management measures in the ESMP to be prepared under action 1.2 above and thereafter implement these measures throughout Project implementation, all consistent with ESS3.		
ESS 4:	COMMUNITY HEALTH AND SAFETY		
4.1	TRAFFIC AND ROAD SAFETY Incorporate measures to manage traffic and road safety risks as required in ESMP to be prepared under action 1.2 above, and thereafter implement these measures throughout Project implementation, all consistent with ESS4.	Same timeframe as for the adoption and implementation of the ESMP under action 1.2.	MPSIR/PIU
4.2	COMMUNITY HEALTH AND SAFETY  Assess and manage specific risks and impacts to the community arising from Project activities, including, inter alia, measures to minimize the potential for community exposure to communicable diseases, including COVID-19, as well as measures to assess and address any security risks, and include mitigation measures in the ESMPs to be prepared in accordance with the ESMF, all consistent with ESS4.	Same timeframe as for the adoption and implementation of the ESMPs under action 1.2.  Assess and manage specific risks and impacts to the community arising from Project activities, including, inter alia measures to: minimize the potential for community exposure.	MPSIR/PIU
4.3	SEA AND SH RISKS  Adopt and implement a SEA/SH Action Plan to assess and manage the risks of SEA and SH consistent with ESS4.	Prepare, disclose, consult upon and adopt the GBV action plan no later than six (6) months after effectiveness, and thereafter implement the SEA/SH Action Plan throughout Project implementation.	MPSIR/PIU
4.4	SECURITY MANAGEMENT  Assess and implement measures in the ESMP to manage the security risks of the Project, including the risks of engaging security personnel to safeguard project workers, sites, assets, and activities, guided by the principles of proportionality and GIIP, and by applicable law, in relation to hiring, rules of conduct, training, equipping, and monitoring of such personnel.	Same timeframe as for the adoption and implementation of the ESMPs under action 1.2. and thereafter implemented throughout Project implementation].	MPSIR/PIU
ESS 5:	LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEM	1ENT	
5.1	RESETTLEMENT POLICY FRAMEWORK  Update, adopt and implement the initial Resettlement Policy Framework (RPF) for the Project, consistent with ESS5.	Adopt the updated RPF before the Effective Date and thereafter implement it throughout Project implementation.	MPSIR/PIU

MATE	RIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
5.2	RESETTLEMENT PLANS  Adopt and implement a resettlement action plan (RAP) and/or a Livelihood Restoration Plan (LRP) for each activity under the Project for which the RPF requires such RAP and/or LRP, as set out in the RPF, and consistent with ESS5.	Adopt and implement the respective RAP/LRP, including ensuring that before taking possession of the land and related assets, full compensation has been provided and displaced people have been resettled and moving allowances have been provided.	MPSIR/PIU
5.4	GRIEVANCE MECHANISM  The Recipient will ensure that a grievance mechanism for the project is in place, in accordance with ESS10 as early as possible in project development to address specific concerns about compensation, relocation or livelihood restoration measures raised by displaced persons (or others) in a timely fashion. Where possible, such grievance mechanisms will utilize existing formal or informal grievance mechanisms suitable for project purposes, supplemented as needed with project-specific arrangements designed to resolve disputes in an impartial manner.  The grievance mechanism (GM) to address resettlement related complaints should be described in the RPF, RAPs and SEP.	Prior to commencement of resettlement activities	MPSIR/PIU
ESS 6:	<b>BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NAT</b>	URAL RESOURCES	
6.1	BIODIVERSITY RISKS AND IMPACTS  Adopt and implement a Biodiversity Management Plan (BMP), as part of the ESMF and ESMP, in accordance with the ESS6.	Same timeframe as for the adoption and implementation of the ESMPs under action 1.2, and thereafter implement the BMP throughout Project implementation.	MPSIR/PIU
ESS 7:	INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRA	ADITIONAL LOCAL COMMUNITIES	
7.1	INDIGENOUS PEOPLES PLANNING FRAMEWORK  Update, adopt, and implement the initial Indigenous Peoples Planning Framework (IPPF), and subsequent Indigenous Peoples Plans (IPP) as relevant, all consistent with ESS7.	Adopt the updated IPPF before the Effective Date and thereafter implement it throughout Project implementation.	MPSIR/PIU

MATE	ERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
7.2	INDIGENOUS PEOPLES PLAN Prepare, disclose, consult upon, adopt and implement an Indigenous Peoples Plan (IPP), as set out in the IPPF, and consistent with ESS7.	Prepare, disclose, consult upon and adopt the IPP prior to the carrying out of any activity that requires the preparation of such IPP. Once adopted, implement the respective IPP throughout Project implementation.	MPSIR/PIU
7.3	The Recipient will ensure that a grievance mechanism is established for the project, as described in ESS10, which is culturally appropriate and accessible to affected Indigenous Peoples/Sub-Saharan African Historically Underserved Traditional Local Communities and takes into account the availability of judicial recourse and customary dispute settlement mechanisms among Indigenous Peoples/ Sub-Saharan African Historically Underserved Traditional Local Communities.  Prepare, adopt, and implement the arrangements for a grievance redress mechanism (GRM) for indigenous people, as required under the IPPF and further describe such arrangements in the respective IPPs.	Same timeline frame than 10.2	MPSIR/PIU
8.1	CHANCE FINDS  Describe and implement the chance finds procedures as part of the updated ESMF of the Project.	Specific ESMP (including Chance finds procedures) will be developed. Prior to any construction and/or rehabilitation works and implement throughout Project lifecycle.	MPSIR/PIU
	FINANCIAL INTERMEDIARIES		
Not rel	levant. D: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE		
10.1	STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION  Update, disclose, consult upon, adopt, and implement the revised initial Stakeholder Engagement Plan (SEP) including GM, all consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner free of manipulation, interference, coercion, discrimination and intimidation.	Updated, disclosed, consulted upon, adopted the revised initial SEP prior to appraisal and thereafter implement it throughout Project implementation.	MPSIR/PIU

MATE	RIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
10.2	Establish, publicize, maintain, and operate an accessible grievance mechanism to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.  The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.	Establish the grievance mechanism not later than three (3) months after the Effective Date and thereafter maintain and operate the grievance mechanism throughout Project implementation.	MPSIR/PIU
CAPAC	CITY SUPPORT		
CS1	<ul> <li>Training to the PIU, including the E&amp;S Unit of MPSIR, service providers and some Contractors, shall be required in the following areas:</li> <li>Stakeholder mapping and engagement</li> <li>Specific aspects of environmental and social assessment in accordance with the World Bank Environmental and Social Framework particularly ESS1, ESS2, ESS5, ESS7 and ESS10</li> <li>Emergency preparedness and response</li> <li>Community health and safety.</li> <li>Implementation and monitoring of the Environmental and Social Commitment Plan (ESCP)</li> <li>Project Grievance Mechanism to enable project-affected people to file complaints. that could be quickly addressed should they have any grievance in relation to the project.</li> <li>Mitigation, prevention and response on the GBV/SEA/SH front, assessment, development and implementation of the GBV/SEA/SH Action Plan</li> </ul>	ESF briefing session during the launch workshop, and ESCP and SEP not later than one (1) month from the Effective Date.  Training on other subjects: as soon as possible after the Effective Date and throughout project implementation	MPSIR/PIU
	Capacity building in other specific aspects of assessment and implementation of environmental and social risk management practices, as identified through needs assessments of key project actors during project implementation.		
CS2	Training shall be provided for workers, contractors, and other employees working on project sites, including the main stakeholders involved in Project activities, on the following activities:	As soon as possible after the Effective Date,	MPSIR/PIU

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<ul> <li>Personal protective equipment (PPE)</li> <li>Work-site risk management         Occupational accident prevention Hygiene, safety, and environment (HSE)         regulations, including COVID-19 prevention and response measures.</li> <li>Management of nonhazardous and hazardous waste and pesticides         management. Emergency preparedness and response</li> <li>STI/HIV AIDS sensitization</li> <li>GBV/SEA/SH sensitization, Codes of Conduct, GM, GBV/SEA/SH services         available and other mitigation measures put in place by the project both for         workers and the community</li> </ul>	and throughout project implementation, on a quarterly basis	