

## PROCUREMENT PLAN (Textual Part)

**Project information:** INDIA, Kerala Solid Waste Management Project (P170811)

**Project Implementation agency:** Suchitwa Mission

**Date of the Procurement Plan (Textual Part):** February 03, 2022

**Period covered by this Procurement Plan (textual part):** From February 03, 2022 until publication of next version of the Procurement Plan (Textual Part).

### Preamble

In accordance with paragraph 5.9 of the “World Bank Procurement Regulations for IPF Borrowers” (November 2020) (“Procurement Regulations”) the Bank’s Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

**The Bank’s Standard Procurement Documents:** shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

**Procurement for the project will be carried out in accordance with the World Bank’s Procurement Regulations for IPF Borrowers, November 2020 and applicable to Investment Project Financing (IPF).** The project will be subject to the World Bank’s Anticorruption Guidelines, dated October 15, 2006, as revised in January 2011 and July 2016.

**The table below describes various procurement methods to be used for activities financed by the loan.** The thresholds (**Error! Reference source not found.**) indicated will govern the procurement approach and methods;

**Table 1: Procurement Approaches and Methods**

<b>Procurement Approaches and Methods</b>	<b>Thresholds (US\$ equivalent)</b>
Open international	For any value activity
Open national (Works – including turnkey, supply and installation of plant and equipment and PPP)	Up to 40 million

Open national (Goods, IT system, and Non-Consulting Services)	Up to 10 million
National request for quotation (Works, Goods, IT system and Non-Consulting Services)	Up to 100,000
Direct selection	No threshold; For works, goods, non-consulting services and IT system procurement: According to paragraphs 6.8-6.10 of the Regulations; For consultants: According to paragraphs 7.13-7.15 of the Regulations
National Market Approach for Selection of Consultants	Up to 2,000,000
<b>Prior Review Thresholds</b>	
Works including Supply & Installation of Plant and Equipment	All contracts more than or equal to US\$ 10 million equivalent.
Goods, IT system and Non-consulting services	All contracts more than or equal to US\$2 million equivalent
Consultants	All contracts (i) more than or equal to US\$1 million equivalent for firms; and (ii) more than or equal to US\$300,000 equivalent for individuals.
Prior Review contracts irrespective of value	Irrespective of the contract value, the following procurement activities are subject to the Bank's procurement prior review: (a) procurement processes involving contract negotiations, as described in Section VI, paragraphs 6.34-36, of the Procurement Regulation for Borrowers (b) competitive dialogue; (c) sustainable procurement; (d) selection of probity assurance providers, as described in Section III, paragraph 3.3 of the Procurement Regulations for Borrowers; and (e) best and final offer.

**Domestic preference-** The provision of domestic preference for goods will be applied in the evaluation of bids in accordance with Annex VI of the Regulations.

**National Procurement Arrangements:** National competition for the procurement of works, goods, IT system procurement and non-consulting services according to the established thresholds will be conducted in accordance with paragraphs 5.3–5.5 of Section V of the Regulations and the following provisions:

1. Only the model bidding documents for National Competitive Procurement (NCP) agreed with the GOI Task Force (and as amended for time to time), shall be used for bidding.
2. Invitations to bid shall be advertised on a widely used website or electronic portal with free open access at least 30 days prior to the deadline for the submission of bids, unless otherwise agreed in the approved procurement plan.
3. No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprises from any given State.
4. Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder.
5. Government e-Marketplace (GeM) set-up by Ministry of Commerce, Government of India will be acceptable for procurement under Request for Quotations (RFQ) method.
6. At the Borrower's request, the Bank may agree to the Borrower's use, in whole or in part, of its electronic procurement system, provided that the Bank is satisfied with the adequacy of such system.
7. Procurement will be open to eligible firms from any country. This eligibility shall be as defined under Section III of the Procurement Regulations. Accordingly, no bidder or potential bidder shall be declared ineligible for contracts financed by the Bank for reasons other than those provided in Section III of the Procurement Regulations.
8. The request for bids/request for proposals document shall require that Bidders/Proposers submitting Bids/Proposals include a signed acceptance in the bid, to be incorporated in any resulting contracts, confirming application of, and compliance with, the Bank's Anti-Corruption Guidelines, including without limitation the Bank's right to sanction and the Bank's inspection and audit rights.
9. The Borrower shall use an effective complaints mechanism for handling procurement related complaints in a timely manner.
10. Procurement Documents will include provisions, as agreed with the Bank, intended to adequately mitigate against environmental, social (including sexual exploitation and abuse and gender-based violence), health and safety ("ESHS") risks and impacts.

**Procurement of Works, Goods (Supply and Installation; and IT Equipment), Non-Consultancy Services and Consultancy Services** - As per procurement plan approved in STEP.

Use of Government e-Marketplace will be allowed in lieu of RFQ as per following details:

1. Up to INR 50,000 in catalog mode (viz. any available item could be selected by Implementing Agency without further competition), provided selected Item/Supplier meeting the requisite quality, specification and delivery period.
2. Up to INR 3 Million from the Supplier having lowest price amongst at least three suppliers meeting the requisite quality, specification and delivery period. The tools for online bidding and online reverse auction available on GeM may be used by the Purchaser.
3. Up to INR equivalent of US\$ 100,000 from the supplier having lowest price and meeting the requisite quality, specification and delivery period after mandatorily obtaining bids from at least three suppliers, using online bidding or reverse auction tool provided on GeM.
4. While making use of GeM portal, the borrower shall make use of Special Terms and Conditions meant for World Bank financed projects.

**PROCUREMENT PLAN** India : Kerala Solid Waste Management Project

**General Information**

Country: India Bank's Approval Date of the Original Procurement Plan: 2023-04-03  
 Revised Plan Date(s): (comma delineated, leave blank) 2023-11-17  
 Project ID: P168633 GPN Date: 2020-07-09  
 Project Name: Kerala Solid Waste Management Project  
 Loan / Credit No: IBRD / P4960  
 Executing Agency: ULB TSR KODUNGALLUR

**WORKS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion			
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		Planned	Actual	
IN-ULB TSR KOD-349490-CW -RFQ / RRF Upgradation - Construction of Toilet and Compound wall and Interlock paving in TKS Puram RRF ( Ward 25) Sulekha no -378, 379, 380	IBRD / P4960	Grant support to ULBs for S WM	Post	Request for Quotations	Open - National	Single Stage - One Envelope			Request for Quotations (Non Bank-S PD)	63,690.40	0.00	Pending Implementation								2023-06-22												2024-01-14
IN-ULB TSR KOD-349514-CW -RFQ / RRF Upgradation - Interlock pavement in the pathway, compound wall construction with gate at Narayanammangalam ward 10, Vehicle road construction in Ward 25, TKS puram RRF and Ward 10 Narayanammangalam RRF	IBRD / P4960	Grant support to ULBs for S WM	Post	Request for Quotations	Open - National	Single Stage - One Envelope			Request for Quotations (Non Bank-S PD)	31,856.70	0.00	Pending Implementation									2023-06-20										2024-02-13	
IN-ULB TSR KOD-349517-CW -RFQ / Construction of Toilet and Washing Area in the Ward 29, 31 and 38 Sulekha Number- 486,487	IBRD / P4960	Grant support to ULBs for S WM	Post	Request for Quotations	Open - National	Single Stage - One Envelope			Request for Quotations (Non Bank-S PD)	21,407.76	0.00	Pending Implementation									2023-06-21										2024-02-13	

**GOODS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion				
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual			
IN-ULB TSR KOD-349644-GO -RFQ / This item will be tendered through RFQ method (GEM). The work- Upgradation of RRF- Supply and installation of baling machines at Narayanammangalam (10) and TKS puram (25). Sulekha no- 491	IBRD / P4960	Grant support to ULBs for S WM	Post	Request for Quotations	Open - National	Single Stage - One Envelope		13,573.00	0.00	Canceled								2023-04-05													2023-06-15
IN-ULB TSR KOD-349636-GO -RFQ / This item will be tendered through RFQ method (GEM). The work- Upgradation of RRF-Supply and installation of shredding unit at narayana mangalam ward no 10. Sulekha no-490	IBRD / P4960	Grant support to ULBs for S WM	Post	Request for Quotations	Open - National	Single Stage - One Envelope		10,884.14	0.00	Canceled								2023-04-05													2023-06-15

**NON CONSULTING SERVICES**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion			
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		

**CONSULTING FIRMS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion			
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		

**INDIVIDUAL CONSULTANTS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identify/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion											
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual										