The World Bank
Guizhou Aged Care System Development Program (P162349)

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EAST ASIA AND PACIFIC | China | Social Protection & Jobs Global Practice | Requesting Unit: EACCF | Responsible Unit: HEASP
IBRD/IDA | Program-for-Results Financing | FY 2019 | Team Leader(s): Dewen Wang

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Program Development Objectives

Program Development Objective (from Program Appraisal Document)

The PDO is to increase equitable access to a basic package of aged care services and to strengthen the quality of services and the efficiency of the aged care system.

Overall Ratings

Name	Previous Rating	Current Rating
Progress towards achievement of PDO	☐Satisfactory	☐Satisfactory
Overall Implementation Progress (IP)	☐Moderately Satisfactory	☐Satisfactory

Implementation Status and Key Decisions

The Guizhou Aged Care System Development Program became effective in September 2019. The Program implementation has made substantial progress since the 6th implementation support mission in February 2023. Guizhou Provincial Department of Civil Affairs (DOCA) has taken concrete measures to accelerate the implementation of key Program activities, especially putting a set of guidelines, standards, and tools into implementation, strengthening the supply capacity through upgrading the infrastructure and equipment of aged care facilities, and training caregivers and professionals.
The World Bank and the Agence Française de Développement joint team has been working closely with DOCA and other partners in Guizhou and provided strong technical support to keep this momentum for its implementation in 2023.

**Data on Financial Performance**

Disbursements (by loan)

Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed		% Disbursed
P162349	IBRD-89270	Effective	USD	350.00	350.00	0.00	124.58	220.75		36%

Key Dates (by loan)

Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
P162349	IBRD-89270	Effective	21-Mar-2019	14-May-2019	11-Sep-2019	31-Dec-2024	31-Dec-2024

DLI Disbursement

DLI ID	DLI Type	Description	Coc	DLI Amount	Achievement Status	Disbursed amount in Coc	Disbursement % for DLI	
Loan: IBRD89270-001								
9	Charge Type	INTEREST AND COMMITMENT CHARGE	--	18,335,750.00	--	7,397,572.04		40 %
1.1	Regular	DOCA NEEDS ASSESSMENT TOOLKIT	EUR	5,732,000.00	Fully Achieved	5,732,000.00		100 %
1.2	Regular	TOOLKIT IMPLMNT IN 48 PRO DIST/COUNTIES	EUR	22,928,000.00	Not Achieved	0.00		
2.1	Regular	DOCA BASIC PACKAGE OF AGE CARE SERVICE	EUR	5,732,000.00	Not Achieved	0.00		
2.2	Regular	BASIC PKG IMPLMNT IN 48 PRO DIST/COUNTIE	EUR	22,928,000.00	Not Achieved	0.00		
3	Regular	402000 ELIGIBLE ELDERLY RECEIVED BASIC P	EUR	57,320,000.00	Not Achieved	0.00		
4.1	Regular	DOCA HAS DEVOLP AGED CARE QUA STANDARDS	EUR	17,196,000.00	Not Achieved	0.00		
4.2	Regular	1500 AGED CARE FACILITIES W/H QUA STDS	EUR	40,124,000.00	Not Achieved	0.00		
5	Regular	19000 WAGE CAREGIVER REC TRN/CERTI IN SE	EUR	28,660,000.00	Partially Achieved	2,267,170.29		7.9 %
6.1	Regular	DOCA DEVOLP Z-BASED BGT PLANING&ALLO RUL	EUR	17,196,000.00	Fully Achieved	17,196,000.00		100 %
6.2	Regular	IMP Z-BASED BGT PLN& ALLO RULE IN48DIS/C	EUR	40,124,000.00	Not Achieved	0.00		
7.1	Regular	DOCA DEVOLP OP MGT GUIDE FOR CARE FACILI	EUR	5,732,000.00	Fully Achieved	5,732,000.00		100 %
7.2	Regular	IMPT MGT GUIDE 4 FACLTS IN48 DIS/CTS	EUR	22,928,000.00	Not Achieved	0.00		
Loan: COFNC1570-001								
1	Regular	TOOLKIT DVLPED&NO.OF DIST/COUNTIES USED	EUR	10,000,000.00	Partially Achieved	2,000,000.00		20 %
2	Regular	PACKAGE DVLPED&NO.OF DIST/COUNTIES USED	EUR	10,000,000.00	Not Achieved	0.00		
3	Regular	NO.OF ELG ELDERLY PPL REC'D BASIC SER	EUR	20,000,000.00	Not Achieved	0.00		

4	Regular	QUA STNDDVLPED&NO OF FACLTY USED THE STN	EUR	20,000,000.00	Not Achieved	0.00		
5	Regular	NO.CAREGIVER REV TRN&CERT IN AGE CARE SE	EUR	10,000,000.00	Partially Achieved	791,058.96		7.9 %
6	Regular	ZBB OF AGECARE DVLPED&NO.OFDIST/COUNTIES	EUR	20,000,000.00	Partially Achieved	6,000,000.00		30 %
7	Regular	OP MGT GDLN DVLPED&NO. OF DIST/CNT USED	EUR	10,000,000.00	Partially Achieved	2,000,000.00		20 %

Program Action Plan

Action Description	Develop a technical roadmap to guide the organization and coordination of Program activities in the three RAs, including an overall technical roadmap in Year 1, annual plan in Years 2-5, and an overall review and sustainability plan in Year 6				
Source	**DLI#**	**Responsibility**	**Timing**	**Timing Value**	**Status**
Technical		DOCA,CABs at prefecture, district/county	Recurrent	Yearly	Completed
Completion Measurement	• Year 1: Overall technical roadmap • Year 2-5: Annual technical plan and review report with recommendations • Year 6: Overall technical implementation review report with recommendations for next steps Due Date Y1 August 31, 2019; Y2-6 November 30				
Comments	Year 1 was completed, the overall technical roadmap was developed, and the annual technical plan and review report were prepared, adjusted, and combined into half-year and annual implementation progress reports.				

Action Description	Define a systemic M&E framework by DOCA and implement M&E at all government levels				
Source	**DLI#**	**Responsibility**	**Timing**	**Timing Value**	**Status**
Technical		DOCA,CABs at prefecture, district/county	Recurrent	Yearly	Completed
Completion Measurement	Year 1: A provincial M&E framework; Year 2-5: List of main M&E activities completed and assessment report with recommendations; Year 6: Overall Implementation review with recommendations for next steps Due Date Y1 August 31, 2019; Y2-6 November 30				
Comments	M&E framework has been developed.The progress of M&E activities will be monitored as defined in the framework.				

Action Description	Develop and implement aged care investment management guidelines. DOCA will develop the guidelines for investment decision-making; Program districts/counties will implement the guidelines based on their requirements				
Source	**DLI#**	**Responsibility**	**Timing**	**Timing Value**	**Status**

Technical		DOCA,CABs at prefecture, district/county	Recurrent	Yearly	In Progress
Completion Measurement	• Year 1: Investment Management Guideline promulgated by DOCA • Year 2-6: DOCA's approval of district/county investment plan(s) /project (s), which include effective demand analysis and financial analysis of business operations Due Date November 30				
Comments	The investment management guidelines were revised and will be officially released in September-October 2023.				

Action Description	Establish the provincial cloud platform by DOCA in four phases: design overall architecture, develop applications for end users and implementers, pilot in the selected counties, and roll out across the province				
Source	**DLI#**	**Responsibility**	**Timing**	**Timing Value**	**Status**
Technical		DOCA	Recurrent	Yearly	In Progress
Completion Measurement	• Year 1: DOCA's overall implementation plan and budget on the cloud platform • Year 2-6: DOCA's annual plan and progress report on the cloud platform development at each stage Due Date: Y1 October 31, 2019; Y2-6 January 31				
Comments	The overall architecture design is in progress and will be done by the end of October 2023. DOCA will complete the development and start the pilot work in the first half of 2024.				

Action Description	Set up an accounting ledger to record Program transactions and the reporting system to match Program needs that will be followed by the relevant agencies at all levels				
Source	**DLI#**	**Responsibility**	**Timing**	**Timing Value**	**Status**
Fiduciary Systems		DOCA and DOF	Due Date	30-Oct-2020	Completed
Completion Measurement	• An accounting ledger developed by DOCA and DOF • Program financial reporting produced by Program prefectures and Program districts/counties				
Comments	Completed; the accounting ledger developed, the template was finalized in October 2020				

Action Description	Adjust the auditing arrangement to produce the information/reports needed for the Audits				
Source	**DLI#**	**Responsibility**	**Timing**	**Timing Value**	**Status**
Fiduciary Systems		DOCA and DOF	Due Date	30-Sep-2021	Completed
Completion Measurement	Program financial reporting adequate for audits				

Comments	The first audit report has been submitted to the WB.

Action Description	Develop provincial guidelines for government purchase of basic aged care services, which lay out the selection procedures for service providers, contracting requirements, performance review, verification, financial reporting, and payment arrangements

Source	DLI#	Responsibility	Timing	Timing Value	Status
Fiduciary Systems		DOCA	Due Date	30-Apr-2021	In Progress

Completion Measurement	The provincial guidelines for the government purchase of basic aged-care services developed by DOCA and distributed to Program prefectures and Program districts/counties
Comments	The purchase guidelines were developed. The guidelines will be updated and will be officially released in November 2023.

Action Description	Engage a qualified monitoring agency to conduct regular social monitoring among approved projects and conduct due diligence on the land use conditions for those institutions selected as potential service providers

Source	DLI#	Responsibility	Timing	Timing Value	Status
Environmental and Social Systems		DOCA	Due Date	31-May-2021	Completed

Completion Measurement	• Contract completed • Monitoring report to verify the full compliance with national laws and local regulations, as well as the protection of interests of the affected people
Comments	The social monitoring report for January-June 2023 was submitted to the Bank in August 2023. The procurement of a qualified consulting firm is completed.

Action Description	Establish environmental screening mechanism by assigning a staff with competency to collect and review all the proposals submitted by the districts/counties and to screen the candidate activities based on the exclusionary and limitation criteria

Source	DLI#	Responsibility	Timing	Timing Value	Status
Environmental and Social Systems		DOCA	Due Date	31-May-2021	Completed

Completion Measurement	• Staff with good command of environmental knowledge assigned • Budget allocated • Screening reports
Comments	The environmental monitoring report for January-June 2023 was submitted to the Bank in August 2023. Procurement of consulting firm is completed.

Action Description	Program Operations Manual (POM) developed and updated to guide the implementation with details on all operational aspects, sub-manuals on procurement, FM, land acquisition,citizen engagement,consultations, and the grievance redress system				
Source	**DLI#**	**Responsibility**	**Timing**	**Timing Value**	**Status**
Other		DOCA	Recurrent	Continuous	Completed
Completion Measurement	• POM developed following the requirements defined in three assessments and adopted by DOCA (by August 31, 2019) • DOCA provided training for all levels • DOCA monitoring the compliance with POM • POM updates with prior agreement from the World Bank				
Comments	POM developed and updated; training provided to prefecture and county levels.				

Risks

Systematic Operations Risk-rating Tool

Risk Category	Rating at Approval	Previous Rating	Current Rating
Political and Governance	⬜Low	⬜Low	⬜Low
Macroeconomic	⬜Moderate	⬜Low	⬜Low
Sector Strategies and Policies	⬜Moderate	⬜Low	⬜Low
Technical Design of Project or Program	⬜Substantial	⬜Moderate	⬜Moderate
Institutional Capacity for Implementation and Sustainability	⬜Substantial	⬜Substantial	⬜Moderate
Fiduciary	⬜Substantial	⬜Moderate	⬜Moderate
Environment and Social	⬜Substantial	⬜Moderate	⬜Moderate
Stakeholders	⬜Moderate	⬜Moderate	⬜Moderate
Other	--	⬜Moderate	⬜Moderate
Overall	⬜Substantial	⬜Moderate	⬜Moderate

Comments
n/a

Results

PDO Indicators by Objectives / Outcomes

Objective/Outcome 1: Increase equitable access to a basic package of aged care services

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►PDO Indicator #1. Number of eligible elderly receiving the basic package of aged care services (by gender, by rural/urban area, by tier, by income status) (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	402,000.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

| Comments | **Status**: The number of eligible elderly beneficiaries receiving the basic package of aged care services is DLI3, which updated by the end of December 2023 based on the current implementation progress.

This number can be only monitored after the needs assessment toolkit, the criteria, and the guidelines for the basic p services are developed and put in place. The needs assessment has been carried out, and the criteria have been for both the degree of functional limitations and income level and assets and will be incorporated into the guidelines of th guidelines of the government purchase of aged services and linked with the level of subsidy.

Program Design: The elderly who are assessed by the needs assessment toolkit and received the subsidized basic their eligibility based on income/asset testing and degree of disablity, will be counted in the previous calendar year. T disaggregated by gender, by tier, by urban/rural area, by income group (Tekun, Dibao, low-income). The interim and value of the number of elderly. The division of urban/rural areas refers to the elderly who received a basic package s or rural areas, according to where they live either in urban communities or rural villages.

DLR 3. 402,000 eligible elderly receiving the basic package of aged care services.

CABs in the Program finance the subsidy of the basic package of aged care services in accordance with the annual i service providers report to CABs on the number of elderly who received the basic package of aged care services (an such as ID, address, age, gender, and so on) and, the services provided (by tier, and type of services), based on the Understanding between the CAB and the service providers. CABs verify the service provision (system verification thr appropriate sample size of the elderly population registered to receive services, not inspection) through a third party a service providers. CABs enter the data in the database of DOCA PCP in real time and submit annual progress report (Scalable) |
|---|---|

☐Women (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	201,000.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

☐Rural area (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	361,800.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

☐Home and community-based care (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	1,227.00	361,800.00

Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

☐Tekun (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	33,781.00	32,000.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

☐Dibao (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	32,000.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

☐Low income (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	50,000.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

Objective/Outcome 2: Enhance quality of aged care services

►PDO Indicator #2. Development of the aged care quality standards and number of aged care facilities complying with the aged care quality standards (by tier) (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	288.00	1,500.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

Comments

Status: The infrastructure standard guidelines had a draft, and the services standard is under preparation. With AFD draft is expected to be done in November and officially issued in December 2023.

Program design: Aged care quality standards comprise a list of core service standards and core infrastructure stand and services. DOCA will refer to the existing national standards and develop a framework of quality standards and ind monitor for quality enhancement.

DLR 4.1. DOCA has developed the Aged Care Quality Standards

DOCA will develop a framework of aged care quality standards for services and infrastructure, which comprises a list and core infrastructure standards, and indicators for quality measurement. DOCA also sets up the thresholds of aged develops inspection procedures and certification criteria to be adopted by CABs. The framework and indicators of the standards are reviewed by the ESC. (binary, YES/NO)

DLR 4.2. 1,500 aged care facilities complying with the aged care quality standards

It is the number of the aged care facilities (including skilled nursing homes, welfare homes, community day-care cent
home-care service providers, and other facilities in urban and rural areas) in compliance with the provincial quality sta
facilities to be developed by Guizhou Provincial DOCA under the Program. CABs are responsible for carrying out the
aged care facilities under their administrative jurisdiction and certifying that the facilities that meet the provincial stand
for entering the data of quality measurement indicators into DOCA PCP. DLI 4.2 is numerical and scalable.

☐Community facilities (Text, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	137.00	1,100.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

Objective/Outcome 3: Strengthen efficiency of the aged care system

►PDO Indicator#3 Development of the zero-based aged care budget planning and allocation (ZBB) guidelines and number of Program districts/counties where implementation of the ZBB guidelines has occurred (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	14.00	17.00	48.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

Comments	**Status**: The target of DLR #6.1 was **achieved.** DOCA has developed the Zero-based Aged Care Budget Planning and Allocation Guidelines and officially issued them for implementation in Guizhou on June 29, 2021. The verification was carried out by the third-party verification agency. Documents evidencing the achievement of the DLR are found in the Verification Report. Implementing the ZBB guidelines now covers all Program prefecture and district/county levels. Based on its progress, 17 districts/counties will be chosen to verify the achievement of DLI6 in Year 2. **Program design**: The ZBB approach refers that the new budgeting process would start from zero as its base. The budget planning, allocation, and execution should be informed by actual local needs from market analysis and the gaps identified for the aged care system development. Based on the ZBB approach, a ledger will be set up to keep records, accounting and reporting of the budget planning, allocation, and execution process in alignment with the existing budget line. DLR 6.1. DOCA has developed the zero-based aged care budget planning and allocation guidelines Guizhou DOCA has developed and officially issued the ZBB planning and allocation guidelines for aged care public financing. The guidelines provide principles, methodologies, procedures, and templates on annual budget planning, allocation, and execution. The principles will respond to market analysis to identify gaps and priorities for the development of aged care system locally. DLR 6.2. Implementation of the zero-based aged care budget planning and allocation guidelines has occurred in 48 Program districts /Program counties The districts/counties in the Program who decide to implement the guidelines will prepare an annual budget plan with supporting evidence by following the provincial guidelines. CABs submitted an annual budget plan to the prefecture for review, and further to DOCA for guidance. DLR 6.2 is numerical and scalable.

Intermediate Results Indicators by Results Areas

Results Area 1: Expanding coverage of basic aged care services for the elderly

►Development of the needs assessment toolkit and number of Program districts/ Program counties where implementation of the needs assessment toolkit has occurred (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target

Value	0.00	Yes	10.00	48.00
Date	31-Dec-2018	24-Nov-2022	16-Aug-2023	31-Dec-2024

Comments	**Status**: The target of DLR #1.1 was achieved. DOCA developed the needs assessment toolkit and officially issued it in Guizhou in November 2022. The verification was carried out by the third-party verification agency. Documents evidencing the achievement of the DLR are found in the Verification Report. DOCA organized training activities on the screening and professional assessment toolkit, which is under implementation at the Program districts/counties. By the end of June 2023, the screening assessment covered 290 thousand elderly people, and the professional assessment covered 50 thousand elderly people. DOCA has selected 10 districts/counties for the verification of the DLR in year and plan to get it done be the end of 2023.

Program design: The needs assessment toolkit comprises methodologies and assessment instruments to measure the functional limitations, and the environment needs of the elderly for access to the publicly-funded basic package of aged care services. It will be developed based on the national Elderly Ability Assessment Standard, international experiences, and domestic pilots. The functional ability assessment will be complemented by an assessment of the living conditions of the elderly. It will include the examination of the household composition as well as the living environment (dwelling condition, location, and so on). The results of the two assessments will be combined in one measure or matrix to be linked to the basic package of aged care services.

DLR 1.1. DOCA has developed the needs assessment toolkit
DOCA will develop the needs assessment toolkit, pilot it in districts /counties of the five selected Program prefectures, and finalize it based on the pilots' experience. DOCA will officially promulgate the needs assessment toolkit and will distribute a technical manual to CABs comprising the implementation procedures to conduct the individual assessment (e.g. responsible professional to evaluate the elder; frequency of the evaluation, and so on), data entry and management to determine the individual score; and other details. The DLR for the first year is binary (Yes/No) and it is considered achieved when the needs assessment toolkit is promulgated, and the associated manual distributed to the prefectures and district/counties.
DLR 1.2. Implementation of the needs assessment toolkit has occurred in 48 Program districts/ Program counties
The CABs will develop an implementation plan at the local level based on the needs assessment toolkit. DOCA will guide and the prefecture will review the implementation plans of CABs to ensure they are consistent with the provincial toolkit requirements, and CABs start assessing the target population. The indicator measures the number of Program districts/Program counties the implementation of the needs assessment toolkit has occurred. For the purpose of this DLRs 1.2, implementation is achieved when at least 500 elderly are assessed according to the needs assessment toolkit, and the data is recorded in the PCP. (Scalable)

►Development of the basic package of aged care services and number of Program districts/ Program districts where Implementation of the basic package of aged care services has occurred (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	48.00
Date	31-Dec-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

Comments	**Status**: The draft basic package guidelines were discussed and are being revised by focusing on aged care and long-term care. The draft guidelines are expected to be finalized in late October 2023 and officially promulgated in November/December 2023 after the Expert Steering Committee's (ESC) review.

Program design: The basic package of aged care services refers to a list of aged care services by tier and by the level of subsidy. The service provision will target to the elderly mainly in four categories: Tekun (Sanwu and Wubao), Dibao, Low-income and disabled, and dementia. The eligibility criteria for accessing to the basic package of aged care services will be determined by the degree of functional limitations and income/asset level.

DLR 2.1. DOCA has developed the basic package of aged care services
Provincial DOCA will develop, pilot and finalize the provincial basic package of aged care services and officially distribute it to the CABs. DOCA will officially promulgate the basic package of services and will distribute a technical guideline to the CABs for them to develop the district/county basic package of services and implementation plan. The DLR for the first year is binary (Yes/No) and it is considered achieved when the basic package of aged care services is promulgated, the associated technical manual is distributed to the prefectures and district/counties and their content is reviewed by the ESC.
DLR 2.2. Implementation of basic package of aged care services has occurred in 48 Program districts/Program counties
This indicator measures the number of Program districts/Program counties that have adopted and customized DOCA's basic package of aged care services. The CABs in the Program can adopt the provincial basic service package with or without top-ups. CABs will prepare the implementation plan and submit it to the prefecture for review and to DOCA for guidance. Upon DOCA's approval, CABs start implementation and submit annual progress reports to the prefectures and DOCA. Each CAB enters all evidence (list of services, level of subsidy, and beneficiaries) in DOCA PCP. DOCA keeps track of the number of Program districts/Program counties that have adopted the basic package. For the purpose of this DLR 2.2 in this DLI sequence, implementation is achieved when the basic package is delivered to at least 500 eligible beneficiaries (according to DLI1), and the data is recorded in the PCP. (Scalable)

►Number of elderly assessed using the needs assessment toolkit (by gender, by rural/urban areas, by degree) (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	497,665.00	2,474,000.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

Comments	**Status**: Upadted in 2023 **Program Design**: CABs contract with the qualified third parties (aged care facilities, hospitals, clinics, nursing homes, civil society organizations, and others) to conduct the needs assessments by using the needs assessment toolkit. The results reported with disaggregated data (by gender, by tier, by rural/urban areas, by degree) prepared by the qualified third parties will be presented to CABs for review and payment, and for entering into DOCA PCP. Data in DOCA PCP are aggregated at the provincial level. (cumulative)

☐Women (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	265,350.00	1,237,000.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

☐Rural (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	237,801.00	1,546,250.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Jan-2024

☐Screening only (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	406,243.00	2,064,000.00

| Date | 30-Oct-2018 | 30-Jun-2022 | 16-Aug-2023 | 31-Dec-2024 |

□Professional assessment (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	91,422.00	410,000.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

►Percentage of the married elderly with unmet aged care needs (by gender) (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	TBD	TBD	TBD	TBD
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024
Comments	**Status**: To be updated after the elderly and family survey is done. **Program design**: A person is defined to have unmet care needs if the person: (a) is above 60 years, (b) has at least one ADL/IADL need, and (c) does not receive eldercare (neither formal nor informal). The sub-indicators would be disaggregated by gender. Household and individual surveys. A baseline survey will be conducted at the Program start, the second wave survey at the midterm review, and the final wave by the end of the Program. The TOR for household and individual surveys is being prepared for procurement. The baseline and end target will be set after the baseline survey is done.			

□Women (Text, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	TBD	TBD	TBD	TBD
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2023

Results Area 2: Enhancing quality of aged care services for the elderly

►Number of communities that use health records for screening of the elderly's needs assessment (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	2,766.00	7,200.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024
Comments	**Status**: Based on the mid-term review, this indicator is likely to be dropped as the screening tool is developed and implemented. **Program design**: Communities that use health records of the elderly for screening needs assessment report to CABs. CABs review the report, and validate the data through third-party sample survey. CABs enter the data into DOCA PCP at county/district level. DOCA aggregates the data from all CABs at the provincial level using DOCA PCP. (cumulative)			

►Number of persons receiving training and certification in the aged care sector (by gender, by occupation) (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target

Value	0.00	1,200.00	13,559.00	37,000.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024
Comments	**Status**: The target of DLR #5 in Year 1 was achieved. A total of 1503 wage caregivers received training and certification in the aged care sector in Year 1. The verification was carried out by the third-party verification agency. Documents evidencing the achievement of the DLR are found in the Verification Report. The numbers are updated in 2023. **Training and skills development**. DOCA organized training activities and trained more than 1500 caregivers, 1000 facility managers, and 198 civil affairs officers. In the second half of 2023, DOCA plans to train 1498 caregivers and 170 nurses and doctors from aged care facilities. Based on the Provincial Implementation Plan and Action for the Cultivation of Skilled Talents on Aged Care jointly prepared by DOCA and the provincial Department of Human Resources and Social Security, DOCA is finalizing a Specific Aged Care Human Resource Development Action Plan to support the training plan and programs in Guizhou. **Program design**: DOCA will organize the training and skills upgrading activities at the provincial level. They will first carry out the training needs assessment and then develop the training plan by year with yearly quota. DOCA will select the qualified training institutions and schools and purchase training services for targeted trainees in batches. After the delivery of training, training institutions and schools will, in turn, report to DOCA the number of persons trained and certified, with breakdown by government officials, professionals, managers, and wage caregivers for aged care facilities, nurses, doctors, family caregivers, and relevant service providers. DOCA will review the report and verify the data and the quality of training by sample survey through a third party for payment. Data as verified will be entered to DOCA PCP. The prefectures and districts/counties are responsible for the registration of local participants in the training. (cumulative)			

☐Women (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	8,366.00	28,860.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

☐Wage caregivers (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	1,200.00	3,841.00	19,000.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

☐Managers and adminitrators (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	1,554.00	5,000.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

☐Doctors (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	169.00	2,500.00

Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

Government officials (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	482.00	500.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

Graduates majored in elderly care (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	7,591.00	10,000.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

Number of wage caregivers receiving training and certification in the age care sector (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	1,503.00	19,000.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

Number of wage caregivers receiving training and certification in the age care sector (Women) (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	1,072.00	15,000.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

►Number of wage caregivers receiving job subsidies (by gender) (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	16,000.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024
Comments	**Status**: To be updated after the policy initiative is issued and implemented in November/December 2023. **Program design** : DOCA will formulate policy measures on job subsidies for wage caregivers in the aged care sector. CABs in charge of job subsidy programs report to the provincial DOCA on the number of wage caregivers and their basic information (ID number, name, gender, work unit, amount of subsidy, and date of subsidy receipt) and enter the data in DOCA PCP. DOCA will review the information and verify it by sample survey through a third party. The data of each district/county are aggregated at the provincial level in DOCA PCP. (cumulative)			

Women (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	12,800.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

►Number of family caregivers receiving subsidies or respite services (by gender) (Number, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	5,000.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

Comments	**Status**: to be updated after the policy initiative is issued and implemented in November/December 2023. **Program design**: CABs collect data on individual family caregivers receiving either subsidies and/or respite services. CABs, through a third party, carry out regular spot checks to verify the data. CAB enter the data of family caregivers disaggregated by gender. DOCA consolidates the data at the provincial level in DOCA PCP. (cumulative)

☐Women (Number, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	4,250.00
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

►Number of hours per week that family caregivers spent in providing aged care to their elderly recipients (by gender) (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	TBD	0.00	0.00	TBD
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

Comments	**Status**: To be updated after the elderly and family survey is done. **Program design**: Number of hours per week refers to the actual number of hours of care family caregivers provided to an elderly. The sub-indicators would be disaggregated by gender (and most likely constrained to the nuclear family members). Household and individual surveys representative of age 60 years and older population in Guizhou. A baseline survey will be conducted at the Program start, the second wave survey at the midterm review, and the final wave by the end of the Program.

☐Women (Text, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	TBD	TBD	TBD	TBD
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

Results Area 3: Strengthening efficiency of aged care financing for the elderly

►Development of the operational management (OM) guidelines for public aged care facilities and number of Program districts/Program counties where implementation of the OM guidelines has occurred (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target

Value	0.00	Yes	10.00	48.00
Date	31-Oct-2018	01-Nov-2022	16-Aug-2023	31-Dec-2024
Comments	**Status**: The target of DLR #7.1 was achieved. DOCA developed the Operational Management Guidelines for public aged care facilities and officially issued them on March 16, 2023. The verification was carried out by the third-party verification agency. Documents evidencing the achievement of the DLR are found in the Verification Report. The number in 2023 is updated. **Program design**: OM refers to a set of institutional setting with rules, procedures, and specific requirements to manage and oversee the operations of public aged care facilities including the publicly owned and publicly operated ones and the publicly owned and privately operated ones. The main purposes are to improve the quality, efficiency, and suitability of public aged care facilities. DLR 7.1. DOCA has developed the operational management guidelines for public aged care facilities DOCA will formulate the provincial OM guidelines, which will be consulted with the public and reviewed by the ESC. DLR 7.2. Implementation of the operational management guidelines for public aged care facilities has occurred in 48 Program districts/ Program counties It measures the number of districts/counties that have implemented the provincial OM guidelines. DLR 7.2 is numerical and scalable.			

► Bed occupancy rate of 24-hour aged care facilities (by tier, by ownership) (Text, Custom)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	TBD	TBD	TBD	TBD
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024
Comments	**Status**: The baseline survey data was just completed. The baseline and end target values will be set in the next ISR. **Program design**: The aged care facilities (residential homes/institutions, 24-hour residential facilities with 10 beds or more at the community level) report the information on number of beds, days of occupancy, and payment for occupied beds to CABs. CABs review the information regularly and enter the data in DOCA PCP, which are disaggregated by tier of services and by ownership. DOCA aggregates the data of all the districts/counties at the provincial level.			

☐Institutions (Text, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	TBD	TBD	TBD	TBD
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

☐Community facilities (Text, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	TBD	TBD	TBD	TBD
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

☐Public-owned, public-operated (Text, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	TBD	TBD	TBD	TBD
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

☐Public-owned, private-operated (Text, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	TBD	TBD	TBD	TBD
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

☐Private (Text, Custom Breakdown)

	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	TBD	TBD	TBD	TBD
Date	31-Oct-2018	30-Jun-2022	16-Aug-2023	31-Dec-2024

Disbursement Linked Indicators

▶DLI 1 Development of the needs assessment toolkit and number of Program districts/ Program counties where implementation of the needs assessment toolkit has occurred (Intermediate Outcome, 32,820,000.00, 17%)

	Baseline	Actual (Previous)	Actual (Current)	Calendar Year 2024
Value	0.00	0.00	10.00	48.00
Date	--	30-Jun-2022	16-Aug-2023	--
Comments	The target of DLR #1.1 was achieved. DOCA developed the needs assessment toolkit and officially issued it in Guizhou in November 2022. The verification was carried out by the third-party verification agency. Documents evidencing the achievement of the DLR are found in the Verification Report. The needs assessment toolkit is under implementation. DOCA has chosen 10 districts/counties for the verification of DLR in year 2. DOCA organized training activities on the screening and professional assessment toolkit, which is under implementation at the Program districts/counties. By the end of June 2023, the screening assessment covered 290 thousand elderly people, and the professional assessment covered 50 thousand elderly people.			

▶DLI 2 Development of the basic package of aged care services and number of Program districts/ Program counties where implementation of the basic package of aged care services has occurred (Intermediate Outcome, 32,820,000.00, 0%)

	Baseline	Actual (Previous)	Actual (Current)	Calendar Year 2024
Value	0.00	0.00	0.00	48.00
Date	--	30-Jun-2021	16-Aug-2023	--
Comments	The draft basic package guidelines were discussed and are being revised by focusing on aged care and long-term care. The draft guidelines expect to be finalized in late September 2023 and officially promulgated in October 2023 after the Expert Steering Committee's (ESC) review.			

►DLI 3 Number of eligible elderly receiving the basic package of aged care services (Outcome, 65,640,000.00, 0%)

	Baseline	Actual (Previous)	Actual (Current)	Calendar Year 2024
Value	0.00	0.00	0.00	402,000.00
Date	--	30-Jun-2022	16-Aug-2023	--
Comments	Once the DLI 2 is achieved, the elderly will receive basic aged care services as defined in the package. The number of eligible elderly beneficiaries receiving the basic package of aged care services is DLI3, which can be monitored after the needs assessment toolkit, the criteria, and the guidelines for the basic package of aged care services are developed and put in place. · **Criteria for accessing the basic package.** The criteria consider both the degree of functional limitations and income level and assets, which are incorporated into the guidelines of the basic package of aged services and the guidelines of the government purchase and linked with the level of subsidy. · **Guidelines for the purchase of basic aged care services.** The draft guidelines will be updated by coordinating with the guidelines of the basic aged care services for the ESC review. The Guidelines expect to be officially promulgated in October 2023.			

►DLI 4 Development of the aged care quality standards and number of aged care facilities complying with the aged care quality standards (Outcome, 65,640,000.00, 0%)

	Baseline	Actual (Previous)	Actual (Current)	Calendar Year 2024
Value	0.00	0.00	0.00	1,500.00
Date	--	30-Jun-2022	16-Aug-2023	--
Comments	The infrastructure standard guidelines had a draft, and the services standard is under preparation. The AFD will send two experts to Guiyang in September 2023 to help accelerate drafting of the guidelines on quality standards. The final draft is expected to be done in October and officially issued in November 2023.			

►DLI 5 Number of wage caregivers receiving training and certification in the aged care sector (Intermediate Outcome, 32,820,000.00, 7%)

	Baseline	Actual (Previous)	Actual (Current)	Calendar Year 2024
Value	0.00	1,200.00	1,503.00	19,000.00
Date	--	30-Jun-2022	16-Aug-2023	--
Comments	The target of DLR #5 in Y1 was **achieved. A total of** 1503 wage caregivers received training and certification in the aged care sector in Year 1. The verification was carried out by the third-party verification agency. Documents evidencing the achievement of the DLR are found in the Verification Report. **Training and skills development**. DOCA organized training activities and trained more than 1500 caregivers, 1000 facility managers and 198 civil affairs officers. In the second half of 2023, DOCA plans to train 1498 caregivers and 170 nurses and doctors from aged care facilities. Based on the *Provincial Implementation Plan and Action for the Cultivation of Skilled Talents on Aged Care* jointly prepared by DOCA and the provincial Department of Human Resources and Social Security, DOCA is finalizing a *Specific Aged Care Human Resource Development Action Plan* to support the training plan and programs in Guizhou.			

►DLI 6 Development of the zero-based aged care budget planning and allocation (ZBB) guidelines and number of Program districts/Program counties where implementation of the ZBB guidelines has occurred (Outcome, 65,640,000.00, 26%)

	Baseline	Actual (Previous)	Actual (Current)	Calendar Year 2024
Value	0.00	Yes	17.00	48.00
Date	--	30-Jun-2021	16-Aug-2023	--
Comments	**Status**: The target of DLR #6.1 was **achieved.** DOCA has developed the Zero-based Aged Care Budget Planning and Allocation Guidelines and officially issued them for implementation in Guizhou on June 29, 2021. The verification was carried out by the third-party verification agency. Documents evidencing the achievement of the DLR are found in the Verification Report. Implementing the ZBB guidelines now covers all Program prefecture and district/county levels. Based on its progress, 17 districts/counties will be chosen to verify the achievement of DLI6 in Year 2.			

► DLI 7 Development of the operational management (OM) guidelines for public aged care facilities and number of Program districts/Program counties where implementation of the OM guidelines has occurred (Intermediate Outcome, 32,820,000.00, 17%)

	Baseline	Actual (Previous)	Actual (Current)	Calendar Year 2024
Value	0.00	0.00	Yes	48.00
Date	--	30-Jun-2022	16-Aug-2023	--
Comments	The target of DLR #7.1 was achieved. DOCA developed the Operational Management Guidelines for public aged care facilities and officially issued them on March 16, 2023. The verification was carried out by the third-party verification agency. Documents evidencing the achievement of the DLR are found in the Verification Report. The operational management guidelines have two separate components: the guidelines for public-owned and private-operated institutions and the guidelines for public-owned and public-operated facilities. The former was officially promulgated in November 2022. The latter was officially promulgated in March 2023. DOCA organized training activities on the guidelines and chose 10 districts/counties as a first batch for pilot.			