

## Procurement Plan

### I. General

#### 1. Project Information

Country : Kenya

Recipient : Republic of Kenya

Project Name : National Agriculture and Rural Inclusive Growth

Loan Credit No. : IDA 59000

**2. Date of General Procurement Notice : 9<sup>th</sup> February 2018**

**3. Period Covered by this procurement plan : 18 months**

### II. Goods, Works, and Non-Consulting Services

#### 1. Thresholds for the Method of Procurement:

Method of Procurement	Thresholds for Method (US\$ equivalent)
Open International (Goods)	Equal to or more than 3 million
Open National ( Goods) - Open Tender as per PPDA 2015	< \$3 million
RFQ (Goods)	Less than 20,000 or as stipulated in Kenya Procurement Regulations 2017
Open International (Works)	Equal to or more than 15 million
Open National (Works) - Open Tender as per PPDA 2015	Less than 15 million
RFQ (works)	Less than 20,000 or as stipulated in Kenya Procurement Regulations 2017

<b>Method of Procurement</b>	<b>Thresholds for Method (US\$ equivalent)</b>
Open International (Non-consulting Services)	Equal to or More than 3 million
Open National (Non-consulting Services) - Open Tender as per PPDA 2015	Less than 3 million
Direct Contracting	No threshold; meet requirements of Bank's Procurement Regulations

**Prior Review:** The details of the Procurement review / oversight are defined in the Annex II of the Regulations for borrowers. The following would be subject to Prior review of the Bank regardless of the Method of selection (Project Procurement Risk Rating is **"High"**):

- (a) **Goods, information technology and Non-consulting Services:** First procurement under the project irrespective of value and all procurements estimated to cost more than USD 1.5 million
- (b) **Works (including turnkey, supply & installation of plant and equipment and PPP);** First procurement under the project irrespective of value and all procurements estimated to cost more than USD 5 million and

***The prior review thresholds will be reviewed during the implementation of the project and modified, based on the risk assessment.***

**2. For contracts subject to prior review, the Borrower shall seek the Bank's no objection before agreeing to:**

- a. an extension of the stipulated time for execution of a contract that has an impact on the planned completion of the project;
- b. any material modification of the scope of the Works, Goods, Non-consulting services or Consulting Services, or other significant changes to the terms and conditions of the contract;
- c. any variation order or contract amendment (except in cases of extreme urgency), including due to extension of time, that singly or combined with all previous variation orders or amendments, increases the original contract amount by more than 15% (fifteen percent); or
- d. the proposed termination of the contract.

The Borrower / IA shall provide a copy of all amendments to the contract to the Bank for its records.

**3. Prequalification**

Not Applicable

**Domestic Preference.** The provision of domestic preference shall be applied in the procurement of goods following international market approach in accordance with Annex VI of the Regulations. Domestic preference shall not apply in the procurement of works.

#### **4. Reference to Standard Procurement Documents**

Bank's Standard RFB Goods and RFP Consulting Services dated July 2016 will be used as a base and incorporating suitable modifications and the same would be agreed with the Bank subject international competition.

#### **5. Any Other Special Procurement Arrangements**

##### **A. Procurement approach under CDD Approach by Communities:**

Applicable if stipulated in the PAD.

B. When approaching the national market, as agreed in the Procurement Plan, the Open Tender procedure of Public Procurement and Asset Disposal Act 2015 and Regulations 2017 may be used. The specific Requirements for national open competitive procurement include the following:

- a. open advertising of the procurement opportunity at the national level;
- b. the procurement is open to eligible firms from any country;
- c. the request for bids/request for proposals document shall require that Bidders/Proposers submitting Bids/Proposals present a signed acceptance at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance with, the Bank's Anti-Corruption Guidelines, including without limitation the Bank's right to sanction and the Bank's inspection and audit rights;
- d. contracts with an appropriate allocation of responsibilities, risks, and liabilities;
- e. publication of contract award information;
- f. rights for the Bank to review procurement documentation and activities;
- g. an effective complaints mechanism; and
- h. maintenance of records of the Procurement Process.

Other national procurement arrangements (other than national open competitive procurement), that may be applied by the Borrower (such as limited/restricted competitive bidding, request for quotations/shopping, direct contracting), shall be consistent with the Bank's Core Procurement Principles and ensure that the Bank's Anti-Corruption Guidelines and Sanctions Framework and contractual remedies set out in its Legal Agreement apply.

In all cases, the national procurement procedures to be used shall give due attention to quality aspects.

6. The bid evaluation will be carried out according to the agreed timeline in the Procurement Activity Schedule.

### III. Selection of Consultants

#### 1. Methods and Prior Review Threshold

##### **Selection methods of procurement of Consultants' Services (Firms):**

The Approved Selection Methods as reflected in the Section VII of the procurement regulations

<b>Method of Procurement</b>	<b>Thresholds for Methods</b>
Quality and Cost Based Selection (QCBS)	As per requirements of para 7.3 of the Regulations
Quality Based Selection (QBS)	As per requirements of para 7.8 to 7.10 of the Regulations
Selection based on a Fixed Budget (FBS)	As per requirements of para 7.4 and 7.5 of the Regulations
Selection Based on Least Cost Basis (LCS)	As per requirements of para 7.6 and 7.7 of the Regulations
Selection based on Consultant's Qualification (CQ)	As per requirements of para 7.11 and 7.12 of the Regulations
Direct	Must meet the requirements of Para 7.13 to 7.15 of the Regulations, and with prior agreement in PP with the Bank.

**The short list may consist of all National consultants in case the estimated cost is less than USD 300,000**

##### **Procurement methods for Selection of Individual Consultants (IC):**

The Approved Selection Methods as reflected in the Section VII of the procurement regulations

<b>Method of Procurement</b>	<b>Thresholds for Methods</b>
Open Competitive Selection	As per requirements of para 7.36 and 7.37 of the Regulations
Limited Competitive Selection	As per requirements of para 7.38 of the Regulations
Direct	Must meet the requirements of Para 7.39 of the Regulations, and with prior agreement in PP with the Bank

**\*Shall meet the requirements as stated in Regulations**

**Prior Review Thresholds:** The details of the Procurement review / oversight are defined in the Annex II of the Regulations for borrowers. The following would be subject to Prior review of the Bank regardless of the Method of selection:

(a). **Consulting Firm:** First procurement under the project irrespective of value and all procurements estimated to cost more than USD 0.5 million.

(b). **Individual Consultant:** all procurements estimated to cost more than USD 0.2 million

**Terms of Reference (ToR) for all consultant contracts shall be furnished to the Bank for its prior review and No Objection.**

**PROCUREMENT PLAN** Kenya : National Agricultural and Rural Inclusive Growth Project

**General Information**  
**Country:** Kenya **Bank's Approval Date of the Original Procurement Plan:** 2019-06-11  
**Project ID:** P153349 **Revised Plan Date(s):** (comma delineated, leave blank) 2023-09-25  
**Project Name:** National Agricultural and Rural Inclusive Growth Project **GPN Date:** 2018-02-09  
**Loan / Credit No.:** IDA / 59000  
**Executing Agency:** Makeni County

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		Planned	Actual
KE-MAKUENI COUNTY-11081 0-CW-RFO / Renovation and refurbishment of offices	IDA / 59000	Project Coordination and Management	Post	Request for Quotations	Limited	Single Stage - One Envelope				25,000.00	22,938.29	Completed								2019-04-28	2019-06-20							2019-06-23	2019-07-23	2019-12-20	
KE-MAKUENI COUNTY-13685 0-CW-RFO / construction of gabions and works at gullied hotspot areas under SLM interventions	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope				42,798.00	39,240.54	Signed																		2020-05-31	
KE-MAKUENI COUNTY-13692 7-CW-RFO / installation of farm pods for VMGs households for SLM interventions	IDA / 59000	Supporting Community-Driven Development	Post	Request for Quotations	Limited	Single Stage - One Envelope				120,150.00	107,452.68	Signed									2019-10-08	2019-07-17									2020-05-31
KE-MAKUENI COUNTY-13734 9-CW-RFB / construction of a dam for SLM interventions	IDA / 59000	Supporting County Community-Led Development	Post	Request for Bids	Open - National	Single Stage - One Envelope				852,650.00	715,157.34	Terminated					2019-10-30	2020-01-21	2019-11-04												2020-08-17
KE-MAKUENI COUNTY-24175 7-CW-RFO / construction of Kwa Nguni sand Dam	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope				64,122.41	55,448.87	Signed									2021-07-13	2021-10-04									2022-03-08
KE-MAKUENI COUNTY-24176 0-CW-RFO / construction of Kwa Nguni farm ponds	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope				70,000.00	53,957.77	Signed									2021-07-13	2021-10-04									2022-03-08
KE-MAKUENI COUNTY-24176 1-CW-RFO / Construction of Muangini sand dam	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope				101,300.65	0.00	Under Implementation									2021-07-17	2021-10-04									2022-03-10
KE-MAKUENI COUNTY-24176 3-CW-RFO / construction of farm ponds at Muangini catchment area	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope				70,000.00	0.00	Under Implementation									2021-07-17										2022-03-10
KE-MAKUENI COUNTY-24177 2-CW-RFO / construction Ngosini farm ponds for the VMGs	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope				70,000.00	0.00	Under Implementation									2021-07-17										2022-03-10
KE-MAKUENI COUNTY-24177 6-CW-RFO / construction of Mukuyuni sand dam	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope				119,908.80	0.00	Under Implementation									2021-07-20	2022-07-06									2022-03-13
KE-MAKUENI COUNTY-24178 3-CW-RFO / construction of farm ponds at Mukuyuni for VMGs	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope				70,000.00	0.00	Under Implementation									2021-07-19	2023-02-03									2022-03-12
KE-MAKUENI COUNTY-24178 6-CW-RFO / construction of Muni sand dam	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope				114,430.58	86,412.57	Signed									2021-07-23	2021-10-04									2022-03-16
KE-MAKUENI COUNTY-24178 8-CW-RFO / construction of Muni farm ponds for the VMGs	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope				70,000.00	53,416.44	Signed									2021-07-24	2022-02-02									2022-03-17
KE-MAKUENI COUNTY-24179 2-CW-RFO / construction of Mutongu Ndiani sand dam and water shed	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope				63,316.00	0.00	Under Implementation									2021-07-24										2022-03-17
KE-MAKUENI COUNTY-24179 5-CW-RFO / construction of Mutongu Ndiani farm ponds for the VMGs	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope				70,000.00	0.00	Under Implementation									2021-07-24										2022-03-17
KE-MAKUENI COUNTY-29554 3-GO-RFO / COMPLETION OF KILOMBO EARTH DAM IN MARIYVE - KILOMBO SUB COUNTY	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope				81,451.63	0.00	Under Implementation									2022-06-10	2022-07-06									2023-02-01
KE-MAKUENI COUNTY-24177 0-CW-RFO / construction of Ngosini sand dam	IDA / 59000	Supporting County Community-Led Development	Post	Request for Bids	Open - National	Single Stage - One Envelope				232,751.20	0.00	Canceled					2021-07-12	2022-05-11	2021-07-17												2022-04-30
KE-MAKUENI COUNTY-38334 1-CW-RFO / Rehabilitation of Ngosini concrete water pan in Makeni sub-county under SLM	IDA / 59000	Supporting County Community-Led Development	Post	Request for Bids	Open - National	Single Stage - One Envelope				177,800.00	0.00	Pending Implementation					2023-09-22		2023-09-25												2024-03-31

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion						
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual							
KE-MAKUENI COUNTY-13683 3-GO-RFO / supply and delivery of office furniture and equipment	IDA / 59000	Supporting County Community-Led Development	Post	Request for Quotations	Limited	Single Stage - One Envelope		21,852.00	20,051.39	Signed																					2019-12-03	2019-10-26	2020-05-31



**INDIVIDUAL CONSULTANTS**

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identify/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual