

PROCUREMENT PLAN (Textual Part)

Project information: Khyber Pakhtunkhwa Rural Investment and Institutional Support Project

Project Implementation agency(ies): Planning and Development Department, Agriculture Department, Communication & Works Department, Irrigation Department, Local Government Department, and Public Health Engineering Departments of Khyber Pakhtunkhwa

Date of the Procurement Plan: 26 September 2023

Period covered by this Procurement Plan: Sep 2023 - March 2025

Preamble

In accordance with paragraph 5.9 of the “World Bank Procurement Regulations for IPF Borrowers” (July 2016, Revised November 2020) (“Procurement Regulations”) the Bank’s Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

The Bank’s Standard Procurement Documents: shall be used for all contracts subject to international competitive procurement and those national competitive procurement contracts as specified in the Procurement Plan tables in STEP.

National Procurement Arrangements: In accordance with paragraph 5.3 of the Procurement Regulations, when approaching the national market (as specified in the Procurement Plan tables in STEP), the country’s own procurement procedures may be used, subject to Bank’s prior concurrence.

When the Borrower uses its own national open competitive procurement arrangements as set forth in Public Procurement Rules, such arrangements shall be subject to paragraph 5.4 of the Procurement Regulations and the following conditions:

- 1) The procurement process will only be initiated once the activity is approved in the procurement plan through STEP.
- 2) The eligibility of bidders shall be as defined under Section III of the Procurement Regulations. Accordingly, no bidder or potential bidder shall be declared ineligible for contracts financed by the Bank for reasons other than those provided in Section III of the Procurement Regulations and the procurement is open to eligible firms from any country.
- 3) For national open competitive procurement, only the procurement documents acceptable and agreed with the World Bank, shall be used.
- 4) The request for bids/request for proposals document shall require that Bidders/Proposers submitting Bids/Proposals present a signed acceptance (in

the form attached) at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance with, the Bank's Anti-Corruption Guidelines, including without limitation the Bank's right to sanction and the Bank's inspection and audit rights.

- 5) The Procurement Documents include sufficient provisions, as agreed with the Bank, to adequately mitigate against environmental and social (including SEA/SH), risks and impacts.
- 6) Access to procurement opportunities shall not be restricted to firms that have pre-registered and/or paid a registration fee.
- 7) No preference of any kind shall be given to national bidders in the bidding process.
- 8) Contracts with an appropriate allocation of responsibilities, risks, and liabilities.
- 9) Publication of contract award information.
- 10) Rights for the Bank to review procurement documentation and activities.
- 11) An effective complaints mechanism and using STEP complaint system for procurement related complaints resolution; and
- 12) Maintenance of records of the Procurement Process and update the STEP and its contract management module.

When other national procurement arrangements (other than national open competitive procurement) are applied by the Borrower, such arrangements shall be subject to paragraph 5.5 of the Procurement Regulations.

Leased Assets as specified under paragraph 5.10 of the Procurement Regulations: Leasing may be used for those contracts identified and approved in the Procurement Plan.

Procurement of Secondhand Goods will be subject to as specified under paragraph 5.11 of the Procurement Regulations.

Letter of Acceptance of the World Bank's Anticorruption Guidelines and Sanctions Framework¹

Date: _____

Invitation of Bids/Proposals
No. _____

To: _____

We, along with our sub-contractors, sub-consultants, service providers, suppliers, agents (whether declared or not) consultants and personnel, acknowledge and agree to abide by the World Bank's policy regarding Fraud and Corruption (corrupt, fraudulent, collusive, coercive, and obstructive practices), as set out and defined in the World Bank's Anti-Corruption Guidelines² in connection with the procurement and execution of the contract (in case of award), including any amendments thereto.

We declare and warrant that we, along our sub-contractors, sub-consultants, service providers, suppliers, agents (whether declared or not), consultants and personnel, are not subject to, and are not controlled by any entity or individual that is subject to, a temporary suspension, early temporary suspension, or debarment imposed by a member of the World Bank Group, including, inter alia, a cross-debarment imposed by the World Bank Group as agreed with other international financial institutions (including multilateral development banks), or through the application of a World Bank Group finding of non-responsibility on the basis of Fraud and Corruption in connection with World Bank Group corporate procurement. Further, we are not ineligible under the laws or official regulations of *[Insert name of Employer as per bidding document]* or pursuant to a decision of the United Nations Security Council.

We confirm our understanding of the consequences of not complying with the World Bank's Anti-Corruption Guidelines, which may include the following:

- a. rejection of our Proposal/Bid for award of contract;
- b. in the case of award, termination of the contract, without prejudice to any other remedy for breach of contract; and

¹[Drafting note: This document shall be signed by bidders/proposers/consultants and submitted as part of their bids/proposals. In addition, this document shall be signed by the winning bidder/consultant and incorporated as part of the contract.]

²*Guidelines on Preventing and Combating Fraud and Corruption in Projects Financed by International Bank for Reconstruction and Development Loans and the International Development Agency Credits and Grants*, dated October 15, 2006, and revised in January 2011 and July 2016, as they may be revised from time to time.

- c. sanctions, pursuant to the Bank's Anti-Corruption Guidelines and in accordance with its prevailing sanctions policies and procedures as set forth in the Bank's Sanctions Framework. This may include a public declaration of ineligibility, either indefinitely or for a stated period of time, (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;³ (ii) to be a nominated⁴ sub-contractor, sub-consultant, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project.

We understand that we may be declared ineligible as set out above upon:

- a. completion of World Bank Group sanctions proceedings according to its prevailing sanctions procedures;
- b. cross-debarment as agreed with other international financial institutions (including multilateral development banks);
- c. the application of a World Bank Group finding of non-responsibility on the basis of Fraud and Corruption in connection with World Bank Group corporate procurement; or
- d. temporary suspension or early temporary suspension in connection with an ongoing World Bank Group sanctions proceeding.

For avoidance of doubt, the foregoing effects of ineligibility do not extend to a sanctioned firm's or individual's execution of its ongoing Bank-financed contracts (or its ongoing sub-agreements under such contracts) that are not the subject of a material modification, as determined by the Bank.

We shall permit, and shall cause our sub-contractors, sub-consultants, agents (whether declared or not), personnel, consultants, service providers or suppliers, to permit the Bank to inspect⁵ all accounts, records, and other documents relating to

³ For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification or initial selection), expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

⁴A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the bidding document) is one which has been: (i) included by the bidder in its pre-qualification or initial selection application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

⁵Inspections in this context are usually investigative (i.e., forensic) in nature: they involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes

the procurement process and/or contract execution (in the case of award), and to have them audited by auditors appointed by the Bank.

We agree to preserve all accounts, records, and other documents (whether in hard copy or electronic format) related to the procurement and execution of the contract.

Name of the Bidder/Proposer/Consultant: _____

Name of the person duly authorized to sign the Bid/Proposal on behalf of the Bidder/Proposer/ Consultant:

Title of the person signing the Letter: _____

but is not limited to accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data, and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third-party verification of information.

PROCUREMENT PLAN Pakistan : Khyber Pakhtunkhwa Rural Investment and Institutional Support Project

General Information

Country: Pakistan **Bank's Approval Date of the Original Procurement Plan:** 2024-01-03
Revised Plan Date(s): (comma delineated, leave blank) 2024-09-02
Project ID: P176780 **GPN Date:** 2023-09-26
Project Name: Khyber Pakhtunkhwa Rural Investment and Institutional Support Project
Loan / Credit No.: IDA / 73480
Executing Agency: Project Coordination and Management Unit- KP RIISP

WORKS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	

GOODS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	

NON CONSULTING SERVICES

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	

CONSULTING FIRMS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposals		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	

INDIVIDUAL CONSULTANTS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identify / Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	
PK-PCMU-397535-CS-INDV / Project Coordinator PCMU KP RIISP	IDA / 73480	Project Management, M&E, and Technical Assistance	Post	Individual Consultant Selection	Open - National		26,000.00	0.00	Under Implementation	2024-01-10	2024-01-04	2024-02-13	2024-04-16	2024-03-05	2024-04-17	2024-04-09	2024-04-09	2025-04-09
PK-PCMU-397542-CS-INDV / Senior Procurement Specialist PCMU KP RIISP	IDA / 73480	Project Management, M&E, and Technical Assistance	Prior	Individual Consultant Selection	Open - National		26,000.00	0.00	Signed	2024-01-10	2024-01-08	2024-02-13	2024-04-16	2024-03-05	2024-05-06	2024-04-09	2024-05-08	2025-04-09
PK-PCMU-397544-CS-INDV / Senior Financial Management Specialist PCMU KP RIISP	IDA / 73480	Project Management, M&E, and Technical Assistance	Prior	Individual Consultant Selection	Open - National		26,000.00	0.00	Under Implementation	2024-01-10	2024-01-08	2024-02-13	2024-08-09	2024-03-05	2024-08-15	2024-04-09		2025-04-09
PK-PCMU-397545-CS-INDV / Lead IT Specialist PCMU KP RIISP	IDA / 73480	Project Management, M&E, and Technical Assistance	Post	Individual Consultant Selection	Open - National		26,000.00	0.00	Under Implementation	2024-01-10	2024-01-15	2024-02-13	2024-04-16	2024-03-05	2024-04-17	2024-04-09		2025-04-09
PK-PCMU-397548-CS-INDV / Senior Social Specialist PCMU KP RIISP	IDA / 73480	Project Management, M&E, and Technical Assistance	Post	Individual Consultant Selection	Open - National		26,000.00	0.00	Under Implementation	2024-01-10	2024-01-04	2024-02-13	2024-04-19	2024-03-05	2024-04-19	2024-04-09		2025-04-09
PK-PCMU-397549-CS-INDV / Monitoring & Evaluation Specialist PCMU KP RIISP	IDA / 73480	Project Management, M&E, and Technical Assistance	Post	Individual Consultant Selection	Open - National		26,000.00	0.00	Under Implementation	2024-01-10	2024-01-04	2024-02-13		2024-03-05		2024-04-09		2025-04-09
PK-PCMU-402087-CS-INDV / Environment Specialist PCMU KP RIISP	IDA / 73480	Project Management, M&E, and Technical Assistance	Post	Individual Consultant Selection	Open - National		26,000.00	0.00	Under Implementation	2024-01-16	2024-01-15	2024-02-19	2024-04-19	2024-03-11	2024-05-03	2024-04-15		2025-04-15
PK-PCMU-448639-CS-INDV / Hiring Senior Social Specialist PCMU KP RIISP	IDA / 73480	Project Management, M&E, and Technical Assistance	Prior	Individual Consultant Selection	Open - National		26,000.00	0.00	Pending Implementation	2024-09-05		2024-10-24		2024-11-14		2024-12-19		2025-12-19
PK-PCMU-448640-CS-INDV / M&E Officer PCMU KP RIISP	IDA / 73480	Project Management, M&E, and Technical Assistance	Post	Individual Consultant Selection	Open - National		8,600.00	0.00	Pending Implementation	2024-09-13		2024-11-01		2024-11-22		2024-12-27		2025-12-27
PK-PCMU-448642-CS-INDV / Admin and Finance Officer PCMU KP RIISP	IDA / 73480	Project Management, M&E, and Technical Assistance	Post	Individual Consultant Selection	Open - National		8,600.00	0.00	Pending Implementation	2024-09-13		2024-11-01		2024-11-22		2024-12-27		2025-12-27
PK-PCMU-448643-CS-INDV / Coordination & Communication Officer PCMU KP RIISP	IDA / 73480	Project Management, M&E, and Technical Assistance	Post	Individual Consultant Selection	Open - National		8,600.00	0.00	Pending Implementation	2024-09-13		2024-11-01		2024-11-22		2024-12-27		2025-12-27
PK-PCMU-448644-CS-INDV / Gender and Inclusion Officer PCMU KP RIISP	IDA / 73480	Project Management, M&E, and Technical Assistance	Post	Individual Consultant Selection	Open - National		8,600.00	0.00	Pending Implementation	2024-09-13		2024-11-01		2024-11-22		2024-12-27		2025-12-27