

PROCUREMENT PLAN

INDONESIA: National Urban Flood Resilience Project (NUFReP)

General

1. Operation Information

Country	Indonesia
Borrower	Republic of Indonesia
Project Name	Indonesia: National Urban Flood Resilience Project (NUFReP) (P173671)
Loan/ Credit No.	
Implementing Agency	Ministry of Public Works and Housing (MPWH), Ministry of Home Affairs (MOHA) and Ministry of National Development Planning (BAPPENAS)

2. **Bank's approval Date of the procurement Plan:**
3. **Date of General Procurement Notice:**
4. **Period covered by this procurement plan:** Effectiveness - Loan Closing

Preamble

All Procurements under the Project shall be carried out in accordance with the "World Bank's Procurement Regulations for IPF Borrowers" (November 2020) ("Procurement Regulations"), and the provisions of the Procurement Plan and Project Operations Manual (POM), and shall take precedence over any national procurement regulations. In accordance with paragraph 5.4 of the Procurement Regulations, when approaching the national market all contracts to be procured through Open National Competitive procurement will follow the GoI's procurement regulation (Perpres 16/2018) and amendment thereof subject to the conditions spelled out under the National Procurement Procedures section below.

In accordance with paragraph 5.9 of the "World Bank Procurement Regulations for IPF Borrowers" (November 2020) ("Procurement Regulations") the World Bank's Systematic Tracking of Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

The Bank's Procurement prior review thresholds and selection method threshold: The identified procurement risk under this Operation is '**substantial**' after mitigation measures. Accordingly, all contracts listed in the Procurement Plan Table at or above the thresholds set out in below are subject to the Bank's procurement prior review. All other contracts are subject to post-review. The prior review threshold has considered and applied for the emergency response. The

following selection method threshold shall govern for procurement of goods, works, non-consulting services and consulting services.

Type of Procurement	Approved Selection Method Threshold	Procurement Prior Review Threshold
Works (including turnkey, supply & installation of plant and equipment, and PPP)		
International Open	≥ US\$ 25 million	≥ US\$ 10 million
National Open	at or above US\$ 200k and less than US\$ 25 million	≥ US\$ 10 million
Request for Quotation	< US\$ 200k	N/A
Direct Selection	Subject to conditions as per paragraph 6.8, 6.9 and 6.10 of the Procurement Regulations	≥ US\$ 10 million
Goods and information technology		
International Open	≥ US\$ 2 million	≥ US\$ 2 million
National Open	at or above US\$ 100k and less than US\$ 2 million	≥ US\$ 2 million
Request for Quotation	< US\$ 100k	N/A
Direct Selection	Subject to conditions as per paragraph 6.8, 6.9 and 6.10 of the Procurement Regulations	≥ US\$ 2 million
Non-Consulting Services		
International Open	≥ US\$ 10 million	≥ US\$ 2 million
National Open	at or above US\$ 100k and less than US\$ 20 million	≥ US\$ 2 million
Request for Quotation	< US\$ 100k	N/A
Direct Selection	Subject to conditions as per paragraph 6.8, 6.9 and 6.10 of the Procurement Regulations	≥ US\$ 2 million
Consultants: firms		
International Open (Firms) - QCBS, QBS, LCS, FBS and CQS	≥ US\$ 400k	≥ US\$ 1 million
National Open (Firms) - QCBS, QBS, LCS, FBS and CQS	< US\$ 400k	-
Direct Selection	Subject to conditions as per paragraph 7.13, 7.14 and 7.15 of the Procurement Regulations	≥ US\$ 1 million
Consultants: individuals		

Type of Procurement	Approved Selection Method Threshold	Procurement Prior Review Threshold
Competitive Selection	All	≥ US\$ 300k or as stated in the procurement plan
Direct Selection	Subject to conditions as per paragraph 7.39 of the Procurement Regulations	≥ US\$ 300k

The Bank's Standard Procurement Documents: shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

National Procurement Procedures conditions: In accordance with paragraph 5.3 of the Procurement Regulations, the procedures applicable to the procurement of goods, works, non-consulting services and consulting services under contracts awarded on the basis of National Open Competitive Procurement shall be those of the *Tender/Seleksi* methods as set forth in the Borrower's Presidential Regulation No. 16/2018 dated March 16, 2018 provided that such arrangements **continue to meet requirements of the paragraph 5.4 of the Procurement Regulations** and the following conditions:

1. The procurement is open to eligible firms from any country. There shall not any restriction to the bidders' participation including mandatory requirement to form in joint venture.
2. Any gaps between National Procurement Procedures and the Bank's Procurement Regulations will be addressed and incorporated in the harmonized bidding documents acceptable by the Bank.

Use of National e-Procurement System (SPSE): Unless informed otherwise by the Bank in writing, SPSE e-procurement system may be used only for procurement of goods, works and non-consultant services under Request for Bids through National Open Competitive method, applying the harmonized model bidding documents for National Open Competitive bidding agreed by the Bank. For selection of consultant services through QCBS method, only the modified SPSE (termed by LKPP as SPSE-"ICB") may be used applying the Bank's standard Request for Proposal document. Since at the present time LKPP has not yet developed the modified SPSE for procurement of goods, works and non-consultant services under International Open Competitive method, nor for selection of consultants under QBS, CQS, LCS, FBS and individual consultant selection methods, therefore procurement of all contract packages under these methods shall be carried out through non-electronic process with manual issuance of invitation for bids and receipt of bids, until such time that the modified SPSE has been completed by LKPP and assessed by the Bank to be acceptable. If the Bank determines the modified SPSE to be acceptable for use under the above-mentioned remaining procurement methods, the Bank will confirm through the Bank's written no objection to LKPP and will also notify in writing to the implementing agencies.

If during the implementation of the project, there are packages need to be procured under International Open Competitive Bidding method other than

consulting services packages (civil works, goods, non-consulting services), then the World Bank will consider the procurement of all contract packages under these methods to be carried out through modified SPSE-ICB if it satisfies the World Bank guidelines and still adhere to the procurement principles.

Use of National e-Catalogue System: Based on the Bank’s assessment of the national e-catalogue, the Bank allows the Implementing Units (PIUs) under World Bank financed Operations to use the national e-catalogue for procurement of small value of goods in lieu of the Request for Quotations method specified in the World Bank’s Procurement Regulations for IPF Borrowers within the parameters as below:

- a) For Goods (other than medicines) with estimated cost not more than USD 15,000 equivalent per contract. PIUs may use the national e-catalogue for procuring such items of goods within the above-specified threshold, if such goods are required under the Project and specified in the Procurement Plan approved by the Bank.
- b) Goods (other than medicines) with estimated cost greater than USD 15,000 but less than USD 100,000 equivalent per contract. PIUs may use the national e-catalogue for procuring such items of goods if such goods are required under the Project subject to fulfilling the following additional procedural requirement as below:

PIU shall carry out a secondary procurement process through mini competition, by comparing the prices of similar goods offered by at least three different suppliers which available in the national e-catalogue and then selecting the Supplier with the lowest evaluated price on the basis of “as delivered” price at final destination (i.e., including inland transportation, insurance, applicable taxes and any other incidental charges for the delivery of the required quantity of goods at final destination).

Other Relevant Procurement Information.

- The Project Operations Manual (POM) shall set out the operating procedures for procurement and contract implementation matters under the project written in Bahasa, which shall be consistent with the procedures and rules specified under the Bank’s Procurement Regulations. In case of any difference of interpretation between the POM and the Bank’s Procurement Regulations, the later shall prevail;
- Advance procurement may be applied under the operation especially for main consulting services.

The Procurement Plan tables below are only for the first 18 months of project implementation. The Procurement Plan is a living document and can be revised if there are any changes during the implementation of the Project.

Table 1. Procurement of Works, Goods, and Non-Consulting Service

No	Activity name	Implement. Unit	Procurement Category	Estimated Amount (per AWP)	Procurement Method	Procurement Review Type	Expected Bid Publication Date	Target for Contract Award
1	Flood control in Veteran River Phase I	BWS Kalimantan III	Works	16.090.426	National Open	Prior	8 September 2023	15 December 2023

2	Increased Capacity of Guring/Pekapuran River and Kelayan River	BWS Kalimantan III	Works	11.968.085	National Open	Prior	27 November 2023	4 March 2024
3	Flood Control for Tenggang River	BBWS Pemali Juana	Works	23.137.434	National Open	Prior	8 September 2023	15 December 2023
4	Construction of Primary Drainage for Flood Control Bima city	BWS NT 1	Works	17.952.128	National Open	Prior	8 September 2023	15 December 2023

Table 2: Consultancy Assignments with Selection Methods and Time Schedule

No	Activity name	Implement. Unit	Estimated Amount (per AWP)	Procurement Method	Procurement Review Type	Expected date of REOI Initiation	Target for Contract Award
1	Bridging Project Management Consultant (PMC)	CPMU	398.936	CQS, National	Post	28 August 2023	23 November 2023
2	Bridging Technical Management Consultant (TMC)	CPIU	385.638	CQS, National	Post	28 August 2023	23 November 2023
3	Bridging TMC NPIU Bintek Bina OP	NPIU-Bintek-OP	385.638	CQS, National	Post	4 October 2023	2 January 2024
4	Bridging PISC for Banjarmasin	BWS Kalimantan III	536.667	QCBS, International	Post	1 September 2023	7 December 2023
5	Bridging PISC for BBWS Pemali-Juana	BBWS Pemali Juana	380.000	CQS, National	Post	1 September 2023	27 November 2023
6	Bridging PISC for Bima City	BWS NT 1	525.624	QCBS, International	Post	1 September 2023	7 December 2023
7	Project Management Consultant (PMC)	CPMU	2.706.117	QCBS, International	Prior	8 September 2023	7 February 2024
8	Technical Management Consultant (TMC)	CPIU	2.393.617	QCBS, International	Prior	8 September 2023	7 February 2024
9	Procurement & Financial Management Specialist	CPMU	6.667	Individual - Competitive Selection	Post	28 August 2023	9 October 2023
10	Project Monitoring Specialist	CPMU	6.667	Individual - Competitive Selection	Post	28 August 2023	9 October 2023
11	Procurement Specialist	NPIU-MoHA	8.849	Individual - Competitive Selection	Post	1 September 2023	13 October 2023
12	Institutional Specialist	NPIU-MoHA	8.849	Individual - Competitive Selection	Post	1 September 2023	13 October 2023
13	Flood Risk Management Plan for Limboto and Bolango - Bone Watershed	BWS Sulawesi II - Gorontalo	2.333.333	QCBS, International	Prior	27 September 2023	28 February 2024
14	Review Masterplan and Design of Flood Control and Primary Drainage of Sanggai Watershed	BWS Kalimantan IV - IKN	1.666.667	QCBS, International	Prior	26 October 2023	27 March 2024

Implementing Agency Capacity Building Activities with Time Schedule

In this section the agreed Capacity Building Activities are listed. Bank team will provide trainings as and when required throughout the implementation of the project.

No.	Expected outcome / Activity Description	Estimated Duration	Comments
1	Bank's Procurement Regulations	3 days	
2	Systemic Tracking Exchange in Procurement (STEP)	0.5 day	

NOTE:

Regardless of whether the package is subject to prior or post review, all TORs of consulting services both firm and individual shall be reviewed by the Bank. For procurement of goods, works and non-consulting services, the scope of work, technical specifications, environmental and social safeguard documents, cost estimates shall also be prior reviewed by the Bank

PROCUREMENT PLAN Indonesia : Indonesia: National Urban Flood Resilience Project (NUFReP)

General Information
Country: Indonesia **Bank's Approval Date of the Original Procurement Plan:** 2023-09-14
Revised Plan Date(s): (comma delineated, leave blank) 2024-08-27
Project ID: P173671 **GPN Date:** 2023-08-21
Project Name: Indonesia: National Urban Flood Resilience Project (NUFReP)
Loan / Credit No: IBRD / 94590
Executing Agency: Balai Besar Wilayah Sungai Pemali Juwana

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion	
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		Planned
ID-BBWS Pj-380344-CW-RFB / Flood Control for Tenggang River	IBRD / 94590	Urban flood resilience measures	Prior	Request for Bids	Open - National	Single Stage - One Envelope			Request for Bids - Works (without prequalification) SPD	19,666,034.00	0.00	Under Implementation						2023-10-02	2024-04-03	2023-10-07						2023-11-06	2023-12-06		2024-01-10	2025-01-09
ID-BBWS Pj-447336-CW-RFB / Flood Control of Tenggang - Srirang River Phase - I Package III	IBRD / 94590	Urban flood resilience measures	Prior	Request for Bids	Open - National	Single Stage - One Envelope			Request for Bids - Works (without prequalification) SPD	20,812,205.00	0.00	Pending Implementation						2024-08-26		2024-08-31						2024-10-12	2024-11-11		2024-12-16	2025-06-14

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
ID-BBWS Pj-379743-CS-COS / Supervision Tenggang river, Project management and preparing second phase	IBRD / 94590	Flood risk analytics and planning	Post	Consultant Qualification Selection	Open - National		380,000.00	0.00	Under Implementation	2023-12-15	2023-12-19	2023-12-30	2024-01-24	2024-01-28	2024-03-14								2024-02-27		2024-04-02		2025-07-26

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identify/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual