

**State of Piauí
State Secretariat of Planning
(SEPLAN)**

**Piauí Health and Social Protection Development
Project (P178567)**

**Negotiated
ENVIRONMENTAL AND SOCIAL COMMITMENT
PLAN**

August 17, 2023

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The State of Piauí (the Borrower) will implement the Piauí Health and Social Protection Development Project (the Project), with the involvement of the *Secretaria de Estado do Planejamento* (SEPLAN), *Secretaria de Estado da Saúde do Piauí* (SESAPI), and *Secretaria de Estado da Assistência Social, Trabalho e Direitos Humanos* (SASC), as set out in the Loan Agreement. The International Bank for Reconstruction and Development (the Bank) has agreed to provide financing for the Project, as set out in the referred agreement.
2. The Borrower shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Bank. The ESCP is a part of the Loan Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Borrower shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure in accordance with the ESSs, and in a form and substance, and in a manner acceptable to the Bank. Once adopted, such E&S instruments may be revised from time to time with prior written agreement of the Bank.
4. As agreed by the Bank and the Borrower, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Borrower through the SEPLAN, and the Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and SEPLAN's Secretary. The Borrower shall promptly disclose the updated ESCP.

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP) – PIAUÍ HEALTH AND SOCIAL PROTECTION DEVELOPMENT PROJECT (P178567)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism(s), and a summary of activities and main outcomes of the ESHS management of contractors (please see action C, below).</p>	<p>Submit semi-annual reports to the Bank throughout Project implementation starting from the Effective Date. Submit each report to the Bank no later than 60 days after the end of each reporting period.</p>	SEPLAN(PCU)
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by SESAPI, SASC, and any contractor and/or supervising firm, as appropriate. Subsequently, at the Bank’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the Bank no later than 48 hours after learning of the incident or accident.</p> <p>Send a subsequent report to the Bank within a timeframe acceptable to the Bank.</p>	SEPLAN(PCU), with support of the SESAPI (PIU) and SASC (PIU)
C	<p>CONTRACTORS’ MONTHLY REPORTS</p> <p>Require contractor firms (for civil works – renovations and refurbishments) to provide monthly monitoring reports on ESHS performance in accordance with the metrics specified in the respective bidding documents and contracts, and submit a summary of such reports to the Bank as part of the Semiannual Progress Reports.</p>	<p>Submit the monthly reports to the Bank upon request or, ordinarily, in the form of attachments to the reports to be submitted under action A above.</p>	SEPLAN(PCU)
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE SEPLAN</p> <p>Establish and maintain a Project Coordination Unit (PCU, or <i>Unidade de Coordenação do Projeto</i>, UCP, in Portuguese) under SEPLAN, which is tasked with ESHS management, with qualified staff and resources to support the management of ESHS risks and impacts of the Project, including the permanent support of an environmental specialist, a social development specialist, and a communications & stakeholder engagement specialist.</p>	<p>Issuing a Decree establishing the PCU as one of the conditions of effectiveness. Having the technical staff for environmental and social risk management as set out in the Loan Agreement no later than 60 days after the Effective Date, and subsequently maintain these positions during Project implementation.</p>	SEPLAN (PCU)

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP) – PIAUÍ HEALTH AND SOCIAL PROTECTION DEVELOPMENT PROJECT (P178567)

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1.2	<p>ORGANIZATIONAL STRUCTURE SESAPI AND SASC</p> <p>Designate in each of the partner implementing agencies (SESAPI and SASC) a focal point to oversee the management of environmental and social risks and a focal point for the management of the grievance mechanism, under the coordination of the PCU environmental and social risk management team.</p>	Assign focal points in the partner implementing agencies no later than 60 days after the Effective Date, and subsequently hold these positions during Project implementation.	SEPLAN(PCU) SESAPI(PIU) SASC(PIU)
1.3	<p>ENVIRONMENTAL AND SOCIAL MANAGEMENT FRAMEWORK</p> <p>Finalize, disclose, adopt, and implement the Project's Environmental and Social Management Framework (ESMF) - developed in a manner consistent with the relevant ESSs - to guide the management of environmental and social risks during Project implementation.</p>	Adopt and disclose the ESMF no later than 60 days after the Effective Date. Thereafter implement the ESMF throughout Project implementation,	SEPLAN(PCU) with support of the SESAPI(PIU) and SASC(PIU)
1.4	<p>ENVIRONMENTAL AND SOCIAL MANAGEMENT HANDBOOK</p> <p>Ensure that the entities/contractors responsible for implementing the civil works and renovations supported by the Project, adopt and implement the Environmental and Social Management Handbook (ESMH), as well as other guidelines and procedures comprised in the ESMF for the adequate management of risks and social and environmental impacts, during their activities, when applicable, and as established in the ESMF.</p>	ESMH shall be part of the ESMF. Contractors shall adopt (making adjustments as necessary) the ESMH before starting and as a condition for starting the implementation of the respective interventions related to construction and renovation works. Once adopted, supervise and ensure the execution of the respective ESMH throughout the implementation of the Project.	SEPLAN(PCU) SESAPI(PIU) SASC(PIU)
1.5	<p>MANAGEMENT OF CONTRACTORS</p> <p>Incorporate the relevant aspects of this ESCP, including, inter alia, the ESMF, the ESMH, the Labor Management Procedures, and code of conduct, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p>	As part of the preparation of the procurement documents and related contracts. Supervise the contracts throughout the implementation of the Project.	SEPLAN(PCU) SESAPI(PIU) SASC(PIU)
1.6	<p>TECHNICAL ASSISTANCE</p> <p>Ensure that the consultancies, technical studies, capacity building, training, and any other technical assistance activities supported under the Project are carried out in accordance with terms of reference acceptable to the Bank that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p>	Throughout the implementation of the Project.	SEPLAN(PCU) SESAPI(PIU) SASC(PIU)
1.7	<p>ACTIVITIES SUBJECT TO RETROACTIVE FINANCING</p> <p>a. Agree with the Bank on the methodology for assessing and reporting on the E&S risk management adopted during the execution of the activities proposed for retroactive financing, as an eligibility criterion, that ensures the consistency of the procedures adopted with the principles and requirements of the Environmental and Social</p>	<p>a. Methodology to be incorporated into the final version of the ESMF.</p> <p>b. Submit a due diligence report whenever there is a request for</p>	SEPLAN(PCU) with support of the SESAPI(PIU) and SASC(PIU)

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP) – PIAUÍ HEALTH AND SOCIAL PROTECTION DEVELOPMENT PROJECT (P178567)

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	<p>Standards that are relevant given the environmental and social risks and impacts of the proposed activities.</p> <p>b. Carry out an ESHS due diligence of the activities subject to retroactive financing using the methodology described in a) above, reflect such due diligence in a report, which shall also include any corrective action plans or measures that are identified pursuant to such due diligence, in accordance with the ESSs. Subsequently, implement any corrective action plans or measures, as applicable.</p>	<p>reimbursement of expenses for activities subject to retroactive financing. Any corrective action plans or measures identified in such report shall be implemented in a manner and timeframe acceptable to the Bank.</p>	
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES Prepare, adopt and implement Labor Management Procedures (LMP) for the Project, including, but not limited to, provisions on working conditions, labor relations management, occupational health and safety (including personal protective equipment and emergency preparedness and response), code of conduct (including with respect to SEA and SH), forced labor, child labor, Project worker grievance mechanisms, and applicable requirements for contractors, subcontractors and supervising companies. The LMP shall also include specific measures to manage OHS risks, including those related to Life and Fire Safety (L&FS), in accordance with the Bank's sector-specific (health facilities) EHS guidelines, also considering COVID-19 prevention measures.</p>	<p>Adopt the LMP no later than 60 days after the Effective Date and subsequently implement it throughout Project implementation.</p>	<p>SEPLAN(PCU) with support of the SESAPI(PIU) and SASC(PIU)</p>
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS Establish and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2</p>	<p>Establish grievance mechanism before hiring Project workers and subsequently maintain and operate it throughout Project implementation.</p>	<p>SEPLAN(PCU) with support of the SESAPI(PIU) and SASC(PIU)</p>
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>E&S MANAGEMENT OF CIVIL WORKS AND RENOVATIONS Establish and implement an Environmental and Social Management Handbook (ESMH) for Project interventions associated with civil works and renovations that defines, in a manner commensurate with the E&S risks and impacts of these activities, the preventive, mitigating and corrective measures to be adopted by the contractor(s) to prevent and control the E&S impacts associated with the execution of the works (as relevant), consistent with the Project's Environmental Management Framework (ESMF) and ESS 3. The ESMF shall contain, at a minimum, adequate measures to address the following issues: hazardous and non-hazardous waste management, e-waste, dust and noise control, chemical handling, signage and communication, reporting, monitoring and supervision.</p>	<p>Establish ESMH, as part of ESMF, and request its adoption with contractors as a non-objection condition prior to the start of each construction and renovation work, subsequently implementing ESMH throughout the implementation of the works.</p>	<p>SEPLAN(PCU) SESAPI(PIU) SASC(PIU)</p>
3.2	<p>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</p>	<p>Same timeframe than actions 1.3 and 1.4.</p>	<p>SEPLAN(PCU) SESAPI(PIU)</p>

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP) – PIAUÍ HEALTH AND SOCIAL PROTECTION DEVELOPMENT PROJECT (P178567)

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	Incorporate resource efficiency and pollution prevention and management measures into the ESMH (where relevant, technically, and financially feasible), to be incorporated as E&S requirements of contracting and/or procurement, and adopted along with the other requirements defined in the ESMF, and consistent with ESS 3.		SASC(PIU)
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	EMERGENCY PREPAREDNESS AND RESPONSE MEASURES Ensure the adoption and implementation of adequate measures for emergency preparedness and response to emergency scenarios (accidents, incidents with direct workers, unit employees, beneficiaries and community members, fires, chemical leaks, among other relevant ones) as part of the ESMF and ESMH requirements for each construction and renovation project (as applicable), consistent with the Project's Environmental Management Framework (ESMF) and ESS 4.	Same timeframe than action 1.3 and 1.4.	SEPLAN(PCU) SESAPI(PIU) SASC(PIU)
4.2	TRAFFIC AND ROAD SAFETY Incorporate measures to manage traffic and road safety risks as required in the ESMH to be adopted and implemented under action 1.4 above	Same timeframe than actions 1.3 and 1.4.	SEPLAN(PCU) SESAPI(PIU) SASC(PIU)
4.3	VECTOR, PEST AND SYNANTHROPIC FAUNA CONTROL MEASURES Ensure adoption and implementation of a set of vector, pest and synanthropic fauna control measures as part of each site's Environmental and Social Management Handbook (as relevant), consistent with the Project's ESMF and ESS 4.	Same timeframe than actions 1.3 and 1.4.	SEPLAN(PCU) SESAPI(PIU) SASC(PIU)
4.4	SEA AND SH RISKS Establish in the Code of Conduct for Project workers (to be developed as part of the Labor Management Procedures provided for in #2.1, above) measures to prevent, control and penalize sexual harassment, exploitation and abuse. Disseminate, adopt and implement the Code of Conduct and Labor Management Procedures among all Project workers.	Same timeframe than action 1.2.	SEPLAN(PCU) SESAPI(PIU) SASC(PIU)
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
This standard is not relevant for the Project. Activities that require land acquisition, expropriation and involuntary resettlement will not be supported by the Project.			
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
This standard is not relevant for the Project.			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL			
This standard is not relevant for the Project.			
ESS 8: CULTURAL HERITAGE			
8.1	CULTURAL HERITAGE PROTECTION AND CHANCE FIND Ensure that cultural heritage protection measures (including those from chance find) are incorporated as part of the Environmental and Social Management Handbook (ESMH) of construction and renovation works, which may involve excavation and earthwork, in accordance with the principles and requirements set out in the ESMF and in a manner consistent with ESS 8.	Same timeframe than actions 1.3 and 1.4.	SEPLAN(PCU) with support of the SESAPI(PIU) and SASC(PIU)
8.2	CULTURAL HERITAGE PROTECTION AND CHANCE FIND SUPERVISION Supervise the implementation of ESMH, ensuring the implementation of cultural heritage protection and chance find procedures (where necessary).	Same timeframe than actions 1.3 and 1.4.	SEPLAN(PCU) SESAPI(PIU) SASC(PIU)
ESS 9: FINANCIAL INTERMEDIARIES			
This standard is not relevant for the Project.			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION Adopt and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.	Disclose and adopt the final version of the SEP no later than 60 days of the Effective Date, and subsequently implement it throughout the Project implementation.	SEPLAN(PCU) with support of the SESAPI(PIU), SASC(PIU) and the General State Ombudsman (GSO)
10.2	PROJECT GRIEVANCE MECHANISM Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10. The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.	Establish the grievance mechanism no later than 60 days after the Effective Date, and subsequently maintain and operate the mechanism throughout Project implementation.	GSO with the support of the SEPLAN(PCU) with support of the SESAPI(PIU), SASC(PIU)

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP) – PIAUÍ HEALTH AND SOCIAL PROTECTION DEVELOPMENT PROJECT (P178567)

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CAPACITY SUPPORT			
CS1	Provide training to the environmental and social staff of the PCU and the focal points in the partners implementing agencies on: i) aspects to be considered in the environmental and social assessment of construction and renovation works, and other Project activities of relevant environmental and social risk; ii) environmental and social management and supervision according to the Environmental and Social Management Handbook, iii) aspects to be considered in the implementation of the activities foreseen in the Stakeholders Engagement Plan referring to each of the Project's planned interventions.	Periodically, throughout the implementation of the Project, holding the first session no later than 90 days of the Effective Date.	SEPLAN(PCU) SESAPI(PIU) SASC(PIU)
CS2	Provide Project Workers guidance on: Occupational Health and Safety; measures to prevent SEA/SH; and the proper code of conduct for relationships with the population of local communities in the area of intervention of the Project.	Periodically, throughout the implementation of the Project, holding the first session no later than 90 days of the Effective Date.	SEPLAN(PCU) SESAPI(PIU) SASC(PIU)