

**Federated States of Micronesia
Pacific Island Regional Oceanscape Program Second Phase for Economic Recovery and
Resilience (PROPER)
(P178237)**

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**ENVIRONMENTAL AND SOCIAL
COMMITMENT PLAN (ESCP)**

July 2024

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ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Federated States of Micronesia (the Recipient) will implement the **Pacific Islands Regional Oceanscape Program Second Phase for Economic Recovery and Resilience** (the Project), with the involvement of the National Oceanic Resource Management Authority (NORMA), Department of Health and Social Affairs, the Department of Resources and Development, the Department of Environment, Climate, and Emergency Management, and the Department of Finance and Administration, as set out in the Financing Agreement. The International Development Association (the Association) has agreed to provide financing (P178237) for the Project, as set out in the referred agreement(s).
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is a part of the Financing Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement(s).
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
4. As agreed by the Association and the Recipient, this ESCP may be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient through the Executive Director of NORMA and the Association agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association and NORMA. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING		
<p>A REGULAR REPORTING</p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism(s).</p>	<p>Submit six-monthly progress reports to the Association throughout Project implementation, commencing after the Effective Date. Submit each report to the Association no later than 30 calendar days after the end of each reporting period.</p>	<p>Project Implementation Unit (PIU), supported by Centralized Implementation Unit (CIU) as required</p>
<p>B INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), violence against children (VAC) and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the Association’s request, prepare reports on the incident or accident and propose any measures to address it and prevent its recurrence. For SEA/SH incidents related to the Project, provide basic facts such as the age, sex, type of alleged SEA/SH, if the alleged perpetrator was attached to the project and whether the survivor was referred to GBV support services, with no specific detail information that would reveal the identity of the survivor. All SEA/SH/VAC incident reports will be anonymized to the extent possible.</p>	<p>Notify the Association within 48 hours after learning of the incident or accident.</p> <p>A preliminary report on action taken will be provided within 7 days after learning of the incident or accident.</p> <p>Subsequently, a detailed report including corrective measures will be provided to the Association within 30 days after learning of the incident or accident. Other reports will be provided to the Association, as requested.</p> <p>All incidents and accidents will be reflected in the six-monthly reports to the Association.</p>	<p>PIU, supported by CIU as required</p>
<p>C CONTRACTORS’ MONTHLY REPORTS</p> <p>Require contractors and supervising firms (if applicable) to provide monthly monitoring reports on ESHS performance in accordance with the metrics specified in the respective bidding documents and contracts and submit such reports to the Association.</p>	<p>Submit the monthly reports to the as annexes to the six-monthly progress reports to be submitted under action A above.</p> <p>Upon request, submit the monthly reports to the Association within five (5) working days of receiving the report from the contractor.</p>	<p>PIU</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS		
<p>1.1 ORGANIZATIONAL STRUCTURE</p> <ol style="list-style-type: none"> Maintain a Project Implementation Unit (PIU) with qualified staff and resources to support the management of ESHS risks and impacts of the Project, including an E&S Officer. Additional and ongoing technical E&S management and support shall be provided by the CIU. The CIU E&S staffing arrangement, including an International Environment Specialist, an International Social Specialist, and a local E&S Coordinator, shall be maintained. The PIU State Coordinators shall support the E&S Officer to conduct outreach and engagement with stakeholders and community members, including in relation to activities under Part 2.2 of the Project. The PIU E&S Officer shall be the Project focal point for the management of the Grievance Redress Mechanism (GRM) with four PIU State Coordinators as contact points for each state. 	<ol style="list-style-type: none"> The PIU Project Manager and the Project Officer will be maintained throughout Project implementation. The PIU E&S Officer shall be recruited no later than 6 months after the Effective Date, and maintained throughout Project implementation. The CIU organizational structure and E&S staff shall be maintained throughout Project implementation. PIU State Coordinators shall be recruited no later than 6 months after Effective Date, and maintained throughout Project implementation. Once established, the GRM focal point and the state contact points shall be maintained throughout Project implementation. 	<p>PIU, supported by CIU as required</p>
<p>1.2 ENVIRONMENTAL AND SOCIAL INSTRUMENTS</p> <ol style="list-style-type: none"> Adopt and implement the Labor Management Procedure (LMP). Update the LMP, and the concept-stage Stakeholder Engagement Plan (SEP) and Environmental and Social Management Plan (ESMP), to manage the ESHS risks and impacts of the Project activities, consistent with the relevant ESSs, including specifying the requirements for Contractor Environmental and Social Management Plans (CESMPs). The draft SEP, ESMP, and LMP shall be submitted to the Association for review and approval prior to disclosure, consultation and finalization. Once finalized, adopt and implement the SEP, ESMP and LMP. 	<ol style="list-style-type: none"> Adopt the LMP from the Effective Date and implement throughout Project implementation. Submit updated SEP, ESMP and LMP to the Association for review and approval, within 6 months after the Effective Date. Adopt the updated SEP, ESMP and LMP prior to commencement of procurement for civil works activities, and thereafter implement them throughout Project implementation. 	<p>PIU, supported by CIU as required</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY
<p>3. Complete a draft Environmental and Social Impact Assessment (ESIA) for activities under Part 2.2(a) and (b) of the Project, consistent with the relevant ESSs and in accordance with ToRs acceptable to the Association. The draft ESIA shall be submitted to the Association for review and approval prior to disclosure, consultation and finalization. Once finalized, adopt and implement the ESIA in accordance with the relevant ESSs, the Environmental, Health and Safety Guidelines (EHSGs), and other relevant Good International Industry Practice (GIIP), including relevant Forum Fisheries Association guidelines.</p>	<p>3.1 Submit the draft ESIA to the Association for review and approval within 3 years after the Effective Date.</p> <p>3.2 Finalize and adopt the ESIA prior to commencing procurement activities for Parts 2.2(a) and (b) of the Project and thereafter implement the ESIA throughout Project implementation.</p>	
<p>1.3 MANAGEMENT OF CONTRACTORS</p> <p>1. Incorporate the relevant aspects of the ESCP, including, inter alia, the relevant ESMP provisions and other E&S instruments, and the LMP, including a code of conduct, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractor/s and supervising firm/s comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.</p> <p>2. Require contractors to prepare, adopt and implement a CESMP including labor management, detailing the methods to comply with the Project's E&S instruments including the ESMP, ESIA and LMP (as relevant). CESMPs shall be consistent with the relevant template provided in the ESMP and otherwise in accordance with the ESMP and acceptable to the Association.</p> <p>3. Require contractors to sign and implement the CESMP Contractors Code of Conduct (CoC) and require the contractor's workers to sign and comply with the CESMP individual CoC.</p>	<p>1.1 Incorporate relevant E&S aspects in the preparation of procurement documents and respective contracts, prior to commencing bidding processes.</p> <p>1.2 Supervise contractors throughout Project implementation.</p> <p>2. CESMPs are to be prepared by the respective Contractor, submitted to the Association for review and approval, disclosed prior to commencement of civil works, and thereafter implemented throughout implementation of the respective Project activities.</p> <p>3. CoCs to be signed prior to the commencement of works and implemented throughout Project implementation.</p>	<p>PIU, supported by CIU as required</p>
<p>1.4 TECHNICAL ASSISTANCE</p> <p>Ensure that any consultancies, studies (including feasibility studies), capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the Association, that are consistent with the ESSs, and Project instruments including inter alia:</p> <ul style="list-style-type: none"> a) Environmental and Social Management Plan (ESMP) b) Stakeholder Engagement Plan (SEP). c) Labor Management Procedure (LMP). 	<p>Throughout Project implementation.</p>	<p>PIU, supported by CIU as required</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	Thereafter ensure that the outputs of such activities comply with the terms of reference.		
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURE</p> <p>Update, disclose, consult, adopt and implement the LMP for the Project, including, inter alia, provisions on working conditions, management of workers relationships, CoC (including relating to SEA, SH, and VAC, forced labor, child labor), grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.</p>	Time frame as noted in 1.2 above for the adoption and implementation of the LMP. Thereafter implement the LMP throughout Project implementation.	PIU, supported by CIU as required
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Establish, maintain and operate a grievance redress mechanism for Project workers, as described in the LMP and consistent with ESS2.</p>	Establish a Grievance Redress Mechanism (GRM) for Project Workers prior to engaging Project workers and thereafter maintain and operate it throughout Project implementation.	PIU, supported by CIU as required
2.3	<p>OCCUPATIONAL HEALTH AND SAFETY MEASURES (ESMP and LMP)</p> <ol style="list-style-type: none"> 1. Prepare, adopt and implement occupational, health and safety (OHS) measures (including personal protective equipment, and emergency preparedness and response) in the ESMP and ESIA. 2. Ensure OHS measures are included in Contractor's bid documents as specified in the ESMP and LMP. 3. Thereafter ensure that the contractors and supervising firms comply and ensure subcontractors to comply with the OHS specifications of their respective contracts. 	<ol style="list-style-type: none"> 1. OHS measures to be prepared and finalized in the amended ESMP and ESIA prior to the finalization of relevant procurement documents. 2. OHS measures to be incorporated into CESMPs which are submitted for Association review and approval prior to commencement of works. 3. Supervision of Contractors to be carried out throughout Project implementation. 	PIU, supported by CIU as required
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>WASTE MANAGEMENT PLAN</p>	Time frame as noted in 1.2 and 1.3 above for the adoption and implementation of the ESIA and the CESMPs respectively. Thereafter implement throughout Project implementation.	PIU, supported by CIU as required

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	Prepare site specific Waste Management Plans and/or procedures as part of the ESIA and CESMPs to be developed under action 1.2 above in accordance with the ESMP and consistent with ESS3, to manage hazardous and non-hazardous wastes. Waste Management Plans and procedures shall be consistent with applicable international law requirements, including the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal and the Stockholm Convention on Persistent Organic Pollutants, as relevant. Where National or international laws require permits for the transport of waste, the Recipient shall obtain such permit, consent, and authorizations from the relevant national authorities prior to transporting the waste.	Required permits, consents or authorizations to be obtained prior to the transport of any hazardous waste.	
3.2	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT Incorporate resource efficiency and pollution prevention and management measures in the ESMP, ESIA and CESMPs to be prepared under action 1.2 above.	Time frame as noted in 1.2 and 1.3 above for the adoption and implementation of the ESIA and CESMPs respectively. Thereafter implement throughout Project implementation.	PIU, supported by CIU as required
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	TRAFFIC AND ROAD SAFETY Adopt and implement measures and actions to assess and manage traffic and road safety risks as required in the ESMP, ESIA and associated instruments, to be developed under action 1.2 above and included in the CESMPs. Thereafter implement the measures in accordance with the ESMP, ESIA and CESMP requirements.	Time frame as noted in 1.2 and 1.3 above for the adoption and implementation of the ESIA and CESMPs respectively. Thereafter implement throughout Project implementation.	PIU, supported by CIU as required
4.2	COMMUNITY HEALTH AND SAFETY Assess and manage specific risks and impacts to the community arising from Project activities, including inter alia, infrastructure and equipment design and safety, universal access, community exposure to health issues, management and safety of hazardous materials, response to emergency situations, behavior of Project workers, SEA/SH/VAC, and risks of labor influx; and include mitigation measures in the ESMP, ESIA and CESMPs, including CoC.	Time frame as noted in 1.2 and 1.3 above for the adoption and implementation of the ESIA and CESMPs respectively. Thereafter implement throughout Project implementation.	PIU, supported by CIU as required
4.3	SEA AND SH RISKS Adopt and implement a SEA/SH Action Plan as part of the ESMP, to assess and manage the risks of SEA and SH.	Adopt the SEA/SH Action Plan as part of the updated ESMP in accordance with the timeframe	PIU, supported by CIU as required

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
		set out in 1.2 above. Thereafter implement throughout Project implementation.	
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	<p>ASSESSMENT OF IMPACTS OR RESTRICTIONS ON LAND USE</p> <ol style="list-style-type: none"> Screen each subcomponent and its activities to assess likely impacts related to land and land access in accordance with ESS5 and guidance provided in the ESMP. Once Project site locations are known, prepare, adopt and implement a Land Due Diligence and Access Plan (LDDAP) for Project activities which require such a plan, in accordance with the ESMP. The assessment will include: <ol style="list-style-type: none"> guidance on design and siting of works to avoid and mitigate impacts on land access or displacement; due diligence on land that will be used and accessed for the Project activities; confirmation of lease arrangements including pricing, terms, and transitional arrangements for Project-supported facilities; confirmation of voluntary land access arrangements; identification of individuals who may have their access to land restricted as a result of project activities and whether these impacts can be avoided or mitigated. In the event that physical or economic displacement is required, prepare a Resettlement Action Plan (RAP) consistent with the updated ESMP and ESS5 and submit to the Association for review and approval prior to disclosure, consultation and finalization. Once finalized, adopt and implement the resettlement plan. 	<ol style="list-style-type: none"> Time frame as noted in 1.2 and 1.3 above for the adoption and implementation of the ESMP and ESIA respectively. <ol style="list-style-type: none"> Screening of activities and preparation of relevant LDDAP to be completed and disclosed for public consultation, then finalized and adopted prior to procurement for the relevant civil works activities and implemented throughout the Project. All lease arrangements must be in place prior to procurement for civil works activities. All transitional access arrangements must be in place prior to commencement of any construction works. If required, RAP to be completed and disclosed and any compensation or livelihood support to be provided prior to the commencement of any construction works. 	PIU, supported by CIU as required
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	<p>BIODIVERSITY RISKS AND IMPACTS</p> <p>Prepare, adopt and implement screening and assessment measures for biodiversity and natural ecological habitat impacts as part of the ESMP and ESIA. Based on findings, prepare, adopt and implement CESMPs as required and provide appropriate mitigation measures to address biodiversity risks and consistent with the ESMP or ESIA as relevant, and ESS6.</p>	Time frame as noted in 1.2 and 1.3 above for the adoption and implementation of the ESMP and ESIA respectively and thereafter implement throughout Project implementation.	PIU, supported by CIU as required

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>The Project shall not use material sourced from:</p> <ul style="list-style-type: none"> (i) coral rock below highest astronomical tide, or (ii) coastal and/or lagoon mining within the Federated States of Micronesia, except where the source is licenced, demonstrated to be sustainable, and is consistent with the ESSs, all to the satisfaction of the Association. 		
ESS 8: CULTURAL HERITAGE			
8.1	<p>CHANCE FINDS</p> <p>Describe and implement the chance finds procedures, as part of the CESMPs in accordance with the ESMP and ESIA. The ESMP, and CESMP and ESIA as required, will also cover any items of unexploded ordnance (UXO) as a precautionary measure. In the unlikely event that UXO is encountered on any worksite associated with the Project, the Recipient will remove it in accordance with: (i) the applicable laws and regulations; and (ii) the ESMP.</p>	Same time frame as for the adoption and implementation of the CESMP and thereafter implement throughout Project implementation.	PIU, supported by CIU as required
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</p> <ol style="list-style-type: none"> 1. Update, disclose, consult, adopt and implement a Stakeholder Engagement Plan (SEP) consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation, and reporting back to stakeholders on SEP during project implementation. Review and update SEP, as and when required. 2. Prepare and implement activity-based Stakeholder Engagement Plans and Communications Action Plans (SECAPs) as required in compliance with the SEP, concurrent with annual work plans. 	<ol style="list-style-type: none"> 1.1 Update, disclose, consult and adopt the SEP in accordance with the timeframe set out in 1.2 above. 1.2 Implement the SEP throughout Project implementation. 2. Prepare and implement SECAPs as required. 	PIU, supported by CIU as required
10.2	<p>PROJECT GRIEVANCE MECHANISM</p>	Project GRM to be established and operational by Project Effective Date. Implement GM throughout Project implementation.	PIU, supported by CIU as required

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>Establish, publicize, maintain, and operate an accessible grievance redress mechanism (GRM), to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10.</p> <p>The GRM shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence (GBV) service providers, all in a safe, confidential, and survivor-centered manner.</p>		
CAPACITY SUPPORT			
CS1	<p>ASSESS AND ADDRESS E&S TRAINING NEEDS</p> <p>PIU staff to receive training on Project E&S instrument, the relevant requirements of the ESMP and ESIA and the roles and responsibilities of different key agencies in E&S risk management.</p>	Within three months of Project Effective Date and repeated as and when required throughout project implementation	PIU, supported by CIU as required
CS2	<p>All Project workers to receive training on local culture, occupational health and safety, prevention of GBV, SEA/SH, Violence Against Children (VAC), environmental incidents and emergency prevention and preparedness and responses to emergency situations and the grievance mechanisms.</p>	As required, prior to work commencing and throughout Project implementation as new workers are engaged.	PIU, supported by CIU as required
CS3	<p>Contractors to receive training on all relevant aspects of the Project E&S instruments and relevant ESHS requirements, including preparation and implementation of the CESMP. In addition, support provided to ensure contractors understand, sign and implement CESMP contractor's code of conduct (CoC).</p>	Prior to preparation of CESMP, prior to mobilization and throughout the duration of their contract.	PIU, supported by CIU as required