

PROCUREMENT PLAN

Version 1.6- Date: June 14, 2021

IMPROVEMENT OF SOLID WASTE MANAGEMENT TO SUPPORT REGIONAL AREA AND METROPOLITAN CITIES PROJECT

A. Project information:

Country:	Indonesia	
Borrower:	Republic of Indonesia	
Project ID:	P157245	
Financing Agreement Number:	IBRD Loan 9024-ID	
Project Implementation Agency(ies):		
CPMU :	Ministry of Public Works and Housing (MPWH), Directorate General of Human Settlement (DGHS)	
CPIU:	Directorate of Development for Environmental Sanitation (PPLP), DGHS, Ministry of Public Works and Housing (MPWH)	
	Directorate for Development of Urban, Housing and Settlement Areas, Deputy for Regional Development, Ministry of National Development Planning/BAPPENAS	
	Directorate General of Regional Development, Directorate of SUPD II, Mistry of Home Affairs (MOHA)	
	Directorate for Waste Management, Directorate General of PSBL3Ministry of Environment and Forestry (MoEF)	

B. Key Dates:

1. Procurement Plan:	
a. Version 1.0 (for negotiation)	Aug 19, 2019
Bank's Approval to this version:	Aug 29, 2019
Period covered by this version:	Dec 1, 2019 - December 31, 2020
b. Version 1.6 (previous version)	June 13, 2021
Bank's Approval to this version:	June 14, 2021

Period covered by this version:	Dec 1, 2019 - Nov 30, 2025
c. Version 1.7 (this version)	March 15, 2022
Bank's Approval to this version:	March 16, 2022
Period covered by this version:	Dec 1, 2019 - Nov 30, 2025
d. [next version, if any]	[to be updated]
2. General Procurement Notice:	June 19, 2020

C. Preamble

All Procurement under the Project shall be carried out in accordance with the World Bank's Procurement Regulations for IPF Borrowers of July 2016, revised November 2017 and August 2018, and the provisions of the Procurement Plan and Project Operations Manual and shall take precedence over any national procurement regulations.

In accordance with paragraph 5.9 of the Procurement Regulations, the Bank's online procurement planning and tracking tools i.e., Systematic Tracking and Exchanges in Procurement (STEP) will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

D. Key Provisions

The following conditions apply to all procurement activities in this Procurement Plan.

The Bank's procurement prior review thresholds: The identified procurement risk under this Project is '**Substantial**'. Accordingly, all contracts listed in the Procurement Plan that are at or above the thresholds set out below are subject to the Bank's prior review. All other contracts are subject to the Bank's ex-post review.

Table 1. Applied Prior Review Threshold

Type of procurement	Procurement Prior Review Thresholds (US\$ millions)
Works (including turnkey, supply & installation of plant and equipment, and PPP)	10
Goods, information technology and non-consulting services	2
Consultants: firms	1
Consultants: individuals	0.3

The Bank's approved selection methods thresholds: The following selection method thresholds shall apply for procurement of goods & information technology, non-consulting services and consulting services of each identified activity.

Table 2. Applied Approved Selection Method Threshold

Type of procurement and Approved Selection Method	Approved Selection Method Thresholds (US\$ millions)	
	National	International
Works (including turnkey, supply & installation of plant and equipment, and PPP)		
(i) <i>Request for Request for Bids (RFB)</i>	at or above \$0.2 and less than \$25	at or above \$25
(ii) <i>Request for Quotations (RFQ)</i>	less than \$0.2	N/A
(iii) <i>Direct Selection</i>	On exceptional basis if justified as per paragraph 6.8, 6.9 and 6.10 of the Procurement Regulations	On exceptional basis if justified as per paragraph 6.8, 6.9 and 6.10 of the Procurement Regulations
Goods, Information Technology and Non-consulting services		
a. For Goods and Information Technology:		
(i) <i>Request for Request for Bids (RFB)</i>	at or above \$0.1 and less than \$2	at or above \$2

Type of procurement and Approved Selection Method	Approved Selection Method Thresholds (US\$ millions)	
	National	International
(ii) Request for Quotations (RFQ)	less than \$0.1	N/A
(iii) Direct Selection	On exceptional basis if justified as per paragraph 6.8, 6.9 and 6.10 of the Procurement Regulations	On exceptional basis if justified as per paragraph 6.8, 6.9 and 6.10 of the Procurement Regulations
b. For Non-consulting Services:		
(i) Request for Request for Bids (RFB)	at or above \$0.1 and less than \$10	at or above \$10
(ii) Request for Quotations (RFQ)	less than \$0.1	N/A
(iii) Direct Selection	On exceptional basis if justified as per paragraph 6.8, 6.9 and 6.10 of the Procurement Regulations	On exceptional basis if justified as per paragraph 6.8, 6.9 and 6.10 of the Procurement Regulations
Consultants: firms		
a. For Selection of Consulting Firms		
(i) Quality Cost Based Selection (QCBS), Fixed Budget Based Selection (FBS), Least Cost Based Selection (LCS) and Quality Based Selection (QBS)	At or above \$0.3. Shortlist of firms for contracts below \$0.4 may comprise entirely national firms	at or above \$0.4
(ii) Consultant's Qualifications Based Selection (CQS)	less than \$0.3	N/A
(iii) Direct Selection	On exceptional basis if justified as per paragraph 7.13, 7.14 and 7.15 of the Procurement Regulations	On exceptional basis if justified as per paragraph 7.13, 7.14 and 7.15 of the Procurement Regulations
b. For Selection of Individual Consultants		

Type of procurement and Approved Selection Method	Approved Selection Method Thresholds (US\$ millions)	
	National	International
(i) <i>Open Competitive Selection of Individual Consultants and Limited Competitive Selection of Individual Consultants</i>	All, no monetary threshold	All, no monetary threshold
(ii) <i>Direct Selection of Individual Consultants</i>	On exceptional basis if justified as per paragraph 7.39 of the Procurement Regulations	On exceptional basis if justified as per paragraph 7.39 of the Procurement Regulations

Standard Procurement Documents (SPD): The Bank’s SPD shall be used when approaching the international market (specifically for international competitive procurement and those contracts shall be as specified in the Procurement Plan tables in STEP.

Use of National Procurement Procedures: In accordance with paragraphs 5.3 of the Procurement Regulations, when approaching the national market all contracts to be procured through open national competitive procurement will follow the Gol’s procurement regulation. The procedures applicable to the procurement of goods and non-consulting services awarded on the basis of open national competitive procurement shall be those of the *Tender* method as set forth in the *Peraturan Presiden* No. 16/2018 and its subsequent amendment *Peraturan Presiden* No. 12/2021) provided that such arrangements continue to meet requirements set forth under paragraph 5.4 of these Regulations and the following conditions:

1. The procurement is open to eligible firms from any country. There shall not any restriction to the bidders’ participation including mandatory requirement to form in joint venture;
2. Any gaps between National Procurement Procedures and the Procurement Regulations will be addressed and incorporated in the harmonized bidding documents acceptable by the Bank.

Use of National e-Procurement System (SPSE): Unless informed otherwise by the Bank in writing, SPSE e-procurement system may be used only for procurement of works, goods and non-consulting services under Request for Bids (RFB) method through national open competitive procurement method, applying the harmonized model bidding documents for national open competitive procurement agreed by the Bank. For selection of

consulting services through QCBS method, only the modified SPSE (termed by LKPP as SPSE-“ICB”) may be used applying the Bank’s Standard Request for Proposal (RFP) document.

The Bank has further accepted MPWH’s proposal for use of SPSE-ICB for selection of consulting services through CQS method applying a modified Bank’s Standard RFP. Since at the present time LKPP has not yet developed the modified SPSE for procurement of goods, works and non-consulting services under international open Competitive method, nor for selection of consulting services under QBS, LCS, FBS, and individual consultant selection methods, therefore procurement of all contract packages under these methods shall be carried out through non-electronic process with manual issuance of specific procurement notice (request for expression of interest or invitation for bids/proposals and receipt of bids/proposals), until such time that the modified SPSE has been completed by LKPP and assessed by the Bank to be acceptable. If the Bank determines the modified SPSE to be acceptable for use under the above-mentioned remaining procurement methods, the Bank will confirm through the Bank’s written no objection to LKPP and will also notify in writing to CMPU/CPIUs.

Use of National e-Catalogue System. Based on the Bank’s assessment of the national e-catalogue system, the Bank allows the Project Implementing Units (PIUs) under World Bank financed projects to use the national e-catalogue for procurement of small value of goods in lieu of the Request for Quotations (RFQ) method specified in these Regulations within the parameters as below:

- a) For Goods (other than medicines) with estimated cost not more than USD 15,000 equivalent per contract. PIUs may use the national e-catalogue for procuring such items of goods within the above-specified threshold, if such goods are required under the project and specified in the Procurement Plan approved by the Bank.
- b) Goods (other than medicines) with estimated cost greater than USD 15,000 but less than USD 100,000 equivalent per contract. PIUs may use the national e-catalogue for procuring such items of goods if such goods are required under the project subject to fulfilling the following additional procedural requirement as below:

PIU shall carry out a secondary procurement process through mini competition, by comparing the prices of similar goods offered by at least three different suppliers which available in the national e-catalogue and then selecting the Supplier with the lowest evaluated price on the basis of “as delivered” price at final destination (i.e., including inland transportation,

insurance, applicable taxes and any other incidental charges for the delivery of the required quantity of goods at final destination).

E. Other Relevant Procurement Information

- Project Operational Manual (POM) shall set out the operating procedures for procurement and contract implementation matters under the Project written in Bahasa, which shall be consistent with the procedures and rules specified under the Bank's Procurement Regulations. In case of any difference of interpretation between the POM and the Bank's Procurement Regulations, the later shall prevail;
- CPMU and CPIUs may use its own forms of contract for goods/non-consulting services procured under Request for Quotations (RFQ) method when approaching to national market, acceptable to the Bank;
- Advance procurement may be applied under the project and shall be valid by signing of the Loan Agreement. CPMU and CPIUs may commence the procurement process prior to issuance of the DIPA for any anticipated activity to be procured under the Project, while signing of the contract shall be subject to issuance of the DIPA of the respective activity. All contracts shall not be designed as single-year contract, and therefore procurement process shall continue throughout multiple calendar years without limitation of contract period up to end of the Gol's fiscal year;
- Regardless of the Bank's review requirement (prior or post), the Bank may review technical aspects of procurement documents and other non-procurement matters (terms of reference, statement of works, technical specifications, environmental documents, cost estimate, etc.) related to the procurement activity in question, if needed;
- The Bank will continue to provide guidance and support to CPMU and CPIUs for procurement processing through the STEP.
- Project Implementation Support Personnel as defined in the PPSD shall not be included in this Procurement Plan. Their selection and hiring procedures shall continue to apply the Gol's selection and hiring procedures as further defined in the POM.

The Procurement Plan is a living document and can be revised if there are any changes during the implementation of the project.

PROCUREMENT PLAN Indonesia : Improvement of Solid Waste Management to Support Regional and Metropolitan Cities

General Information
Country: Indonesia **Bank's Approval Date of the Original Procurement Plan:** 2023-04-27
Revised Plan Date(s): (comma delineated, leave blank) 2023-04-27
Project ID: P157245 **GPN Date:** 2020-06-18
Project Name: Improvement of Solid Waste Management to Support Regional and Metropolitan Cities
Loan / Credit No: IBRD / 90240
Executing Agency: Balai Prasarana Permukiman Wilayah Sumatera Barat

WORKS																															
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion		
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual			

GOODS																														
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion			
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual				

NON CONSULTING SERVICES																														
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion			
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual				

CONSULTING FIRMS																												
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion		
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual			
ID-BPPW SUMATERA BARAT-354998-CS-CQS / Penyusunan Rencana Induk Sistem Pengelolaan Sampah (RISPS) di Kota Padang	IBRD / 90240	Solid Waste Infrastructure in Selected Cities	Post	Consultant Qualification Selection	Open - National		87.813.00	0.00	Pending Implementation	2023-04-17	2023-04-27	2023-05-29										2023-05-31		2023-06-04		2023-12-31		

INDIVIDUAL CONSULTANTS																			
Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identified/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual		