## PROCUREMENT PLAN (Textual Part)

**Project information:** INDIA, Kerala Solid Waste Management Project (P170811)

**Project Implementation agency:** Suchitwa Mission

Date of the Procurement Plan (Textual Part): February 03, 2022

**Period covered by this Procurement Plan (textual part)**: From February 03, 2022 until publication of next version of the Procurement Plan (Textual Part).

## **Preamble**

In accordance with paragraph 5.9 of the "World Bank Procurement Regulations for IPF Borrowers" (November 2020) ("Procurement Regulations") the Bank's Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitute the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

**The Bank's Standard Procurement Documents**: shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

Procurement for the project will be carried out in accordance with the World Bank's Procurement Regulations for IPF Borrowers, November 2020 and applicable to Investment Project Financing (IPF). The project will be subject to the World Bank's Anticorruption Guidelines, dated October 15, 2006, as revised in January 2011 and July 2016.

The table below describes various procurement methods to be used for activities financed by the loan. The thresholds (Error! Reference source not found.) indicated will govern the procurement approach and methods;

**Table 1: Procurement Approaches and Methods** 

Procurement Approaches and Methods	Thresholds (US\$ equivalent)
Open international	For any value activity
Open national (Works – including turnkey, supply and installation of plant and equipment and PPP)	Up to 40 million

Open national (Goods, IT system, and Non-Consulting Services)	Up to 10 million
National request for quotation (Works, Goods, IT system and Non- Consulting Services)	Up to 100,000
Direct selection	No threshold; For works, goods, non-consulting services and IT system procurement: According to paragraphs 6.8–6.10 of the Regulations; For consultants: According to paragraphs 7.13–7.15 of the Regulations
National Market Approach for Selection of Consultants	Up to 2,000,000
<b>Prior Review Thresholds</b>	
Works including Supply & Installation of Plant and Equipment	All contracts more than or equal to US\$ 10 million equivalent.
Goods, IT system and Non- consulting services	All contracts more than or equal to US\$2 million equivalent
Consultants	All contracts (i) more than or equal to US\$1 million equivalent for firms; and (ii) more than or equal to US\$300,000 equivalent for individuals.
Prior Review contracts irrespective of value	Irrespective of the contract value, the following procurement activities are subject to the Bank's procurement prior review:  (a)procurement processes involving contract negotiations, as described in Section VI, paragraphs 6.34-36, of the Procurement Regulation for Borrowers  (b) competitive dialogue;  (c) sustainable procurement;  (d) selection of probity assurance providers, as described in Section III, paragraph 3.3 of the Procurement Regulations for Borrowers; and (e) best and final offer.

**Domestic preference-** The provision of domestic preference for goods will be applied in the evaluation of bids in accordance with Annex VI of the Regulations.

**National Procurement Arrangements**: National competition for the procurement of works, goods, IT system procurement and non-consulting services according to the established thresholds will be conducted in accordance with paragraphs 5.3–5.5 of Section V of the Regulations and the following provisions:

- 1. Only the model bidding documents for National Competitive Procurement (NCP) agreed with the GOI Task Force (and as amended for time to time), shall be used for bidding.
- 2. Invitations to bid shall be advertised on a widely used website or electronic portal with free open access at least 30 days prior to the deadline for the submission of bids, unless otherwise agreed in the approved procurement plan.
- No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, stateowned enterprises, small-scale enterprises or enterprises from any given State.
- 4. Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder.
- 5. Government e-Marketplace (GeM) set-up by Ministry of Commerce, Government of India will be acceptable for procurement under Request for Quotations (RFQ) method.
- 6. At the Borrower's request, the Bank may agree to the Borrower's use, in whole or in part, of its electronic procurement system, provided that the Bank is satisfied with the adequacy of such system.
- 7. Procurement will be open to eligible firms from any country. This eligibility shall be as defined under Section III of the Procurement Regulations. Accordingly, no bidder or potential bidder shall be declared ineligible for contracts financed by the Bank for reasons other than those provided in Section III of the Procurement Regulations.
- 8. The request for bids/request for proposals document shall require that Bidders/Proposers submitting Bids/Proposals include a signed acceptance in the bid, to be incorporated in any resulting contracts, confirming application of, and compliance with, the Bank's Anti-Corruption Guidelines, including without limitation the Bank's right to sanction and the Bank's inspection and audit rights.
- 9. The Borrower shall use an effective complaints mechanism for handling procurement related complaints in a timely manner.
- 10. Procurement Documents will include provisions, as agreed with the Bank, intended to adequately mitigate against environmental, social (including sexual exploitation and abuse and gender-based violence), health and safety ("ESHS") risks and impacts.

Procurement of Works, Goods (Supply and Installation; and IT Equipment), Non-Consultancy Services and Consultancy Services – As per procurement plan approved in STEP.

Use of Government e-Marketplace will be allowed in lieu of RFQ as per following details:

- 1. Up to INR 50,000 in catalog mode (viz. any available item could be selected by Implementing Agency without further competition), provided selected Item/Supplier meeting the requisite quality, specification and delivery period.
- 2. Up to INR 3 Million from the Supplier having lowest price amongst at least three suppliers meeting the requisite quality, specification and delivery period. The tools for online bidding and online reverse auction available on GeM may be used by the Purchaser.
- 3. Up to INR equivalent of US\$ 100,000 from the supplier having lowest price and meeting the requisite quality, specification and delivery period after mandatorily obtaining bids from at least three suppliers, using online bidding or reverse auction tool provided on GeM.
- 4. While making use of GeM portal, the borrower shall make use of Special Terms and Conditions meant for World Bank financed projects.

PROCUREMENT | India: Kerala Solid Waste Management Project

General Information

Bank's Approval Date of the Original Procurement Plai 2023-03-28 Revised Plan Date(s): (comma delineated, leave blank 2023-03-28 GPN Date: 2020-07-09 Country:

Project ID: P168633 GPN
Project Name: Kerala Solid Waste Management Project
Loan / Credit No: IBRO / P4960
Executing Agency(i ULB ALP HARIPPAD

																													_
WORKS																													1
Activity Reference No. / Description	Loan / Credit N o.	Component	Review Type	Method	Market Approac h	Procurement Pro cess	Prequalification (Y/N)	High SEA/SH R isk		Amount (II	Actual Am ount (US\$ )		Docu	ments	ation	Report	Draft Biddi ent / Just	ification	Specific Pr Notice / I	nvitation	s Is	ocuments a sued	Opening /	/ Minutes	Bid Evaluati and Recomr for Av	mendation ward	Signed C	ontract	Contract
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned
IN-ULB ALP HAR-349344-CW -RFQ / Project No. as per Sule kha - 171. Upgradation work of MCF (50sq m extension, R est room and Toilet Construc- tion, Grill installation and add itional maintenance).	IBKD / F4900	Grant support to ULBs for S WM	Post	Request for Quota tions	Open - National	Single Stage - One E nvelope			Request for Quota tions (Non Bank-S PD)	20,192.30		Pending Imp Iementation							2023-04-30								2023-06-25		2023-11-2 2
GOODS	ods															1													
Activity Reference No. / Description	Loan / Credit N	Component	Review Type	Method	Market Approac h	Procurement Pro cess	Prequalification (Y/N)	Estimated Am ount (US\$)	Actual Amount (US\$)	Process St atus		ualification ments		ation Evalu Report	Draft Bidd ent / Jus	ding Docum	Specific Pro Notice / In		Bidding Do		Proposal S Opening	ubmission /	Bid Evaluat and Recom		Signed C	Contract	Contract Co	ompletion	

Market Approac | Procurement Pro cess | Procurement Pro cess | Procurement Pro cess | Procurement Pro cess | Procurement Procurement | Procurement (V/N) | Procurement | Procurement | Procurement | Procurement | Procurement | Procurement | Bidding Documents a | Proposal Submission | Opening / Minutes | Process | Procurement | Procurement

NON CONSULTING S	SERVICES																											
Author Defended No. 4			1	1	1		1		1		1												Did Evalue	tion Report				
Activity Reference No. /	Loan / Credit N				Market Approac	Procurement Pro	Prequalification	Estimated Am	Actual Amount	Process St	Draft Pre-o	qualification	Prequalif	ication Evalu	Draft Bide	ling Docum	Specific Pro	curement	Bidding Do	cuments a	Proposal S	Submission	/ Diu Evalua	tion report				
Description		Component	Review Type	Method	h	cess	(V(NI)	ount (US\$)	(US\$)	ahua	Deer	monto		n Report		tification	Notice / I					/ Minutes	and Recon	mendation	Signed	Contract	Contract C	Completion
	0.				1 "	cess	(1/N)	Outil (US\$)	(05\$)	atus	Docu	ments	atioi	report	ent / jus	tilication	NOLICE / I	ivitation	s Iss	ueu	Opening	/ Millutes	for A	ward				
											Discount	I Ambrosi	Diamond	I Antonial	Discount	I A street	Discount	Actual	Discount	A street	Discount	I Astront			Discount	Actual	Planned	A seturnal
											Planned	Actual	Planned	Actual	Pianned	Actual	Planned	Actuai	Planned	Actual	Planned	Actual	Planned	Actuai	Pianned	Actual	Planned	Actual

[	CONSULTING FIRMS																											
	Activity Reference No. / Description	Loan / Credit N o.	Component	Review Type	Method	Market Approac h	Contract Type	Estimated Amo unt (US\$)	Actual Amount (US\$)	Process Status	Terms of R	eference	Expression Not	of Interest ice	Short List a equest for	and Draft R Proposals	Request for as Iss	Proposals ued	Opening of Proposals	/ Minutes	al Pro	of Technic	Report and otiated (	Draft Neg	Signed	Contract	Contract C	Completion
L											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual

ſ	INDIVIDUAL CONSULTANTS																			
	Activity Reference No. / Description	Loan / Credit N o.	Component	Review Type	Method	Market Approac h	Contract Type	Estimated Amo unt (US\$)	Actual Amount (US\$)	Process Status	Terms of R	eference	Invitation t d/Selected				Signed C	Contract	Contract C	ompletion
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual