

Procurement Plan Year 2021/2022

I. General

1. Project Information

Country : Kenya
Borrower : Republic of Kenya
Project Name : **Supporting Access to Finance and Enterprise Recovery (SAFER)**
Loan Credit No. : IDA-7018-KE (P175017)

2. **Bank's Approval Date of the Procurement Plan: {xxxx}**

3. **Date of General Procurement Notice:** Dec 2022

4. **Period Covered by this procurement plan: 18 Months** (From Jan 2022)

5. **Project Implementation Agency(ies) : Project Implementation Unit (PIU), NT**

Preamble

In accordance with paragraph 5.9 of the "World Bank Procurement Regulations for IPF Borrowers", July 2016 and revised in November 2020 (4th Edition) ("Procurement Regulations") the Bank's Systematic Tracking and Exchanges in Procurement (STEP) system will be used to prepare, clear and update Procurement Plans and conduct all procurement transactions for the Project.

This textual part along with the Procurement Plan tables in STEP constitutes the Procurement Plan for the Project. The following conditions apply to all procurement activities in the Procurement Plan. The other elements of the Procurement Plan as required under paragraph 4.4 of the Procurement Regulations are set forth in STEP.

II. **The Bank's Standard Procurement Documents:** shall be used for all contracts subject to international competitive procurement and those contracts as specified in the Procurement Plan tables in STEP.

III. **National Procurement Arrangements:**

A. When the Borrower uses its own national open competitive procurement arrangements as set forth in Part IX, Article 96 of the Public Procurement & Asset Disposal Act 2015 and the associated Regulations, such arrangements shall be subject to paragraph 5.4 of the Procurement Regulations and the following conditions shall apply:

- a. open advertising of the procurement opportunity at the national level;
- b. the procurement is open to eligible firms from any country;

- c. The Request For Bids/Proposals document shall require that bidders/Proposers submitting Bids/Proposals present a signed acceptance at the time of bidding, to be incorporated in any resulting contracts, confirming application of, and compliance with, the Bank's Anti-Corruption Guidelines, including without limitation the Bank's right to sanction and the Bank's inspection and audit rights;
- d. Procurement Documents include provisions, as agreed with the Bank, intended to adequately mitigate against environmental, social (including sexual exploitation and abuse and gender-based violence), health and safety ("ESHS") risks and impacts¹;
- e. contracts with an appropriate allocation of responsibilities, risks, and liabilities;
- f. publication of contract award information;
- g. rights for the Bank to review procurement documentation and activities;
- h. an effective complaints mechanism; and
- i. Maintenance of records of the Procurement Process.

Other national procurement arrangements (other than national open competitive procurement), that may be applied by the Borrower (such as limited/restricted competitive bidding, request for quotations/shopping (Limited RFQ), direct contracting), shall be consistent with the Bank's Core Procurement Principles and ensure that the Bank's Anti-Corruption Guidelines and Sanctions Framework and contractual remedies set out in its Legal Agreement apply.

In all cases, the national procurement procedures to be used shall give due attention to quality aspects.

- IV. The bid evaluation will be carried out according to the agreed timeline in the Procurement Activity Schedule.
- V. Summary of the procurement packages planned during the first 18 months after project effectiveness (including those that are subject to retroactive financing and advance procurement):

6. Procurement Thresholds

I. Goods, Works, and Non-Consulting Services	
VI. Thresholds for the Method of Procurement:	
II. Approved Selection Methods**	Thresholds for Method (US\$ equivalent)

¹ Included as per the Updated Procurement Regulations November 2020

II. Approved Selection Methods**	Thresholds for Method (US\$ equivalent)
Open International (Goods, IT Systems and Non-Consulting Services)	Equal to or more than 3 million
Open National (Goods, IT Systems and Non-Consulting Services)	< \$3 million
Limited Competition [Request for Quotations (RFQ): Goods, IT Systems and Non-Consulting Services]	< US \$ 100,000
Open International (Works)	Equal to or more than 15 million
Open National (Works)	Less than US \$ 15 million
Limited Competition [Request for Quotations (RFQ): Works]	< US \$ 200,000
Framework Agreements (Goods, Works & Consulting Services)	In accordance with Para 6.57 to 6.59 and 7.33 Annex XV: Frameworks Agreements of Procurement Regulations, and with prior agreement in Procurement plan with the Bank
Direct Contracting (Goods, Works & Consulting Services)	No threshold; meet requirements of Bank's Procurement Regulations

** *In addition to above there are other selection arrangements available in the Bank Procurement Regulations dated July 2016 and revised in November 2020 (4th Edition), which upon Bank prior clearance can be incorporated for use under the project

7. Prior Review: The details of the Procurement review / oversight are defined in the Annex II of the Regulations for Borrowers. The following would be subject to Prior review of the Bank regardless of the Method of selection

Type of procurement	Bank's Prior review threshold²*
Works including Supply and Installation, Turnkey Contracts	All procurements estimated to cost greater than or equal to US\$10 million
Goods including ICT and Non-consulting Services	All procurement estimated to cost greater than or equal to US\$ 2 Million
Services: Consultant Firm	All procurements estimated to cost greater than or equal US\$ 1 Million

² For Substantial Risk

Services: Consultant Individual	All procurement estimated to cost greater than or equal to US\$ 300,000
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The prior review thresholds will be reviewed during the implementation of the project and modified based on the risk assessment.

*These thresholds are for the purposes of the initial procurement plan for the first 18 months. The thresholds may be increased or decreased based on re-assessment of risks assessment. All contracts not subject to prior review will be post-reviewed.

For contracts subject to prior review, the Borrower shall seek the Bank's no objection before agreeing to:

- a. an extension of the stipulated time for execution of a contract that has an impact on the planned completion of the project;
- b. any material modification of the scope of the Works, Goods, Non-consulting services or Consulting Services, or other significant changes to the terms and conditions of the contract;
- c. any variation order or contract amendment (except in cases of extreme urgency), including due to extension of time, that singly or combined with all previous variation orders or amendments, increases the original contract amount by more than 15% (fifteen percent); or
- d. the proposed termination of the contract.
- e. The Borrower / IA shall provide a copy of all amendments to the contract to the Bank for its records.

8. Other Relevant Procurement Information:

VII. Prequalification

Not Applicable

VIII. Domestic Preference. The provision of domestic preference shall not be applied in the evaluation of bids in accordance with Annex VI of the Regulations.

IX. Proposed Procedures for CDD Components: Not Applicable

X. Leased Assets as specified under paragraph 5.10 of the Procurement Regulations: **Not Applicable**

XI. Procurement of Second-hand Goods as specified under paragraph 5.11 of the Procurement Regulations - **Not Applicable**

XII. Project Implementation Manual will be prepared and approved by the Bank.

III. Selection of Consultants

1. Methods and Prior Review Threshold

Selection methods of procurement of Consultants' Services (Firms): The Approved Selection Methods as reflected in the Section VII of the procurement regulations

Method of Procurement	Thresholds for Methods
Quality and Cost Based Selection (QCBS)	As per requirements of para 7.3 of the Regulations
Quality Based Selection (QBS)	As per requirements of para 7.8 to 7.10 of the Regulations
Selection based on a Fixed Budget (FBS)	As per requirements of para 7.4 and 7.5 of the Regulations
Selection Based on Least Cost Basis (LCS)	As per requirements of para 7.6 and 7.7 of the Regulations
Selection based on Consultant's Qualification (CQ)	As per requirements of para 7.11 and 7.12 of the Regulations Contracts estimated to cost equivalent of US\$ 300,000 or less per contract
Framework Agreements	All procurement that meets the requirements as per Para 6.57 to 6.59 of Procurement Regulations., and with prior agreement in PP with the Bank.
Direct	Must meet the requirements of Para 7.13 to 7.15 of the Regulations, and with prior agreement in PP with the Bank.

The short list may consist of all National consultants in case the estimated cost is less than USD 300,000

Procurement methods for Selection of Individual Consultants (IC): The Approved Selection Methods as reflected in the Section VII of the procurement regulations

Method of Procurement	Thresholds for Methods
Open Competitive Selection	As per requirements of para 7.36 and 7.37 of the Regulations
Limited Competitive Selection	As per requirements of para 7.38 of the Regulations

Direct Selection	Must meet the requirements of Para 7.39 of the Regulations, and with prior agreement in PP with the Bank
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***Shall meet the requirements as stated in Regulations**

Terms of Reference (ToR) for all consultant contracts irrespective of value of the assignment shall be furnished to the Bank for its prior review and No Objection.

Procurement Packages for Good including IT and NCS and Works with Selection Methods

1	2	3	4	5	6	7	8	
Ref. No.	Contract (Description)	Estimated Cost in (US\$)	Selection Method	Review by Bank (Prior / Post)	Planned Bid floating date	Expected Date of Contract Award	Component / Sub Component	Remarks
1.	Supply and Implementation Of A Shared Digital Services Platform For SACCOS	\$2,000,000	Open National	Prior	March 2022	June 2022	3	
2.	Supply and Implementation Of CSD system under CBK	\$2,000,000	Open National	Prior	March 2022	June 2022	3	
3.	Supply and Implementation Of micro-pension system under NT	\$2,000,000	Open National	Prior	March 2022	June 2022	3	

Consultancy Assignments with Selection Methods and Time Schedule

1	2	3	4	5	6	7	8
Ref . No.	Description of Assignment	Estimated Cost in US\$	Selection Method	Review by Bank (Prior / Post)	Planned Bid floating date	Expected Date of Contract Award	Comments
1.	Capacity building to NT/CBK/PIU/SASRA and financial institutions	\$800,000	Open-International, RFP - QCBS	Post	March 2022	June 2022	
2.	Consultancy to support establishment of the CGS	\$300,000	CQS	Post	March 2022	June 2022	

PROCUREMENT PLAN Kenya : Supporting Access to Finance and Enterprise Recovery (SAFER)

General Information
Country: Kenya **Bank's Approval Date of the Original Procurement Plan:** 2023-02-20
Revised Plan Date(s): (comma delineated, leave blank) 2024-02-08
Project ID: P175017 **GPN Date:** 2022-12-03
Project Name: Supporting Access to Finance and Enterprise Recovery (SAFER)
Loan / Credit No.: IDA / 70180
Executing Agency: National Treasury and Planning

WORKS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	High SEA/SH Risk	Procurement Document Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion
													Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	

GOODS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	

NON CONSULTING SERVICES

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Procurement Process	Prequalification (Y/N)	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Draft Pre-qualification Documents		Prequalification Evaluation Report		Draft Bidding Document / Justification		Specific Procurement Notice / Invitation		Bidding Documents Issued		Proposal Submission / Opening / Minutes		Bid Evaluation Report and Recommendation for Award		Signed Contract		Contract Completion
											Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	

CONSULTING FIRMS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Expression of Interest Notice		Short List and Draft Request for Proposals		Request for Proposals as Issued		Opening of Technical Proposals / Minutes		Evaluation of Technical Proposal		Combined Evaluation Report and Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
SAFER/NT/C/01/2022-23 / Consultancy for Monitoring and Evaluation for SAFER Project	IDA / 70180	Technical Assistance and Project Management	Post	Least Cost Selection	Open - International		800,000.00	0.00	Under Implementation	2023-04-25	2023-05-03	2023-05-16	2023-08-17	2023-06-29	2023-11-21			2023-07-27	2024-01-11	2023-08-26		2023-09-30		2023-11-04		2024-11-03	
SAFER/KN/ESTIC/02/2023-24 / Consultancy to Design and Execute a Communications Strategy & Public Awareness Campaign for the Kenya National Entrepreneurs Savings Trust PLC	IDA / 70180	Technical Assistance and Project Management	Post	Consultant Qualification Selection	Open - National		250,000.00	0.00	Under Implementation	2023-08-01	2023-08-07	2023-08-22		2023-10-05								2023-11-04		2023-12-09		2024-06-06	
SAFER/KN/ESTIC/08/2023-24 / Consultancy to Formulate the Kenya National Entrepreneurs Savings Trust (KNEST) PIC Strategic Plan 2023-2028	IDA / 70180	Technical Assistance and Project Management	Post	Consultant Qualification Selection	Open - National		150,000.00	0.00	Under Review	2023-08-30	2023-09-05	2023-09-20		2023-11-03								2023-12-03		2024-01-07		2024-07-05	
SAFER/MSE/C/09/2023-24 / Consultancy to Develop an Enterprise Resource Planning and an Information & Communication Technology Micro, Small and Medium Enterprise Registration System for Micro & Small Enterprises Authority	IDA / 70180	Technical Assistance and Project Management	Prior	Quality And Cost-Based Selection	Open - International		1,000,000.00	0.00	Under Review	2023-08-31		2023-09-21		2023-11-04				2023-12-02		2024-01-01		2024-02-05		2024-03-11		2024-09-07	
SAFER/MSE/C/07/2023-24 / Consultancy to Conduct Baseline Survey of Micro, Small and Medium Enterprises in Kenya	IDA / 70180	Technical Assistance and Project Management	Post	Least Cost Selection	Open - International		500,000.00	0.00	Canceled	2023-09-13		2023-10-04		2023-11-17				2023-12-15		2024-01-14		2024-02-18		2024-03-24		2024-09-20	
SAFER/MSE/C/08/2023-24 / Consultancy to Provide Structured Capacity Building for Micro, Small and Medium Enterprises in Kenya	IDA / 70180	Technical Assistance and Project Management	Prior	Least Cost Selection	Open - International		1,500,000.00	0.00	Pending Implementation	2023-10-27		2023-11-17		2023-12-31				2024-01-28		2024-02-27		2024-04-02		2024-05-07		2025-05-06	
SAFER/MSE/C/07/2023-2024 / Consultancy to Conduct a Baseline Survey of MSMEs in Kenya	IDA / 70180	Technical Assistance and Project Management	Prior	Least Cost Selection	Open - International		1,200,000.00	0.00	Under Review	2023-11-17		2023-12-08		2024-01-21				2024-02-18		2024-03-19		2024-04-23		2024-05-28		2025-05-28	
SAFER/SASRA/C/11/2023-24 / Consultancy to Review ICT Requirements & Co-Ordinate Implementation for a SACCO Shared Digital Services Platform for SACCO Societies Regulatory Authority	IDA / 70180	Technical Assistance and Project Management	Post	Consultant Qualification Selection	Open - National		300,000.00	0.00	Under Review	2024-01-08	2023-12-21	2024-01-29		2024-03-13								2024-04-12		2024-05-17		2025-11-08	
SAFER/CBK/C/13/2023-24 / Consultancy to Develop a Digital Payments Consumer Protection Framework in Kenya	IDA / 70180	Technical Assistance and Project Management	Post	Consultant Qualification Selection	Open - National		250,000.00	0.00	Under Implementation	2024-01-08	2023-12-21	2024-01-29		2024-03-13								2024-04-12		2024-05-17		2024-10-14	
SAFER/NT/GOS/C/14/2023-24 / Transaction Advisor for Establishment of the Kenya Credit Guarantee Company	IDA / 70180	Technical Assistance and Project Management	Post	Consultant Qualification Selection	Open - National		280,000.00	0.00	Pending Implementation	2024-01-31		2024-02-14		2024-03-23								2024-04-13		2024-05-11		2024-09-08	

INDIVIDUAL CONSULTANTS

Activity Reference No. / Description	Loan / Credit No.	Component	Review Type	Method	Market Approach	Contract Type	Estimated Amount (US\$)	Actual Amount (US\$)	Process Status	Terms of Reference		Invitation to Identify/Selected Consultant		Draft Negotiated Contract		Signed Contract		Contract Completion	
										Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual	Planned	Actual
SAFER/PM/2022-23 / Recruitment of Project Manager for SAFER Project	IDA / 70180	Technical Assistance and Project Management	Post	Direct Selection	Direct - National		131,040.00	0.00	Under Implementation	2023-02-20	2023-02-21	2023-03-02	2023-02-28				2023-05-06		2024-05-05
SAFER/APM/2022-23 / Recruitment of Assistant Project Manager for SAFER Project	IDA / 70180	Technical Assistance and Project Management	Post	Direct Selection	Direct - National		99,840.00	0.00	Signed	2023-02-20	2023-02-23	2023-03-02	2023-02-28				2023-05-06	2023-02-26	2024-05-05

SAFER/FMS/2022-23 / Recruitment of Financial Management Specialist for the SAFER Project	IDA / 70180	Technical Assistance and Project Management	Post	Direct Selection	Direct - National	86,486.40	0.00	Under Implementation	2023-02-20	2023-02-23	2023-03-02	2023-02-28			2023-05-06	2024-05-05	
SAFER/PS/2022-23 / Recruitment of Procurement Specialist for SAFER Project	IDA / 70180	Technical Assistance and Project Management	Post	Direct Selection	Direct - National	59,904.00	0.00	Under Implementation	2023-02-20	2023-02-23	2023-03-02	2023-02-28			2023-05-06	2024-05-05	
SAFER/PO/2022-23 / Recruitment of Project Officer for SAFER Project	IDA / 70180	Technical Assistance and Project Management	Post	Direct Selection	Direct - National	34,444.80	0.00	Signed	2023-02-20	2023-02-23	2023-03-02	2023-02-28			2023-05-06	2023-02-26	2024-05-05
SAFER/M&E/2022-23 / Recruitment of a Monitoring and Evaluation Specialist for SAFER Project	IDA / 70180	Technical Assistance and Project Management	Post	Individual Consultant Selection	Open - National	91,000.00	0.00	Under Implementation	2023-04-17	2023-04-25	2023-06-05		2023-06-26		2023-07-31	2024-07-30	
SAFER/SS/2022-23 / Recruitment of Safeguards Specialist for SAFER Project	IDA / 70180	Technical Assistance and Project Management	Post	Individual Consultant Selection	Open - National	91,000.00	0.00	Under Implementation	2023-04-17	2023-04-25	2023-06-05	2023-11-08	2023-06-26	2023-11-14	2023-07-31	2024-07-30	
SAFER/PS/2022-23 / Recruitment of Procurement Specialist for SAFER Project	IDA / 70180	Technical Assistance and Project Management	Post	Direct Selection	Direct - National	61,511.00	0.00	Under Implementation	2023-06-02	2023-07-10	2023-06-12	2023-07-25			2023-07-17	2024-07-16	
SAFER/KNST/06/2023-24 / Recruitment of a Governance and Legal Advisor for Kenya National Entrepreneurs Savings Trust Plc	IDA / 70180	Technical Assistance and Project Management	Post	Direct Selection	Direct - National	62,307.00	0.00	Under Implementation	2023-07-31	2023-08-07	2023-08-10				2023-10-14	2024-06-10	
SAFER/KNST/DC/03/2023-24 / Recruitment of a Fund Administrator for the Kenya National Entrepreneurs Savings Trust	IDA / 70180	Technical Assistance and Project Management	Post	Direct Selection	Direct - National	77,000.00	0.00	Under Implementation	2023-09-04	2023-09-05	2023-09-14				2023-11-18	2024-11-17	
SAFER/KNST/DC/04/2023-24 / Recruitment of a Fund Manager for the Kenya National Entrepreneurs Saving Trust	IDA / 70180	Technical Assistance and Project Management	Post	Direct Selection	Direct - National	77,000.00	0.00	Under Implementation	2023-09-04	2023-09-05	2023-09-14				2023-11-18	2024-11-17	
SAFER/KNST/DC/05/2023-24 / Recruitment of a Fund Custodian for the Kenya National Entrepreneurs Savings Trust	IDA / 70180	Technical Assistance and Project Management	Post	Direct Selection	Direct - National	77,000.00	0.00	Under Implementation	2023-09-04	2023-09-05	2023-09-14				2023-11-18	2024-11-17	
SAFER/PIU/FMS/2023-24 / Recruitment of a Financial Management Specialist for the Project Implementation Unit	IDA / 70180	Technical Assistance and Project Management	Post	Individual Consultant Selection	Open - National	191,908.00	0.00	Under Implementation	2023-11-20	2023-11-28	2024-01-08		2024-01-29		2024-03-04	2026-12-31	
SAFER/PIU/PA/2023-24 / Recruitment of an Assistant Project Accountant for the Project Implementation Unit	IDA / 70180	Technical Assistance and Project Management	Post	Individual Consultant Selection	Open - National	110,769.00	0.00	Under Implementation	2023-11-20	2023-11-28	2024-01-08		2024-01-29		2024-03-04	2026-12-31	
SAFER/CBK/IC/12/2023-24 / Consultancy to Undertake Legal Drafting for the Review of the National Payment System Act 2011 & National Payment System Regulations 2014	IDA / 70180	Technical Assistance and Project Management	Post	Individual Consultant Selection	Open - National	250,000.00	0.00	Under Implementation	2024-01-08	2023-12-21	2024-02-26		2024-03-18		2024-04-22	2025-04-21	