



Costa Rica Sustainable Fisheries Development Project (P168475)

LATIN AMERICA AND CARIBBEAN | Costa Rica | Environment, Natural Resources & the Blue Economy Global Practice | IBRD/IDA | Investment Project Financing | FY 2020 | Seq No: 5 | ARCHIVED on 28-Jun-2022 | ISR52105 |

Implementing Agencies: Instituto Costarricense de Pesca y Acuicultura (INCOPECA), Republic of Costa Rica

Key Dates

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Bank Approval Date: 24-Mar-2020

Effectiveness Date: 19-Sep-2021

Planned Mid Term Review Date: 27-Mar-2023

Actual Mid-Term Review Date:

Original Closing Date: 19-May-2027

Revised Closing Date: 19-May-2027

Project Development Objectives

Project Development Objective (from Project Appraisal Document)

The Project Development Objective (PDO) is to improve management of priority fisheries and enhance economic opportunities from those fisheries for Costa Rica.

Has the Project Development Objective been changed since Board Approval of the Project Objective?

No

Components Table

Name
Strengthening the governance and management of fisheries resources:(Cost \$20.67 M)
Investing in sustainable fisheries value chains:(Cost \$26.54 M)
Strengthening mechanisms for social and environmental sustainability:(Cost \$21.85 M)
Project Management, Monitoring, and Communications:(Cost \$6.04 M)

Overall Ratings

Name	Previous Rating	Current Rating
Progress towards achievement of PDO	<input type="checkbox"/> Satisfactory	<input type="checkbox"/> Satisfactory
Overall Implementation Progress (IP)	<input type="checkbox"/> Satisfactory	<input type="checkbox"/> Moderately Satisfactory
Overall Risk Rating	<input type="checkbox"/> Substantial	<input type="checkbox"/> Substantial

Implementation Status and Key Decisions

Overall project implementation has been moderately satisfactory over the past 6 months. The project was approved by the Board on March 24, 2020 and the financing agreement was signed on July 31, 2020. Project disbursement remains at zero percent as government approval of the project budget for CY2022 is still pending. The implementing entity (INCOPECA) has prepared procurement packages to be able to start project implementation once the project budget is approved.



Risks

Systematic Operations Risk-rating Tool

Risk Category	Rating at Approval	Previous Rating	Current Rating
Political and Governance	☐ Substantial	☐ Substantial	☐ Substantial
Macroeconomic	☐ High	☐ High	☐ High
Sector Strategies and Policies	☐ Low	☐ Moderate	☐ Moderate
Technical Design of Project or Program	☐ Substantial	☐ Substantial	☐ Substantial
Institutional Capacity for Implementation and Sustainability	☐ Substantial	☐ Substantial	☐ Substantial
Fiduciary	☐ Substantial	☐ Substantial	☐ Substantial
Environment and Social	☐ Substantial	☐ Substantial	☐ Substantial
Stakeholders	☐ Substantial	☐ Substantial	☐ Substantial
Other	--	--	--
Overall	☐ Substantial	☐ Substantial	☐ Substantial

Results

PDO Indicators by Objectives / Outcomes

To improve management of priority fisheries				
► Fisheries management plans implemented (Number, Corporate)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	6.00
Date	11-Nov-2019	08-Dec-2021	17-Jun-2022	15-Jun-2026
Comments:	This indicator measures the number of fisheries management plans that have been implemented through operations supported by the World Bank. This indicator relates to the actual application and enforcement of measures to control fishing in a determined geographic area. Fishing units accessing the fisheries are identifiable (registered, marked, possibly licensed), and management measures (such as gear and spatial or period restrictions) are applied and enforced (as demonstrated by a minimum rate of control of the target fleet each year, and prosecution of identified delinquents).			
► Priority fisheries show signs of recovery (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target



Value	0.00	0.00	0.00	5.00
Date	11-Nov-2019	08-Dec-2021	17-Jun-2022	15-Jun-2026
Comments:	<p>This indicator measures progress in the recovery of priority coastal fisheries as a result of the implementation of fisheries management plans following the OECD guidelines on rebuilding fisheries. The priority fisheries considered include: queen corvina, silk snapper, caribbean sea bass, Caribbean spiny lobster and white shrimp within Responsible Fishing Areas. These species were selected given their current levels of exploitation and the fact that due to their biology may show signs of recovery within the timeframe of the project as a result of management measures. Highly-migratory pelagic species are not included given that their populations can neither be managed nor monitored at national level. Given the lack of data for the application of advanced scientific methods, progress in the recovery of these fisheries will be assessed through established data-limited methods using the average length of the target species as a proxy indicator. These methods will be complemented by rapid assessments including economic and social variables, such as the FAO Fisheries Performance Assessment (FPA) methodology (currently under development) or a similar one. This indicator will count the number of Responsible Fishing Areas supported by the project where the majority of species monitored show an increase in average size. Cumulative targets.</p>			

To enhance economic opportunities from those fisheries for Costa Rica				
► Public revenue from licensing and allocation of vessel capacity in the tuna fishery (Amount(USD), Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	1,319,000.00	1,319,000.00	1,319,000.00	3,500,000.00
Date	31-Dec-2017	08-Dec-2021	17-Jun-2022	15-Jun-2026
Comments:	<p>This indicator measures the increase in public revenue generated for the country from the tuna fishery as a result of the legal and institutional reforms introduced with project support. Public revenue includes fees paid by foreign purse seine vessels for the allocation of the national fishing quota and fees licensing access to the EEZ. The baseline value for this indicator is the public revenue in 2017 as the best projection of future revenue in the absence of interventions. The target value is estimated as the sum of the expected revenue resulting from the reforms introduced by the project in the tuna fishery, as follows: Assignment of annual capacity quota in international waters: US\$2,181 million per year (9,364 m3 capacity x 233 US\$/m3); tuna licenses fees: US\$450,000 per year (9 licenses x US\$50,000 per annual license). Annual targets.</p>			
► Farmers reached with agricultural assets or services (Number, Corporate)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	5,000.00
Date	11-Nov-2019	08-Dec-2021	17-Jun-2022	15-Jun-2026
Comments:	<p>This indicator measures the number of farmers who were provided with agricultural assets or services as a result of World Bank project support. "Agriculture" or "Agricultural" includes: crops, livestock, capture fisheries, aquaculture, agroforestry, timber, and non-timber forest products. Assets include property, biological assets, and farm and processing equipment. Biological assets may include animal agriculture breeds (e.g., livestock, fisheries) and genetic material of livestock, crops, trees, and shrubs (including fiber and fuel crops). Services include research, extension, training, education, ICTs, inputs (e.g., fertilizers, pesticides, labor), production-related services (e.g., soil testing, animal health/veterinary services), phytosanitary and food safety services, agricultural marketing support services (e.g., price monitoring, export promotion), access to farm and post-harvest machinery and storage facilities, employment, irrigation and drainage, and finance. Farmers are people engaged in agricultural activities or members of an agriculture-related business (disaggregated by men and women) targeted by the project.</p>			



□ Farmers reached with agricultural assets or services - Female (Number, Corporate Supplement)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	1,000.00

Intermediate Results Indicators by Components

Strengthening the governance and management of fisheries resources				
▶ Stage of INCOPECSA reform process and strengthening (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	4.00
Date	11-Nov-2019	08-Dec-2021	17-Jun-2022	15-Jun-2026
Comments:	Stages: 0: no reform; 1: Regulatory instruments for tuna fisheries management drafted or revised (allocation of fishing capacity quotas and licenses to foreign tuna vessels); 2: National Fisheries Development Plan updated with inter-institutional responsibilities assigned; 3: Public Expenditure and Institutional Review of the fisheries sector undertaken; 4: Proposal for institutional reform of INCOPECSA submitted; 5: Implementation of reform including strengthening of research capacity. Cumulative targets.			
▶ National Fisheries Information System established at INCOPECSA and publicly accessible (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	4.00
Date	11-Nov-2019	08-Dec-2021	17-Jun-2022	15-Jun-2026
Comments:	This indicator measures the establishment and operation of a National Fisheries Information System ("INCOPECSA Digital") containing the following components: 1. Fisheries Registry: including all fisheries segments (purse seine, long line, small scale, shrimp trawl) with clear regulations for entry, exit and substitution protocols, based on sustainable fishing capacity management criteria; 2. Online user services: (i) licenses; (ii) authorizations; (iii) fisher ID cards (carnets); (iv) inspections; (v) research permissions and reports; (vi) fuel subsidy applications; 3. Monitoring and Control: (i) satellite signal shared with Coast Guard Service; (ii) certificates of vessel compliance; 4. Statistical information: (i) fishing activity reports (catch and landings); (ii) aquaculture area and production; 5. Marine and Fisheries Natural Capital Accounts linked to the Central Bank. Public access is defined as access to the data through the INCOPECSA website. Baseline value (zero) reflects current status of limited and scattered information on INCOPECSA's website. Cumulative targets.			
▶ Share of registered fleet monitored through national Vessel Monitoring System (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	5.00	5.00	26.00	85.00
Date	11-Nov-2019	08-Dec-2021	17-Jun-2022	15-Jun-2026
Comments:	This indicator measures the coverage of the registered fleet by the Vessel Monitoring System. The baseline value (vessels monitored/registered) is set as follows: (i) Licensed foreign tuna purse seiners and national long-liners: 280/520; (ii) Small-scale vessels: 0/2528; (iii) Shrimp trawlers: 3/3. Annual targets.			



Investing in sustainable fisheries value chains				
▶ Landing sites and processing facilities supported by the project (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	6.00
Date	11-Nov-2019	08-Dec-2021	17-Jun-2022	27-Jun-2025
Comments:	This indicator measures the number of key fisheries infrastructure (landing terminals and storage and processing facilities) built under the project. Cumulative targets.			
▶ Fishing organizations trained in business and seafood handling skills (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	10.00
Date	11-Nov-2019	08-Dec-2021	17-Jun-2022	15-Jun-2026
Comments:	This indicator measures the number of fishing and fish farming organizations who receive capacity-building in business management, organizational development, seafood handling, marketing and trade with the intention of qualifying for receiving concessions for the operation of fisheries storage and processing facilities. The target of FO that will benefit from the upgrade of processing facilities are included in the target value. This indicator also includes FOs that will benefit from training in value addition and business skill development. Cumulative targets.			
▶ Value chain Action Plans and Traceability System developed for priority species (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	4.00
Date	11-Nov-2019	08-Dec-2021	17-Jun-2022	15-Jun-2026
Comments:	This indicator measures the number of (at least four) Value Chain Action Plans developed for priority species through the Competitive Reinforcement Initiative methodology (or similar). This includes the carrying out of multi-stakeholder workshops to train key actors in value chain analysis, identify value chain opportunities and develop coordinated action plans. It also measures the establishment of a National Fisheries and Aquaculture Traceability System to trace information on the origin and legality of fisheries and aquaculture products, integrated with food safety information. The Traceability System will be considered established and operational following certification by a third party (e.g. ISO 22000 through an external audit). Priority species may include: tuna, swordfish, snapper, dorado/dolphin fish. Cumulative targets.			

Strengthening mechanisms for social and environmental sustainability				
▶ Fishing areas under participatory management plans (Hectare(Ha), Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	125,300.00	125,300.00	207,130.00	250,000.00
Date	11-Nov-2019	08-Dec-2021	17-Jun-2022	15-Jun-2026



Comments:	This indicator measures the marine area under co-management schemes developed in a participatory manner with local fishermen communities, such as Responsible Fishing Area formally adopted by the INCOPECA Board of Directors and/or other co-management schemes. Cumulative targets.			
► Payment for Marine Ecosystem Services schemes piloted (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	3.00
Date	11-Nov-2019	08-Dec-2021	17-Jun-2022	15-Jun-2026
Comments:	This indicator measures the establishment of payments for Marine Ecosystem Services pilot schemes or similar mechanisms with the aim of incentivizing the transition to sustainable fisheries management and to maintain or improve the provision of marine ecosystem goods and services. The schemes will be formalized through Marine Ecosystem Services contracts with target beneficiaries based on a detailed Procedures Manual. Cumulative targets.			
► Women-led alternative livelihood initiatives supported by the project (Number, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	5.00
Date	11-Nov-2019	08-Dec-2021	17-Jun-2022	15-Jun-2026
Comments:	This indicator measures the number of new alternative livelihood and income generation initiatives supported by the Project that specifically target women-led associations or businesses (i.e. organizations with a majority of women in the Board or leadership positions) in the fisheries sector. Types of supported activities include specialized skills training (accompanied by provision of child care during training session) and business development, selected in consultation with women-led organizations. Cumulative targets.			
► Share of target beneficiaries with rating 'Satisfied' or above on project interventions and participation (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	0.00	60.00
Date	11-Nov-2019	08-Dec-2021	17-Jun-2022	15-Jun-2026
Comments:	The indicator is a citizen engagement indicator and captures the degree of satisfaction of the target population with the project, related to the following: fisheries management, job creation, livelihoods, value capture, and participation in decision making processes. It will be administered through a semi-structured survey with project beneficiaries and include sex-disaggregated information. Annual targets.			
► Grievances registered related to the project addressed (%) (Percentage, Custom)				
	Baseline	Actual (Previous)	Actual (Current)	End Target
Value	0.00	0.00	100.00	95.00
Date	11-Nov-2019	08-Dec-2021	17-Jun-2022	15-Jun-2026
Comments:	This indicator measures the transparency and accountability mechanisms established by the project so that potential grievances related to the project are addressed promptly. Annual targets.			

Performance-Based Conditions



Data on Financial Performance

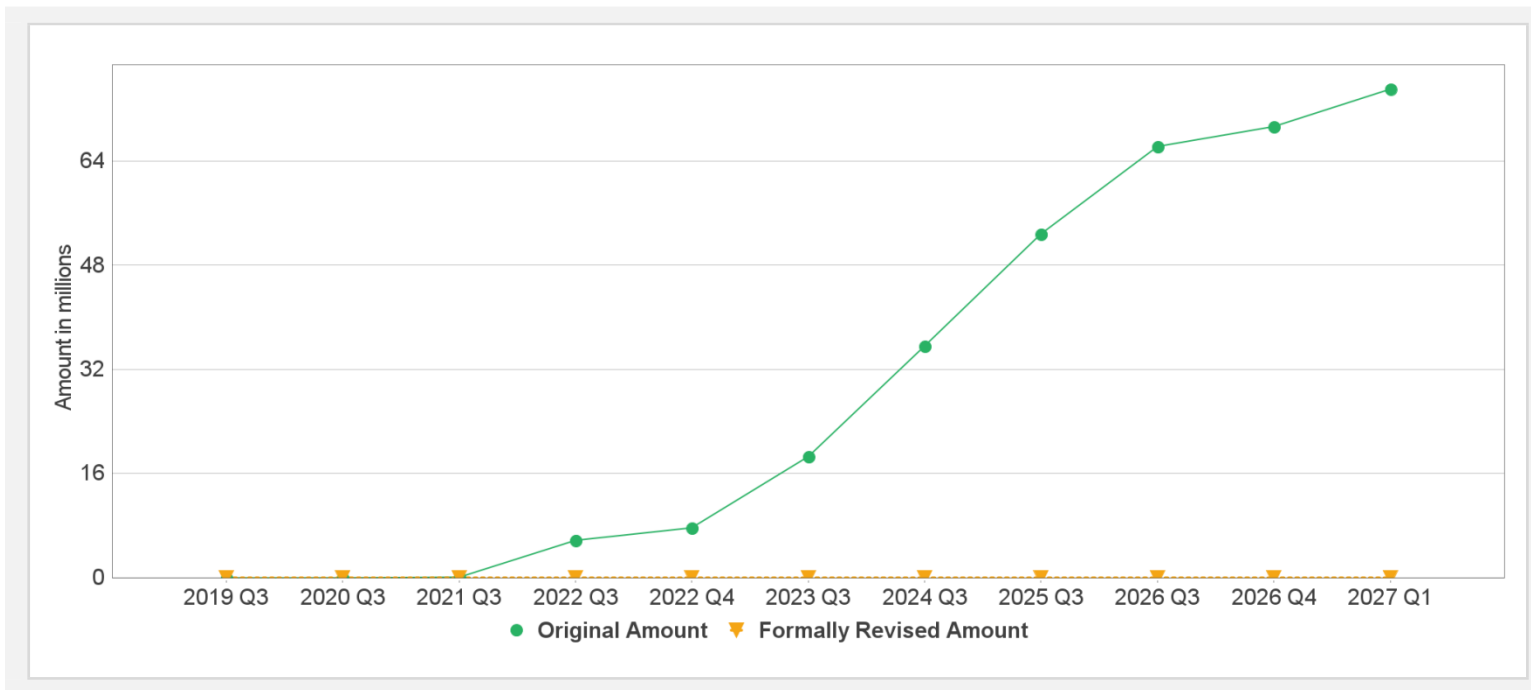
Disbursements (by loan)

Project	Loan/Credit/TF	Status	Currency	Original	Revised	Cancelled	Disbursed	Undisbursed	% Disbursed
P168475	IBRD-90500	Effective	USD	75.10	75.10	0.00	0.19	74.91	0.3%

Key Dates (by loan)

Project	Loan/Credit/TF	Status	Approval Date	Signing Date	Effectiveness Date	Orig. Closing Date	Rev. Closing Date
P168475	IBRD-90500	Effective	24-Mar-2020	31-Jul-2020	19-Sep-2021	19-May-2027	19-May-2027

Cumulative Disbursements



Restructuring History

There has been no restructuring to date.

Related Project(s)



There are no related projects.
