

**MONGOLIA**

**MONGOLIA TRANSPORT CONNECTIVITY AND LOGISTICS IMPROVEMENT  
PROJECT  
P174806**

**[Draft]**

**ENVIRONMENTAL and SOCIAL  
COMMITMENT PLAN (ESCP)**

**October 2021**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. Mongolia will implement the Mongolia Transport Connectivity and Logistics Improvement Project (P174806) (the **Project**), with the involvement of the following Ministries: Ministry of Road and Transport Development (MoRTD), Ministry of Finance (MOF), and Ministry of Food Agriculture and Light Industry (MoFALI). The International Bank for Reconstruction and Development (hereinafter the Bank) is providing financing for the Project.
2. Mongolia will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, any specific documents, or plans, as well as the timing for each of these.
3. Mongolia will also comply with the provisions of any other E&S documents required under the ESF and referred to in this ESCP, such as Environmental and Social Management Framework (ESMF), Environmental and Social Impact Assessments (ESIA), Environmental and Social Management Plans (ESMP), Stakeholder Engagement Plans (SEP), and Labor Management Procedure (LMP) and the timelines specified in those E&S documents.
4. Mongolia is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Ministry, agency or unit referenced in 1. above.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by Mongolia as required by the ESCP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed by the Bank and Mongolia, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, MoRTD will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and Mongolia. Mongolia will promptly disclose the updated ESCP.
7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, Mongolia shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include such as environmental, community health, wild animal, livelihoods, and traffic safety impacts, labor influx etc.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
<b>MONITORING AND REPORTING</b>			
A	Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S documents required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s).	Six-monthly throughout Project implementation and the first report to be submitted by the sixth month after the effective date of the legal agreement ("Effective Date").	MoRTD
B	Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers including accidents during road construction. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Bank's request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.	Notify the Bank within 48 hours after learning of the incident or accident, and a subsequent report shall be provided within 7 calendar days after the incident, unless otherwise agreed in writing with the Bank.	MoRTD
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<b>ORGANIZATIONAL STRUCTURE</b>  Establish and maintain an organizational structure with qualified staff and resources to support management of E&S risks including appointment of an environmental expert and a social development expert.	The environmental and social experts shall be in place within 30 days of the Effective Date, and the organizational structure, including the specialists, shall be maintained throughout Project implementation.	MoRTD

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.2	<p><b>ENVIRONMENTAL AND SOCIAL ASSESSMENT</b></p> <p>(a) Update, disclose, consult, adopt, and implement the Environmental and Social Impact Assessment that has been prepared for the Project, in a manner acceptable to the Bank.</p> <p>(b) Ensure that the field investigation including the biodiversity and heritage assessments to the last mile roads are carried out in accordance with terms of reference (TORs) acceptable to the Bank, that are consistent with the requirements of ESS 6 and ESS8.</p> <p>(c) Ensure that a full ESIA with an integrated ESMP for the model regional logistics hub is prepared, disclosed and consulted once the hub location is confirmed and detailed designs are in place.</p> <p>(d) Ensure that other ESIA's and ESMPs for other subprojects are carried out, disclosed and consulted as per the procedures for subproject screening and assessment in the Environmental and Social Management Framework for the Project (ESMF), and ensure that the mitigation measures contained in all the ESIA's are implemented in accordance with the ESSs and in a manner acceptable to the Bank.</p>	<p>(a) Prior to procurement related to the activities commence, as early as once detailed design is available.</p> <p>(b) The ToRs for the field investigation including the biodiversity and heritage assessments shall be submitted for review and clearance by the Bank prior to the commencement of the field investigation study.</p> <p>(c) The full ESIA for the model regional logistics hub shall be prepared, disclosed, consulted, and submitted for review and clearance by Bank prior to the commencement of the procurement process.</p> <p>(d) The ESIA's and ESMPs for other subprojects following the screening and assessment procedures in ESMF shall be carried out, disclosed, consulted, and submitted for review and clearance by the Bank prior to the commencement of the procurement process. The mitigation measures contained in all the ESIA's should be implemented throughout the Project implementation</p>	MoRTD

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.3	<p><b>MANAGEMENT TOOLS AND INSTRUMENTS</b></p> <p>Screen any proposed subproject in accordance with the ESMF, and, thereafter, prepare, disclose, consult, adopt, and implement the subproject Environmental and Social Impact Assessment (ESIA), Environmental and Social Management Plan (ESMP) and/or any other E&amp;S plans, as required, in a manner acceptable to the Bank.</p> <p>Environmental and Social (E&amp;S) risk screening forms shall be sent to the Bank for records and spot check.</p>	<p>ESMF, ESIA, ESMPs and other E&amp;S plans shall be implemented throughout Project implementation.</p> <p>Subproject E&amp;S documents/plans shall be prepared, disclosed, consulted, and submitted to the Bank for review and clearance before the commencement of the procurement process for the respective subproject.</p> <p>As soon as detailed design is available of the related activities.</p>	MoRTD
1.4	<p><b>MANAGEMENT OF CONTRACTORS</b></p> <p>Incorporate the relevant aspects of the ESCP, including the relevant E&amp;S documents and/or plans, and the Labor Management Procedures, into the ESHS specifications of the procurement documents with contractors, and ensure that the contractors comply with the ESHS specifications of their respective contracts.</p>	<p>Relevant aspects of the ESCP, including the relevant E&amp;S documents and/or plans, and the Labor Management Procedures shall be incorporated into the ESHS specifications of the procurement documents with contractors prior to the commencement of the procurement process. .</p> <p>MoRTD shall supervise contractors' compliance with the ESHS specifications of their contracts throughout Project implementation.</p>	MoRTD
1.5	<p><b>TECHNICAL ASSISTANCE</b></p> <p>Ensure that the consultancies, studies (including feasibility studies, if applicable), capacity building, training, and any other technical assistance activities under the Project, are carried out in accordance with terms of reference acceptable to the Bank and incorporate the relevant requirements of the ESSs.</p> <p>Ensure that any outputs from the technical assistance activities, including but not limited to any environmental and social assessment and related environmental and social instruments, are consistent with the ESSs.</p>	Throughout Project implementation	MoRTD

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.6	<p><b>CONTINGENT EMERGENCY RESPONSE FINANCING</b> Ensure that the CERC Manual includes a description of the ESHS assessment and management arrangements for the implementation of the Contingency Emergency Response Part, in accordance with the ESSs. The CERC Manual will be an Addendum to the project ESMF.</p> <p>Prepare, disclose, consult, and adopt any E&amp;S instruments required for activities under the Contingency Emergency Response Part of the Project, in accordance with the CERC Manual and the ESSs, and thereafter implement the measures and actions required under said E&amp;S instruments, within the timeframes specified in said E&amp;S instruments. E&amp;S instruments prepared for CERC will be part of the instruments prepared under this project.</p>	<p>The CERC Manual in form and substance acceptable to the Bank, shall be adopted as early as possible but prior to commencing any activities under the Contingency Emergency Response Part.</p> <p>The respective E&amp;S instrument shall be prepared, disclosed, consulted and submitted for the Bank's review and clearance and, thereafter adopted before launching the respective procurement process for the relevant Project activities for which the E&amp;S instrument is required. The E&amp;S instruments shall be implemented in accordance with their terms, throughout Project implementation.</p>	MoRTD
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			
2.1	<p><b>LABOR MANAGEMENT PROCEDURES</b> Implement and update, as needed, the Labor Management Procedures (LMP) that have been adopted for the Project.</p>	The LMP shall be implemented and updated as needed throughout Project implementation.	MoRTD
2.2	<p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b> Establish, maintain, and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.</p>	A grievance mechanism shall be operational prior engaging Project workers and maintained throughout Project implementation.	MoRTD
2.3	<p><b>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES</b> Prepare, adopt, and implement occupational, health and safety (OHS) measures as specified in the ESMF and the subproject ESMPs to be prepared during project implementation.</p>	Throughout Project implementation	MoRTD
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
3.1	<p><b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT:</b> Incorporate resource efficiency and pollution prevention and management measures in the ESMP to be prepared under action 1.3 above.</p>	Same timeframe as for the preparation and implementation of the ESMPs	
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			

4.1	<b>TRAFFIC AND ROAD SAFETY:</b> Adopt and implement measures and actions to assess and manage traffic and road safety risks as required in the ESMPs to be developed under action 1.3 above.	Same timeframe as for the preparation and implementation of the subproject ESMPs	MoRTD
4.2	<b>COMMUNITY HEALTH AND SAFETY</b> Prepare, adopt, and implement measures and actions to assess and manage specific risks and impacts to the community arising from Project activities, including behavior of Project workers, risks of labor influx, truck drivers using the hub, and include these measures in the ESMPs to be prepared in accordance with the ESMF, in a manner acceptable to the Bank.	Same timeframe as for the preparation and implementation of the subproject ESMPs	MoRTD
4.3	<b>GBV AND SEA/SH RISKS</b> Include assessment of GBV and SEA/SH risks in the overall ESIA for all project activities, and prepare, disclose, consult, adopt, and implement a stand-alone Gender-Based Violence Action Plan (GBV Action Plan).	Same time as for the ESIA of subprojects.	MoRTD
4.4	<b>SECURITY PERSONNEL:</b> Assess the security risks of the Project, including the risks of engaging security personnel to protect project workers, sites, assets, and activities. Prepare, adopt, and implement a stand-alone Security Personnel Management Plan consistent with the requirements of ESS4, in a manner acceptable to the Bank.	Same time as for the ESIA and ESMPs of subprojects.	MoRTD
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
5.1	<b>RESETTLEMENT ACTION PLANS</b> Prepare, disclose and consult, resettlement action plans (RAPs) in accordance with ESS5 and the requirements of the Resettlement Policy Framework (RPF) that has been prepared for the Project, and thereafter adopt and implement the respective RAPs before carrying out the associated activities and before any physical or economic displacement of affected persons, in a manner acceptable to the Bank.	RAPs shall be prepared, disclosed, consulted and submitted for the Bank's review and clearance if the ESIA indicates it is required, and thereafter adopted implemented prior to commencing project activities that involve land acquisition and resettlement and prior to any physical or economic displacement of affected persons.	MoRTD
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
6.1	<b>BIODIVERSITY RISKS AND IMPACTS:</b> Identify biodiversity risks and impacts during the preparation of subproject ESIA/ESMPs and adopt and implement measures and actions to assess and manage such risks/impacts as required in the ESMPs and/or Biodiversity Management Plans (BMPs) to be developed under action 1.3 above.	Same timeframe than for the preparation and implementation of the subproject ESMPs	

<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
7.1	<b>ETHNIC MINORITY DEVELOPMENT PLAN (EMDP)</b> Screen for ethnic minority presence or attachment in relation to project activities. Prepare, disclose, consult, adopt, and implement an Ethnic Minority Development Plan (EMDP) in accordance with ESS7 and the ESMF and in a manner acceptable to the Bank in case the ESIA for subprojects have confirmed ethnic minority presence or attachment. The EMDP shall include, among others, a description of the ethnic minority, steps of consultation with the ethnic minority, appropriate manners of consultation, and M&E of EMDP implementation.	Screen for ethnic minority presence or attachment prior to the commencement of the relevant project activities. Prepare, disclose, consult, and submit the respective EMDP for the Bank's review and clearance if required following screening, prior to the commencement of the relevant project activities. Once approved, adopt and implement the EMDP throughout Project implementation.	MoRTD
7.2	<b>GRIEVANCE MECHANISM</b> Prepare, adopt, and implement the arrangements for the grievance mechanism for ethnic minorities as required under the EMDP as part of the project GRM once ethnic minority presence is identified.	Prior to the development of the EMDP and throughout the implementation of the EMDP.	
<b>ESS 8: CULTURAL HERITAGE</b>			
8.1	<b>CHANCE FINDS:</b> Implement the chance finds procedure described as part of the ESMF developed for the Project. Sensitive cultural heritage sites should be avoided when constructing the last mile roads and the logistics hub. , as mentioned in Section 1.2(b).	Same time as the ESIA to be conducted for subprojects.	MoRTD
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<b>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</b> Update, redisclose, consult, adopt, and implement the draft Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.	A draft SEP has been prepared and disclosed before project appraisal. It will be updated, redisclosed, consulted, adopted and implemented throughout the project implementation.	MoRTD
10.2	<b>PROJECT GRIEVANCE MECHANISM:</b> Adopt, maintain and operate a grievance mechanism, as described in the SEP.	Same time as the SEP.	MoRTD
<b>CAPACITY SUPPORT</b>			



<p>CS1</p>	<p>Training on ESF implementation shall be provided to PIU staff, stakeholders, communities, and Project workers on:</p> <ul style="list-style-type: none"> <li>• World Bank’s Environmental and Social Framework</li> <li>• Environmental and Social Management Framework (ESMF) prepared for the Project and specific ESIA/ESMPs to be developed for subprojects</li> <li>• stakeholder mapping/identification and engagement</li> <li>• emergency preparedness and response</li> <li>• traffic safety for communities along the last mile roads</li> <li>• prevention of communicable diseases, COVID 19</li> </ul>	<p>After the Effective Date and throughout project implementation</p>	<p>MoRTD with support from the Bank</p>
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